

**N40085-11-R-4008**  
**NAVFAC SPECIFICATION**  
**11-R-4008**  
**AMENDMENT NO. 0002**

# **IMPORTANT**

**This amendment should be acknowledged when your proposal is submitted. Failure to acknowledge the amendment may constitute grounds for rejection of the proposal.**

**If your proposal has been submitted prior to the receipt of this amendment, acknowledgment should be made, which should state whether the price contained in your proposal is to remain unchanged, is to be decreased by an amount, or is to be increased by an amount. The acknowledgment must be received prior to the due date established for the receipt of proposals.**

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT			1. CONTRACT ID CODE	PAGE OF PAGES	
			J	1	29
2. AMENDMENT/MODIFICATION NO. 0002	3. EFFECTIVE DATE 01-Feb-2011	4. REQUISITION/PURCHASE REQ. NO.		5. PROJECT NO.(If applicable)	
6. ISSUED BY NAVFAC MID ATLANTIC NORTH CAROLINA IPT 6506 HAMPTON BLVD NORFOLK VA 23508-1212	CODE N40085	7. ADMINISTERED BY (If other than item 6) <b>See Item 6</b>		CODE	
8. NAME AND ADDRESS OF CONTRACTOR (No., Street, County, State and Zip Code)			X	9A. AMENDMENT OF SOLICITATION NO. N40085-11-R-4008	
			X	9B. DATED (SEE ITEM 11) 11-Jan-2011	
				10A. MOD. OF CONTRACT/ORDER NO.	
				10B. DATED (SEE ITEM 13)	
CODE	FACILITY CODE				
<b>11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS</b>					
<input checked="" type="checkbox"/> The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offer <input type="checkbox"/> is extended, <input checked="" type="checkbox"/> is not extended.					
Offer must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended by one of the following methods: (a) By completing Items 8 and 15, and returning <u>  1  </u> copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.					
12. ACCOUNTING AND APPROPRIATION DATA (If required)					
<b>13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.</b>					
A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.					
B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(B).					
C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:					
D. OTHER (Specify type of modification and authority)					
E. IMPORTANT: Contractor <input type="checkbox"/> is not, <input type="checkbox"/> is required to sign this document and return _____ copies to the issuing office.					
14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)					
SOLICITATION NUMBER: N40085-11-R-4008    AMENDMENT NO: 0002					
P1286 BACHELOR ENLISTED QUARTERS & P1286B CONNECTOR ROAD TO P1286					
AMENDMENT 0002: ADD DESCRIPTION OF WORK, CLIN, AND RFI RESPONSES					
RECEIPT OF PHASE I PROPOSALS REMAINS: DUE - MARCH 03, 2011 @ 2 P.M.					
ray.hinman@navy.mil Tel: 757-322-8398					
Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.					
15A. NAME AND TITLE OF SIGNER (Type or print)			16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print)		
			TEL: _____ EMAIL: _____		
15B. CONTRACTOR/OFFEROR	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA		16C. DATE SIGNED	
_____ (Signature of person authorized to sign)		BY _____ (Signature of Contracting Officer)		01-Feb-2011	

SECTION SF 30 BLOCK 14 CONTINUATION PAGE

**SUMMARY OF CHANGES**

The following have been added by full text:

AMENDMENT 0002

**AMENDMENT NO: 0002**

**N40085-11-R-4008**

**P1286 (BEQ's) & P1286B (ROAD)**

**PLEASE NOTE THE FOLLOWING ATTACHMENTS ADDED WITH THIS AMENDMENT.**

- **Revised Section 00010 Solicitation Contract Form**
- **Description of Work**
- **Attachment A – Corporate Experience Form**
- **Attachment B – Past Performance Questionnaire**
- **Attachment C – SF LLL**
- **Attachment D – Betterment Form**
- **Attachment E – Small Business Subcontracting Plan**
- **Attachment F – Small Business Past Performance**
- **Attachment G – Proposed Subcontracting Breakdown**
- **Attachment H – Wage Determination**

## **SECTION 00800:**

### **PLEASE REPLACE SECTION 00800 WITH THE FOLLOWING:**

#### **III. EVALUATION**

##### **A. BASIS FOR AWARD**

1. The Government reserves the right to eliminate from consideration for award any or all offers at any time prior to award of the contract; to negotiate with Offerors in the competitive range; and to award the contract to the offeror submitting the proposal determined to represent the best value—the proposal most advantageous to the Government, price and other factors considered.

2. As stated in the solicitation, the Government intends to evaluate proposals and award a contract without discussions with Offerors (except clarifications as described in FAR 15.306(a)). The Government reserves the right to conduct discussions if the Contracting Officer later determines them to be necessary. In addition, if the Contracting Officer determines that the number of proposals that would otherwise be in the competitive range exceeds the number at which an efficient competition can be conducted, the Contracting Officer may limit the number of proposals in the competitive range to the greatest number that will permit an efficient competition among the most highly rated proposals.”

3. The tradeoff process is selected as appropriate for this acquisition. The Government considers it to be in its best interest to allow consideration of award to other than the lowest priced offeror or other than the highest technically rated offeror.

4. As stated in the solicitation, Factors 1, 2, 3, and 4 are of equal importance. Technical Factors 1 through 4 combined are more important than Factor 5, Price. Subfactors are of equal importance except for Factor 3 Safety which is in descending order of importance.

5. Any proposal found to have a deficiency in meeting the stated solicitation requirements or performance objectives will be considered ineligible for award, unless the deficiency is corrected through discussions. If a factor or subfactor is rated poor, the overall proposal will be rated poor unless corrected during discussions. Proposals may be found to have either a significant weakness or multiple weaknesses that impact either the individual factor rating or the overall rating for the proposal. The evaluation report must document the evaluation board's assessment of the identified weakness(s) and the associated risk to successful contract performance resulting from the weakness(s). This assessment must provide the rationale for proceeding to award without discussions.

##### **B. ADJECTIVAL RATINGS/DEFINITIONS**

1. The following adjectival ratings and rating definitions will be used to assign an overall rating to each technical proposal and to assign a rating for each technical factor and subfactor. Use upper case letter ratings for major technical factors as well as the overall rating. Use lower

case letter ratings for technical subfactors. The addition of plus (+) or minus (-) to an adjective rating is not allowed.

<b>Adjectival Ratings/Definitions For Overall Technical Rating and Each Factor/Subfactor</b>	
<b>Rating</b>	<b>Definitions</b>
<b>E (Excellent)</b>	Proposal/factor demonstrates thorough and detailed understanding of requirements. Technical considerations and capabilities significantly exceed performance and capability standards. Proposal/factor offers one or more strengths. Strengths significantly outweigh weaknesses, if any. The proposal/factor represents a high probability of success with no apparent risk in meeting the Government's requirements.
<b>G (Good)</b>	Proposal/factor demonstrates clear understanding of requirements. Technical considerations and capabilities exceed performance and capability standards. Proposal/factor offers one or more strengths. Strengths outweigh any weaknesses. The proposal/factor represents a strong probability of success with overall low degree of risk in meeting the Government's requirements.
<b>S (Satisfactory)</b>	Proposal/factor demonstrates acceptable understanding of requirements. Technical considerations and capabilities meet performance and capability standards. Proposal/factor offers no strengths, or, if there are strengths, these strengths are offset by weaknesses. The proposal/factor represents a reasonable probability of success with overall moderate degree of risk in meeting the Government's requirements.
<b>M (Marginal)</b>	Proposal/factor demonstrates a limited understanding of requirements. Technical considerations and capabilities are questionable as to whether or not they meet performance and capability standards necessary for acceptable contract performance. Proposal/factor contains weaknesses and offers no strengths, or, if there are any strengths, these strengths are outweighed by weaknesses. The proposal/factor represents a low probability of success with overall high degree of risk in meeting the Government's requirements. Proposal/factor might improve with additional information and without a major revision of the proposal.
<b>P (Poor)</b>	Proposal/factor demonstrates a lack of understanding of requirements. Technical considerations and capabilities do not meet performance and capability standards necessary for acceptable contract performance. Proposal/factor contains major errors, omissions, significant weaknesses and/or deficiencies. The proposal/factor represents a very low probability of success with an extremely high degree of risk in meeting the Government's requirements. Proposal/factor could only improve with major revisions of proposal.
<b>NR (No Rating)</b>	<b>To Be Used Only for Past Performance Factor:</b> The offeror has no record of relevant past performance or information on past performance is not available. This rating is considered neither favorable nor unfavorable.

2. Definitions: The following definitions are provided to assist evaluators in the evaluation of each factor.

a. Significant Strength: A proposed method or technique in the proposal that has a high magnitude of value to the Government and appreciably increases the likelihood of successful contract performance.

b. Strength: A proposed method or technique in the proposal that is of value to the Government and increases the likelihood of successful contract performance.

c. Weakness: A flaw in the proposal that increases the risk of unsuccessful contract performance.

d. Significant Weakness: A flaw that appreciably increases the risk of unsuccessful contract performance.

e. Deficiency: A material failure of a proposal to meet a Government requirement or a combination of significant weaknesses in a proposal that increases the risk of unsuccessful contract performance to an unacceptable level.

**(Other Definition Defined)**

**f. "Relevant Facility Project": New construction of Bachelor Enlisted Quarters, Apartment Buildings, Dormitories, Hotels, with a construction cost of \$15,000,000 or greater, that are similar in size, scope, and complexity to the project solicited in the RFP. "Relevant Road Project": New construction of roadways / highways, security entry control facilities, and roadway / highway bridges with a cost of \$12,000,000 or greater, that are similar in size, scope, and complexity to the project solicited in the RFP. Two (2) projects must be relevant facility projects and at least one of the remaining projects shall have any combination of the Relevant Road Project. Include other projects with work listed under Relevant Road Project. Size, scope, and complexity are defined below:**

- 1. Size: A project that consists of a facility that has approximately the same or greater square foot (SF) for the facility and length for the road as the project solicited in the RFP.**
- 2. Scope: A project that includes similar features, to include, but not limited to, number of floors, construction materials, site improvements, mechanical and electrical systems, and sustainable design features as the project solicited in this RFP.**
- 3. Complexity: A project with similar phasing and / or construction schedule constraints, structural systems, site and / or environmental limitations, process systems and security requirements, as applicable, to the project solicited in the RFP.**

**C. EVALUATION FACTORS FOR AWARD**

1. This will be a two-phased evaluation process. Phase I consists of Factors 1, 2, and 3; and Phase II will consist of Factors 4 and 5. The solicitation requires the evaluation of *price* and the following technical factors and *subfactors*:

#### Phase I Factors

- Factor 1 – Corporate Experience
  - Subfactor 1.a. – Construction Firm
  - Subfactor 1.b. – Design Team
  - Subfactor 1.c. – Construction Firm & Design Team
- Factor 2 – Past Performance
- Factor 3 – Safety
  - 3.a. – EMR
  - 3.b. – OSHA Transfer (DART)
  - 3.c. – Technical Approach to Safety

#### Phase II Factors

- Factor 4 – Small Business
  - 4a- Past Performance in Utilization of Small Business Concerns
  - 4b - Small Business Participation
- Factor 5 – Technical Solutions
  - Subfactor 5.a. – Design Approach
  - Subfactor 5.b. – Betterments
- Factor 6 - Price

2. The distinction between corporate experience and past performance is corporate experience pertains to the types of work and volume completed by a contractor that are comparable to the types of work covered by this requirement, in terms of size, scope, and complexity. Past performance relates to how well a contractor has performed.

