



Work Order No.: 803827

DDAG SRM PAVEMENT REPAIRS

DLA

At

MCLB Albany, Georgia

PREPARED BY:

MCLB Albany Public Works Department
Building 5500

Civil:

Electrical:

Submitted By: (PM) Jeanne Geisel

Month/Date/Year: MAY 2015

For Public Works Officer: LCDR Dennis Riordan

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LIST OF DRAWINGS
02/11

PART 1 GENERAL

1.1 SUMMARY

This section lists the drawings for the project pursuant to contract clause "DFARS 252.236-7001, Contract Drawings, Maps and Specifications."

1.2 CONTRACT DRAWINGS

Contract drawings are as follows:

1.3 SUPPLEMENTARY DRAWINGS

There are no supplementary drawings.

DRAWING NO.	NAVFAC DRAWING NO.	TITLE
C-001	12 687 599	Cover Sheet
C-002	12 687 600	Sheet Index, Abbreviations & Legend
C-003	12 687 601	General Notes & Site Map
CD-401	12 687 602	Demolition Plan & Key Map
CP-101	12 687 603	DDAG-01221,DDAG-01221P,DDAG-Z56 Plan
CP-102	12 687 604	DDAG-Z65,DDAG-01320 Plan
CP-503	12 687 605	Detail Sheet
CP-504	12 687 606	Detail Sheet

1.3.1 REPORT OF GEOTECHNICAL EXPLORATION

The report is provided in the specifications. The Government does not guarantee that borings indicate actual conditions, except for the exact locations and the time that they were made.

1.3.2

Not Used

1.3.3

Not Used

-- End of Document --

SUPPLEMENTARY INSTRUCTIONS TO OFFERORS

02/14

PART 1 GENERAL

1.1 CONTRACT LINE ITEMS

The terms Offeror and Bidder and versions thereof (offer/bid) have the same definition as used within this contract.

Provide the Contract Line Items (CLINs) lump sum price for the following items:

CLINs 0001 to 0005 - BASE PRICE. Price includes the following:

CLIN 0001. Price for the entire work for Facility DDAG-01221, in accordance with the drawings and specifications, but excluding work described in Contract Line Items (CLINs) 0002, 0003, 0004, and 0005.

CLIN	DESCRIPTION	TOTAL PRICE FOR CLIN 0001
0001	Repair of concrete ramp pavements around Building DDAG-01221 to include: Apply epoxy surface sealant and sand; Clean and seal joints with AC rubber; Profile grind surface; and Clean and patch designated spall areas.	\$_____

CLIN 0002. Price for the entire work for Facility DDAG-01320, in accordance with the drawings and specifications, but excluding work described in CLINs 0001, 0003, 0004, and 0005.

CLIN	DESCRIPTION	TOTAL PRICE FOR CLIN 0002
0002	Repair of concrete ramp pavements west of Building DDAG-01323 designated as DDAG-1320 to include: Apply epoxy surface sealant and sand; Clean and seal joints with AC rubber; Profile grind surface; Full depth removal and replacement of designated area; Clean and patch designated spall areas; and Clean and patch designated retaining wall areas.	\$_____

CLIN 0003. Price for the entire work for Lot DDAG-Z65 in accordance with the drawings and specifications but excluding work described in CLINs 0001, 0002, 0004, and 0005.

CLIN	DESCRIPTION	TOTAL PRICE FOR 0003
0003	Repair of concrete pavements around Buildings DDAG-01322 and DDAG-01323 designated as DDAG-Z65 to include: Clean and fill cracks with epoxy; Clean and seal joints with AC rubber; Profile grind surface; Full depth removal and replacement of designated area; Clean and patch designated spall areas; and Full depth removal and replacement of asphalt pavements adjacent to railroad tracks.	\$_____

CLIN 0004. Price for the entire work for Lot DDAG-Z56 in accordance with the drawings and specifications but excluding work described in CLINs 0001, 0002, 0003, and 0005.

CLIN	DESCRIPTION	TOTAL PRICE FOR 0004
0004	Repair of replacement of existing pavements at lot DDAG-Z56 located south of Building DDAG-01221, the finish surface will be re-graded to improve drainage, as well as, parking stalls re-striped.	\$_____

CLIN 0005. Price for the entire work for Pavement DDAG-1221P in accordance with the drawings and specifications but excluding work described in CLINs 0001, 0002, 0003, and 0004.

CLIN	DESCRIPTION	TOTAL PRICE FOR 0005
0005	Repair by replacement existing pavements located east and south of Building DDAG-01221 designated as DDAG-01221P, the finish surface will be re-graded to improve drainage, as well as, parking stalls re-striped.	\$_____

1.2 GENERAL BID NOTES

- a. Award will be made on the total sum of Contract Line Items 0001 through 0005. If there is a difference between a unit price and the extended total, the unit price will be held to be the intended bid and the total recomputed accordingly. If an Offeror provides a total but fails to enter a unit price, the total divided by the specified quantity will be held to be the intended unit price.

e. Not used

1.3 N/A

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Document --

SECTION 01 11 00

SUMMARY OF WORK
08/11

PART 1 GENERAL

1.1 CODES, STANDARDS AND CRITERIA

1.1.1 The Southwestern Architectural and Engineering Instruction Manual, (SWD-AEIM) prescribes standard procedures for the preparation of design documents for construction projects under the direction of the US Army Corps of Engineers (COE), Charleston District. The design manual and other useful criteria are available on the Charleston District home page at

<http://www.sac.usace.army.mil/>

In addition to the specific Codes, Standards and Criteria referenced throughout this RFP, the designer shall prepare all design and construction documents in accordance with the most current edition of the following:

Applicable Department of Defense (DoD) regulations
Military Handbooks (MIL-HDBK's)
Engineering Technical Letters (ETL's)
Engineering Manuals (EM's)
Engineering Guidance Letters (EGL's) Construction
Technical Letters (CTL's) Unified Facilities
Criteria (UFC)

Unified Facilities Guide Specifications (UFGS) These documents are available via the internet at:

<http://www.hnd.usace.army.mil/techinfo/>

1.1.2 Use of National Fire Codes and Life Safety Codes, published by the National Fire Protection Association (NFPA), the International Building Code (IBC), the Americans with Disabilities Act (ADA), and the Uniform Federal Accessibility Standards (UFAS) is mandatory on all projects.

1.2 REFERENCES

The following publications are referenced throughout this section of the RFP. This list shall not be used as or considered to be a complete list of design references.

1.2.1 General References

INTERNATIONAL CODE COUNCIL (ICC) International Building Code (IBC)
UFC 1-200-01 General Building Requirements

U.S. ARMY CORPS OF ENGINEERS (USACE) EM 385-1-1 (2008; Errata 1-2010; Changes 1-3 2010; Changes 4-6 2011) Safety and Health Requirements Manual

1.2.2 Architectural References

AFI 32-1084 Facilities Requirements ABA Accessibility Standard for
Department of Defense Facilities
IBC 2009 International Building Code
NFPA 101 Life Safety Code, Latest Edition
UFC 3-190-06 Protective Coatings and Paints
UFC 3-190-01FA 17 Nov 2003 Joint Sealing for Buildings
UFC 3-600-01 26-09-06 Fire Protection Engineering for Facilities
Uniform Federal Accessibilities Standards (UFAS)

1.2.3 Civil References

UNIFIED FACILITIES CRITERIA

3-210-02 POV Site Circulation and Parking
3-210-06A Site Planning and Design
3-230-03A Water Supply
3-230-04A Water Distribution
3-230-06A Subsurface Drainage
3-230-17FA Drainage in Areas other than Airfields and
Heliports
3-240-07FA Sanitary and Industrial Wastewater Collection:
Gravity Sewers and Appurtenances
3-250-01FA Pavement design for Roads, Streets, Walks, and Open
Storage Areas
3-250-03 Standard Practice Manual for Flexible Pavements
3-250-04FA Standard Practice for Concrete Pavements
3-250-11 Soil Stabilization for Pavements
3-250-18FA General Provisions and Geometric Design for
Roads, Streets, Walks and Open Storage Areas
3-260-02 Pavement Design for Airfields
4-010-01 Antiterrorism Standards

1.2.4 Structural References

AMERICAN SOCIETY OF CIVIL ENGINEERS

ASCE 7-05 Design Loads for Buildings and Other Structures

INTERNATIONAL CONGRESS OF BUILDING CODE OFFICIALS

IBC-2006 International Building Code

AMERICAN INSTITUTE OF STEEL CONSTRUCTION

Manual of Steel Construction - 13TH Edition (ASD or LRFD)

UNIFIED FACILITIES CRITERIA

1-200-01 General Building Requirements
3-301-01 Structural Engineering
3-310-04 Seismic Design for Buildings
4-010-01 Antiterrorism Standards

AMERICAN CONCRETE INSTITUTE

ACI 308 Standard Specification for Curing Concrete

ACI 315	Details and Detailing of Concrete Reinforcement ACI 318 Building Code Requirements for Structural Concrete
ACI 347	Guide to Formwork for Concrete

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Upon receipt of Government Furnished Equipment, the Contractor shall submit records in accordance with paragraph entitled, "Government Furnished Property," of this section.

Submit the following items to the Contracting Officer:

- Utility Outage Requests
- Utility Connection Requests
- Borrow Permits
- Excavation Permits
- Welding Permits
- Burning Permits

The Contractor shall submit a site specific Excavation/Trenching and demolition plan to the GDA for acceptance prior to the beginning of operations in accordance to EM 385-1-1 Section 23-Demolition, Section 25-Excavation and Trenching, and falling object protection in accordance with OSHA 1926.759.

1.4 WORK COVERED BY CONTRACT DOCUMENTS

1.4.1 Project Description

The scope of work includes repair by replacement of asphalt pavements for 2 parking, storage, and staging area lots; as well as, repair of Portland Cement Concrete pavements by cleaning and filling cracks, sealing joints, fixing spall of designated areas, full depth replacement of designated areas for 1 storage and staging lot area and 4 dock ramps.

In the pavement repair by replacement areas, the finish surface will be re-graded to improve drainage.

1.4.2 General Summary of Scope of Work

A. The general scope of repair by replacement of existing asphalt pavements work includes the following:

1. Repair by replacement of existing pavements located east and south of Building DDAG-01221 designated as DDAG-01221P, the finish

surface will be re-graded to improve drainage, as well as, parking stalls restriped.

2. Repair by replacement of existing pavements at lot DDAG-Z56 located south of Building DDAG-01221, the finish surface will be re-graded to improve drainage, as well as, parking stalls restriped.
- B. The general scope of concrete pavements repair work includes the following:
1. Repair of concrete ramp pavements around Building DDAG-01221 to include:
 - a. Apply Epoxy Surface Sealant and Sand
 - b. Clean and Seal Joints with AC Rubber
 - c. Profile Grind Surface
 - d. Clean and Patch designated spall areas
 2. Repair of concrete ramp pavements west of Building DDAG-01323 designated as DDAG-01320 to include:
 - a. Apply Epoxy Surface Sealant and Sand
 - b. Clean and Seal Joints with AC Rubber
 - c. Profile Grind Surface
 - d. Full Depth Removal And Replacement Of Designated Area
 - e. Clean and Patch designated spall areas
 - f. Clean and Patch designated retaining wall areas
 3. Repair of concrete pavements around Buildings DDAG-01322 and DDAG-01323 designated as DDAG-Z65 to include:
 - a. Clean and Fill Cracks with Epoxy
 - b. Clean and Seal Joints with AC Rubber
 - c. Profile Grind Surface
 - d. Full Depth Removal And Replacement Of Designated Area
 - e. Clean and Patch designated spall areas
 - f. Full Depth Removal and Replacement of Asphalt Pavements adjacent to railroad tracks

1.5 RELATED REQUIREMENTS

1.5.1 SITE INSPECTION

The Contractor shall attend a mandatory pre-bid meeting and inspect the site to fully understand the scope of the work required and for preparation of the Bid Submittal. All present must bring and wear safety shoes or safety boots and bring and wear safety glasses.

1.5.2 TEMPORARY RELOCATION OF STORED MATERIALS TO FACILITATE THE WORK

The DDAG Facilities currently support stored materials. The Government will occupy all Facilities concurrent with the Contractor's work. The Contractor shall coordinate the temporary relocation of stored materials to the extent possible to facilitate the Contractor's access to work areas. Materials will be moved by the Government at no additional cost to the Contractor. The Contractor shall coordinate a schedule for the phased temporary relocation of stored materials with the designated representative of the Government. The schedule shall be inclusive of the duration of the project and submitted for approval to the Contracting Officer or the Contracting Officer's Designated Technical Representative.

1.5.3 RECORD DRAWINGS

The Contractor shall produce Record Drawings and product submittals, signed and sealed by a registered Engineer for review by the Government before beginning installation of new work.

The Contractor shall provide Record Drawings, figures, sketches, geospatial data, and spreadsheets in both hard copy and digital form as directed by the Contracting Officer or the Contracting Officer's Designated Technical Representative. Drawing submissions shall be in Submission shall be in AUTOCAD and PDF format, as well as Geospatial data format as stated below. Background CADD drawings of the Buildings will be provided for the Contractor's use. No warranty is given or implied on background drawings provided. The Record Drawings will be reviewed and approved by the Contractor's Engineer of Record for the project prior to final closeout.

Contractor shall provide all geospatial data in accordance with "SPECIFICATIONS FOR GEOSPATIAL DATA DELIVERABLES" dated 8 May 2013. Contact:

Installation Geospatial Information & Services, (I&E)
Marine Corps Installations East - Marine Corps Logistics Base Albany
(MCIEAST-MCLB Albany)
Email: damon.drake@usmc.mil
Phone: 229-639-8405

1.5.4 CONSTRUCTION TEAM

The Contractor shall function as an integral team member in the support of the DLA's Mission, to include the sharing of information with DLA employees and contractors, and foster cooperation with communities, regulators, and other government entities. Requirements include efficient management functions such as: accurate, on time submittals of contract deliverables and timely identification and resolution of impediments for successful project execution. Technical requirements include early involvement in the process to allow for the development of the most cost-effective and technically sound

solution. DLA will rely on the Contractor's expertise in recognizing and addressing problematic issues and successful execution of this project. The Contractor shall perform all work in accordance with federal, state, and local statutes and regulations. Remedies shall conform to environmental permits, decision document requirements, or other legal requirements.

1.5.5 LOCATION

The work shall be located at each of the Buildings and the immediately surrounding areas. In some cases, the parking lots will be utilized. All Buildings are located at the DLA Distribution Albany, GA, at Marine Corps Logistics Base Albany, Georgia.

1.5.6 DISPOSITION OF REMOVED MATERIALS

All Construction and Debris materials (C&D) that are disposed of off site shall be weighed and the weight disposal tickets are given to contract surveillance representative.

1.5.6.1 Salvable Materials and Equipment

Except where specifically specified otherwise herein, all salvable materials and equipment disconnected or removed during the course of the work and not indicated or specified for reuse in the new work shall remain the property of the Government. All recyclable materials such as metals and certain electrical components, to include switch gear, MCCs, conduit, copper wire, distribution and control panels nuts, bolts, washers, old motor starters, obsolete breakers, cable lugs, conduit, conduit fittings, connection boxes, etc will be recycled in accordance with MCO 5090.2A through the base Qualified Recycling Program (QRP). Remaining material shall be removed from the Government property.

1.6 OCCUPANCY OF PREMISES

Building(s) will be occupied during performance of work under this Contract.

Before work is started, the Contractor shall arrange with the Contracting Officer or the Contracting Officer's Designated Technical Representative a sequence of procedure, means of access, space for storage of materials and equipment, and use of approaches, corridors, and stairways.

1.7 EXISTING WORK

In addition to "FAR 52.236-9, Protection of Existing Vegetation, Structures, Equipment, Utilities, and Improvements":

- A. Remove or alter existing work in such a manner as to prevent injury or damage to any portions of the existing buildings which remain.
- B. Repair or replace portions of existing work which have been altered during construction operations to match existing or adjoining work, as approved by the Contracting Officer or the Contracting Officer's Designated Technical Representative. At the completion of operations, existing work shall be in a condition equal to or better than that which existed before new work started.