3. Factors 1, 2, 3, 4 and 5 are of equal importance. Technical Factors 1 through 5 combined are more important than Factor 6, Price. Subfactors are of equal importance.

#### 4. Basis of Evaluation and Submittal Requirements for Each Factor.

##### (a) Technical Submission

**(1) Technical Requirements for PHASE I:** Offeror shall submit one (1) marked "Original", a CD and five (5) copies, each in a separate three-ring binder with the following characteristics:

- 8 ½ x 11 format
- 12 point font
- Provide one copy on CD that can be utilized for evaluation purposes, not locked or pdf'd.
- Limited to 85 pages – page count excludes cover page, and tabs. **PAGES THAT EXCEED THE PAGE LIMITATION SHALL NOT BE EVALUATED.**

- Include a cover page with Solicitation Number, Solicitation Title, Prime Contractor Name, Address, Phone Number, Fax Number, DUNS, Cage Code, Point of Contact, Title, phone number, and email address.
- Technical Binder should only include information in response to Factors 1, 2, and 3.
- If applicable, a copy of the Teaming, Joint Venture or Mentor Protégé Agreement. (If not included, the Offeror will be evaluated in a typical Prime/Subcontractor structure.)
  - Identification of the prime contractor and the composition of each member (LB, HUBZone, 8a, SB, SDB, WOSB, VOSB, or SDVOSB and, if applicable HBCU/MI).
  - Identify, in terms of dollars and percentage of total proposed price, the extent of work the prime contractor and each team member will perform.
  - In a teaming arrangement, The evaluations will be based on experience from each teaming partner.
- A Joint Venture will be considered one entity.

**(2) Technical Requirements for PHASE II:** Offeror shall submit one (1), marked "Original" and five (5) copies, each in a separate three-ring binder with the following characteristics:

- 8 ½ x 11 format, 11x17 for drawings only
- 12 point font
- Provide one copy on CD that can be utilized for evaluation purposes, not locked or pdf'd.
- Limited to 23 pages – page count excludes cover page, tabs and 7 drawings. PAGES THAT EXCEED THE PAGE LIMITATION SHALL NOT BE EVALUATED.
- Include a cover page with Solicitation Number, Solicitation Title, Prime Contractor Name, Address, Phone Number, Fax Number, DUNS, Cage Code, Point of Contact, Title, phone number, and email address.
- Technical Binder should only include information in response to Factor 5.

(b) Price Submission

**(1) Price Requirements (Phase II Only):** Offeror shall submit one (1) original, marked "Original", and one (1) copy, each in a separate three ring binder with the following:

- 8 ½ x 11 format
- 12 point font
- Include a cover page with Solicitation Number, Solicitation Title, Prime Contractor Name, Address, Phone Number, Fax Number, DUNS, Cage Code, Point of Contact, Title, phone number, and email address.
- Completed SF 1442, including Pricing Schedule from Section 00010, with acknowledgement of all amendments
- Bid Bond
- Proof of Central Contract Registry (CCR) and ORCA registration
- Betterments Form (Attachment D)
- A copy of completed Standard Form LLL

- Price Binder should only include information in response to Factor 4 and 6.

### 3. PRICE

(A) Solicitation Submittal Requirements:

(1) Price shall be evaluated on the basis of the cumulative price for all the line items, including base item and planned modification for FF&E, as shown in the Pricing Schedule.

(B) Basis of Evaluation:

(1) The Government will evaluate price based on the total price, including the planned modification for FF&E.

(2) Analysis will be performed by one or more of the following techniques to ensure a fair and reasonable price:

- (a) Comparison of proposed prices received in response to the RFP.
- (b) Comparison of proposed prices to the Government Estimate (GE).
- (c) Comparison of proposed prices with available historical information.

(C) **The Construction Cost Limitation (CCL) is as follows:**

\$69,350,000

(1) Proposals that provide prices greater than the project funding limit (CCL) **may** be rejected.

(2) Offerors are encouraged to maximize the proposal within the specified (CCL).

***(3) Each betterment will be reviewed to ensure it does not conflict with the requirements of the solicitation or site limitations, is within the scope of the project (DD 1391) and that it increases value of the final product. All acceptable betterments will be evaluated based on their overall best value to the Government. Acceptance or rejection of offeror's proposed betterments is at the sole discretion of the government. A betterment that provides maintenance to equipment or facilities will not be accepted.***

(4) A price that is found to be either unreasonably high or unrealistically low in relation to the proposed work may be indicative of an inherent lack of understanding of the solicitation requirements and may result in the overall proposal not being considered for award.

(5) Any inconsistency, whether real or apparent, between proposed performance and price must be clearly explained in the price proposal. For example, if unique and innovative approaches are the basis for an apparently unbalanced/inconsistently priced proposal, the nature of these approaches and

their impact on price must be completely documented. The burden of proof of price reasonableness rests solely with the Offeror.

(6) Pricing shall be provided for each Offeror – Proposed betterment. The betterment pricing information shall be provided in the same list format as the technical submission for the betterments. Pricing for betterments will be evaluated for reasonableness only and will be used during the best value trade-off analysis.

#### 4. TECHNICAL FACTORS

##### **FACTOR 1 – CORPORATE EXPERIENCE**

Corporate experience pertains to the types of work and volume of work completed by an offeror that are similar in size, scope, and complexity to the project requirements as set out in the subject RFP.

##### **(A) Subfactor 1a, Construction Firm**

##### **(1) Solicitation Submission Requirements:**

- (A) Submit a minimum of **three (3) Relevant Projects** and a maximum of five (5) **Relevant Projects**. If the Offeror is a Joint Venture, the minimum of **two (2)** and maximum of five (5) projects stated above, per joint venture partner, shall be submitted. Teaming Arrangements are accepted if it is a signed and notarized agreement. The evaluations will be based on experience from each teaming partner. Projects are limited to those completed in the last five (5) years from December 2010. Project description for each project shall explain the detailed scope of work performed and the relevancy to the project requirements of this RFP. Include in each project description any official LEED Certifications achieved from the U.S. Green Building Council (USGBC), if any.
- (B) The Government will NOT evaluate projects performed by parent, affiliate, subsidiary, or any other company with a similar relationship to the offeror. Only those projects for which the Offeror or a Primary Joint Venture / Teaming Partner were the Prime Contractor will be evaluated. The projects selected must clearly demonstrate the construction capabilities of the Offeror on projects that are **relevant, as defined above**, to the work required in the RFP.

Provide information on the Corporate Experience Form provided in Attachment A.

##### **(2) Basis of Evaluation:**

(a) The standard has been met when the offeror has provided the minimum required experience in performing construction projects similar in terms of size, scope, and complexity to the project required by the RFP.

(b) Contractors that demonstrate relevant experience in more than two (2) relevant projects may be rated higher.

(c) If Joint Venture or Team has previously worked together on project(s) submitted in Factor 1, it may be rated higher.

(d) Proposals with one or more relevant projects that are currently using or were accomplished using the Design/Build approach on relevant projects may be rated higher.

(e) Proposals with one or more relevant projects that incorporated sustainable principles and achieved official LEED rating of "Silver" or higher from the U.S. Green Building Council may be rated higher.

(f) Proposals that fail to submit at least the minimum number of projects for all joint venture partners/teaming agreement partners shall rated lower.

(g) Proposals that fail to use the attached Corporate Experience form, or format shall not be evaluated.

(h) Submitted projects that fail to provide all requested data, an accessible point of contact, or correct telephone/facsimile numbers shall not be evaluated.

(i) All projects submitted which are outside of the specific five (5) year period shall not be evaluated.

(j) The Offeror shall be the Prime contractors on all projects submitted for this factor. The Government will not evaluate projects performed by parent, affiliate or any other company with a similar relationship with the Offeror.

**(B) Subfactor 1b – Designer of Record:**

(1) Solicitation Submittal Requirements:

(a) Submit the A&E firm who will be utilized for this project as the Designer of Record. The proposal shall include Firm Name (no abbreviations), address, phone number, DUNS, and Cage code. Identify the project role of the firm in the design of the facilities and supporting utilities.

(b) Submit a minimum of one (1) project and a maximum of three (3) projects similar in size, scope and complexity to the RFP, unless Offeror is a Joint Venture or Team. If the Offeror is a Joint Venture or Team, a minimum of one (1) project and a maximum of two (2) projects per joint venture partner/team member must be submitted. The design of the projects should be completed by the Designer of Record within the past five (5) years from January 2011. Use the attached Corporate Experience Form (Attachment A) to submit projects. Project description for each project shall explain the detailed scope of work performed and the relevancy to the project requirements of this RFP, including design fee and construction cost. Include in each project description any

official LEED Certifications received from the U.S. Green Building Council (USGBC) for that project (if any).

(2) Basis of Evaluation:

(a) The standard has been met when the Offeror has provided evidence of experience in the design of relevant projects similar in size, scope and complexity to the RFP.

(b) Proposals with one or more projects that are currently using or were accomplished using the Design/Build approach and the Offerors' A&E was the Designer of Record shall be rated higher.

(c) Proposals with one or more projects similar to the RFP that incorporated sustainable principles and achieved an official LEED rating of "Certified" or higher from the U.S. Green Building Council may be rated higher.

(d) If Joint Venture or Team has previously worked together on project submitted, it may be rated higher.

(e) Failure to submit projects for all joint venture partners/team members may be rated lower.

(f) Submitted projects that fail to use the attached Corporate Experience Form shall not be evaluated.

(g) All projects submitted which are outside of the specified 5-year period shall not be evaluated.

(h) Submitted projects that fail to provide all requested data, an accessible point of contact, or correct phone/fax numbers shall not be evaluated.

**(C) Subfactor 1c – Construction Firm and Designer of Record**

(1) Solicitation Submittal Requirements:

(a) Using the Corporate Experience Form located in Attachment A, submit a minimum of one (1) project and a maximum of two (2) projects that the construction firm and the proposed designer of Record are currently performing or have performed together. Include a detailed project description with each project that clearly explains the scope of work performed and the relevancy of that scope of work to the subject project requirements as detailed in the RFP. If the Offeror is a Joint Venture, the construction firm is comprised of all partners.

(2) Basis of Evaluation:

(a) The standard has been met when the **construction firm and the proposed design firm have experience in performing projects that are substantially complete together.**

- (b) **Proposals with one (1) or more projects relevant projects may be rated higher.**
- (c) **Proposals with one (1) or more relevant projects using, or accomplished using the Design / Build approach shall be rated higher.**
- (d) **Proposals that fail to use the attached Corporate Experience form, or format, shall not be evaluated.**

## **FACTOR 2 – PAST PERFORMANCE**

Past performance relates to how well a contractor has performed in the past on similar projects in terms of size, scope and complexity.

### **(A) Past Performance - Submission Requirements:**

(1) The Past Performance Questionnaire (ATTACHMENT B) is included in the solicitation and is provided for the offeror or its team members to submit to the client for each project the offeror includes in its proposal for Factor 1, Corporate Experience. Past Performance Questionnaires submitted on projects that are not similar in size, scope, and complexity will receive no rating. Ensure correct phone numbers and email addresses are provided for the client point of contact. Completed Past Performance Questionnaires are to be mailed, emailed or faxed from the client directly to the Government, not submitted via the offeror. In order for the client evaluation to be considered, the Past Performance Questionnaires must be received no later than the proposal due date. Include with your proposal submission a copy of the Past Performance questionnaire Cover Sheet which contains the point of contact information for each client questionnaire. Also include performance recognition documents received within the last 5 years such as awards, award fee determinations, customer letters of commendation, and any other forms of performance recognition.

In addition to the above, the Government may review any other sources of information for evaluating past performance of projects that are similar in size, scope, and complexity to the RFP. Other sources may include, but are not limited to, past performance information retrieved through the Past Performance Information Retrieval System (PPIRS) using all CAGE/DUNS numbers of prime contractors and joint venture partners for construction and the design team members for design. These members are identified in the offeror's proposal, inquiries of owner representative(s), and any other known sources not provided by the offeror. While the Government may elect to consider data from other sources, the burden of providing detailed, current, accurate and complete past performance information rests with the Offeror.

### **(B) Past Performance - Basis of Evaluation:**

(1) The standard has been met when past performance evaluations and all other past performance information reviewed by the Government (e.g., PPIRS, performance recognition documents, and information obtained for any other source) reflect a trend of satisfactory performance considering:

- A pattern of successful completion relevant projects submitted for Factor 1;
- A pattern of deliverables that are timely and of good quality;
- A pattern of cooperativeness and teamwork with the Government at all levels (task managers, contracting officers, auditors, etc.);
- Tasks that are identical to, similar to, or related to the task at hand; and
- A respect for stewardship of Government funds.

(2) Projects completed by the construction firm and proposed design team together may be rated higher.

### **FACTOR 3 – SAFETY**

The Offeror shall submit the following information: for a Teaming Agreement or joint venture, the following submittal requirements are required for each Joint Venture/Teaming Partner. Only one (1) safety narrative is required.

Information for EMR shall be provided by letter from the Insurance Company. For ratings that are less than “Satisfactory”, please provide a brief explanation of the circumstances regarding the rating.

If Offeror is a Joint Venture or Team – Information must be provided for all partners of the Joint Venture or members of the team. Failure to provide information for all partners/members will result in a lower rating.

If the Offeror is a Mentor Protégé, only the Mentor’s Safety will be evaluated.

(1) Experience Modification Rate (EMR):

For the three previous complete calendar years, from January 2008 through January 2011, submit your EMR (which compares your company’s annual losses in insurance claims against its policy premiums over a three year period). If you have no EMR, affirmatively state so, and explain why. Any extenuating circumstances that affected the EMR and upward or downward trends should be addressed as part of this element. Lower EMRs will be given greater weight in the evaluation.

(2) OSHA Days Away from Work, Restricted Duty, or Job Transfer (DART) Rate:

For the three previous complete calendar years January 2008 through January 2011, submit your OSHA Days Away from Work, Restricted Duty, or Job Transfer (DART) Rate, as defined by the U.S. Department of Labor, Occupational Safety and Health Administration. If you cannot submit an OSHA DART Rate, affirmatively state so, and explain why. Any extenuating circumstances that affected the OSHA DART Rate data and upward or downward trends should be addressed as part of this element. Lower OSHA DART Rates will be given greater weight in the evaluation.

(3) Technical Approach for Safety:

Describe the plan that the Offeror will implement to evaluate safety performance of potential subcontractors, as a part of the selection process for all levels of subcontractors. Also, describe any innovative methods that the Offeror will employ to ensure and monitor safe work practices at all subcontractor levels. The Safety Narrative shall be limited to two pages.

**(b) Basis of Evaluation:**

The Government is seeking to determine that the Offeror has consistently demonstrated a commitment to safety and that the Offeror plans to properly manage and implement safety procedures for itself and its subcontractors. The Government will evaluate the Offeror's overall safety record, the Offeror's plan to select and monitor subcontractors, any and innovative safety methods that the Offeror plans to implement for this procurement. The Government's sources of information for evaluating safety may include, but are not limited to, OSHA, NAVFAC's Facility Accident and Incident Reporting (FAIR) database, and other related databases. While the Government may elect to consider data from other sources, the burden of providing detailed, current, accurate and complete safety information regarding these submittal requirements rests with the Offeror. The evaluation will collectively consider the following:

- Experience Modification Rate (EMR)
- OSHA Days Away from Work, Restricted Duty, or Job Transfer (DART) Rate
- Offeror Technical Approach to Safety
- Other sources of information available to the Government

(1) Experience Modification Rate (EMR):

The Government will evaluate the EMR to determine if the Offeror has demonstrated a history of safe work practices taking into account any upward or downward trends and extenuating circumstances that impact the rating. Lower EMRs will be given greater weight in the evaluation.

Risk EMR

Very Low Risk Less than 0.6

Low Risk From 0.6 to less than 0.8

Moderate Risk From 0.8 to 1.0

High Risk Greater than 1.0 to 1.1

Extremely High Risk Greater than 1.1

(2) OSHA Days Away from Work, Restricted Duty, or Job Transfer (DART) Rate:

The Government will evaluate the OSHA DART Rate to determine if the Offeror has demonstrated a history of safe work practices taking into account any upward or downward trends and extenuating circumstances that impact the rates. Lower OSHA DART Rates will be given greater weight in the evaluation.

Risk DART Rate

Very Low Risk Less Than 1.0

Low Risk From 1.0 to 1.99

Moderate Risk From 2.0 to 2.99

High Risk From 3.0 to 4.0

Extremely High Risk Greater than 4.0

(3) Technical Approach to Safety:

The Government will evaluate the narrative to determine the degree to which subcontractor safety performance will be considered in the selection of all levels of subcontractors on the upcoming project. The Government will also evaluate the narrative to determine the degree to which innovations are being proposed that may enhance safety on this procurement. Those Offerors whose plan demonstrates a commitment to hire subcontractors with a culture of safety and who propose innovative methods to enhance a safe working environment may be given greater weight in the evaluation.

1. The three elements of safety, as listed above, are not sub-factors. The evaluators should collectively consider all elements of safety when assigning an overall adjectival rating for this factor. The TEB will need to make a qualitative determination of the rating for this factor, taking into account the risk ratings for EMR and DART and the subjective evaluation of the narrative.
2. Evaluators will not average the rating from the three years for the EMR and the DART.

#### **FACTOR 4 – SMALL BUSINESS UTILIZATION**

This Factor consists of two Subfactors, 4.A, Past Performance in Utilizing Small Business Concerns, and 4.B, Small Business Participation. The evaluation of Subfactors 4A and 4B are of equal importance to the determination of the Factor 4 Rating.

#### **GENERAL NOTES:**

1. Definitions: "SB" as used herein, is intended to include Small Business concerns, Small Disadvantaged Business concerns (SDB), Women-Owned Small Business concerns (WOSB), Historically Underutilized Business Zone Small Business concerns (HUBZone), Veteran-Owned Small Business concerns (VOSB), and Service-Disabled Veteran-Owned Small Business concerns (SDVOSB). All small business programs are self-certifying programs with the exception of HUBZone certifications, see note 2.
2. HUBZone SB Certifications: Offerors are reminded that HUBZone SB concerns must obtain formal certification from the Small Business Administration (SBA) if they expect to receive the evaluation benefits associated with the HUBZone SB programs either as a prime or subcontractor(s). For more information on the HUBZone SB certification requirements and available benefits, contact your local SBA representative. Certified HUBZone SB firms are listed on the Central Contractor Registration (CCR) website at [www.ccr.gov](http://www.ccr.gov). It is the responsibility of the prime contractor to periodically check the CCR as certifications are subject to change.

#### **(A) Subfactor 4a, Past Performance in Utilization of Small Business Concerns**

##### **NOTES:**

1. If an Offeror is utilizing past performance information of affiliates/subsidiaries/parent/LLC/LTD member companies (name is not exactly as stated on the SF1442), the proposal shall clearly demonstrate that the affiliates/subsidiaries/parent/LLC/LTD member companies will have meaningful involvement

with the management of the subcontracting program/plan. If the Offeror is a Joint Venture, provide past performance information for each individual joint venture member.)

2. The Government may consider past performance information on other projects as made available to the Government from other sources (such as the Contractor Appraisal Support Systems (CCASS)), Architect-Engineer Contract Administration Support System (ACASS) and Contractor Performance Assessment Reporting System (CPARS)).

(1) Solicitation Submittal Requirements:

*Proposals that do not include responses addressing ALL elements of the requirements stated below ((a) through (f)) must include an explanation why that element is not addressed.*

- a. Provide information on national and industry-issued awards received for outstanding support to SB concerns within the past five (5) years. Include dates that the award was received. National and industry-issued awards received beyond (5) years will not be considered.
- b. Provide performance evaluation ratings (i.e., SF1420, DD2626, or equivalent) obtained on the implementation of small business subcontracting plans for all contracts referenced under Factor 1, Corporate Experience. Recently completed project evaluations are desired, however, in the absence of recently completed project evaluations, interim ratings may be considered. If more than five (5) ratings are provided, only the first five will be considered.
- c. Provide information on previous, existing, planned or pending mentor-protégé agreements under any Federal Government program.
- d. Provide information on use of Community Rehabilitation Program (CRP) organizations certified under the AbilityOne Program by NISH or the National Industry for the Blind (NIB).
- e. Provide final or most recent SF294s "Subcontracting Report for Individual Contracts," ISRs "Individual Subcontracting Reports", or equivalent reports on all contracts referenced under Factor (1) Corporate Experience. Reports for recently completed projects are desired, however, in the absence of recently completed project reports, interim reports may be considered. If more than five (5) ratings are provided, only the first five will be considered. If goals were not met on submitted contracts, provide an explanation as to why. Newly established Large Businesses, or Large Businesses with no prior SF294/ISR/equivalent report history shall submit a subcontracting history on Attachment (F), Small Business Past Performance.
- f. Small Business proposers shall provide a subcontracting history on Attachment (F), Small Business Past Performance.