1.8 NOTIFICATIONS

1.8.1 EXCAVATION NOTIFICATION

Excavation may be accomplished by hand or by use of a mechanical digger; however, the use of continuous trencher or plow is prohibited unless permission is obtained, in writing, from the Contracting Officer prior to bringing this piece of equipment on base. Permits are required by the Base for excavation, per Marine Corps Logistics Base Albany, Base Facility Standard.

1.8.2 SERVICE INTERRUPTION NOTIFICATION

Notify the Contracting Officer or the Contracting Officer's Designated Technical Representative in writing 21 calendar days prior to interruption of sprinkler systems, electrical systems, fire alarm systems or water supply to an individual building or group of buildings. The Contractor shall notify the Base Fire Department on the day of sprinkler system service interruptions. The Contractor shall also notify the Base Fire Department when the sprinkler system is back in service. Obtain approval prior to interrupting service. The Contractor shall place each new system into service as soon as practical following the sequential completion of work on the system(s).

1.9 SALVAGE MATERIAL AND EQUIPMENT

Items designated by the Contracting Officer or the Contracting Officer's Designated Technical Representative to be salvaged shall remain the property of the Government.

The salvaged property shall be segregated, itemized, delivered, and off-loaded at the Government designated storage area located within 5 miles of the construction site.

Contractor shall maintain property control records for material or equipment designated as salvage. Contractor's system of property control may be used if approved by the Contracting Officer or the Contracting Officer's Designated Technical Representative. Contractor shall be responsible for storage and protection of salvaged materials and equipment until disposition by the Contracting Officer or the Contracting Officer's Designated Technical Representative.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 COORDINATION WITH FACILITY MANAGERS

Facility operations will require contractor coordination with facility managers for durations and areas that interrupt normal operations.

-- End of Section --

SECTION 01 20 00.00 20

PRICE AND PAYMENT PROCEDURES

11/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EP-1110-1-8

(2009) Construction Equipment Ownership
and Operating Expense Schedule

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval and for information only. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Schedule of Prices; G

1.3 SCHEDULE OF PRICES

1.3.1 Data Required

This contract requires the use of a cost-loaded Network Analysis Schedule (NAS). The information required for the Schedule of Prices will be entered as an integral part of the Network Analysis Schedule. Within 15 calendar days of notice of award, prepare and deliver to the Contracting Officer a Schedule of Prices as directed by the Contracting Officer. Provide a detailed breakdown of the contract price, giving quantities for each of the various kinds of work, unit prices, and extended prices. Costs shall be summarized and totals provided for each construction category.

1.3.2 Schedule Instructions

Payments will not be made until the Schedule of Prices/Earned Value Report from the cost-loaded NAS has been submitted to and accepted by the Contracting Officer. Identify the cost for site work, and include incidental work to the 5 ft line. Identify costs for the building(s), and include work out to the 5 ft line. Work out to the 5 ft line shall include construction encompassed within a theoretical line 5 ft from the face of exterior walls and shall include attendant construction, such as pad mounted HVAC cooling equipment, cooling towers, and transformers placed beyond the 5 ft line.

1.3.3 Real Property Assets

The Government will provide the Draft DD Form 1354, Transfer and Acceptance of Military Real Property filled in with the appropriate Real Property Unique Identifiers (RPUID) and related construction Category Codes to summarize the designed real property assets that apply to this contract. The Contractor shall meet with the Contracting Officer and the Real Property Accounting Officer during the Pre Construction Meeting and the Project Closeout Meetings to modify and include any necessary changes to the DD Form 1354. The Contractor shall provide the Interim DD Form 1354 that uses the appropriate division of the RPUIDs/ Category Codes to represent the final constructed facility and include all associated cost. Coordinate the Contractor's Price and Payment structure with the structure of the RPUIDs/ Category Codes.

Divide detailed asset breakdown into the RPUIDs and related construction Category Codes and populate associated costs which represent all aspects of the work. Where assets diverge into multiple RPUID/ Category Codes, divide the asset and provide the proportion of the assets in each RPUID/ Category Code. Assets and related RPUID/ Category Codes may be modified by the Contracting Officer as necessary during course of the work. Coordinate identification and proportion of these assets with the Government Real Property Accounting Officer.

Cost data accumulated under this section are required in the preparation of DD Form 1354.

1.3.4 Not Used

1.4 CONTRACT MODIFICATIONS

In conjunction with the Contract Clause "DFARS 252.236-7000, Modification Proposals-Price Breakdown," and where actual ownership and operating costs of construction equipment cannot be determined from Contractor accounting records, equipment use rates shall be based upon the applicable provisions of the [EP-1110-1-8](#).

1.5 CONTRACTOR'S INVOICE AND CONTRACT PERFORMANCE STATEMENT

1.5.1 Content of Invoice

Requests for payment will be processed in accordance with the Contract Clause FAR 52.232-27, Prompt Payment Construction Contracts and FAR 52.232-5, Payments Under Fixed-Price Construction Contracts. The requests for payment shall include the documents listed below.

- a. The Contractor's invoice, on NAVFAC Form 7300/30 furnished by the Government, showing in summary form, the basis for arriving at the amount of the invoice. Form 7300/30 shall include certification by Quality Control (QC) Manager as required by the contract.
- b. The Earned Value Report from the cost-loaded NAS, showing in detail: the estimated cost, percentage of completion, and value of completed performance for each of the construction categories stated in this contract. Use NAVFAC LANT Form 4-330/110 (New 7/84) on NAVFAC LANT contracts when a Monthly Estimate for Voucher is required.
- c. Updated Project Schedule and reports required by the contract.

- d. Contractor Safety Self Evaluation Checklist.
- e. Other supporting documents as requested.
- f. Updated copy of submittal register.
- g. Invoices not completed in accordance with contract requirements will be returned to the Contractor for correction of the deficiencies.
- h. Contractor's Monthly Estimate for Voucher (NAVFAC LANT Form 4-330/110 (New 7/84)) with Subcontractor and supplier payment certification.
- i. Affidavit to accompany invoice (NAVFAC LANT NORVA Form 4-4235/4 (Rev.5/81)).
- j. Materials on Site.
- h. Monthly Work-hour report.
- i. Solid Waste Disposal Report.

1.5.2 Submission of Invoices

If NFAS Clause 5252.232-9301 is included in the contract, the documents listed in paragraph "CONTENT OF INVOICE" shall be provided in their entirety as attachments in Wide Area Work Flow (WAWF) for each invoice submitted. The maximum size of each WAWF attachment is two megabytes, but there are no limits on the number of attachments. If a document cannot be attached in WAWF due to system or size restriction it shall be provided as instructed by the Contracting Officer.

1.5.3 Final Invoice

- a. A final invoice shall be accompanied by the certification required by DFARS 252.247.7023 TRANSPORTATION OF SUPPLIES BY SEA, and the Contractor's Final Release. If the Contractor is incorporated, the Final Release shall contain the corporate seal. An officer of the corporation shall sign and the corporate secretary shall certify the Final Release.
- b. For final invoices being submitted via WAWF, the original Contractor's Final Release Form and required certification of Transportation of Supplies by Sea must be provided directly to the respective Contracting Officer prior to submission of the final invoice. Once receipt of the original Final Release Form and required certification of Transportation of Supplies by Sea has been confirmed by the Contracting Officer, the Contractor shall then submit final invoice and attach a copy of the Final Release Form and required certification of Transportation of Supplies by Sea in WAWF.
- c. Final invoices not accompanied by the Contractor's Final Release and required certification of Transportation of Supplies by Sea will be considered incomplete and will be returned to the Contractor.

1.6 PAYMENTS TO THE CONTRACTOR

Payments will be made on submission of itemized requests by the Contractor

which comply with the requirements of this section, and will be subject to reduction for overpayments or increase for underpayments made on previous payments to the Contractor.

1.6.1 Obligation of Government Payments

The obligation of the Government to make payments required under the provisions of this contract will, at the discretion of the Contracting Officer, be subject to reductions and/or suspensions permitted under the FAR and agency regulations including the following in accordance with "FAR 32.503-6:

- a. Reasonable deductions due to defects in material or workmanship;
- b. Claims which the Government may have against the Contractor under or in connection with this contract;
- c. Unless otherwise adjusted, repayment to the Government upon demand for overpayments made to the Contractor; and
- d. Failure to provide up to date record drawings not current as stated in Contract Clause "FAC 5252.236-9310, Record Drawings."

1.6.2 Payment for Onsite and Offsite Materials

Progress payments may be made to the contractor for materials delivered on the site, for materials stored off construction sites, or materials that are in transit to the construction sites under the following conditions:

- a. FAR 52.232-5(b) Payments Under Fixed Price Construction Contracts.
- b. Materials delivered on the site but not installed, including completed preparatory work, and off-site materials to be considered for progress payment shall be major high cost, long lead, special order, or specialty items, not susceptible to deterioration or physical damage in storage or in transit to the construction site. Examples of materials acceptable for payment consideration include, but are not limited to, structural steel, non-magnetic steel, non-magnetic aggregate, equipment, machinery, large pipe and fittings, precast/prestressed concrete products, plastic lumber (e.g., fender piles/curbs), and high-voltage electrical cable. Materials not acceptable for payment include consumable materials such as nails, fasteners, conduits, gypsum board, glass, insulation, and wall coverings.
- c. Materials to be considered for progress payment prior to installation shall be specifically and separately identified in the Contractor's estimates of work submitted for the Contracting Officer's approval in accordance with [Schedule of Prices][Earned Value Report] requirement of this contract. Requests for progress payment consideration for such items shall be supported by documents establishing their value and that the title requirements of the clause at FAR 52.232-5 have been met.
- d. Materials are adequately insured and protected from theft and exposure.
- e. Provide a written consent from the surety company with each payment request for offsite materials.

- f. Materials to be considered for progress payments prior to installation shall be stored either in Hawaii, Guam, Puerto Rico, or the Continental United States. Other locations are subject to written approval by the Contracting Officer.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 30 00

ADMINISTRATIVE REQUIREMENTS

11/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

15 CFR 772 Definition of Terms

15 CFR 773 Special Licensing Procedures

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval and for information only. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

View location map;

Progress and completion pictures;

1.3 VIEW LOCATION MAP

Submit to the Contracting Officer, prior to or with the first digital photograph submittals, a sketch or drawing indicating the required photographic locations. Update as required if the locations are moved.

1.4 PROGRESS AND COMPLETION PICTURES

Photographically document site conditions prior to start of construction operations. Provide monthly, and within one month of the completion of work, digital photographs showing the sequence and progress of work. Take a minimum of 10 digital photographs each week throughout the entire project from a minimum of ten views from points located by the Contracting Officer. Submit a view location sketch indicating points of view. Submit with the monthly invoice two sets of digital photographs each set on a separate CD-R, cumulative of all photos to date. Indicate photographs demonstrating environmental procedures. Photographs for each month shall be in a separate monthly directory and each file shall be named to indicate its location on the view location sketch. The view location sketch shall also be provided on the CD as digital file. All file names shall include a date designator. Cross reference submittals in the appropriate daily report. Photographs shall be provided for unrestricted use by the Government.

1.5 MINIMUM INSURANCE REQUIREMENTS

Procure and maintain during the entire period of performance under this contract the following minimum insurance coverage:

- a. Comprehensive general liability: \$500,000 per occurrence
- b. Automobile liability: \$200,000 per person, \$500,000 per occurrence for bodily injury, \$20,000 per occurrence for property damage
- c. Workmen's compensation as required by Federal and State workers' compensation and occupational disease laws.
- d. Employer's liability coverage of \$100,000, except in States where workers compensation may not be written by private carriers,
- e. Others as required by State law.

1.6 CONTRACTOR SPECIAL REQUIREMENTS

1.6.1 Asbestos Containing Material

NA

1.6.2 Space Temperature Control, HVAC TAB, and Apparatus Inspection

NA

1.7 SUPERVISION

Have at least one qualified supervisor capable of reading, writing, and conversing fluently in the English language on the job site during working hours. In addition, if a Quality Control (QC) representative is required on the contract, then that individual shall also have fluent English communication skills.

1.8 PRECONSTRUCTION CONFERENCE

After award of the contract but prior to commencement of any work at the site, meet with the Contracting Officer to discuss and develop a mutual understanding relative to the administration of the value engineering and safety program, preparation of the schedule of prices or earned value report, shop drawings, and other submittals, scheduling programming, prosecution of the work, and clear expectations of the "Interim DD Form 1354" Submittal. Major subcontractors who will engage in the work shall also attend.

1.9 FACILITY TURNOVER PLANNING MEETINGS (NAVFAC Red Zone - NRZ)

Key personnel will meet to identify strategies to ensure the project is carried to expeditious closure and turnover to the Client. Start the turnover process at the Pre Construction Conference meeting and convene at the Facility Turnover Meetings once the project has reached approximately 75 percent completion or three to six months prior to Beneficial Occupancy Date (BOD), whichever comes first. The Contracting Officer's Representative will lead the meetings and guide discussions based on an

agenda provided by the Government. The facility Turnover effort shall include the following:

- a. Pre Construction Meeting - Contracting Officer's Technical Representative (COTR) will provide the NRZ Checklist and the Contractor, Client, and NAVFAC Representatives will compare Contractor's schedule to NRZ Checklist items.
- b. Facility Turnover Meetings
 1. Fill in the NRZ Checklist including Contractor, Client, and NAVFAC Checklist Items and assign a person responsible for each item and a due date. The Contractor's Representative will facilitate the assignment of responsibilities, fill out the NRZ Checklist, and discuss "Interim DD Form 1354" requirements.
 2. Review the Contractor's updated schedule. The Contractor shall develop a POAM for the completion of all Contractor, Client, and NAVFAC Checklist items.
 3. Confirm that all NRZ Checklist items will be completed on time for the scheduled Facility Turnover.

1. Not Used

1.11 Not Used

1.12 PARTNERING

To most effectively accomplish this contract, the Government requires the formation of a cohesive partnership within the Project Team whose members are from the Government, the Contractor and their Subcontractors. Key personnel from the Supported Command, the End User (who will occupy the facility), NAVFAC (Echelon III and IV), the Navy Region/Installation, the Contractor and Subcontractors, and the Designer of Record will be invited to participate in the Partnering process. The Partnership will draw on the strength of each organization in an effort to achieve a project that is without any safety mishaps, conforms to the Contract, and stays within budget and on schedule.

The Contracting Officer will provide Information on the Partnering Process and a list of key and optional personnel who should attend the Partnering meeting.

1.12.1 Not Used

1.12.2 Informal Partnering

The Contracting Officer will organize the Partnering Sessions with key personnel of the project team, including Contractor personnel and Government personnel.

The Initial Partnering session should be a part of the Pre-Construction Meeting. Partnering sessions will be held at a location agreed to by the Contracting Officer and the Contractor (typically a conference room provided by the PWD FEAD/ROICC office or the Contractor).

The Initial Informal Partnering Session will be conducted and facilitated using electronic media (a video and accompanying forms) provided by the Contracting Officer.

The Partners will determine the frequency of the follow-on sessions.

1.13 AVAILABILITY OF CADD/GIS DRAWING FILES

After award and upon request, the electronic "Computer-Aided Drafting and Design (CADD)" drawing files will only be made available to the Contractor for use in preparation of construction data related to the referenced contract subject to the following terms and conditions.

Data contained on these electronic files shall not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse shall be at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor shall make no claim and waives to the fullest extent permitted by law, any claim or cause of action of any nature against the Government, its agents or sub consultants that may arise out of or in connection with the use of these electronic files. The Contractor shall, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

The Government makes no representation regarding the accuracy or completeness of the electronic CADD files, nor does it make representation to the compatibility of these files with the Contractors hardware or software. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished CADD files, the signed and sealed construction documents shall govern. The Contractor is responsible for determining if any conflict exists. Use of these CADD files does not relieve the Contractor of duty to fully comply with the contract documents, including and without limitation, the need to check, confirm and coordinate the work of all contractors for the project.

If the Contractor uses, duplicates and/or modifies these electronic CADD files for use in producing construction [drawings and]data related to this contract, all previous indicia of ownership (seals, logos, signatures, initials and dates) shall be removed.

1.14 ELECTRONIC MAIL (E-MAIL) ADDRESS

The Contractor shall establish and maintain electronic mail (e-mail) capability along with the capability to open various electronic attachments in Microsoft, Adobe Acrobat, and other similar formats. Within 10 days after contract award, the Contractor shall provide the Contracting Officer a single (only one) e-mail address for electronic communications from the Contracting Officer related to this contract including, but not limited to contract documents, invoice information, request for proposals, and other correspondence. The Contracting Officer may also use email to notify the Contractor of base access conditions when emergency conditions warrant, such as hurricanes, terrorist threats, etc. Multiple email address will not be allowed.

It is the Contractor's responsibility to make timely distribution of all

Contracting Officer initiated e-mail with its own organization including field office(s). The Contractor shall promptly notify the Contracting Officer, in writing, of any changes to this email address.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 32 17.00 20

NETWORK ANALYSIS SCHEDULES (NAS)

08/10

PART 1 GENERAL

1.1 DESCRIPTION

The Contractor is responsible for scheduling procurement, Contractor quality control and construction, acceptance testing and training. Refer to Specification Section 01 33 00 SUBMITTAL PROCEDURES to determine if any items require Government approval prior to construction; If any are required, that submittal review time shall be included in the schedule.

The schedule is a tool to manage the project, both for Contractor and Government activities. It will also be used to report progress and evaluate time extensions. If cost-loaded, it will provide the basis for progress payments.

The Contractor shall use the Critical Path Method (CPM) and the Precedence Diagram Method (PDM) to satisfy time and cost applications. For consistency, when scheduling software terminology is used in this specification, the terms in Primavera's scheduling programs are used.

1.2 SUBMITTALS

The use of a "G" following a submittal indicates that a Government approval action is required. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES, except as modified in this contract.

SD-01 Preconstruction Submittals

Qualifications; G

Baseline Network Analysis Schedule (NAS); G

SD-07 Certificates

Monthly Network Analysis Schedule Update; G

SD-11 Closeout Submittals

As-Built Schedule; G

1.3 SCHEDULE ACCEPTANCE PRIOR TO START OF WORK

The Contracting Officer and Contractor shall participate in a preliminary meeting(s) to discuss the proposed schedule and requirements of this section prior to the Contractor preparing the Project Baseline Schedule.

Government review comments on the Contractor's schedule(s) shall not relieve the Contractor from compliance with requirements of the Contract Documents.

Only bonds shall be paid prior to acceptance of the Baseline Network

Analysis Schedule (NAS).

The acceptance of a Baseline NAS is a condition precedent to:

1. The Contractor starting work on the demolition or construction stage(s) of the contract.
2. Processing Contractor's pay request(s) for construction activities/items of work.
3. Review of any schedule updates.

Submittal of the [Baseline Network Analysis Schedule](#), and subsequent schedule updates, shall be understood to be the Contractor's certification that the submitted schedule meets all of the requirements of the Contract Documents, represents the Contractor's plan on how the work shall be accomplished, and accurately reflects the work that has been accomplished and how it was sequenced (as-built logic).

1.4 SOFTWARE

Project schedules must be prepared and maintained using Primavera P3, Primavera SureTrak, or Primavera P6. Save files in Concentric P3 or P6 file format, compatible with the Governments version of the scheduling program. Importing data into P3/SureTrak/P6 using data conversion techniques or third party software will be cause for rejection of the submitted schedule.

1.5 QUALIFICATIONS

The designated Scheduler for the project shall have prepared and maintained at least 3 previous schedules of similar size and complexity of this contract using Primavera P3, Primavera SureTrak, or Primavera P6. A resume outlining the qualifications of the Scheduler shall be submitted for acceptance to the Contracting Officer. Payment will not be processed until an acceptable Scheduler is provided.

1.6 NETWORK SYSTEM FORMAT

The system shall include time scaled logic diagrams and specified reports.

1.6.1 Diagrams

Provide Time-scaled Logic Diagram printed in color on ANSI D size sheets. The diagram shall clearly show activities on the critical path. Include the following information for each activity:

- a. Activity ID
- b. Activity Description
- c. Original Duration in Work Days
- d. Remaining duration
- e. Percent Complete

- f. Early Start Date
- g. Early Finish Date
- h. Total Float

1.6.2 Schedule Activity Properties and Level of Detail

The NAS shall identify all Government, Construction Quality Management (CQM), Construction activities planned for the project and all other activities that could impact project completion if delayed. Separate activities shall be created for each Phase, Area, Floor Level and Location the activity is occurring. Activity categories included in the schedule are specified below.

With the exception of the Contract Award and Contract Completion Date (CCD) milestone activities, no activity shall be open-ended; each activity shall have predecessor and successor ties. Once an activity exists on the schedule it may not be deleted or renamed to change the scope of the activity and shall not be removed from the schedule logic without approval from the Contracting Officer. The ID number for a deleted activity shall not be re-used for another activity. No more than 20 percent of the activities shall be critical or near critical. Critical is defined as having zero days of Total Float. "Near Critical" is defined as having Total Float of 1 to 14 days. Contractor activities shall be driven by calendars that reflect Saturdays, Sundays and all Federal Holidays as non-work days.

1.6.2.1 Activity Categories

- a. Procurement Activities: Examples of procurement activities include, but are not limited to; Material/equipment submittal preparation, submittal and approval of material/equipment; material/equipment fabrication and delivery, and material/equipment on-site. As a minimum, separate procurement activities will be provided for critical items, long lead items, items requiring government approval and material/equipment procurement for which payment will be requested in advance of installation. The Contractor shall show each delivery with relationship tie to the Construction Activity specifically for the delivery.
- b. Government Activities: Government and other agency activities that could impact progress shall be clearly identified. Government activities include, but are not limited to; Government approved submittal reviews, Government conducted inspections/tests, environmental permit approvals by State regulators, utility outages, Design Start, Construction Start, (including Design/Construction Start for each Fast-Track Phase, and delivery of Government Furnished Material/Equipment.
- c. Quality Management (QM) Activities: CQM Activities shall identify the Preparatory Phase and Initial Phase for each Definable Feature of Work identified in the Contractor's Quality Control Plan. These activities shall be added to each Three-Week Look Ahead Schedule referenced in the paragraph entitled "THREE-WEEK LOOK AHEAD SCHEDULE" and will also be included in each monthly update. The Follow-up Phase will be represented by the Construction Activities in the Baseline Schedule and in the schedule updates.

- d. Construction Activities: No on-site construction activity shall have a duration in excess of 20 working days. Separate construction activities shall be created for each Phase, Area, Floor Level and Location the activity is occurring. Contractor activities will be driven by calendars that reflect Saturdays, Sundays and all Federal Holidays as non-work days, unless otherwise defined in this contract.
- e. Turnover and Closeout Activities: Include a separate section with all items on the NAVFAC Red Zone Checklist/POAM that are applicable to this project. The checklist will be provided at the Preconstruction Meeting. As a minimum, this will include all testing, specialized inspection activities, Pre-Final inspection, Punch List Completion, Final Inspection and Acceptance. Add a milestone for the Facility Turnover Planning Meeting at approximately 75 percent construction contract completion or three to six months prior to BOD, whichever is sooner.

1.6.2.2 Contract Milestones and Constraints

- a. Project Start Date Milestones: The Contractor shall include as the first activity on the schedule a start milestone titled "Contract Award", which shall have a Mandatory Start constraint equal to the Contract Award Date.
- b. Projected Completion Milestone: The Contractor shall include an unconstrained finish milestone on the schedule titled "Projected Completion". Projected Completion is defined as the point in time the Government would consider the project complete and ready for its intended use. This milestone shall have the Contract Completion (CCD) milestone as its only successor.
- c. Contract Completion Date (CCD) Milestone: The Contractor shall include as the last activity on the schedule a finish milestone titled "Contract Completion (CCD)", which shall have a Mandatory Finish constraint equal to the current Contract Completion Date. Calculation of schedule updates shall be such that if the finish of the "Projected Completion" milestone falls after the contract completion date, then negative float will be calculated on the longest path and if the finish of the "Projected Completion" milestone falls before the contract completion date, the float calculation shall reflect positive float on the longest path. The only predecessor to the Contract Completion Date Milestone shall be the Projected Completion milestone.

1.6.2.3 Activity Code

At a minimum, the Contractor shall establish activity codes identified in this specification and 3 additional activity codes identified by the Contracting Officer. Once established, activity codes and values cannot be changed without approval by the Contracting Officer.

- a. Phase: All activities shall be assigned a 4-digit code value based on the contract phase it occurs in.
- b. Area Code: All activities shall be assigned an area code value identifying the Area in which the activity occurs. Activities shall not belong to more than one area. Area is defined as a distinct space, function or activity category; such as, separate structure(s), sitework, project summary, construction quality management,

material/equipment procurement, etc.

- c. Work Item: All activities in the project schedule shall be assigned a 4-digit Work Item code value. Examples of Work Item code values include but are not limited to water lines, drain lines, building pad and foundation, slab on grade, walls and columns, suspended slab, roof structure, roofing, exterior finish systems, interior rough-in, and finishes, etc.
- d. Location 1: Assign a 4-digit Location 1 code value to activities associated with multistory structures. Code values are used to identify the floor level where an activity is occurring.
- e. Location 2: Assign a 4-digit Location 2 code value to all activities to identify the location within an Area, Work Item or Building Level that an activity is occurring.
- f. Responsibility Code: All activities in the project schedule shall be identified with the party responsible for completing the task. Activities shall not belong to more than one responsible party.

1.6.2.4 Anticipated Weather Delays

The Contractor shall use the National Oceanic and Atmospheric Administration's (NOAA) historical monthly averages for the NOAA location closest to the project site as the basis for establishing a "Weather Calendar" showing the number of anticipated non-workdays for each month due to adverse weather, Saturdays, Sundays and all Federal Holidays as non-work days.

Assign the Weather Calendar to any activity that could be impacted by adverse weather. The Contracting Officer will issue a modification in accordance with the contract clauses, giving the Contractor a time extension for the difference of days between the anticipated and actual adverse weather delay if the number of actual adverse weather delay days exceeds the number of days anticipated for the month in which the delay occurs and the adverse weather delayed activities critical to contract completion. A lost workday due to weather conditions is defined as a day in which the Contractor cannot work at least 50 percent of the day on the impacted activity.

1.6.2.5 Cost Loading

- a. Cost Loading Activities: Material and Equipment Costs for which payment will be requested in advance of installation shall be assigned to their respective procurement activity (i.e., the material/equipment on-site activity). All other construction costs shall be assigned to their respective Construction Activities. The value of inspection/testing activities will not be less than 10 percent of the total costs for Procurement and Construction Activities. Evenly disperse overhead and profit to each activity over the duration of the project.
- b. Quantities and Units of Measure: Each cost loaded activity shall have a detailed quantity breakdown and unit of measure.

1.6.3 Schedule Software Settings and Restrictions

- a. Activity Constraints: Date/time constraint(s), other than those required by the contract, will not be allowed unless accepted by the Contracting Officer. Identify any constraints proposed and provide an explanation for the purpose of the constraint in the Narrative Report.
- b. Default Progress Data Disallowed: Actual Start and Actual Finish dates on the CPM schedule shall match the dates on the Contractor Quality Control and Production Reports.
- c. Software Settings: Schedule calculations and Out-of-Sequence progress (if applicable) shall be handled through Retained Logic, not Progress Override. All activity durations and float values will be shown in days. Activity progress will be shown using Remaining Duration. Default activity type will be set to "Task". The project "Must Finish By" date shall be left blank.

1.6.4 Required Tabular Reports

The following reports shall be included with the schedule submittal:

- a. Log Report: Listing of all changes made between the previous schedule and current updated schedule.
- b. Narrative Report: Identify and justify; 1) Progress made in each area of the project; 2) Critical Path; 3) Date/time constraint(s), other than those required by the contract 3) Changes in the following; added or deleted activities, original and remaining durations for activities that have not started, logic, milestones, planned sequence of operations, critical path, and cost loading; 4) Any decrease in previously reported activity Earned Amount; 5) Pending items and status thereof, including permits, changes orders, and time extensions; 6) Status of Contract Completion Date and interim milestones; 7) Current and anticipated delays (describe cause of delay and corrective actions(s)); and 8) Description of current and future schedule problem areas. Each entry in the narrative report will cite the respective Activity ID and Activity Description, the date and reason for the change, and description of the change.
- c. Earned Value Report: Listing all activities having a budget amount cost loaded. Compilation of total earnings on the project from notice to proceed to current progress payment request. Group and sort activities as directed by the Contracting Officer. Show current budget, previous physical percent complete, to-date physical percent complete, previous earned value, to-date earned value and cost to complete on the report for each activity:
- d. Schedule Variance Control (SVC) Diagram: With each schedule submission, provide a SVC diagram showing 1) Cash Flow S-Curves indicating planned project cost based on projected early and late activity finish dates and 2) Earned Value to-date. Revise Cash Flow S-Curves when the contract is modified, or as directed by the Contracting Officer.

1.7 SUBMISSION AND ACCEPTANCE

1.7.1 Monthly Network Analysis Updates

Contractor and Government representatives shall meet at monthly intervals

to review and agree on the information presented in the updated project schedule. The submission of an acceptable, updated schedule to the Government is a condition precedent to the processing of the Contractor's pay request. If a Schedule of Prices is the basis for progress payments, it shall be consistent with the logic and activity breakdowns on the progress schedule. If progress payments are based on a cost-loaded schedule, the Contractor and Government shall agree on percentage of payment for each activity progressed during the update period.

Provide the following with each Schedule submittal:

- a. Time Scaled Logic Diagram.
- b. Reports listed in paragraph entitled "Required Tabular Reports."
- c. Data disks containing the project schedule. Include the back-up native .prx/current mandated schedule program files.

1.7.2 As-Built Schedule

As a condition precedent to the release of retention and making final payment, submit an "As-Built Schedule," as the last schedule update showing all activities at 100 percent completion. This schedule shall reflect the exact manner in which the project was actually constructed.

1.8 CONTRACT MODIFICATION

Submit a Time Impact Analysis with each cost and time proposal for a proposed change. Time Impact Analysis (TIA) shall illustrate the influence of each change or delay on the Contract Completion Date or milestones. No time extensions will be granted nor delay damages paid unless a delay occurs which consumes all available Project Float, and extends the Projected Finish beyond the Contract Completion Date.

- a. Each TIA shall be in both narrative and schedule form demonstrating the delay impact. The TIA shall identify the predecessors to the new activities and demonstrate the impacts to successor activities. The Contractor shall run the schedule calculations and submit the impacted schedule with the proposal or claim.
- b. The TIA schedule submitted with the proposal shall show all activity progress as of the date of the proposal. If the impact to the schedule occurs prior to the proposal submission, the TIA schedule shall be updated to show all activity progress as of the time of the impact. If the proposed change does not impact the CCD, no TIA shall be required.
- c. Submit Data disks containing the TIA schedule. Include the back-up native .prx/current mandated schedule program files.
- d. Unless the Contracting Officer requests otherwise, only conformed contract modifications shall be added into the Project NAS.

1.9 PROJECT FLOAT

Project Float is the length of time between the Contractor's Projected Finish Milestone and the Contract Completion Date Milestone. Project Float available in the schedule, at any time shall not be for the exclusive use

of either the Government or the Contractor.