(2) Basis of Evaluation:

- The extent to which the proposal demonstrates satisfactory past performance in utilizing Small Business (SB) concerns in subcontracting and meeting established Small Business goals. Satisfactory past performance may be defined as the offeror

meeting some previously established subcontracting goals, and not others, but provided sufficient and reasonable explanation as to why the goals were not met.

**(B) Subfactor 4b, Small Business Participation**

(1) Solicitation Submittal Requirements:

- a. Identify in terms of dollar value and percentage, the extent of work you will perform as the prime contractor. If submitting an offer as a Joint-Venture (JV), identify the percentage of work each member will be responsible for and indicate the size status of each member, e.g., LB, SB, SDB, WOSB, HUBZone SB, etc.
- b. If you are a Large Business, submit a Small Business Subcontracting Plan for this project in the format provided in the Request for Proposal, Attachment (E). If you are a Small Business, submit a subcontracting breakdown in the format provided in the Request for Proposal, Attachment (G). All proposers: The Small Business Subcontracting Plan or subcontracting breakdown shall list all SB subcontractors by name. If the proposed Small Business Subcontracting goals do not meet the minimum NAVFAC Small Business Subcontracting Targets, **include a detailed explanation describing the actions taken to arrive at that determination, along with an explanation for the goals that actually were proposed.**

(2) Basis of Evaluation:

- The extent to which the proposal demonstrates maximum practicable participation of SBs in terms of the total value of the acquisition.
- The extent to which SB concerns are specifically identified in the proposal.
- The extent to which complex work and a variety of the work groups are to be performed by SBs.
- The extent to which the proposer's Small Business Subcontracting Plan establishes reasonable efforts demonstrating the subcontracting targets can be met during the performance of the contract.
- The extent to which the proposal provides Small Business Subcontracting goals that meet or exceed the minimum NAVFAC Small Business Subcontracting Targets, including AbilityOne CRP organizations. Proposals that provide goals exceeding the NAVFAC Subcontracting Targets may be rated higher. The proposed goals and NAVFAC Subcontracting Targets are expressed as a percentage of total subcontracted values. The minimum NAVFAC Subcontracting Targets are as follows:

	<b>FY2011</b>
<b>SB</b>	65.75%
<b>SDB</b>	16.51%
<b>WOSB</b>	14.70%
<b>HUBZone</b>	8.50%
<b>SDVOSB</b>	2.62%

## **FACTOR 5 – TECHNICAL SOLUTIONS**

Evaluation of this factor and subfactors requires the application of the evaluators' subjective opinion about the proposal's presentation and adherence to the solicitation requirements.

### **Subfactor 5a, Design Approach**

#### **(1) Solicitation Submittal Requirements:**

**(A) Technical Narrative-Submit a Technical Narrative, not to exceed 10 pages, that describes the overall concept for the project and compliance with the RFP. The narrative shall cover the project site, utilities, access, antiterrorism features, building layout and envelope, and major building, site mechanical and electrical systems, and sustainability features. Include LEED Strategy with checklist, LID Strategy, completed Gross Building Area spreadsheet, and Energy Savings Targets for the facility.**

Energy savings targets shall be expressed as a percentage from targets listed in the solicitation. Process and plug loads shall be deducted in the analysis as indicated in the following equation:

$$\text{Reduction From Standard} = (\text{standard bldg-proposed bldg}) / (\text{standard bldg-process \& plug load}) \text{ design}$$

**(B)** Submit conceptual drawings, not to exceed 7 pages (no larger than 11"x 17"), to support the technical narrative. Drawings should reflect the requirements spelled out in the sections in Project Objectives, Site Analysis and Building Requirements in Part 3. At a minimum, submit an overall conceptual site layout for the project site, conceptual layout and elevations for the Bachelor Enlisted Quarters and an enlarged floor plan for the living unit module and community facilities; to include the multipurpose room, laundry facilities, and main entry to the Bachelor Enlisted Quarters.

(1) Conceptual site layout of the project site. This is intended to be a broad concept of the proposed layout of the facilities, to be revised during the post-award Concept Design Workshop. Include a legend and graphic scale in English units. The site layout shall indicate building orientation, roadways, parking and walkways, fire department access and ATRP standoff distances. Identify required design elements and Offeror-proposed betterments to be included in the project. **Betterments that enhance the design may contribute to the higher overall rating for this factor.**

(2) Conceptual building layout for the BEQ. Identify the required spaces, elements and Offeror-proposed betterments to be included in the project.

(3) Enlarged BEQ Living-unit layout and Interior Elevations. Identify any Offeror-proposed betterments.

- (4) Conceptual exterior elevations (minimum 4 of each building type) of the BEQ to illustrate the proposed exterior design concept describing how the proposed construction integrates with the surrounding area and existing base facilities design or architectural theme. Identify Offeror-proposed betterments.
- (5) Color 3D Rendering (bird's eye view)
- (6) Building Sections (minimum 2 of BEQ building)
- (7) Enlarged Multi-Purpose/Laundry Floor Plan

**(2) Basis of Evaluation:**

- (1) The Government will evaluate the narrative and conceptual drawings considering the extent to which the Offeror demonstrates a clear understanding of the architectural and engineering requirements of the project. The Government will evaluate the design-build team's technical solution to determine the likelihood that the work will be performed in accordance with the technical requirements of the RFP.
- (2) **The standard is met when the Offeror provides a narrative and drawings that address the items above and demonstrate a thorough understanding of Parts 3 and 4 of the RFP.**
- (3) **Narratives and drawings that do not address all items may be rated lower.**
- (4) **Concept designs that demonstrate efficient use, visual interest, and circulation in their interior layout, exterior and site may be rated higher.**
- (5) **Proposals achieving building energy reduction greater than the minimums stated in the RFP may be rated higher.**

**Subfactor 5b, Betterments**

**(1) Proposal Submission Requirements:**

**Submit a list of Offeror – Proposed Betterments. Do not exceed five pages. The list of proposed Betterments shall include a description, quantity or size, proposed energy savings targets for each Betterment, applicable LEED credit(s) to be obtained, how the Betterment increases the reliability, maintainability of the facility and**



**RFI's AMENDMENT NO: 0002****N40085-11-R-4008****P1286 (BEQ's) & P1286B (ROAD)****Question:**

1. Amendment 1, Special Contract Requirements Item (B) Adjectival Ratings/Definitions, (2) Definitions, (f) provides a definition for "Relevant Facility Project" and "Relevant Road Project". It further states that two (2) projects must be "Relevant Facility Projects" and at least one of the remaining projects must be a "Relevant Road Project". Factor 1 Corporate Experience, A (1) (A) requires proposers to submit a minimum of three (3) Relevant Facility Projects and a maximum of 5 Relevant projects. These statements are in conflict. Which requirement is correct?

**Response:** Ref. Amendment 0001, Factor 1, (a)(1)(A), DELETE the following, "Submit a minimum of three (3) Relevant Facility projects", and SUBSTITUTE the following, "Submit a minimum of three (3) Relevant projects".

**Question:**

2. Our question pertain to the subject solicitation, and are as follows:
  - (B) Subfactor 1b – Designer of Record:
    - (1) Solicitation Submittal Requirements:
      - (b) Submit a minimum of one (1) project and a maximum of three (3) relevant projects similar in size, scope, and complexity to the RFP, unless the proposed DOR is a Joint Venture. If the proposed DOR is a Joint Venture, a minimum of one (1) project and a maximum of two (2) projects per Joint Venture must be submitted...  
regarding the above requirement:  
Is a formal Teaming Arrangement between A/E design firms acceptable to the Government for the formation of the DOR entity, or must the DOR possess a formal Joint Venture agreement in order to submit a maximum of 2 projects per partner?

**Response:** The DOR will be the firm who will sign and be responsible for the drawings. That firm can have associates working with them, but the DOR firm will be the contractually responsible party.

**Question:**

3. (B) Subfactor 1b – Designer of Record:
  - (1) Solicitation Submittal Requirements:

(b) ... The design of the projects shall be completed by the DOR within the past five (5) years from January 2011...

Regarding the above requirement:

Can the Government please clarify that project 100% designed within the stated time parameters, but not as yet completely constructed, are acceptable as relevant?

**Response:** Yes, refer to Factor 1(b): "the design of the projects shall be completed by the DOR within the past five (5) years from January 2011".

**Question:**

4. Regarding Factor 2, Past Performance:

Will the Government accept CCASS ratings in lieu of Past Performance Questionnaires?  
Will the Government accept recently completed PPQ's – which provide the same information required by the subject solicitation RFP – in lieu of the PPQ form supplied with the subject solicitation?

**Response:** Amendment 0001, RFI questions 2 and 4 have addressed this issue. CCASS can be submitted and PPQ's still are required to come from the client.

**Question:**

5. There are a host of high abuse resistant products available for use over ICF. One of the best is PlasterMax from GigaCrete, Inc. and it's economically competitive as well.

GigaCrete, Inc. reports that 3/16" of PlasterMax (8,500 psi) will take more abuse than any other abuse rated product in the marketplace. Code limits for highest abuse resistance stop at Level III (the best) 150lbs of "hard body" impact testing ASTM C1629. Typical Industry products currently require several layers of 20 oz panzer mesh with additional layers of fiberglass mesh to meet the Level III 150 lbs limit before they break.

PlasterMax needs only one coat with 11 oz mesh. The company has reportedly taken its hard body impact tester up to 221 lbs (the machine's maximum) and still did not penetrate the mesh. This is 50% over the maximum limit -- maybe they should create a level IV or V.

PlasterMax meets or exceeds the following abuse-related ASTM standards:

ASTM C1929-06 Abuse resistance

ASTM D 4977-03 Abrasion resistance

ASTM D 5420-04 Impact resistance

I have attached the PlasterMax architectural specification as well as a brief PowerPoint demo. The company will be happy to supply the results of the ASTM testing if necessary. We are also happy to meet with your technical team so that we can demonstrate the remarkable capabilities of this 21st Century building system.