1.10 THREE-WEEK LOOK AHEAD SCHEDULE

The Contractor shall prepare and issue a 3-Week Look Ahead schedule to provide a more detailed day-to-day plan of upcoming work identified on the Project Network Analysis Schedule. The work plans shall be keyed to NAS activity numbers and updated each week to show the planned work for the current and following two-week period. Additionally, include upcoming outages, closures, preparatory meetings, and initial meetings. Identify critical path activities on the Three-Week Look Ahead Schedule. The detail work plans are to be bar chart type schedules, maintained separately from the Project NAS on an electronic spreadsheet program and printed on 8 ½ by 11 sheets as directed by the Contracting Officer. Activities shall not exceed 5 working days in duration and have sufficient level of detail to assign crews, tools and equipment required to complete the work. Three hard copies and one electronic file of the 3-Week Look Ahead Schedule shall be delivered to the Contracting Officer no later than 8 a.m. each Monday and reviewed during the weekly CQC Coordination Meeting.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 33 00

SUBMITTAL PROCEDURES

09/12

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 Submittal Descriptions (SD)

Submittals requirements are specified in the technical sections. Submittals are identified by Submittal Description (SD) numbers and titles as follows:

[SD-01 Preconstruction Submittals](#)

Submittals which are required prior to commencing work on site:

- Certificates of insurance, G
- Surety bonds, G
- List of proposed Subcontractors, G
- List of proposed products
- Construction Progress Schedule, G
- Network Analysis Schedule (NAS), G
- Submittal register
- Schedule of prices, G
- Health and safety plan, G
- Work plan
- Quality control(QC) plan, G
- Environmental protection plan, G

[SD-02 Shop Drawings](#)

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work.

Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project.

Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions and brochures illustrating size, physical appearance and other characteristics of materials, systems or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

SD-04 Samples

Fabricated or unfabricated physical examples of materials, equipment or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged.

Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project.

Field samples and mock-ups constructed on the project site establish standards by which the ensuring work can be judged. Includes assemblies or portions of assemblies which are to be incorporated into the project and those which will be removed at conclusion of the work.

SD-05 Design Data

Design calculations, mix designs, analyses or other data pertaining to a part of work.

SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product or system identical to the material, product or system to be provided has been tested in accord with specified requirements. (Testing must have been within three years of date of contract award for the project.)

Report which includes findings of a test required to be performed by the Contractor on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report which includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports.

Daily logs and checklists.

Final acceptance test and operational test procedure.

SD-07 Certificates

Statements printed on the manufacturer's letterhead and signed by responsible officials of manufacturer of product, system or material

attesting that product, system or material meets specification requirements. Must be dated after award of project contract and clearly name the project.

Document required of Contractor, or of a manufacturer, supplier, installer or Subcontractor through Contractor, the purpose of which is to further quality of orderly progression of a portion of the work by documenting procedures, acceptability of methods or personnel qualifications.

Confined space entry permits.

Text of posted operating instructions.

SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and (MSDS) concerning impedances, hazards and safety precautions.

SD-09 Manufacturer's Field Reports

Documentation of the testing and verification actions taken by manufacturer's representative at the job site, in the vicinity of the job site, or on a sample taken from the job site, on a portion of the work, during or after installation, to confirm compliance with manufacturer's standards or instructions. The documentation must be signed by an authorized official of a testing laboratory or agency and must state the test results; and indicate whether the material, product, or system has passed or failed the test.

Factory test reports.

SD-10 Operation and Maintenance Data

Data that is furnished by the manufacturer, or the system provider, to the equipment operating and maintenance personnel, including manufacturer's help and product line documentation necessary to maintain and install equipment. This data is needed by operating and maintenance personnel for the safe and efficient operation, maintenance and repair of the item.

This data is intended to be incorporated in an operations and maintenance manual or control system.

SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

Special requirements necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a major phase of construction on a multi-phase contract.

Interim "DD Form 1354" with cost breakout for all assets 30 days prior to facility turnover.

1.1.2 Approving Authority

Office or designated person authorized to approve submittal.

1.1.3 Work

As used in this section, on- and off-site construction required by contract documents, including labor necessary to produce submittals, construction, materials, products, equipment, and systems incorporated or to be incorporated in such construction.

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor QC approval. Submit the following in accordance with this section.

SD-01 Preconstruction Submittals

Submittal Register; G

1.3 FORWARDING SUBMITTALS REQUIRING GOVERNMENT APPROVAL

1.3.1 Submittals Required from the Contractor

As soon as practicable after award of contract, and before procurement of fabrication, forward to the Resident Officer in Charge of Construction (ROICC) or Facilities Engineering and Acquisition Division (FEAD) submittals required in the technical sections of this specification, including shop drawings, product data and samples. One copy of the transmittal form for all submittals shall be forwarded to the Resident Officer in Charge of Construction.

The ROICC or FEAD will review and approve for the Contracting Officer those submittals reserved for Contracting Officer approval to verify submittals comply with the contract requirements.

1.3.1.1 O&M Data

The ROICC or FEAD will review and approve for the Contracting Officer O&M Data to verify the submittals comply with the contract requirements; submit data specified for a given item within 30 calendar days after the item is delivered to the contract site.

In the event the Contractor fails to deliver O&M Data within the time limits specified, the Contracting Officer may withhold from progress payments 50 percent of the price of the item with which such O&M Data are applicable.

1.4 PREPARATION

1.4.1 Transmittal Form

Transmit each submittal, except sample installations and sample panels to office of approving authority. Transmit submittals with transmittal form prescribed by Contracting Officer and standard for project. On the transmittal form identify Contractor, indicate date of submittal, and

include information prescribed by transmittal form and required in paragraph entitled, "Identifying Submittals," of this section.

1.4.2 Identifying Submittals

When submittals are provided by a Subcontractor, the Prime Contractor is to prepare, review and stamp with Contractor's approval all specified submittals prior to submitting for Government approval.

Identify submittals, except sample installations and sample panels, with the following information permanently adhered to or noted on each separate component of each submittal and noted on transmittal form. Mark each copy of each submittal identically, with the following:

- a. Project title and location.
- b. Construction contract number.
- c. Date of the drawings and revisions.
- d. Name, address, and telephone number of subcontractor, supplier, manufacturer and any other subcontractor associated with the submittal.
- e. Section number of the specification section by which submittal is required.
- f. Submittal description (SD) number of each component of submittal.
- g. When a resubmission, add alphabetic suffix on submittal description, for example, submittal 18 would become 18A, to indicate resubmission.
- h. Product identification and location in project.

1.4.3 Format for SD-02 Shop Drawings

Shop drawings are not to be less than 8 1/2 by 11 inches nor more than 30 by 42 inches, except for full size patterns or templates. Prepare drawings to accurate size, with scale indicated, unless other form is required. Drawings are to be suitable for reproduction and be of a quality to produce clear, distinct lines and letters with dark lines on a white background.

Present 8 1/2 by 11 inches sized shop drawings as part of the bound volume for submittals required by section. Present larger drawings in sets.

Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph entitled, "Identifying Submittals," of this section.

Number drawings in a logical sequence. Each drawing is to bear the number of the submittal in a uniform location adjacent to the title block. Place the Government contract number in the margin, immediately below the title block, for each drawing.

Dimension drawings, except diagrams and schematic drawings; prepare drawings demonstrating interface with other trades to scale. Use the same

unit of measure for shop drawings as indicated on the contract drawings. Identify materials and products for work shown.

Include the nameplate data, size and capacity on drawings. Also include applicable federal, military, industry and technical society publication references.

1.4.4 Format of SD-03 Product Data and SD-08 Manufacturer's Instructions

Present product data submittals for each section as a complete, bound volume. Include table of contents, listing page and catalog item numbers for product data.

Indicate, by prominent notation, each product which is being submitted; indicate specification section number and paragraph number to which it pertains.

Supplement product data with material prepared for project to satisfy submittal requirements for which product data does not exist. Identify this material as developed specifically for project, with information and format as required for submission of SD-07 Certificates.

Include the manufacturer's name, trade name, place of manufacture, and catalog model or number on product data. Also include applicable federal, military, industry and technical society publication references. Should manufacturer's data require supplemental information for clarification, submit as specified for SD-07 Certificates.

Where equipment or materials are specified to conform to industry and technical society reference standards of the organizations such as American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), and Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

Collect required data submittals for each specific material, product, unit of work, or system into a single submittal and marked for choices, options, and portions applicable to the submittal. Mark each copy of the product data identically. Partial submittals will [not] be accepted for expedition of construction effort.

Submit manufacturer's instructions prior to installation.

1.4.5 Format of SD-04 Samples

Furnish samples in sizes below, unless otherwise specified or unless the manufacturer has prepackaged samples of approximately same size as specified:

- a. Sample of Equipment or Device: Full size.

- b. Sample of Materials Less Than 2 by 3 inches: Built up to 8 1/2 by 11 inches.
- c. Sample of Materials Exceeding 8 1/2 by 11 inches: Cut down to 8 1/2 by 11 inches and adequate to indicate color, texture, and material variations.
- d. Sample of Linear Devices or Materials: 10 inch length or length to be supplied, if less than 10 inches. Examples of linear devices or materials are conduit and handrails.
- e. Sample of Non-Solid Materials: Pint. Examples of non-solid materials are sand and paint.
- f. Color Selection Samples: 2 by 4 inches. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified. Sizes and quantities of samples are to represent their respective standard unit.
- g. Sample Panel: 4 by 4 feet.
- h. Sample Installation: 100 square feet.

Samples Showing Range of Variation: Where variations in color, finish, pattern, or texture are unavoidable due to nature of the materials, submit sets of samples of not less than three units showing extremes and middle of range. Mark each unit to describe its relation to the range of the variation.

Reusable Samples: Incorporate returned samples into work only if so specified or indicated. Incorporated samples are to be in undamaged condition at time of use.

Recording of Sample Installation: Note and preserve the notation of area constituting sample installation but remove notation at final clean up of project.

When color, texture or pattern is specified by naming a particular manufacturer and style, include one sample of that manufacturer and style, for comparison.

1.4.6 Format of SD-05 Design Data and SD-07 Certificates

Provide design data and certificates on 8 1/2 by 11 inches paper. Provide a bound volume for submittals containing numerous pages.

1.4.7 Format of SD-06 Test Reports and SD-09 Manufacturer's Field Reports

Provide reports on 8 1/2 by 11 inches paper in a complete bound volume.

Indicate by prominent notation, each report in the submittal. Indicate specification number and paragraph number to which it pertains.

1.4.8 Format of SD-10 Operation and Maintenance Data (O&M)

Comply with the requirements specified in Section 01 78 23.00 25 OPERATION

AND MAINTENANCE DATA for O&M Data format.

1.4.9 Format of SD-01 Preconstruction Submittals and SD-11 Closeout Submittals

When submittal includes a document which is to be used in project or become part of project record, other than as a submittal, do not apply Contractor's approval stamp to document, but to a separate sheet accompanying document.

1.5 QUANTITY OF SUBMITTALS

1.5.1 Number of Copies of SD-02 Shop Drawings

Submit four copies of submittals of shop drawings requiring review and approval only by QC organization and six copies of shop drawings requiring review and approval by Contracting Officer.

1.5.2 Number of Copies of SD-03 Product Data and SD-08 Manufacturer's Instructions

Submit in compliance with quantity requirements specified for shop drawings.

1.5.3 Number of Samples SD-04 Samples

- a. Submit one sample of each required item.
- b. Submit one sample panel or provide one sample installation where directed. Include components listed in technical section or as directed.
- c. Submit one sample installation, where directed.
- d. Submit one sample of non-solid materials.

1.5.4 Number of Copies SD-05 Design Data and SD-07 Certificates

Submit in compliance with quantity requirements specified for shop drawings.

1.5.5 Number of Copies SD-06 Test Reports and SD-09 Manufacturer's Field Reports

Submit in compliance with quantity and quality requirements specified for shop drawings other than field test results that will be submitted with QC reports.

1.5.6 Number of Copies of SD-10 Operation and Maintenance Data

Submit three copies of O&M Data to the Contracting Officer for review and approval.

1.5.7 Number of Copies of SD-01 Preconstruction Submittals and SD-11 Closeout Submittals

Unless otherwise specified, submit four sets of administrative submittals.

1.6 VARIATIONS

Variations from contract requirements require Government approval pursuant to contract Clause FAR 52.236-21 and will be considered where advantageous to Government.

1.6.1 Considering Variations

Discussion with Contracting Officer prior to submission will help ensure functional and quality requirements are met and minimize rejections and re-submittals. When contemplating a variation which results in lower cost, consider submission of the variation as a Value Engineering Change Proposal (VECP).

Specifically point out variations from contract requirements in transmittal letters. Failure to point out deviations may result in the Government requiring rejection and removal of such work at no additional cost to the Government.

1.6.2 Proposing Variations

When proposing variation, deliver written request to the Contracting Officer, with documentation of the nature and features of the variation and why the variation is desirable and beneficial to Government. If lower cost is a benefit, also include an estimate of the cost savings. In addition to documentation required for variation, include the submittals required for the item. Clearly mark the proposed variation in all documentation.

1.6.3 Warranting That Variations Are Compatible

When delivering a variation for approval, Contractor warrants that this contract has been reviewed to establish that the variation, if incorporated, will be compatible with other elements of work.

1.6.4 Review Schedule Is Modified

In addition to normal submittal review period, a period of ten working days will be allowed for consideration by the Government of submittals with variations.

1.7 SUBMITTAL REGISTER

Prepare and maintain submittal register, as the work progresses. Do not change data which is output in columns (c), (d), (e), and (f) as delivered by Government; retain data which is output in columns (a), (g), (h), and (i) as approved. A submittal register showing items of equipment and materials for which submittals are required by the specifications is provided as an attachment. This list may not be all inclusive and additional submittals may be required. The Government will provide the initial submittal register with the following fields completed, to the extent that will be required by the Government during subsequent usage.

Column (c): Lists specification section in which submittal is required.

Column (d): Lists each submittal description (SD No. and type, e.g. SD-02 Shop Drawings) required in each specification section.

Column (e): Lists one principal paragraph in specification

section where a material or product is specified. This listing is only to facilitate locating submitted requirements. Do not consider entries in column (e) as limiting project requirements.

Column (f): Indicate approving authority for each submittal.

Thereafter, the Contractor is to track all submittals by maintaining a complete list, including completion of all data columns, including dates on which submittals are received and returned by the Government.

1.7.1 Use of Submittal Register

Submit submittal register with QC plan and project schedule. Verify that all submittals required for project are listed and add missing submittals. Coordinate and complete the following fields on the register submitted with the QC plan and the project schedule:

Column (a) Activity Number: Activity number from the project schedule.

Column (g) Contractor Submit Date: Scheduled date for approving authority to receive submittals.

Column (h) Contractor Approval Date: Date Contractor needs approval of submittal.

Column (i) Contractor Material: Date that Contractor needs material delivered to Contractor control.

1.7.2 Contractor Use of Submittal Register

Update the following fields with each submittal throughout contract.

Column (b) Transmittal Number: Contractor assigned list of consecutive numbers.

Column (j) Action Code (k): Date of action used to record Contractor's review when forwarding submittals to QC.

Column (l) List date of submittal transmission.

Column (q) List date approval received.

1.7.3 Approving Authority Use of Submittal Register

Update the following fields.

Column (b) Transmittal Number: Contractor assigned list of consecutive numbers.

Column (l) List date of submittal receipt.

Column (m) through (p) List Date related to review actions.

Column (q) List date returned to Contractor.

1.7.4 Action Codes

Entries for columns (j) and (o), are to be used are as follows (others may be prescribed by Transmittal Form):

1.9.4.1 Government Review Action Codes

- "A" - "Approved as submitted"; "Completed"
- "B" - "Approved, except as noted on drawings"; "Completed"
- "C" - "Approved, resubmission required"; "Resubmit"
- "D" - "Returned by correspondence"; "Completed"
- "E" - "Disapproved (See attached)"; "Resubmit"
- "F" - "Receipt acknowledged"; "Completed"
- "G" - "Other (Specify)"; "Resubmit"
- "X" - "Receipt acknowledged, does not comply"; "Resubmit"

1.7.5 Copies Delivered to the Government

Deliver one copy of submittal register updated by Contractor to Government with each invoice request.

1.8 SCHEDULING

Schedule and submit concurrently submittals covering component items forming a system or items that are interrelated. Include certifications to be submitted with the pertinent drawings at the same time. No delay damages or time extensions will be allowed for time lost in late submittals.

- a. Coordinate scheduling, sequencing, preparing and processing of submittals with performance of work so that work will not be delayed by submittal processing. Allow for potential resubmittal of requirements.
- b. Submittals called for by the contract documents will be listed on the register. If a submittal is called for but does not pertain to the contract work, the Contractor is to include the submittal in the register and annotate it "N/A" with a brief explanation. Approval by the Contracting Officer does not relieve the Contractor of supplying submittals required by the contract documents but which have been omitted from the register or marked "N/A."
- c. Re-submit register and annotate monthly by the Contractor with actual submission and approval dates. When all items on the register have been fully approved, no further re-submittal is required.
- d. Carefully control procurement operations to ensure that each individual submittal is made on or before the Contractor scheduled submittal date shown on the approved "Submittal Register."
- e. Except as specified otherwise, allow review period, beginning with receipt by approving authority, that includes at least 10 working days for submittals for QC Manager approval and 15 working days for

submittals for Contracting Officer approval. Period of review for submittals with Contracting Officer approval begins when Government receives submittal from QC organization.

- f. For submittals requiring review by fire protection engineer, allow review period, beginning when Government receives submittal from QC organization, of 30 working days for return of submittal to the Contractor.
- g. Period of review for each resubmittal is the same as for initial submittal.

1.8.1 Reviewing, Certifying, Approving Authority

The QC organization is responsible for reviewing and certifying that submittals are in compliance with contract requirements. Approving authority on submittals is QC Manager unless otherwise specified for specific submittal. At each "Submittal" paragraph in individual specification sections, a notation "G," following a submittal item, indicates Contracting Officer is approving authority for that submittal item.

1.8.2 Constraints

Conform to provisions of this section, unless explicitly stated otherwise for submittals listed or specified in this contract.

Submit complete submittals for each definable feature of work. Submit at the same time components of definable feature interrelated as a system.

When acceptability of a submittal is dependent on conditions, items, or materials included in separate subsequent submittals, submittal will be returned without review.

Approval of a separate material, product, or component does not imply approval of assembly in which item functions.

1.8.3 QC Organization Responsibilities

- a. Note date on which submittal was received from Contractor on each submittal.
- b. Review each submittal; and check and coordinate each submittal with requirements of work and contract documents.
- c. Review submittals for conformance with project design concepts and compliance with contract documents.
- d. Act on submittals, determining appropriate action based on QC organization's review of submittal.
 - (1) When QC Manager is approving authority, take appropriate action on submittal from the possible actions defined in paragraph entitled, "Approved/Accepted Submittals," of the section.
 - (2) When Contracting Officer is approving authority or when variation has been proposed, forward submittal to Government with certifying

statement or return submittal marked "not reviewed" or "revise and resubmit" as appropriate. The QC organization's review of submittal determines appropriate action.

- e. Ensure that material is clearly legible.
- f. Stamp each sheet of each submittal with QC certifying statement or approving statement, except that data submitted in bound volume or on one sheet printed on two sides may be stamped on the front of the first sheet only.

(1) When approving authority is Contracting Officer, QC organization will certify submittals forwarded to Contracting Officer with the following certifying statement:

"I hereby certify that the (equipment) (material) (article) shown and marked in this submittal is that proposed to be incorporated with Contract Number _____, is in compliance with the contract drawings and specification, can be installed in the allocated spaces, and is submitted for Government approval.

Certified by Submittal Reviewer _____, Date _____
(Signature when applicable)

Certified by QC Manager _____, Date _____"
(Signature)

(2) When approving authority is QC Manager, QC Manager will use the following approval statement when returning submittals to Contractor as "Approved" or "Approved as Noted."

"I hereby certify that the (material) (equipment) (article) shown and marked in this submittal and proposed to be incorporated with Contract Number _____, is in compliance with the contract drawings and specification, can be installed in the allocated spaces, and is approved for use.

Certified by Submittal Reviewer _____, Date _____
(Signature when applicable)

Approved by QC Manager _____, Date _____"
(Signature)

- g. Sign certifying statement or approval statement. The QC organization member designated in the approved QC plan is the person signing certifying statements. The use of original ink for signatures is required. Stamped signatures are not acceptable.
- h. Update submittal register as submittal actions occur and maintain the submittal register at project site until final acceptance of all work by Contracting Officer.
- i. Retain a copy of approved submittals at project site, including Contractor's copy of approved samples.

1.9 GOVERNMENT APPROVING AUTHORITY

When approving authority is Contracting Officer, the Government will:

- a. Note date on which submittal was received from QC Manager.
- b. Review submittals for approval within scheduling period specified and only for conformance with project design concepts and compliance with contract documents.
- c. Identify returned submittals with one of the actions defined in paragraph entitled, "Review Notations," of this section and with markings appropriate for action indicated.

Upon completion of review of submittals requiring Government approval, stamp and date approved submittals. Four copies of the approved submittal will be retained by the Contracting Officer and two copies of the submittal will be returned to the Contractor.

1.9.1 Review Notations

Contracting Officer review will be completed within 21 calendar days after date of submission. Submittals will be returned to the Contractor with the following notations:

- a. Submittals marked "approved" or "accepted" authorize the Contractor to proceed with the work covered.
- b. Submittals marked "approved as noted" "or approved except as noted, resubmittal not required," authorize the Contractor to proceed with the work covered provided he takes no exception to the corrections.
- c. Submittals marked "not approved" or "disapproved," or "revise and resubmit," indicate noncompliance with the contract requirements or design concept, or that submittal is incomplete. Resubmit with appropriate changes. No work shall proceed for this item until resubmittal is approved.
- d. Submittals marked "not reviewed" will indicate submittal has been previously reviewed and approved, is not required, does not have evidence of being reviewed and approved by Contractor, or is not complete. A submittal marked "not reviewed" will be returned with an explanation of the reason it is not reviewed. Resubmit submittals returned for lack of review by Contractor or for being incomplete, with appropriate action, coordination, or change.

1.10 DISAPPROVED SUBMITTALS

Contractor shall make corrections required by the Contracting Officer. If the Contractor considers any correction or notation on the returned submittals to constitute a change to the contract drawings or specifications; notice as required under the clause entitled, "Changes," is to be given to the Contracting Officer. Contractor is responsible for the dimensions and design of connection details and construction of work. Failure to point out deviations may result in the Government requiring rejection and removal of such work at the Contractor's expense.

If changes are necessary to submittals, the Contractor shall make such revisions and submission of the submittals in accordance with the

procedures above. No item of work requiring a submittal change is to be accomplished until the changed submittals are approved.

1.11 APPROVED/ACCEPTED SUBMITTALS

The Contracting Officer's approval or acceptance of submittals is not be construed as a complete check, and indicates only that the general method of construction, materials, detailing and other information are satisfactory.

Approval or acceptance will not relieve the Contractor of the responsibility for any error which may exist, as the Contractor under the Contractor Quality Control (CQC) requirements of this contract is responsible for dimensions, the design of adequate connections and details, and the satisfactory construction of all work.

After submittals have been approved or accepted by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

1.12 APPROVED SAMPLES

Approval of a sample is only for the characteristics or use named in such approval and is not be construed to change or modify any contract requirements. Before submitting samples, the Contractor to assure that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.

Match the approved samples for materials and equipment incorporated in the work. If requested, approved samples, including those which may be damaged in testing, will be returned to the Contractor, at his expense, upon completion of the contract. Samples not approved will also be returned to the Contractor at its expense, if so requested.

Failure of any materials to pass the specified tests will be sufficient cause for refusal to consider, under this contract, any further samples of the same brand or make of that material. Government reserves the right to disapprove any material or equipment which previously has proved unsatisfactory in service.

Samples of various materials or equipment delivered on the site or in place may be taken by the Contracting Officer for testing. Samples failing to meet contract requirements will automatically void previous approvals. Contractor to replace such materials or equipment to meet contract requirements.

Approval of the Contractor's samples by the Contracting Officer does not relieve the Contractor of his responsibilities under the contract.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

TITLE:	DDAG SRM PAVEMENT REPAIRS PROJECT
JOB NAME:	DDAG SRM PAVEMENT REPAIRS PROJECT
LOCATION:	MARINE CORPS LOGISTICS BASE ALBANY
CONTRACT NO:	W912HP-11-D006-0001
CONTRACTOR:	

SUBMITTAL REGISTER

							CONTRACTOR SUBMITTAL			A/E APPROVING AUTHORITY				GOVT APPROVING AUTHORITY			
Line	Specification Section	Paragraph #	SD-#	SD Type	Submittal Description	Classification: GOVT or A/E Revwr	Submittal #	Submittal Date	Submittal Title	Date Received	Date Returned	Reviewed By	Returned Action (APP / APP W. EXP / DENIED)	Date Received	Date Returned	Reviewed By	Returned Action (APP / APP W. EXP / DENIED)
1	02 41 00	1.6	SD-01	Preconstruction Submittals	Existing Conditions	G											
2	02 41 00	1.6	SD-07	Certificates	Demolition Plan	G											
3	02 41 00	1.6	SD-07	Certificates	Notification	G											
4	02 41 00	1.6	SD-07	Certificates	Notification of Demolition and Renovation Form	G											
5	02 41 00	1.6	SD-11	Closeout Submittals	Receipts												
6	31 00 00	1.5	SD-01	Preconstruction Submittals	Shoring	G											
7	31 00 00	1.5	SD-01	Preconstruction Submittals	Dewatering Work Plan	G											
8	31 00 00	1.5	SD-03	Product Data	Utilization of Excavated materials	G											
9	31 00 00	1.5	SD-03	Product Data	Rock Excavation												
10	31 00 00	1.5	SD-03	Product Data	Opening of any Excavation or Borrow Pit												
11	31 00 00	1.5	SD-03	Product Data	Shoulder Construction												
12	31 00 00	1.5	SD-06	Test Reports	Testing	G											
13	31 00 00	1.5	SD-06	Test Reports	Borrow Site Testing	G											
14	31 00 00	1.5	SD-07	Certificates	Testing	G											
15	31 05 19	1.2	SD-03	Product Data	Thread												
16	31 05 19	1.2	SD-03	Product Data	Manufacturing Quality Control Sampling and Testing												
17	31 05 19	1.2	SD-04	Samples	Quality Assurance Samples and Tests												
18	31 05 19	1.2	SD-07	Certificates	Geotextile												
19	32 01 19	1.3	SD-03	Product Data	Manufacturer's Recommendations	G											
20	32 01 19	1.3	SD-03	Product Data	Equipment												
21	32 01 19	1.3	SD-04	Samples	Materials	G											
22	32 01 19	1.3	SD-06	Test Reports	Certified Copies of the test reports	G											
23	32 01 19.61	1.2	SD-03	Product Data	Joint Sealant												
24	32 01 19.61	1.2	SD-04	Samples	Joint filler												
25	32 01 19.61	1.2	SD-04	Samples	Separating tape												
26	32 01 19.61	1.2	SD-04	Samples	Joint backer rod												
27	32 01 19.61	1.2	SD-04	Samples	Joint sealant												
28	32 01 19.61	1.2	SD-06	Test Reports	Joint Sealant												
29	32 01 19.61	1.2	SD-07	Certificates	Equipment list												
30	32 01 19.61	1.2	SD-08	Manufacturer's Instructions	Joint Sealant												
31	32 01 29.61	1.2	SD-02	Preconstruction Submittals	Shop Drawings	G											
32	32 01 29.61	1.2	SD-03	Product Data	Mix Design	G											
33	32 01 29.61	1.2	SD-03	Product Data	Proprietary Cementitious Products	G											
34	32 01 29.61	1.2	SD-03	Product Data	Pigmented liquid membrane-forming compound	G											
35	32 01 29.61	1.2	SD-04	Samples	Absorbent curing materials	G											
36	32 01 29.61	1.2	SD-04	Samples	Joint filler	G											
37	32 01 29.61	1.2	SD-04	Samples	Joint sealant	G											

TITLE:	DDAG SRM PAVEMENT REPAIRS PROJECT	<h1>SUBMITTAL REGISTER</h1>
JOB NAME:	DDAG SRM PAVEMENT REPAIRS PROJECT	
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CONTRACT NO:	W912HP-11-D006-0001	
CONTRACTOR:		

							CONTRACTOR SUBMITTAL			A/E APPROVING AUTHORITY				GOVT APPROVING AUTHORITY			
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38	32 01 29.61	1.2	SD-05	Design Data	Concrete mix design	G											
39	32 01 29.61	1.2	SD-06	Test Reports	Laboratory Test results	G											
40	32 01 29.61	1.2	SD-06	Test Reports	Aggregates gradation	G											
41	32 01 29.61	1.2	SD-06	Test Reports	cement	G											
42	32 01 29.61	1.2	SD-06	Test Reports	concrete slump	G											
43	32 01 29.61	1.2	SD-06	Test Reports	concrete air content	G											
44	32 01 29.61	1.2	SD-06	Test Reports	concrete strength (cylinder)	G											
45	32 01 29.61	1.2	SD-06	Test Reports	mixer calibration and efficiency	G											
46	32 01 29.61	1.2	SD-07	Certificates	cement	G											
47	32 01 29.61	1.2	SD-07	Certificates	Aggregates gradation	G											
48	32 01 29.61	1.2	SD-07	Certificates	admixtures	G											
49	32 01 29.61	1.2	SD-07	Certificates	absorbent curing material	G											
50	32 01 29.61	1.2	SD-07	Certificates	Pigmented liquid membrane-forming compound	G											
51	32 01 29.61	1.2	SD-07	Certificates	waterproof sheet	G											
52	32 01 29.61	1.2	SD-07	Certificates	Joint filler	G											
53	32 01 29.61	1.2	SD-07	Certificates	Joint Sealant	G											
54	32 11 24	1.3	SD-03	Product Data	Aggregates gradation												
55	32 11 24	1.3	SD-03	Product Data	Local/Regional Materials												
56	32 11 24	1.3	SD-06	Test Reports	Gradation												
57	32 11 24	1.3	SD-06	Test Reports	Bearing Ratio												
58	32 11 24	1.3	SD-06	Test Reports	Liquid Limit												
59	32 11 24	1.3	SD-06	Test Reports	Plasticity index												
60	32 11 24	1.3	SD-06	Test Reports	Percentage of wear												
61	32 11 24	1.3	SD-06	Test Reports	Dry weight of slag												
62	32 11 24	1.3	SD-06	Test Reports	Density												
63	32 11 24	1.3	SD-06	Test Reports	Smoothness												
64	32 11 24	1.3	SD-06	Test Reports	Thickness												
65	32 11 30	1.2	SD-04	Samples	Cured lime-treated material												
66	32 11 30	1.2	SD-04	Samples	Lime												
67	32 11 30	1.2	SD-05	Design Data	Job-mix formula												
68	32 11 30	1.2	SD-05	Design Data	Mixing procedures												
69	32 11 30	1.2	SD-05	Design Data	Analysis of equipment												
70	32 11 30	1.2	SD-06	Test Reports	Site Preparation test												
71	32 11 30	1.2	SD-06	Test Reports	Final compaction report												
72	32 11 30	1.2	SD-06	Test Reports	Field application rate test												
73	32 11 30	1.2	SD-07	Certificates	Bituminous curing seal												
74	32 11 30	1.2	SD-07	Certificates	Lime												
75	32 11 30	1.2	SD-07	Certificates	Contractor equipment list												