Given this additional data, we are re-submitting our RFI with a modification, specifically: Will ICF construction be acceptable for this project provided that the interior finish system meets the required abuse resistance under the appropriate ASTM Standard?

**Response:** The ICF system is acceptable on many types of buildings, except BEQ projects. At this time the base does not allow the use of the ICF system in BEQ projects.

**Question:**

6. Will the Government use the previously submitted PPQs for P1317 and P1319 for subject solicitation in lieu of requiring our clients to resend the PPQs? We would also request the Government consider using the PPQs that were previously submitted on the WALLACE CREEK BEQ AND ARMORY - N40085-11-R-4001. Should we simply email our intentions to the Contract Specialist to specify which ones we would like to apply to subject solicitation?

**Response:** Yes, one time only authorization to use N40085-11-R-4001 in addition to P1317 and P1319. Yes please e-mail to the Contract specialist which ones to apply. The Government will not take responsibility for PPQ's that were not submitted by client under the other three (3) clients.

**Question:**

7. Is it the Government's intent to leave Factor 1b unchanged from the original solicitation despite the changes in Factors 1a and 1c

**Response:** Please see wording in Amendment 0002.

**Please use the following Revised Section 00010 in proposals.**

Revised Section 00010 - Solicitation Contract Form

ITEM NO	SUPPLIES/SERVICES	QUANTITY	UNIT	UNIT PRICE	AMOUNT
0001	Base Bid FFP Price for all work in accordance with the RFP, except for work in bid Item 0002 and 0003. FOB: Destination		Dollars, U.S.		
					\$ _____
				NET AMT	

ITEM NO	SUPPLIES/SERVICES	QUANTITY	UNIT	UNIT PRICE	AMOUNT
0002	Betterments FFP FOB: Destination		Dollars, U.S.		
					\$ _____
				NET AMT	

ITEM NO	SUPPLIES/SERVICES	QUANTITY	UNIT	UNIT PRICE	AMOUNT
0003			Dollars, U.S.		

OPTION

Option 1

FFP

Price includes the following: Price for providing all work in connection with FF&E, Furniture, Fixtures, and Equipment-Procurement & installation, complete in accordance with the drawings and specifications.

Total FF&E Budget amount is: \$1,402,845.00

Handling and Administrative Rate (HAR) NTE 5% \_\_\_\_\_ % \_\_\_\_\_

Total Estimated Amount for FF&E (FF&E Budget + HAR) \_\_\_\_\_

FOB: Destination

#### BID NOTES:

##### Evaluation of Price

Price will be evaluated on the total of the Base Bid, Options, and FF&E line items; The offeror shall enter prices and rates.

- a. Government reserves the unilateral right to award the options(s) to the contractor at the bid price within 365 calendar days after the contract award.
- b. Evaluation of Options (JUL 1990). Except when it is determined in accordance with FAR 17.206(b) not to be in the Government's best interest, the Government will evaluate offers for award purposed by adding the price for the option(s) to the total price for Bid Item 0001. Evaluation of options will not obligate the Government to exercise the option(s). (FAR 52.217-5)
- c. The Government may reject an offer as non-responsive if it is materially unbalanced as to prices for the basic requirement and the option quantities. An offer is unbalanced when it is based on prices significantly less than cost for some work and prices, which are significantly overstated for other work.
- d. The total estimated budget amount for FF&E has been identified to provide offeror's with the projected magnitude of effort for FF&E. The total estimated budget amount for the FF&E Furniture, Fixtures, and Equipment includes the actual cost of the FF&E including freight and installation charges and the Prime Contractor's proposed Handling and Administration Rate (HAR). The Total FF&E Budget Amount (Estimated) shall be entered by the Government. The FF&E Budget Amount is only an estimated amount. The contractor will be given the final budget amount to design the FF&E package to during the design phase for the FF&E.
- e. Offerors may propose a Handling and Administration Rate (HAR) for the FF&E not to exceed 5%. This fee will account for all administrative costs, overhead, bonding fees, administration of subcontracts, profit, and any other costs associated with and related to the coordination and processing of the procurement and installation of FF&E. The proposed HAR percentage will be incorporated into the contract/task order award and will not be adjusted regardless of fluctuations from the estimated budget amount for FF&E. The proposed HAR is a fixed rate.

f. If awarded, this line item will be funded separately after completion of FF&E design review, acceptance of FF&E package, and receipt of appropriate funding. The design for the FF&E itself is performed under the Base Item or other applicable option item. See section E20 for details on FF&E.

g. The Government is not obligated to award the FF&E line item. Should the Government choose to award the FF&E, the line item will be awarded as a negotiated modification to the contract/task order. The contractor's proposed HAR will be applied to all vendor/supplier costs for the FF&E.

h. The FF&E modification shall be awarded at least six months prior to the contract completion date. A minimum of six months is required for the contractor to purchase, deliver and install the FF&E without impacting the overall completion date of the project. The contractor's schedule should assume the award of the FF&E as a modification. No schedule extensions shall be granted if the modification is awarded at least six months prior to the contract completion date. If the Government decides to negotiate and award the furniture modification with less than six months prior to the contract completion date, the contractor may be entitled to a contract extension and extended field overhead. A contract extension and extended field overhead will only be granted in those cases where the prime contractor demonstrates that sufficient lead time for the FF&E is not available and the Government's award of the modification is in the last six months of the contract.

FOB: Destination

Total Estimated Amount for FF&E (FF&E Budget + HAR) \$ \_\_\_\_\_

NET AMT

**GRAND TOTAL OF CLINS 0001, 0002, 0003** \$ \_\_\_\_\_

## DESCRIPTION OF WORK

**Description of Work:** P1286 Bachelor Enlisted Quarters. This project consists of a 125 room multi-story Bachelor Enlisted Quarters (BEQ), and a 100 room multi-story BEQ, plus gatehouse, canopy, and weather shelter. Construction will feature interior and exterior concrete masonry unit walls on pile foundations with structural steel framing, reinforced masonry walls, brick veneer, reinforced concrete foundations and floors, and standing seam metal roofs. Built-in equipment for each BEQ building includes: Americans with Disabilities Act compliant passenger/freight elevator, fire pump with generator backup, spectrally selective window glazing, and energy management control system. Electrical systems include: power, lighting, and fire alarm. Mechanical systems include: plumbing, fire protection, and HVAC. Information systems include: data, local area network, voice and data communication, and mass notification. This project will require NGEN support in each BEQ building. Supporting facilities include: site lighting, paved parking and roadways, sidewalks, miscellaneous concrete pads, staging and drying area for field equipment, storm water management system, clearing and grubbing, earthwork, connector roadway and bridge (P1286B); lighted basketball and volleyball courts, picnic shelter and barbecue pit, landscaping, and building and roadway signage. Site utility distribution systems include: electrical power, domestic water, fire protection water, sanitary sewer, storm water management, steam, fire alarm, telephone communication, fiber optics, and cable television. This project will conform to anti-terrorism/force protection standards and follow LEED and Federal Energy Acts compliance criteria for design, development, and construction of the project. This project includes operation and maintenance support information, environmental mitigation, and Geospatial Data Survey and Mapping. This project will include the demolition of buildings RR9 and RR4 (total 3,794 m2).

P1286B Connector Road. This project constructs the widening egress to Route 210, construction of Gateway and Guard House, a road connecting Route 210 and inside of Stone Bay, MC Camp Lejeune. The road construction will require the construction of a bridge to allow for heavy equipment, and a number of culverts. Environmental site condition will require highly qualified management construction knowledge. The Connector Road is currently under design of the project, which is slated for completion when Phase II RFP of this solicitation process is issued and will be completed upon award for construction. Award is combined with the award for the construction of P1286.

(End of Summary of Changes)



**ATTACHMENT B**  
**Cover Letter for Past Performance Questionnaire**

**FROM:** Naval Facilities Engineering Command Mid-Atlantic  
Attn: Mr. Ray A. Hinman  
6506 Hampton Boulevard  
Norfolk, VA 23508

**SUBJ:** REQUEST FOR PAST PERFORMANCE INFORMATION FOR SOLICITATION  
N40085-11-R-4008, P-1286 (BEQ's) AND P1286B (ROAD), MCB CAMP LEJEUNE, NC

Our office is in the process of selecting a contractor for the subject construction project. One of the award criteria includes the valuation of the Offeror's past performance on prior or current contracts. We need your assistance by rating the Offeror's past performance on the attached Past Performance Questionnaire form. Neither the name of your company nor the name of the person(s) completing the questionnaire will be revealed to the Offeror.

If you have any questions regarding the questionnaire, please do not hesitate to contact Mr. Ray A. Hinman at (757) 322-8398 or via email (preferred) at [ray.hinman@navy.mil](mailto:ray.hinman@navy.mil) Instructions for completing the questionnaire:

1. Please have the questionnaire completed by the person(s) most familiar with the Offeror's performance on subject contract. Your candid response to the questions is important to our evaluation effort and may affect the award outcome. Please note the "neutral" rating for questions not applicable to work performed on your contracting action.
2. The Offeror will provide the exact date questionnaires are due in their cover letter to you. Please submit the Past Performance Questionnaire before the due date directly to Mr. Ray A. Hinman via email at [ray.hinman@navy.mil](mailto:ray.hinman@navy.mil).
3. Please do not send the Past Performance Questionnaire to the Offeror. This questionnaire relates to an ongoing source selection and it will be considered Source Selection Sensitive Information in accordance with Federal Acquisition Regulation Part 3.104. No information will be released outside of the Source Evaluation Board Organization.

In advance, we know your time is valuable and appreciate your support by completing the questionnaire.

**//S//**  
Ray A. Hinman  
Contract Specialist

**ATTACHMENT B**

**PAST PERFORMANCE QUESTIONNAIRE COVER SHEET**

**(NOTE TO OFFEROR: For each past performance questionnaire to be completed by a client and submitted to the Government as a reference, provide a copy of this cover sheet with your proposal identifying the client's point-of-contact information. Ensure correct phone numbers and email addresses are provided for the client point of contact.)**

**PAST PERFORMANCE QUESTIONNAIRE  
SOLICITATION N40085-11-R-4008**

**Contract Information:** (Offeror completes this section only)

- A. Offeror: \_\_\_\_\_
- B. Contract Number: \_\_\_\_\_  
Status: Active \_\_\_\_\_ Completed: \_\_\_\_\_
- C. Project Title: \_\_\_\_\_ Location: \_\_\_\_\_
- D. Original Award Amount: \_\_\_\_\_ Final Amount: \_\_\_\_\_
- E. Award Date: \_\_\_\_\_ CCD (Original): \_\_\_\_\_ CCD: (Final): \_\_\_\_\_
- F. Project Description: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Respondent Identification (Not to be released outside the Government)**

- A. Name: \_\_\_\_\_
- B. Title: \_\_\_\_\_
- C. Phone Number: \_\_\_\_\_
- D. Email: \_\_\_\_\_
- E. Date questionnaire was completed: \_\_\_\_\_

**PAST PERFORMANCE QUESTIONNAIRE***ADJECTIVE RATINGS AND DEFINITIONS TO BE USED TO BEST REFLECT  
YOUR EVALUATION OF THE CONTRACTOR'S PERFORMANCE*

- E (EXCELLENT)** – Performance meets contractual requirements and exceeds the Government's expectations. The contractual performance of the element being assessed was accomplished with few minor problems for which corrective actions taken by the contractor were highly effective. (HIGH CONFIDENCE)
- G (GOOD)** – Performance meets contractual requirements and exceeds some requirements to the Government's benefit. The contractual performance of the element being assessed was accomplished with some minor problems for which corrective actions taken by the contractor were effective. (SIGNIFICANT CONFIDENCE)
- S (SATISFACTORY)** – Performance meets contractual requirements. The contractual performance of the element contains some minor problems for which corrective action taken by the contractor appear or were satisfactory. (CONFIDENCE)
- M (MARGINAL)** –Performance does not meet some contractual requirements. The contractual performance of the element being assessed reflects a serious problem for which the contractor has not yet identified corrective actions. The contractor's proposed actions appear only marginally effective or were not fully implemented. (LITTLE CONFIDENCE)
- P (POOR)** – Performance does not meet most contractual requirements and recovery is not likely in a timely manner. The contractual performance of the element contains serious problem(s) for which the contractor's corrective actions appear or were ineffective. (NO CONFIDENCE)
- N (NEUTRAL)** – No relevant past performance record is identifiable upon which to base a meaningful performance risk prediction. A search was unable to identify any relevant past performance information for the contractor or their key personnel. (This is neither a negative nor positive assessment.)

**PLEASE CIRCLE THE ADJECTIVE RATING WHICH BEST REFLECTS  
YOUR EVALUATION OF THE CONTRACTOR'S PERFORMANCE.**

1. Was this a competitive Contract?	YES			NO		
2. Role of contractor.	Prime			Sub		
3. Ability to meet quality standards specified for technical performance.	E	G	S	M	P	N
4. Compliance with contractual terms and conditions.	E	G	S	M	P	N
5. Quality/integrity of technical data/report preparation efforts.	E	G	S	M	P	N
6. Adequacy/effectiveness of quality control program and adherence to contract quality assurance requirements.	E	G	S	M	P	N
7. Effectiveness of overall contract management (including ability to effectively lead, manage and control the program).	E	G	S	M	P	N
8. Effectiveness of on-site management, including management of subcontractors?	E	G	S	M	P	N
9. Contractor was reasonable and cooperative in dealing with your staff (including the ability to successfully resolve disagreements/disputes; responsiveness to administrative reports).	E	G	S	M	P	N
10. Timeliness/effectiveness of contract problem resolution without extensive customer guidance.	E	G	S	M	P	N
11. Ability to successfully respond to emergency and/or surge situations.	E	G	S	M	P	N
12. Effectiveness of on-site management and control multidiscipline construction categories, including subcontractors.	E	G	S	M	P	N
13. Effectiveness of material management.	E	G	S	M	P	N
14. Effectiveness of acquisition management.	E	G	S	M	P	N
15. Contractor proposed innovative alternative methods/processes that reduced cost, improved maintainability or other factors that benefited the client.	E	G	S	M	P	N
16. Contractor safety record.	E	G	S	M	P	N
17. Responsiveness regarding safety issues.	E	G	S	M	P	N
18. If this is/was a Government cost type contract, the Contractor's timeliness in submitting monthly invoices with appropriate back-up documentation and monthly status reports/budget variance reports.	E	G	S	M	P	N



**ATTACHMENT C**

Approved by OMB  
0348-0046

**Disclosure of Lobbying Activities**

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

(See reverse for public burden disclosure)

<p><b>1. Type of Federal Action:</b>                  a. contract                  _____ b. grant                  c. cooperative agreement                  d. loan                  e. loan guarantee                  f. loan insurance</p>	<p><b>2. Status of Federal Action:</b>                  a. bid/offer/application                  _____ b. initial award                  c. post-award</p>	<p><b>3. Report Type:</b>                  a. initial filing                  _____ b. material change</p> <p><b>For material change only:</b>                  Year _____ quarter _____                  Date of last report _____</p>
<p><b>4. Name and Address of Reporting Entity:</b>                  _____ Prime      _____ Subawardee                  Tier _____, if Known:</p> <p><b>Congressional District, if known:</b></p>	<p><b>5. If Reporting Entity in No. 4 is Subawardee,</b>                  Enter Name and Address of Prime:</p> <p><b>Congressional District, if known:</b></p>	
<p><b>6. Federal Department/Agency:</b></p>	<p><b>7. Federal Program Name/Description:</b></p> <p>CFDA Number, if applicable: _____</p>	
<p><b>8. Federal Action Number, if known:</b></p>	<p><b>9. Award Amount, if known:</b>                  \$</p>	
<p><b>10. a. Name and Address of Lobbying Registrant</b>                  (if individual, last name, first name, MI):</p>	<p><b>b. Individuals Performing Services (including address if different from No. 10a)</b>                  (last name, first name, MI):</p>	
<p><b>11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall</b></p>	<p><b>Signature:</b>                  _____</p> <p><b>Print Name:</b> _____</p> <p><b>Title:</b> _____</p> <p><b>Telephone No.:</b> _____ <b>Date:</b> _____</p>	

<b>be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.</b>	
<b>Federal Use Only</b>	<b>Authorized for Local Reproduction Standard Form - LLL (Rev. 7-97)</b>

**INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES**

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitations for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Included prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
  
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

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According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503





- 1. a. Total Contract Value \$ \_\_\_\_\_  
(including options)
- b. Total Subcontracted \$ \_\_\_\_\_ % of 1.a  
(inclusive of all planned subcontracting to all businesses, regardless of size)

2. The following dollars and percentage goals are applicable to the contract cited above. (See FAR 19.704(a)(1) and (2))

- a. Large Business (LB) \$ \_\_\_\_\_ % of 1.b

This number represents total planned subcontracting dollars under this contract that will go to subcontractors who are large business concerns.

- b. Small Business (SB) \$ \_\_\_\_\_ % of 1.b

This number represents total planned subcontracting dollars under this contract that will go to subcontractors who are small business concerns\*\*; include contracts awarded under the AbilityOne Program (formerly Javits Wagner O'Day Act Contracts (JWOD)) to NISH and NIB; and awards to Alaskan Native Corporations (ANCs) and Indian Tribes as prescribed in FAR 19.703(c) & FAR 52.219-9.

(\*\*includes all small businesses, including Small, Small Disadvantaged Business (SDB), Women-Owned Small Business (WOSB), Historically Underutilized Business Zone (HUBZone), Veteran-Owned Small Business (VOSB), Service-Disabled Veteran-Owned Small Business (SDVOSB) concerns, and Historically Black Colleges, Universities and Minority Institutions (HBCU/MI))

(Include 2.c, 2.d, 2.e, 2.f, 2.g, 2.h, 2.i, 2.j, and 2.k below).

Attach supporting rationale for goals less than \_\_\_\_\_%.

**Notes:**

- (1) Lines 2.a + 2.b = 100% of Line 1.b
- (2) Lines 2.c, 2.d, 2.e, 2.f, 2.g, 2.h, 2.i, 2.j, and 2.k are calculated against Line 1.b, the total value of overall subcontracting dollars.
- (3) Subcontracts to companies that qualify in multiple categories of SB must be reported under each category. For example: if you are planning to subcontract \$100,000 to company ABC, a woman-owned small disadvantaged business that is also a certified HUBZone, you will report \$100,000 on line 2.b (SB), 2.c (HUBZone), 2.d (WOSB) and 2.e (SDB).
- (4) The sum of 2.c through 2.k does not automatically equate to the value of 2.b.
- (5) Designated HUBZone Small Businesses must be certified by the Small Business Administration (SBA).

- c. HUBZone SB \$ \_\_\_\_\_ % of 1.b

This number represents total planned subcontracting dollars under this contract that will go to subcontractors who are qualified HUBZone small business concerns certified by SBA. Attach supporting rationale for goals less than \_\_\_\_\_%. (Included in 2.b, above, as a subset.)

- d. Woman-Owned SB \$ \_\_\_\_\_ % of 1.b

This number represents total planned subcontracting dollars under this contract that will go to subcontractors who are WOSB. Attach supporting rationale for goals less than \_\_\_\_\_%. (Included in 2.b, above, as a subset.)

- e. Small Disadvantaged Business \$ \_\_\_\_\_ % of 1.b

This number represents total planned subcontracting dollars under this contract that will go to subcontractors who are small business concerns owned and controlled by Socially and Economically Disadvantaged individuals (include in this category the planned subcontracting dollars to HBCU/MI shown in 2.h below, and the planned subcontracting dollars to ANCs and Indian Tribes shown in 2.j below). Attach supporting rationale for goals less than \_\_\_\_\_%. (Included in 2.b, above, as a subset.)

f. Veteran-Owned SB \$ \_\_\_\_\_ % of 1.b

This number represents total planned subcontracting dollars under this contract that will go to subcontractors who are small business concerns owned and controlled by VOSB (include in this category the planned subcontracting dollars to SDVOSB shown in 2.g below). Attach supporting rationale for goals less than \_\_\_\_\_%. (Included in 2.b, above, as a subset.)

g. Service-Disabled  
Veteran-Owned SB \$ \_\_\_\_\_ % of 1.b

This number represents total planned subcontracting dollars under this contract that will go to subcontractors who are small business concerns owned and controlled by SDVOSB. Attach supporting rationale for goals less than \_\_\_\_\_%. (Included in 2.b and 2.f, above, as a subset.)

h. Historically Black Colleges  
& Universities/Minority  
Institutions \$ \_\_\_\_\_ % of 1.b.

This number represents total planned subcontracting dollars under this contract that will go to HBCU/MI as identified in FAR 26. (Included in 2.b and 2.e, above, as a subset.)

i. AbilityOne  
(Formerly JWOD) \$ \_\_\_\_\_ % of 1.b.

This number represents total planned subcontracting dollars under this contract that will go to AbilityOne participating Nonprofit Agencies (sometimes referred to community rehabilitation programs, work centers, industries, or rehabilitation facilities). Per DFARS 219.703, subcontracts awarded to qualified non-profit agencies for the blind or severely disabled may be counted toward the small business subcontracting goal. (Included in 2.b, above, as a subset.)

j. Alaskan Native  
Corporations &  
Indian Tribes \$ \_\_\_\_\_ % of 1.b.