TITLE:	DDAG SRM PAVEMENT REPAIRS PROJECT
JOB NAME:	DDAG SRM PAVEMENT REPAIRS PROJECT
LOCATION:	MARINE CORPS LOGISTICS BASE ALBANY
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76	32 12 10	1.4	SD-03	Product Data	Waybills and Delivery Tickets												
77	32 12 10	1.4	SD-03	Product Data	Local/Regional Materials												
78	32 12 10	1.4	SD-06	Test Reports	Sampling and Testing												
79	32 12 17	1.2	SD-05	Design Data	Job-mix formula												
80	32 12 17	1.2	SD-05	Design Data	Asphalt Cement Binder												
81	32 12 17	1.2	SD-05	Design Data	Mix Design												
82	32 12 17	1.2	SD-06	Test Reports	Specific Gravity test of asphalt												
83	32 12 17	1.2	SD-06	Test Reports	Course aggregate tests												
84	32 12 17	1.2	SD-06	Test Reports	weight of slag test												
85	32 12 17	1.2	SD-06	Test Reports	percent of crushed pieces in gravel												
86	32 12 17	1.2	SD-06	Test Reports	fine aggregate tests												
87	32 12 17	1.2	SD-06	Test Reports	specific gravity of mineral filler												
88	32 12 17	1.2	SD-06	Test Reports	bituminous mixture tests												
89	32 12 17	1.2	SD-06	Test Reports	aggregates tests												
90	32 12 17	1.2	SD-06	Test Reports	bituminous mix tests												
91	32 12 17	1.2	SD-06	Test Reports	pavement courses												
92	32 12 17	1.3.2	SD-06	Test Reports	Mock up test section												
93	32 13 13.06	1.3	SD-03	Product Data	Curing materials	G											
94	32 13 13.06	1.3	SD-03	Product Data	Admixtures	G											
95	32 13 13.06	1.3	SD-03	Product Data	Dowel	G											
96	32 13 13.06	1.3	SD-03	Product Data	Reinforcement	G											
97	32 13 13.06	1.3	SD-03	Product Data	Cementitious Materials	G											
98	32 13 13.06	1.3	SD-03	Product Data	Aggregate	G											
99	32 13 13.06	1.3	SD-04	Samples	Field construction mockup												
100	32 13 13.06	1.3	SD-05	Design Data	Concrete mix design	G											
101	32 13 13.06	1.3	SD-06	Test Reports	Aggregate tests	G											
102	32 13 13.06	1.3	SD-06	Test Reports	Concrete slump tests	G											
103	32 13 13.06	1.3	SD-06	Test Reports	air content tests	G											
104	32 13 13.06	1.3	SD-06	Test Reports	flexural strength tests	G											
105	32 13 13.06	1.3	SD-06	Test Reports	Cementitious materials	G											
106	32 13 13.06	1.3	SD-07	Certificates	Read-mixed concrete plant	G											
107	32 13 13.06	1.3	SD-07	Certificates	Batch tickets	G											
108	32 13 13.06	1.3	SD-07	Certificates	Cementitious Materials	G											
109	32 13 13.06	1.3	SD-11	Closeout Submittals	Closeout submittals	G											
110	32 13 45	1.1	SD-01	Preconstruction Submittals	HMWM Resin System Placement Plan	G											
111	32 13 45	1.1	SD-02	Preconstruction Submittals	Public Safety Plan	G											
112	32 13 73	1.3	SD-03	Product Data	Equipment												

TITLE:	DDAG SRM PAVEMENT REPAIRS PROJECT
JOB NAME:	DDAG SRM PAVEMENT REPAIRS PROJECT
LOCATION:	MARINE CORPS LOGISTICS BASE ALBANY
CONTRACT NO:	W912HP-11-D006-0001
CONTRACTOR:	

SUBMITTAL REGISTER

							CONTRACTOR SUBMITTAL			A/E APPROVING AUTHORITY				GOVT APPROVING AUTHORITY			
Line	Specification Section	Paragraph #	SD-#	SD Type	Submittal Description	Classification: GOVT or A/E Revwr	Submittal #	Submittal Date	Submittal Title	Date Received	Date Returned	Reviewed By	Returned Action (APP / APP W. EXP / DENIED)	Date Received	Date Returned	Reviewed By	Returned Action (APP / APP W. EXP / DENIED)
113	32 13 73	1.3	SD-03	Product Data	Manufacturer's Instructions												
114	32 13 73	1.3	SD-04	Samples	Compression Seals	G											
115	32 13 73	1.3	SD-06	Test Reports	Test requirements												

SECTION 01 35 26

GOVERNMENTAL SAFETY REQUIREMENTS

02/12

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SAFETY ENGINEERS (ASSE/SAFE)

- ASSE/SAFE A10.32 (2012) Fall Protection
- ASSE/SAFE A10.34 (2001; R 2012) Protection of the Public on or Adjacent to Construction Sites
- ASSE/SAFE Z359.1 (2007) Safety Requirements for Personal Fall Arrest Systems, Subsystems and Components

ASME INTERNATIONAL (ASME)

- ASME B30.22 (2010) Articulating Boom Cranes
- ASME B30.3 (2012) Tower Cranes
- ASME B30.5 (2011) Mobile and Locomotive Cranes
- ASME B30.8 (2010) Floating Cranes and Floating Derricks

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION (NASA)

- NASA NPG 8621.1 (2004a) NASA Mishap Reporting, Investigating and Record Keeping Policy
- NASA NPG 8715.3 (2004) NASA Safety Manual
- NASA-STD 8719.12 (2011; Change 2) Safety Standard for Explosives, Propellants, and Pyrotechnics

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

- NFPA 10 (2013) Standard for Portable Fire Extinguishers
- NFPA 241 (2013) Standard for Safeguarding Construction, Alteration, and Demolition Operations
- NFPA 306 (2014) Standard for Control of Gas Hazards on Vessels

NFPA 51B (2014) Standard for Fire Prevention During Welding, Cutting, and Other Hot Work

NFPA 70 (2014; AMD 1 2013; Errata 2013; AMD 2 2013) National Electrical Code

NFPA 70E (2012; Errata 2012) Standard for Electrical Safety in the Workplace

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2014) Safety and Health Requirements Manual

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

10 CFR 20 Standards for Protection Against Radiation

29 CFR 1910 Occupational Safety and Health Standards

29 CFR 1910.146 Permit-required Confined Spaces

29 CFR 1910.147 Control of Hazardous Energy (Lock Out/Tag Out)

29 CFR 1915 Confined and Enclosed Spaces and Other Dangerous Atmospheres in Shipyard Employment

29 CFR 1919 Gear Certification

29 CFR 1926 Safety and Health Regulations for Construction

29 CFR 1926.1400 Cranes & Derricks in Construction

29 CFR 1926.16 Rules of Construction

29 CFR 1926.450 Scaffolds

29 CFR 1926.500 Fall Protection

CPL 2.100 (1995) Application of the Permit-Required Confined Spaces (PRCS) Standards, 29 CFR 1910.146

U.S. NAVAL FACILITIES ENGINEERING COMMAND (NAVFAC)

NAVFAC P-307 (2009; Change 1 Mar 2011; Change 2 Aug 2011) Management of Weight Handling Equipment

1.2 DEFINITIONS

- a. Competent Person for Fall Protection. A person who is capable of identifying hazardous or dangerous conditions in the personal fall arrest system or any component thereof, as well as their application

and use with related equipment, and has the authority to take prompt corrective measures to eliminate the hazards of falling.

- b. High Visibility Accident. Any mishap which may generate publicity or high visibility.
- c. Medical Treatment. Treatment administered by a physician or by registered professional personnel under the standing orders of a physician. Medical treatment does not include first aid treatment even though provided by a physician or registered personnel.
- d. Operating Envelope. The area surrounding any crane. Inside this "envelope" is the crane, the operator, riggers and crane walkers, rigging gear between the hook and the load, the load and the crane's supporting structure (ground, rail, etc.).
- e. Recordable Injuries or Illnesses. Any work-related injury or illness that results in:
 - (1) Death, regardless of the time between the injury and death, or the length of the illness;
 - (2) Days away from work (any time lost after day of injury/illness onset);
 - (3) Restricted work;
 - (4) Transfer to another job;
 - (5) Medical treatment beyond first aid;
 - (6) Loss of consciousness; or
 - (7) A significant injury or illness diagnosed by a physician or other licensed health care professional, even if it did not result in (1) through (6) above.
- f. "USACE" property and equipment specified in USACE EM 385-1-1 should be interpreted as Government property and equipment.
- g. Weight Handling Equipment (WHE) Accident. A WHE accident occurs when any one or more of the eight elements in the operating envelope fails to perform correctly during operation, including operation during maintenance or testing resulting in personnel injury or death; material or equipment damage; dropped load; derailment; two-blocking; overload; or collision, including unplanned contact between the load, crane, or other objects. A dropped load, derailment, two-blocking, overload and collision are considered accidents even though no material damage or injury occurs. A component failure (e.g., motor burnout, gear tooth failure, bearing failure) is not considered an accident solely due to material or equipment damage unless the component failure results in damage to other components (e.g., dropped boom, dropped load, roll over, etc.) Any mishap meeting the criteria described above shall be documented in both the Contractor Significant Incident Report (CSIR) and using the NAVFAC prescribed Navy Crane Center (NCC) form submitted within five days both as provided by the Contracting Officer. Comply with additional requirements and procedures for accidents in accordance

with NAVFAC P-307, Section 12.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval and for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Accident Prevention Plan (APP); G

Activity Hazard Analysis (AHA); G

Crane Critical Lift Plan; G

Proof of qualification for Crane Operators; G

SD-06 Test Reports

Notifications and Reports

Submit reports as their incidence occurs, in accordance with the requirements of the paragraph, "Notifications and Reports."

Accident Reports; G

Crane Reports

SD-07 Certificates

Confined Space Entry Permit

Hot work permit

License Certificates

Contractor Safety Self-Evaluation Checklist; G

Certificate of Compliance (Crane)

Submit one copy of each permit/certificate attached to each Daily Quality Control Report.

Machinery & Mechanized Equipment Certification Form

1.4 CONTRACTOR SAFETY SELF-EVALUATION CHECKLIST

Contracting Officer will provide a "Contractor Safety Self-Evaluation

checklist" to the Contractor at the pre-construction conference. Complete the checklist monthly and submit with each request for payment voucher. An acceptable score of 90 or greater is required. Failure to submit the completed safety self-evaluation checklist or achieve a score of at least 90 may result in retention of up to 10 percent of the voucher. Additionally, provide a Monthly Exposure Report and attach to the monthly billing request. This report is a compilation of employee-hours worked each month for all site workers, both prime and subcontractor. Failure to submit the report may result in retention of up to 10 percent of the voucher. The Contracting Officer will submit a copy of the Contractor Safety Self-Evaluation and Monthly Exposure Report to the local safety and occupational health office.

1.5 REGULATORY REQUIREMENTS

In addition to the detailed requirements included in the provisions of this contract, comply with the most recent edition of USACE EM 385-1-1, and the following MCLB ordinances, criteria, rules and regulations. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirements govern.

1.6 SITE QUALIFICATIONS, DUTIES AND MEETINGS

1.6.1 Personnel Qualifications

1.6.1.1 Site Safety and Health Officer (SSHO)

The SSHO must meet the requirements of EM 385-1-1 section 1 and ensure that the requirements of 29 CFR 1926.16 are met for the project. Provide a Safety oversight team that includes a minimum of one (1) person at each project site to function as the Site Safety and Health Officer (SSHO). The SSHO or an equally-qualified Designated Representative/alternate shall be at the work site at all times to implement and administer the Contractor's safety program and government-accepted Accident Prevention Plan. The SSHO's training, experience, and qualifications shall be as required by EM 385-1-1 paragraph 01.A.17, entitled SITE SAFETY AND HEALTH OFFICER (SSHO), and all associated sub-paragraphs.

A Competent Person shall be provided for all of the hazards identified in the Contractor's Safety and Health Program in accordance with the accepted Accident Prevention Plan, and shall be on-site at all times when the work that presents the hazards associated with their professional expertise is being performed. Provide the credentials of the Competent Persons(s) to the the Contracting Officer for acceptance in consultation with the Safety Office.

1.6.1.1.1 Contractor Quality Control (QC) Person:

The Contractor Quality Control Person can be the SSHO on this project.

1.6.1.2 Competent Person for Confined Space Entry

Provide a "Competent Person" to supervise the entry into each confined

space. That individual must meet the requirements and definition of Competent Person as contained in EM 385-1-1.

1.6.1.3 Crane Operators

Meet the crane operators requirements in USACE EM 385-1-1, Section 16 and Appendix I. In addition, for mobile cranes with Original Equipment Manufacturer (OEM) rated capacities of 50,000 pounds or greater, designate crane operators as qualified by a source that qualifies crane operators (i.e., union, a government agency, or an organization that tests and qualifies crane operators). Provide proof of current qualification. In addition, the Contractor shall comply with Contractor Operated Crane Requirements included in the latest revision of document NAVFAC P-307 Section 1.7.2 "Contractor Operated Cranes," and Appendix P, Figure P-1 and with 29 CFR 1926, Subpart CC.

1.6.2 Personnel Duties

1.6.2.1 Site Safety and Health Officer (SSHO)

The SSHO shall:

- a. Conduct daily safety and health inspections and maintain a written log which includes area/operation inspected, date of inspection, identified hazards, recommended corrective actions, estimated and actual dates of corrections. Attach safety inspection logs to the Contractors' daily quality control report.
- b. Conduct mishap investigations and complete required reports. Maintain the OSHA Form 300 and Daily Production reports for prime and sub-contractors.
- c. Maintain applicable safety reference material on the job site.
- d. Attend the pre-construction conference, pre-work meetings including preparatory inspection meeting, and periodic in-progress meetings.
- e. Implement and enforce accepted APPS and AHAs.
- f. Maintain a safety and health deficiency tracking system that monitors outstanding deficiencies until resolution. Post a list of unresolved safety and health deficiencies on the safety bulletin board.
- g. Ensure sub-contractor compliance with safety and health requirements.
- h. Maintain a list of hazardous chemicals on site and their material safety data sheets.

Failure to perform the above duties will result in dismissal of the superintendent, QC Manager, and/or SSHO, and a project work stoppage. The project work stoppage will remain in effect pending approval of a suitable replacement.

1.6.3 Meetings

1.6.3.1 Preconstruction Conference

- a. Contractor representatives who have a responsibility or significant role in accident prevention on the project shall attend the preconstruction conference. This includes the project superintendent, site safety and health officer, quality control supervisor, or any other assigned safety and health professionals who participated in the development of the APP (including the Activity Hazard Analyses (AHAs) and special plans, program and procedures associated with it).
- b. Discuss the details of the submitted APP to include incorporated plans, programs, procedures and a listing of anticipated AHAs that will be developed and implemented during the performance of the contract. This list of proposed AHAs will be reviewed at the conference and an agreement will be reached between the Contractor and the Contracting Officer's representative as to which phases will require an analysis. In addition, establish a schedule for the preparation, submittal, review, and acceptance of AHAs to preclude project delays.
- c. Deficiencies in the submitted APP will be brought to the attention of the Contractor at the preconstruction conference, and the Contractor shall revise the plan to correct deficiencies and re-submit it for acceptance. Do not begin work until there is an accepted APP.

1.6.3.2 Safety Meetings

Conduct and document meetings as required by EM 385-1-1. Attach minutes showing contract title, signatures of attendees and a list of topics discussed to the Contractors' daily quality control report.

1.7 ACCIDENT PREVENTION PLAN (APP)

Use a qualified person to prepare the written site-specific APP. Prepare the APP in accordance with the format and requirements of USACE EM 385-1-1 and as supplemented herein. Cover all paragraph and subparagraph elements in USACE EM 385-1-1, Appendix A, "Minimum Basic Outline for Accident Prevention Plan". Specific requirements for some of the APP elements are described below. The APP shall be job-specific and address any unusual or unique aspects of the project or activity for which it is written. The APP shall interface with the Contractor's overall safety and health program. Include any portions of the Contractor's overall safety and health program referenced in the APP in the applicable APP element and made site-specific. The Government considers the Prime Contractor to be the "controlling authority" for all work site safety and health of the subcontractors. Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the contract and the penalties for noncompliance, coordinating the work to prevent one craft from interfering with or creating hazardous working conditions for other crafts, and inspecting subcontractor operations to ensure that accident prevention responsibilities are being carried out. The APP shall be signed by the person and firm (senior person) preparing the APP, the Contractor, the on-site superintendent, the designated site safety and health officer, the Contractor Quality control Manager, and any designated CSP or CIH.

Submit the APP to the Contracting Officer 15 calendar days prior to the date of the preconstruction conference for acceptance. Work cannot proceed without an accepted APP.

Once accepted by the Contracting Officer, the APP and attachments will be

enforced as part of the contract. Disregarding the provisions of this contract or the accepted APP will be cause for stopping of work, at the discretion of the Contracting Officer, until the matter has been rectified.

Once work begins, changes to the accepted APP shall be made with the knowledge and concurrence of the Contracting Officer, project superintendent, SSHO and quality control manager. Should any severe hazard exposure, i.e. imminent danger, become evident, stop work in the area, secure the area, and develop a plan to remove the exposure and control the hazard. Notify the Contracting Officer within 24 hours of discovery. Eliminate/remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public (as defined by ASSE/SAFE A10.34,) and the environment.

Copies of the accepted plan will be maintained at the Contracting Officer's office and at the job site.

Continuously review and amend the APP, as necessary, throughout the life of the contract. Incorporate unusual or high-hazard activities not identified in the original APP as they are discovered.

1.7.1 EM 385-1-1 Contents

In addition to the requirements outlined in Appendix A of USACE EM 385-1-1, the following is required:

- a. Names and qualifications (resumes including education, training, experience and certifications) of all site safety and health personnel designated to perform work on this project to include the designated site safety and health officer and other competent and qualified personnel to be used such as CSPs, CIHs, STSs, CHSTs. Specify the duties of each position.
- b. Qualifications of competent and of qualified persons. As a minimum, designate and submit qualifications of competent persons for each of the following major areas: excavation; scaffolding; fall protection; hazardous energy; confined space; health hazard recognition, evaluation and control of chemical, physical and biological agents; personal protective equipment and clothing to include selection, use and maintenance.
- c. Confined Space Entry Plan. Develop a confined and/or enclosed space entry plan in accordance with USACE EM 385-1-1, applicable OSHA standards 29 CFR 1910, 29 CFR 1915, and 29 CFR 1926, OSHA Directive CPL 2.100, and any other federal, state and local regulatory requirements identified in this contract. Identify the qualified person's name and qualifications, training, and experience. Delineate the qualified person's authority to direct work stoppage in the event of hazardous conditions. Include procedure for rescue by contractor personnel and the coordination with emergency responders. (If there is no confined space work, include a statement that no confined space work exists and none will be created.)
- d. Crane Critical Lift Plan.
Prepare and sign weight handling critical lift plans for lifts over 75 percent of the capacity of the crane or hoist (or lifts over 50 percent of the capacity of a barge mounted mobile crane's hoists) at any radius of lift; lifts involving more than one crane or hoist; lifts of personnel; and

lifts involving non-routine rigging or operation, sensitive equipment, or unusual safety risks. Submit 15 calendar days prior to on-site work and include the requirements of USACE EM 385-1-1, paragraph 16.H. and the following:

- (1) For lifts of personnel, demonstrate compliance with the requirements of 29 CFR 1926.1400.
 - (2) For barge mounted mobile cranes, barge stability calculations identifying barge list and trim based on anticipated loading; and load charts based on calculated list and trim. The amount of list and trim shall be within the crane manufacturer's requirements.
- e. Fall Protection and Prevention (FP&P) Program Documentation. The program documentation shall be site specific and address all fall hazards in the work place and during different phases of construction. Address how to protect and prevent workers from falling to lower levels when they are exposed to fall hazards above 6 feet. A qualified person for fall protection shall prepare and sign the program documentation. Include fall protection and prevention systems, equipment and methods employed for every phase of work, responsibilities, assisted rescue, self-rescue and evacuation procedures, training requirements, and monitoring methods. Revise the Fall Protection and Prevention Program documentation [every six months] for lengthy projects, reflecting any changes during the course of construction due to changes in personnel, equipment, systems or work habits. Keep and maintain the accepted Fall Protection and Prevention Program documentation at the job site for the duration of the project. Include the Fall Protection and Prevention Program documentation in the Accident Prevention Plan (APP).

The FP&P Plan shall include a Rescue and Evacuation Plan in accordance with USACE EM 385-1-1, Section 21.M. The plan shall include a detailed discussion of the following: methods of rescue; methods of self-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility. Include the Rescue and Evacuation Plan in the Fall Protection and Prevention (FP&P) Plan, and as part of the Accident Prevention Plan (APP).

- f. Site Demolition Plan. The safety and health aspects prepared in accordance with Section 02 41 00 DEMOLITION and referenced sources.
- g. Excavation Plan. The safety and health aspects prepared in accordance with Section 31 00 00 EARTHWORK.

1.8 ACTIVITY HAZARD ANALYSIS (AHA)

The Activity Hazard Analysis (AHA) format shall be in accordance with USACE EM 385-1-1, Section 1. Submit the AHA for review at least 15 calendar days prior to the start of each phase. Format subsequent AHAs as amendments to the APP. The analysis should be used during daily inspections to ensure the implementation and effectiveness of the activity's safety and health controls.

The AHA list will be reviewed periodically (at least monthly) at the Contractor supervisory safety meeting and updated as necessary when procedures, scheduling, or hazards change.

Develop the activity hazard analyses using the project schedule as the

basis for the activities performed. Any activities listed on the project schedule will require an AHA. The AHAs will be developed by the contractor, supplier or subcontractor and provided to the prime contractor for submittal to the Contracting Officer.

1.9 DISPLAY OF SAFETY INFORMATION

Within one calendar day(s) after commencement of work, erect a safety bulletin board at the job site. Where size, duration, or logistics of project do not facilitate a bulletin board, an alternative method, acceptable to the Contracting Officer, that is accessible and includes all mandatory information for employee and visitor review, shall be deemed as meeting the requirement for a bulletin board. Include and maintain information on safety bulletin board as required by EM 385-1-1, section 01.A.06. Additional items required to be posted include:

- a. [Confined space entry permit.](#)
- b. [Hot work permit.](#)

1.10 SITE SAFETY REFERENCE MATERIALS

Maintain safety-related references applicable to the project, including those listed in the article "References." Maintain applicable equipment manufacturer's manuals.

1.11 EMERGENCY MEDICAL TREATMENT

Contractors will arrange for their own emergency medical treatment. Government has no responsibility to provide emergency medical treatment.

1.12 NOTIFICATIONS and REPORTS

1.12.1 Accident Notification

Notify the Contracting Officer as soon as practical, but no more than four hours after any accident meeting the definition of Recordable Injuries or Illnesses or High Visibility Accidents, property damage equal to or greater than \$2,000, or any weight handling equipment accident. Within notification include contractor name; contract title; type of contract; name of activity, installation or location where accident occurred; date and time of accident; names of personnel injured; extent of property damage, if any; extent of injury, if known, and brief description of accident (to include type of construction equipment used, PPE used, etc.). Preserve the conditions and evidence on the accident site until the Government investigation team arrives on-site and Government investigation is conducted.

1.12.2 Accident Reports

- a. Conduct an accident investigation for recordable injuries and illnesses, for Medical Treatment defined in paragraph DEFINITIONS, property damage accidents resulting in at least \$20,000 in damages, and near misses as defined in EM 385-1-1, to establish the root cause(s) of the accident. Complete the applicable [NAVFAC Contractor Incident Reporting System \(CIRS\)](#), and electronically submit via the [NAVFAC Enterprise Safety Applications Management System \(ESAMS\)](#). The

Contracting Officer will provide copies of any required or special forms.

- b. **Near Misses:** Complete the applicable documentation in NAVFAC Contractor Incident Reporting System (CIRS), and electronically submit via the NAVFAC Enterprise Safety Applications Management System (ESAMS).
- c. Conduct an accident investigation for any weight handling equipment accident (including rigging gear accidents) to establish the root cause(s) of the accident, complete the WHE Accident Report (Crane and Rigging Gear) form and provide the report to the Contracting Officer within 30 calendar days of the accident. Do not proceed with crane operations until cause is determined and corrective actions have been implemented to the satisfaction of the contracting officer. The Contracting Officer will provide a blank copy of the accident report form.

1.12.3 Crane Reports

Submit crane inspection reports required in accordance with USACE EM 385-1-1, Appendix I and as specified herein with Daily Reports of Inspections.

1.12.4 Certificate of Compliance

Provide a Certificate of Compliance for each crane entering an activity under this contract (see Contracting Officer for a blank certificate). State within the certificate that the crane and rigging gear meet applicable OSHA regulations (with the Contractor citing which OSHA regulations are applicable, e.g., cranes used in construction, demolition, or maintenance comply with 29 CFR 1926 and USACE EM 385-1-1 Section 16 and Appendix I. Certify on the Certificate of Compliance that the crane operator(s) is qualified and trained in the operation of the crane to be used. Also certify that all of its crane operators working on the DOD activity have been trained in the proper use of all safety devices (e.g., anti-two block devices). Post certifications on the crane.

1.12.5 NA

1.13 HOT WORK

Submit and obtain a written permit prior to performing "Hot Work" (welding, cutting, etc.) or operating other flame-producing/spark producing devices, from the Fire Department. A permit is required from the Explosives Safety Office for work in and around where explosives are processed, stored, or handled. CONTRACTORS ARE REQUIRED TO MEET ALL CRITERIA BEFORE A PERMIT IS ISSUED. Provide at least two (2) twenty (20) pound 4A:20 BC rated extinguishers for normal "Hot Work". All extinguishers shall be current inspection tagged, approved safety pin and tamper resistant seal. It is also mandatory to have a designated FIRE WATCH for any "Hot Work" done at this activity. The Fire Watch shall be trained in accordance with NFPA 51B and remain on-site for a minimum of 30 minutes after completion of the task or as specified on the hot work permit.

When starting work in the facility, require personnel to familiarize themselves with the location of the nearest fire alarm boxes and place in memory the emergency phone number, 229-639-5911. ANY FIRE, NO MATTER HOW

SMALL, SHALL BE REPORTED TO THE RESPONSIBLE FIRE Department IMMEDIATELY.

Obtain services from a NFPA Certified Marine Chemist for "HOT WORK" within or around flammable materials (such as fuel systems, welding/cutting on fuel pipes) or confined spaces (such as sewer wet wells, manholes, vaults, etc.) that have the potential for flammable or explosive atmospheres.

1.14 RADIATION SAFETY REQUIREMENTS

[License Certificates](#) for radiation materials and equipment shall be submitted to the Contracting Officer and Radiation Safety Office (RSO) for all specialized and licensed material and equipment that could cause fatal harm to construction personnel or to the construction project.

Workers shall be protected from radiation exposure in accordance with [10 CFR 20](#). Standards for Protection Against Radiation

Loss of radioactive material shall be reported immediately to the Contracting Officer.

Actual exposure of the radiographic film or unshielding the source shall not be initiated until after 5 p.m. on weekdays.

In instances where radiography is scheduled near or adjacent to buildings or areas having limited access or one-way doors, no assumptions shall be made as to building occupancy. Where necessary, the Contracting Officer will direct the Contractor to conduct an actual building entry, search, and alert. Where removal of personnel from such a building cannot be accomplished and it is otherwise safe to proceed with the radiography, a fully instructed employee shall be positioned inside such building or area to prevent exiting while external radiographic operations are in process. Transportation of Regulated Amounts of Radioactive Material will comply with 49 CFR, Subchapter C, Hazardous Material Regulations. Local Fire authorities and the site Radiation Safety officer (RSO) shall be notified of any Radioactive Material use.

Transmitter Requirements: The base policy concerning the use of transmitters such as radios, cell phones, etc., must be adhered to by all contractor personnel. They must also obey Emissions control (EMCON) restrictions.

1.15 FACILITY OCCUPANCY CLOSURE

Streets, walks, and other facilities occupied and used by the Government shall not be closed or obstructed without written permission from the Contracting Officer.

1.16 SEVERE STORM PLAN

In the event of a severe storm warning, the Contractor must:

- a. Secure outside equipment and materials and place materials that could be damaged in protected areas.
- b. Check surrounding area, including roof, for loose material, equipment, debris, and other objects that could be blown away or against existing facilities.

- c. Ensure that temporary erosion controls are adequate.

1.17 CONFINED SPACE ENTRY REQUIREMENTS.

Contractors entering and working in confined spaces while performing general industry work are required to follow the requirements of OSHA 29 CFR 1926 and comply with the requirements in Section 34 of EM 385-1-1, OSHA 29 CFR 1910, and OSHA 29 CFR 1910.146.

PART 2 PRODUCTS

2.1 CONFINED SPACE SIGNAGE

Provide permanent signs integral to or securely attached to access covers for new permit-required confined spaces. Signs wording: "DANGER--PERMIT-REQUIRED CONFINED SPACE - DO NOT ENTER -" in bold letters a minimum of one inch in height and constructed to be clearly legible with all paint removed. The signal word "DANGER" shall be red and readable from 5 feet.

PART 3 EXECUTION

3.1 CONSTRUCTION AND OTHER WORK

Comply with USACE EM 385-1-1, NFPA 70, NFPA 70E, NFPA 241, the APP, the AHA, Federal and State OSHA regulations, and other related submittals and activity fire and safety regulations. The most stringent standard prevails.

PPE is governed in all areas by the nature of the work the employee is performing. Use personal hearing protection at all times in designated noise hazardous areas or when performing noise hazardous tasks. Safety glasses must be carried/available on each person.

Mandatory PPE includes:

- a. Hard Hat
- b. Appropriate Safety Shoes
- c. Reflective Vests

3.1.1 Hazardous Material Use

Each hazardous material must receive approval from the Contracting Office or their designated representative prior to being brought onto the job site or prior to any other use in connection with this contract. Allow a minimum of 10 working days for processing of the request for use of a hazardous material.

3.1.2 Hazardous Material Exclusions

Notwithstanding any other hazardous material used in this contract, radioactive materials or instruments capable of producing ionizing/non-ionizing radiation (with the exception of radioactive material and devices used in accordance with USACE EM 385-1-1 such as nuclear density meters for compaction testing and laboratory equipment with radioactive sources) as well as materials which contain asbestos, mercury

or polychlorinated biphenyls, di-isocyanates, lead-based paint are prohibited. The Contracting Officer, upon written request by the Contractor, may consider exceptions to the use of any of the above excluded materials. Low mercury lamps used within fluorescent lighting fixtures are allowed as an exception without further Contracting Officer approval. Notify the Radiation Safety Officer (RSO) prior to excepted items of radioactive material and devices being brought on base.

3.1.3 Unforeseen Hazardous Material

The design should have identified materials such as PCB, lead paint, and friable and non-friable asbestos and other OSHA regulated chemicals (i.e. 29 CFR Part 1910.1000). If material, not indicated, that may be hazardous to human health upon disturbance during construction operations is encountered, stop that portion of work and notify the Contracting Officer immediately. Within 14 calendar days the Government will determine if the material is hazardous. If material is not hazardous or poses no danger, the Government will direct the Contractor to proceed without change. If material is hazardous and handling of the material is necessary to accomplish the work, the Government will issue a modification pursuant to "FAR 52.243-4, Changes" and "FAR 52.236-2, Differing Site Conditions."

3.2 PRE-OUTAGE COORDINATION MEETING

Apply for utility outages at least 21 days in advance. As a minimum, the request should include the location of the outage, utilities being affected, duration of outage and any necessary sketches. Special requirements for electrical outage requests are contained elsewhere in this specification section. Once approved, and prior to beginning work on the utility system requiring shut down, attend a pre-outage coordination meeting with the Contracting Officer to review the scope of work and the lock-out/tag-out procedures for worker protection. No work will be performed on energized electrical circuits unless proof is provided that no other means exist.

3.3 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)

Ensure that each employee is familiar with and complies with these procedures and USACE EM 385-1-1, Section 12, Control of Hazardous Energy.

3.4 FALL HAZARD PROTECTION AND PREVENTION PROGRAM

Establish a fall protection and prevention program, for the protection of all employees exposed to fall hazards. Within the program include company policy, identify responsibilities, education and training requirements, fall hazard identification, prevention and control measures, inspection, storage, care and maintenance of fall protection equipment and rescue and evacuation procedures in accordance with ASSE/SAFE Z359.1.

3.4.1 Training

Institute a fall protection training program. As part of the Fall Hazard Protection and Prevention Program, provide training for each employee who might be exposed to fall hazards. Provide training by a competent person for fall protection in accordance with USACE EM 385-1-1, Section 21.B.

3.4.2 Fall Protection Equipment and Systems

Enforce use of the fall protection equipment and systems designated for each specific work activity in the Fall Protection and Prevention Plan and/or AHA at all times when an employee is exposed to a fall hazard. Protect employees from fall hazards as specified in EM 385-1-1, Section 21. In addition to the required fall protection systems, safety skiff, personal floatation devices, life rings etc., are required when working above or next to water in accordance with USACE EM 385-1-1, Paragraphs 21.N through 21.N.04. Personal fall arrest systems are required when working from an articulating or extendible boom, swing stages, or suspended platform. In addition, personal fall arrest systems are required when operating other equipment such as scissor lifts if the work platform is capable of being positioned outside the wheelbase. The need for tying-off in such equipment is to prevent ejection of the employee from the equipment during raising, lowering, or travel. Fall protection must comply with 29 CFR 1926.500, Subpart M, USACE EM 385-1-1 and ASSE/SAFE A10.32.