This number represents total planned subcontracting dollars under this contract that will go to ANCs and Indian Tribes that are not SDBs where you are either subcontracting directly to the ANC or Indian Tribe or where you have been designated to receive their SDB credit. (See FAR 19.703 & FAR 52.219-9) (Included in 2.b and 2.e, above, as a subset.)

k. Alaskan Native  
Corporations &  
Indian Tribes \$ \_\_\_\_\_ % of 1.b.

This number represents total planned subcontracting dollars under this contract that will go to ANCs and Indian Tribes that are not small businesses where you are either subcontracting directly to the ANC or Indian Tribe or where you have been designated to receive their SB credit. (See FAR 19.703 & FAR 52.219-9) (Included in 2.b, above, as a subset.)

3. The following principal products and/or services will be subcontracted under this contract. Additional sheets may be added as required. (See FAR 19.704(a)(3))

a. Products/services planned for subcontracting to LB concerns:

\_\_\_\_\_  
\_\_\_\_\_

Or list firm commitments below:

<u>Name of Firm</u>	<u>Products or Services</u>
_____	_____
_____	_____

b. Products/services planned to be subcontracted to SB concerns:

\_\_\_\_\_  
\_\_\_\_\_

Or list firm commitments below:

<u>Name of Firm</u>	<u>Products or Services</u>
_____	_____
_____	_____

c. Products/services planned to be subcontracted to HUBZone concerns:

\_\_\_\_\_  
\_\_\_\_\_

Or list firm commitments below:

<u>Name of Firm</u>	<u>Products or Services</u>
_____	_____
_____	_____

d. Products/services planned to be subcontracted to WOSB concerns:

\_\_\_\_\_  
\_\_\_\_\_

Or list firm commitments below:

<u>Name of Firm</u>	<u>Products or Services</u>
_____	_____
_____	_____

e. Products/services planned for subcontracting to SDB concerns:

\_\_\_\_\_  
\_\_\_\_\_

Or list firm commitments below:

<u>Name of Firm</u>	<u>Products or Services</u>
_____	_____
_____	_____

f. Products/services planned for subcontracting to VOSB concerns:

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Or list firm commitments below:

<u>Name of Firm</u>	<u>Products or Services</u>
_____	_____
_____	_____

g. Products/services planned for subcontracting to SDVOSB concerns:

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Or list firm commitments below:

<u>Name of Firm</u>	<u>Products or Services</u>
_____	_____
_____	_____

h. Products/services planned for subcontracting to HBCU/MIs:

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Or list firm commitments below:

<u>Name of Firm</u>	<u>Products or Services</u>
_____	_____
_____	_____

i. Products/services planned for subcontracting to AbilityOne organizations (formerly JWOD):

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Or list firm commitments below:

<u>Name of Firm</u>	<u>Products or Services</u>
_____	_____
_____	_____

j. Planned products/services for subcontracting to ANCs and Indian Tribes that are not SDBs. (See 2.j above for explanation):

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Or list firm commitments below:

<u>Name of Firm</u>	<u>Products or Services</u>
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\_\_\_\_\_  
\_\_\_\_\_

k. Planned products/services for subcontracting to ANCs and Indian Tribes that are not SBs. (See 2.k above for explanation.)

\_\_\_\_\_  
\_\_\_\_\_

Or list firm commitments below:

<u>Name of Firm</u>	<u>Products or Services</u>
_____	_____
_____	_____

(ATTACH ADDITIONAL PAGES IF ADDITIONAL SPACE IS REQUIRED)

4. The following method was used to develop the above subcontracting goals. Include a statement explaining how the products and services to be subcontracted were established, how the areas to be subcontracted to SB, SDB, WOSB, HUBZone, VOSB, SDVOSB concerns, HBCU/MIs, AbilityOne program participants, ANCs and Indian Tribes were determined, and how their capabilities were determined. (See FAR 19.704(a)(4))

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

5. Source lists utilized in making the determinations in paragraph 4, above are as follows: (See FAR 19.704(a)(5))

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

6. Indirect and overhead costs  have  have not been included in the goals specified in 1. and 2. above. If "have" is checked, explain the method used in determining the proportionate share of indirect and overhead costs to be allocated as subcontracts to SB, SDB, WOSB, HUBZone SB, VOSB, SDVOSB concerns, HBCU/MI, AbilityOne program participants, ANCs, and Indian Tribes, and the products and services planned: (See FAR 19.704(a)(6))

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

7. The following employee will administer the subcontracting program: (See FAR 19.704(a)(7))

NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

TELEPHONE NO.: \_\_\_\_\_ FAX NO.: \_\_\_\_\_

EMAIL: \_\_\_\_\_

TITLE: \_\_\_\_\_

This individual's specific duties, as they relate to the firm's subcontracting plan, are general overall responsibility for this company's Small Business Program. This person should have knowledge of the federal small business programs and be knowledgeable about federal procurement practices. If the prime decides to change the person in this position, they must notify the Contracting Officer and the Deputy

for Small Business. The administrator is responsible for the development, preparation and execution of this subcontracting plan, and for monitoring performance relative to contractual subcontracting requirements contained in this plan, including, but not limited to:

- a. Developing and maintaining bidders lists of SB, SDB, WOSB, HUBZone SB, VOSB, SDVOSB concerns, AbilityOne program participants, HBCU/MIs, ANCs, and Indian Tribes (hereafter referred to as the small business community) from all possible sources.
- b. Ensuring that procurement packages are structured to permit the small business community to participate to the maximum extent possible.
- c. Assuring inclusion of the small business community in all solicitations for products or services, which they are capable of providing.
- d. Reviewing solicitations to remove statements, clauses, etc., which may tend to restrict or prohibit the small business community participation.
- e. Ensuring periodic rotation of potential subcontractors on bidders lists.
- f. Ensuring that the bid proposal review board documents its reasons for not selecting low bids submitted by the small business community.
- g. Ensuring the establishment and maintenance of records of solicitations and subcontract award activity.
- h. Attending or arranging for attendance of company counselors at Business Opportunity Workshops, Minority Business Enterprise Seminars, Trade Fairs, etc.
- i. Conducting or arranging for the motivational training for purchasing personnel pursuant to the intent of P.L. 95-507.
- j. Monitoring attainment of proposed goals.
- k. Preparing and submitting required periodic subcontracting reports.
- l. Coordinating contractor's activities during the conducting of compliance reviews by Federal agencies.
- m. Coordinating the conduct of contractor's activities involving its small business subcontracting program.
- n. Additions to (or deletions from) the duties specified above are as follows:

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8. The following efforts will be taken to assure that the small business community will have an equitable opportunity to compete for subcontracts. (See FAR 19.704(a)(8))

- a. Outreach efforts will be made by identifying:
  - Contacts with minority and small business trade associations.
  - Contacts with business development organizations.
  - Attendance at small and minority business procurement conference and trade fairs.
- b. Sources will be requested from the *Central Contractor Registration (CCR)* website available at <http://www.ccr.gov/> on the Internet.  
Automated data base sources to be used, other than CCR, will be as follows.

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- c. The following internal efforts will be made to guide and encourage buyers:
  - (i) Workshops, seminars and training programs will be conducted.
  - (ii) Activities will be monitored to evaluate compliance with this subcontracting plan.
  - (iii) Arrange interviews with the small business community.
- d. Describe how your small business data base, source lists, guides, and other data will be maintained and utilized by buyers in soliciting subcontracts; e.g., rotation of firms in the data base, keeping data base current and useful, etc.

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e. Additions to (or deletions from) the above listed efforts are as follows:

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9. The offeror (contractor) agrees that the FAR clause 52.219-8 entitled "Utilization of Small Business Concerns " will be included in all subcontracts which offer further subcontracting opportunities, and all subcontractors, except SB concerns, who receive subcontracts in excess of \$550,000 (\$1,000,000 for Construction) will be required to adopt and comply with subcontracting plan similar to this one. Such plans will be reviewed by comparing them with the provisions of P.L. 95-507 and assuring that all minimum requirements of an acceptable subcontracting plan have been satisfied. The acceptability of percentage goals shall be determined on a case-by-case basis depending on the supplies/services involved, the availability of potential small and small disadvantaged subcontractors, and prior experience. Once approved and implemented, plans will be monitored through the submission of periodic reports, and/or, as time and availability of funds permit, periodic visits to review subcontracting program progress. (See FAR 19.704(a)(9))
10. The offeror (contractor) agrees to submit such periodic reports and cooperate in any studies or surveys as may be required by the contracting agency or the Small Business Administration in order to determine the extent of compliance by the offeror (contractor) with the subcontracting plan and with FAR clause 52.219-8. (See FAR 19.704(a)(10)(i) and (ii))

11. The offeror (contractor) agrees to: (See FAR 19.704(a)(10)(iii)-(vi))
- a. Submit the Individual Subcontract Report (ISR) and the Summary Subcontract Report (SSR) using the Electronic Subcontracting Reporting System (eSRS) at <http://www.esrs.gov>, following the instructions in the eSRS and FAR Clause 52.219-9;

1 <sup>st</sup> reporting period – Oct 1 through March 31	Submit NLT 30 April
2 <sup>nd</sup> reporting period – Oct 1 through September 30	Submit NLT 30 October

A separate "Final" ISR is required at contract completion.

Upon award of the contract, the identity of the individual(s) responsible for acknowledging receipt or rejecting the ISR and the SSR will be provided to the awardee.

- b. Ensure that its large business subcontractors with subcontracting plans agree to submit the ISR and/or the the SSR using the eSRS;
- c. Provide its prime contract number and its DUNS number, and the e-mail address of the Government or Contractor official responsible for acknowledging or rejecting the reports, to all first tier large business subcontractors with subcontracting plans so they can enter this information into the eSRS when submitting their reports; and
- d. Require that each large business subcontractor with a subcontracting plan provide the prime contract number and its own DUNS number, and the e-mail address of the Government or Contractor official responsible for acknowledging or rejecting the reports, to its large business subcontractors with subcontracting plans.
- e. Ensure that the identified Contracting Officer and Small Business Specialist assigned to the contract are included on the eSRS email notification distribution upon submission of each report.

***\*Note 1: If contract value is \$500,000,000 or more and the solicitation includes FAR Clause 52.204-10, Reporting Subcontract Awards, ensure additional reporting requirements are met in eSRS in accordance with this clause.***

12. The offeror (contractor) agrees to maintain at least the following types of records to document compliance with this subcontracting plan: (See FAR 19.704(a)(11))
- a. Source lists, guides, and other data identifying concerns in the small business community.
- b. Organizations contacted to locate firms in the small business community.
- c. On a contract-by-contract basis, records on all subcontract solicitations over \$100,000 and indicating for each solicitation;
- (i) whether concerns in the small business community were solicited, and if not, why not; and
- (ii) reasons for the failure of the solicited concerns in the small business community to receive the subcontract award.
- (iii) written designations from ANCs or Indian Tribes, in accordance with FAR 19.703, if applicable.
- d. Records to support other outreach efforts, e.g., contacts with small business trade associations, business development organizations, and attendance at small business procurement conferences and trade fairs, and frequency of accessing CCR.

\*\*\*\*\*END OF PLAN\*\*\*\*\*

The original copy of this plan is included in the file and made a material part of the contract.



2.k <u>To ANCs/Indian Tribes, Not SBs</u>	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
<u>(% of Line 1.b)</u>	% _____	% _____	% _____	% _____	% _____	% _____
	_____	_____	_____	_____	_____	_____

**ATTACHMENT F**

**SMALL BUSINESS PAST PERFORMANCE**

**Small Business offerors, newly established Large Business offerors, or Large Business Offerors with no prior SF294 history shall complete this form. Large Business Offerors with a SF294 history shall submit SF294s in lieu of completing this form.**

**(a) SUBCONTRACTING ACHIEVEMENT – Include actual dollar values subcontracted for each of the categories listed. Include the percentage goal only if a goal was established.**

(1) Contract Number/Title:			
	<b>ACTUAL</b>		<b>GOAL</b> (if applicable)
Completion Date: _____ Contract Dollar Value: \$ _____	Whole Dollars	Percent	Percent
(a) Small Business Concerns (Including SDB, WOSB, HBCU/MI, HUBZone, VOSB, and SDVOSB) (Dollar amount and percent of line c.) Small Business Concerns (Non-Federal Certifications Examples: MBE (Minority Business Enterprise), DBE (Disadvantaged Business Enterprise), DVBE (Disabled Veteran Business Enterprise), SB (Small Business), WBE (Women’s Business Enterprise).			
(b) Large Business Concerns (Dollar amount and percent of line c.)			
(c) Total (sum of lines a & b above)			
(d) Small Disadvantaged Business Concerns (Dollar amount and percent of line c.) Including MBE & DBE			
(e) Women-Owned Small Business Concerns (Dollar amount and percent of line c.) Including WBE			
(f) HUBZone Small Business Concerns (Dollar amount and percent of line c.)			
(g) Veteran-Owned Small Business Concerns (Dollar amount and percent of line c.)			
(h) Service Disabled Veteran-Owned Small Business Concerns (Dollar amount and percent of line c.) Including DVBE			

Name of customer reference for this project: \_\_\_\_\_  
 Phone Number: \_\_\_\_\_ FAX Number: \_\_\_\_\_  
 Email address: \_\_\_\_\_

(2) Contract Number/Title:			
	<b>ACTUAL</b>		<b>GOAL</b> (if applicable)
Completion Date: _____ Contract Dollar Value: \$ _____	Whole Dollars	Percent	Percent

(b) Small Business Concerns (Including SDB, WOSB, HBCU/MI, HUBZone, VOSB, and SDVOSB) (Dollar amount and percent of line c.) Small Business Concerns (Non-Federal Certifications) Examples: MBE (Minority Business Enterprise), DBE (Disadvantaged Business Enterprise), DVBE (Disabled Veteran Business Enterprise), SB (Small Business), WBE (Women's Business Enterprise).			
(b) Large Business Concerns (Dollar amount and percent of line c.)			
(c) Total (sum of lines a & b above)			
(d) Small Disadvantaged Business Concerns (Dollar amount and percent of line c.) Including MBE & DBE			
(e) Women-Owned Small Business Concerns (Dollar amount and percent of line c.) Including WBE			
(f) HUBZone Small Business Concerns (Dollar amount and percent of line c.)			
(g) Veteran-Owned Small Business Concerns (Dollar amount and percent of line c.)			
(h) Service Disabled Veteran-Owned Small Business Concerns (Dollar amount and percent of line c.) Including DVBE			

Name of customer reference for this project: \_\_\_\_\_  
 Phone Number: \_\_\_\_\_ FAX Number: \_\_\_\_\_  
 Email address: \_\_\_\_\_

(3) Contract Number/Title:	ACTUAL		GOAL (if applicable)
	Whole Dollars	Percent	Percent
Completion Date: _____ Contract Dollar Value: \$ _____			
(c) Small Business Concerns (Including SDB, WOSB, HBCU/MI, HUBZone, VOSB, and SDVOSB) (Dollar amount and percent of line c.) Small Business Concerns (Non-Federal Certifications) Examples: MBE (Minority Business Enterprise), DBE (Disadvantaged Business Enterprise), DVBE (Disabled Veteran Business Enterprise), SB (Small Business), WBE (Women's Business Enterprise).			
(b) Large Business Concerns (Dollar amount and percent of line c.)			
(c) Total (sum of lines a & b above)			
(d) Small Disadvantaged Business Concerns (Dollar amount and percent of line c.) Including MBE & DBE			

(e) Women-Owned Small Business Concerns (Dollar amount and percent of line c.) Including WBE			
(f) HUBZone Small Business Concerns (Dollar amount and percent of line c.)			
(g) Veteran-Owned Small Business Concerns (Dollar amount and percent of line c.)			
(h) Service Disabled Veteran-Owned Small Business Concerns (Dollar amount and percent of line c.) Including DVBE			

Name of customer reference for this project: \_\_\_\_\_  
 Phone Number: \_\_\_\_\_ FAX Number: \_\_\_\_\_  
 Email address: \_\_\_\_\_



(2) WOMEN-OWNED SMALL BUSINESSES: (WOSB)

NAME OF COMPANY                      TYPE OF SERVICES                      \$ VALUE OF SUBCONTRACT

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TOTAL: \$ \_\_\_\_\_

(3) HISTORICALLY UNDERUTILIZED BUSINESS ZONE (HUBZone) BUSINESS:

NAME OF COMPANY                      TYPE OF SERVICES                      \$ VALUE OF SUBCONTRACT

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TOTAL: \$ \_\_\_\_\_

(4) VETERAN OWNED SMALL BUSINESS: (VOSB)

NAME OF COMPANY                      TYPE OF SERVICES                      \$ VALUE OF SUBCONTRACT

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TOTAL: \$ \_\_\_\_\_

(5) SERVICE-DISABLED VETERAN OWNED SMALL BUSINESS: (SDVOSB)

NAME OF COMPANY                      TYPE OF SERVICES                      \$ VALUE OF SUBCONTRACT

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TOTAL: \$ \_\_\_\_\_

(6) HISTORICALLY BLACK COLLEGES AND UNIVERSITIES & MINORITY INSTITUTIONS: (HBCU/MI)

NAME OF C, U, OR MI                      TYPE OF SERVICES                      \$ VALUE OF SUBCONTRACT

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TOTAL: \$ \_\_\_\_\_

(7) ABILITYONE PROGRAM (FORMERLY JWOD) - NISH

NAME OF COMPANY                      TYPE OF SERVICES                      \$ VALUE OF SUBCONTRACT

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TOTAL: \$ \_\_\_\_\_

NOTES: 1. The sum of lines 4.a and 4.b must equal line 1.

2. Lines 4.b. (1) through 4.b (7) identify various categories of small businesses under the main small business (SB) group. Subcontracts to companies that qualify in multiple categories must be reported under each category. For example: if you are planning to subcontract \$100,000 to ABC, a woman-owned small disadvantaged business that is also a certified HUBZone small business, you will report \$100,000 on line 4.b SB, line 4.b (1) SDB, line 4.b (2) WOSB and line 4.b.(3) HUBZone SB.

Line Item	<u>Base</u>	<u>Option 1</u>	<u>Option 2</u>	<u>Option 3</u>	<u>TOTAL</u>
<b>DOLLARS</b>					
Total dollar value of this contract.	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Total Subcontracted	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Large Business	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Small Business	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
SDB	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
WOSB	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
HUBZone SB	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
VOSB	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
SDVOSB	_____	_____	_____	_____	_____
HBCU/MI	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
ABILITYONE - NISH	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
<b>PERCENTAGES</b>					
*Large Business	_____ %	_____ %	_____ %	_____ %	_____ %
*Small Business	_____ %	_____ %	_____ %	_____ %	_____ %
*SDB	_____ %	_____ %	_____ %	_____ %	_____ %
*WOSB	_____ %	_____ %	_____ %	_____ %	_____ %
*HUBZone SB	_____ %	_____ %	_____ %	_____ %	_____ %
*VOSB	_____ %	_____ %	_____ %	_____ %	_____ %
*SDVOSB	_____ %	_____ %	_____ %	_____ %	_____ %
*HBCU/MI	_____ %	_____ %	_____ %	_____ %	_____ %
*ABILITYONE-NISH	_____ %	_____ %	_____ %	_____ %	_____ %
*% of total dollars subcontracted	_____ %	_____ %	_____ %	_____ %	_____ %

**ATTACHMENT H**  
**WAGE DETERMINATION**

GENERAL DECISION: NC20100033 03/12/2010 NC33

Date: March 12, 2010

General Decision Number: NC20100033 03/12/2010

Superseded General Decision Number: NC20080033

State: North Carolina

Construction Type: Building

County: Onslow County in North Carolina.

BUILDING CONSTRUCTION PROJECTS (does not include single family homes and apartments up to and including 4 stories)

Modification Number	Publication Date
0	03/12/2010

SUNC2000-001 03/21/2000

	Rates	Fringes
Bricklayer.....	\$ 16.40	1.00
Carpenter _(includes batt insulation and drywall hanging).....	\$ 9.92	
Cement mason/concrete finisher.....	\$ 13.20	
Electrician.....	\$ 10.80	
Ironworker, structural.....	\$ 10.00	
Laborer, general.....	\$ 7.25	
Painter, brush _(includes drywall finishing).....	\$ 8.37	
Pipefitter _(includes HVAC piping).....	\$ 14.59	4.05

Plumber  
 \_(does not include HVAC piping).....\$ 12.00

Power equipment operators:  
 \_Backhoe.....\$ 10.83            1.37

Roofer.....\$ 9.00

Sheet metal worker  
 \_(includes HVAC duct work)..\$ 10.53            2.17

Truck driver.....\$ 8.85

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WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

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Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

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In the listing above, the "SU" designation means that rates listed under the identifier do not reflect collectively bargained wage and fringe benefit rates. Other designations indicate unions whose rates have been determined to be prevailing.

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WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- \* an existing published wage determination
- \* a survey underlying a wage determination
- \* a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.)

and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations  
Wage and Hour Division  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

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END OF GENERAL DECISION