3.4.2.1 Personal Fall Arrest Equipment

Personal fall arrest equipment, systems, subsystems, and components shall meet ASSE/SAFE Z359.1. Only a full-body harness with a shock-absorbing lanyard or self-retracting lanyard is an acceptable personal fall arrest body support device. Body belts may only be used as a positioning device system (for uses such as steel reinforcing assembly and in addition to an approved fall arrest system). Harnesses shall have a fall arrest attachment affixed to the body support (usually a Dorsal D-ring) and specifically designated for attachment to the rest of the system. Only locking snap hooks and carabiners shall be used. Webbing, straps, and ropes shall be made of synthetic fiber. The maximum free fall distance when using fall arrest equipment shall not exceed 6 feet. The total fall distance and any swinging of the worker (pendulum-like motion) that can occur during a fall shall always be taken into consideration when attaching a person to a fall arrest system.

3.4.3 Fall Protection for Roofing Work

Implement fall protection controls based on the type of roof being constructed and work being performed. Evaluate the roof area to be accessed for its structural integrity including weight-bearing capabilities for the projected loading.

a. Low Sloped Roofs:

- (1) For work within 6 feet of an edge, on low-slope roofs, protect personnel from falling by use of personal fall arrest systems, guardrails, or safety nets. A safety monitoring system is not adequate fall protection and is not authorized.
- (2) For work greater than 6 feet from an edge, erect and install warning lines in accordance with 29 CFR 1926.500 and USACE EM 385-1-1.

b. Steep-Sloped Roofs: Work on steep-sloped roofs requires a personal fall arrest system, guardrails with toe-boards, or safety nets. This requirement also includes residential or housing type construction.

3.4.4 Horizontal Lifelines

Design, install, certify and use under the supervision of a qualified

person horizontal lifelines for fall protection as part of a complete fall arrest system which maintains a safety factor of 2 (29 CFR 1926.500).

3.4.5 Guardrails and Safety Nets

Design, install and use guardrails and safety nets in accordance with EM 385-1-1 and 29 CFR 1926 Subpart M.

3.4.6 Rescue and Evacuation Procedures

When personal fall arrest systems are used, ensure that the mishap victim can self-rescue or can be rescued promptly should a fall occur. Prepare a Rescue and Evacuation Plan and include a detailed discussion of the following: methods of rescue; methods of self-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility. Include the Rescue and Evacuation Plan within the Activity Hazard Analysis (AHA) for the phase of work, in the Fall Protection and Prevention (FP&P) Plan, and the Accident Prevention Plan (APP).

3.5 Not Used

3.6 EQUIPMENT

3.6.1 Material Handling Equipment

- a. Material handling equipment such as forklifts shall not be modified with work platform attachments for supporting employees unless specifically delineated in the manufacturer's printed operating instructions.
- b. The use of hooks on equipment for lifting of material must be in accordance with manufacturer's printed instructions. Additionally, when material handling equipment is used as a crane it must meet NAVFAC P-307 requirements in Sections 1.7.2, "Contractor Operated Cranes," and 12, "Investigation and Reporting of Crane and Rigging Gear Accidents."
- c. Operators of forklifts or power industrial trucks shall be licensed in accordance with OSHA.

3.6.2 Weight Handling Equipment

- a. Equip cranes and derricks as specified in EM 385-1-1, section 16.
- b. Notify the Contracting Officer 15 days in advance of any cranes entering the activity so that necessary quality assurance spot checks can be coordinated. Contractor's operator shall remain with the crane during the spot check.
- c. Comply with the crane manufacturer's specifications and limitations for erection and operation of cranes and hoists used in support of the work. Perform erection under the supervision of a designated person (as defined in ASME B30.5). Perform all testing in accordance with the manufacturer's recommended procedures.

- d. Comply with ASME B30.5 for mobile and locomotive cranes, ASME B30.22 for articulating boom cranes, ASME B30.3 for construction tower cranes, and ASME B30.8 for floating cranes and floating derricks.
- e. Under no circumstance shall a Contractor make a lift at or above 90 percent of the cranes rated capacity in any configuration.
- f. When operating in the vicinity of overhead transmission lines, operators and riggers shall be alert to this special hazard and follow the requirements of USACE EM 385-1-1 Section 11, NAVFAC P-307 Figure 10-3 and ASME B30.5 or ASME B30.22 as applicable.
- g. Do not crane suspended personnel work platforms (baskets) unless the Contractor proves that using any other access to the work location would provide a greater hazard to the workers or is impossible. Do not lift personnel with a line hoist or friction crane.
- h. Inspect, maintain, and recharge portable fire extinguishers as specified in NFPA 10, Standard for Portable Fire Extinguishers.
- i. All employees must keep clear of loads about to be lifted and of suspended loads.
- j. Use cribbing when performing lifts on outriggers.
- k. The crane hook/block must be positioned directly over the load. Side loading of the crane is prohibited.
- l. A physical barricade must be positioned to prevent personnel from entering the counterweight swing (tail swing) area of the crane.
- m. Certification records which include the date of inspection, signature of the person performing the inspection, and the serial number or other identifier of the crane that was inspected shall always be available for review by Contracting Officer personnel.
- n. Written reports listing the load test procedures used along with any repairs or alterations performed on the crane shall be available for review by Contracting Officer personnel.
- o. Certify that all crane operators have been trained in proper use of all safety devices (e.g. anti-two block devices).
- p. Take steps to ensure that wind speed does not contribute to loss of control of the load during lifting operations. Prior to conducting lifting operations set a maximum wind speed at which a crane can be safely operated based on the equipment being used, the load being lifted, experience of operators and riggers, and hazards on the work site. This maximum wind speed determination shall be included as part of the activity hazard analysis plan for that operation.

3.6.3 Equipment and Mechanized Equipment

- a. Proof of qualifications for operator shall be kept on the project site for review.
- b. Manufacture specifications or owner's manual for the equipment shall be

on-site and reviewed for additional safety precautions or requirements that are sometimes not identified by OSHA or USACE EM 385-1-1. Incorporate such additional safety precautions or requirements into the AHAs.

3.6.4 USE OF EXPLOSIVES

Explosives shall not be used or brought to the project site.

3.7 EXCAVATIONS

Soil classification must be performed by a competent person in accordance with 29 CFR 1926 and EM 385-1-1.

3.7.1 Utility Locations

All underground utilities in the work area must be positively identified by a third party, independent, private utility locating company in addition to any station locating service and coordinated with the station utility department.

3.7.2 Utility Location Verification

Physically verify underground utility locations, including utility depth, by hand digging using wood or fiberglass handled tools when any adjacent construction work is expected to come within three feet of the underground system.

3.7.3 Utilities Within and Under Concrete, Bituminous Asphalt, and Other Impervious Surfaces

Utilities located within and under concrete slabs or pier structures, bridges, parking areas, and the like, are extremely difficult to identify. Whenever contract work involves chipping, saw cutting, or core drilling through concrete, bituminous asphalt or other impervious surfaces, the existing utility location must be coordinated with station utility departments in addition to location and depth verification by a third party, independent, private locating company. The third party, independent, private locating company shall locate utility depth by use of Ground Penetrating Radar (GPR), X-ray, bore scope, or ultrasound prior to the start of demolition and construction. Outages to isolate utility systems must be used in circumstances where utilities are unable to be positively identified. The use of historical drawings does not alleviate the contractor from meeting this requirement.

3.8 ELECTRICAL

3.9.1 Portable Extension Cords

Size portable extension cords in accordance with manufacturer ratings for the tool to be powered and protected from damage. Immediately removed from service all damaged extension cords. Portable extension cords shall meet the requirements of EM 385-1-1, NFPA 70E, and OSHA electrical standards.

3.9 WORK IN CONFINED SPACES

Comply with the requirements in Section 34 of USACE EM 385-1-1, OSHA

29 CFR 1910, OSHA 29 CFR 1910.146, OSHA Directive CPL 2.100 and OSHA 29 CFR 1926. Any potential for a hazard in the confined space requires a permit system to be used.

- a. Entry Procedures. Prohibit entry into a confined space by personnel for any purpose, including hot work, until the qualified person has conducted appropriate tests to ensure the confined or enclosed space is safe for the work intended and that all potential hazards are controlled or eliminated and documented. (See Section 34 of USACE EM 385-1-1 for entry procedures.) All hazards pertaining to the space shall be reviewed with each employee during review of the AHA.
- b. Forced air ventilation is required for all confined space entry operations and the minimum air exchange requirements must be maintained to ensure exposure to any hazardous atmosphere is kept below its' action level.
- c. Sewer wet wells require continuous atmosphere monitoring with audible alarm for toxic gas detection.

-- End of Section --

SECTION 01 42 00

SOURCES FOR REFERENCE PUBLICATIONS
08/10

PART 1 GENERAL

1.1 REFERENCES

Various publications are referenced in other sections of the specifications to establish requirements for the work. These references are identified in each section by document number, date and title. The document number used in the citation is the number assigned by the standards producing organization (e.g. ASTM B564 Standard Specification for Nickel Alloy Forgings). However, when the standards producing organization has not assigned a number to a document, an identifying number has been assigned for reference purposes.

1.2 ORDERING INFORMATION

The addresses of the standards publishing organizations whose documents are referenced in other sections of these specifications are listed below, and if the source of the publications is different from the address of the sponsoring organization, that information is also provided. Documents listed in the specifications with numbers which were not assigned by the standards producing organization should be ordered from the source by title rather than by number.

AMERICAN CONCRETE INSTITUTE INTERNATIONAL (ACI)
38800 Country Club Drive
Farmington Hills, MI 48331
Ph: 248-848-3700
Fax: 248-848-3701
E-mail: bkstore@concrete.org
Internet: <http://www.concrete.org>

ACOUSTICAL SOCIETY OF AMERICA (ASA)
2 Huntington Quadrangle, Suite 1N01
Melville, NY 11747-4502
Ph: 516-576-2360
Fax: 516-576-2377
E-mail: asa@aip.org
Internet: <http://asa.aip.org>

AIR CONDITIONING CONTRACTORS OF AMERICA (ACCA)
2800 Shirlington Road, Suite 300
Arlington, VA 22206
Ph: 703-575-4477
Fax: 703-575-4449
E-mail: info@acca.org
Internet: <http://www.acca.org>

AIR DIFFUSION COUNCIL (ADC)
1901 N. Roselle Road, suite 800
Schaumburg, IL 60195
Ph: 847-706-6750

Fax: 847-706-6751
E-mail: info@flexibleduct.org
Internet: <http://www.flexibleduct.org>

AIR MOVEMENT AND CONTROL ASSOCIATION INTERNATIONAL (AMCA)
30 West University Drive
Arlington Heights, IL 60004-1893
Ph: 847-394-0150
Fax: 847-253-0088
E-mail: amca@amca.org
Internet: <http://www.amca.org>

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)
2111 Wilson Blvd, Suite 500
Arlington, VA 22201
Ph: 703-524-8800
Fax: 703-528-3816
E-mail: fdietz@ahrinet.org
Internet: <http://www.ahrinet.org>

ALLIANCE FOR TELECOMMUNICATIONS INDUSTRY SOLUTIONS (ATIS)
1200 G Street, NW, Suite 500
Washington, D.C. 20005
Ph: 202-628-6380
Fax: 202-393-5453
E-mail: doccenter@atis.org
Internet: <http://www.atis.org>

ALUMINUM ASSOCIATION (AA)
National Headquarters
1525 Wilson Boulevard, Suite 600
Arlington, VA 22209
Ph: 703-358-2960
Fax: 703-358-2961
Internet: <http://www.aluminum.org>

AMERICAN ARCHITECTURAL MANUFACTURERS ASSOCIATION (AAMA)
1827 Walden Office Square
Suite 550
Schaumburg, IL 60173-5774
Ph: 847-303-5664
Fax: 847-303-5774
E-mail: webmaster@aamanet.org
Internet: <http://www.aamanet.org>

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)
444 North Capital Street, NW, Suite 249
Washington, DC 20001
Ph: 202-624-5800
Fax: 202-624-5806
E-Mail: info@ashto.org
Internet: <http://www.aashto.org>

AMERICAN ASSOCIATION OF TEXTILE CHEMISTS AND COLORISTS (AATCC)
1 Davis Drive
P.O. Box 12215
Research Triangle Park, NC 27709

Ph: 919-549-8141
Fax: 919-549-8933
E-mail: leonardc@aatcc.org
Internet: <http://www.aatcc.org>

AMERICAN BEARING MANUFACTURERS ASSOCIATION (ABMA)
2025 M Street, NW, Suite 800
Washington, DC 20036
Ph: 202-367-1155
Fax: 202-367-2155
E-mail: info@americanbearings.org
Internet: <http://www.abma-dc.org>

AMERICAN BOILER MANUFACTURERS ASSOCIATION (ABMA/BOIL)
221 Old Courthouse Road Suite 202
Vienna, VA 22182
Ph: 703-356-7171
Fax: 703-356-4543
Internet: <http://www.abma.com>

AMERICAN BUREAU OF SHIPPING (ABS)
16855 Northcase Drive
Houston, TX 77060 USA
Ph: 1-282-877-5800
Internet: <http://www.eagle.org>

AMERICAN CONCRETE PIPE ASSOCIATION (ACPA)
1303 W. Walnut Hill Lane, Suite 305
Irving, TX 75038-3008
Ph: 972-506-7216
Fax: 972-506-7682
E-mail: info@concrete-pipe.org
Internet: <http://www.concrete-pipe.org>

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)
1330 Kemper Meadow Drive
Cincinnati, OH 45240
Ph: 513-742-2020 or 513-742-6163
Fax: 513-742-3355
E-mail: mail@acgih.org
Internet: <http://www.acgih.org>

AMERICAN FOREST & PAPER ASSOCIATION (AF&PA)
American Wood Council
ATTN: Publications Department
1111 Nineteenth Street NW, Suite 800
Washington, DC 20036
Ph: 800-890-7732 or 202-463-2766
Fax: 202-463-2791
E-mail: awcpubs@afandpa.org
Internet: <http://www.awc.org/>

AMERICAN GAS ASSOCIATION (AGA)
400 North Capitol Street N.W.
Suite 450
Washington, D.C. 20001
Ph: 202-824-7000
Fax: 202-824-7115

E-mail: website@aga.org
Internet: <http://www.aga.org>

AMERICAN GEAR MANUFACTURERS ASSOCIATION (AGMA)
1001 N. Fairfax Street, Suite 500
Alexandria, VA 22314-1581
Ph: 703-684-0211
Fax: 703-684-0242
E-mail: websiter@agma.org
Internet: <http://www.agma.org>

AMERICAN HARDBOARD ASSOCIATION (AHA)
1210 West Northwest Highway
Palatine, IL 60067
Ph: 847-934-8800
Fax: 847-934-8803
E-mail: aha@hardboard.org
Internet: <http://domensino/AHA/>

AMERICAN INDUSTRIAL HYGIENE ASSOCIATION (AIHA)
3141 Fairview Park Dr, Suite 777
Falls Church, VA 22042
Tel: 703-849-8888
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E-mail: infonet@aiha.org
Internet: <http://www.aiha.org>

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)
One East Wacker Drive, Suite 700
Chicago, IL 60601-1802
Ph: 312-670-2400
Fax: 312-670-5403
Publications: 800-644-2400
E-mail: pubs@aisc.org
Internet: <http://www.aisc.org>

AMERICAN INSTITUTE OF TIMBER CONSTRUCTION (AITC)
7012 South Revere Parkway, Suite 140
Centennial, CO 80112
Ph: 303-792-9559
Fax: 303-792-0669
E-mail: info@aitc-glulam.org
Internet: <http://www.aitc-glulam.org>

AMERICAN IRON AND STEEL INSTITUTE (AISI)
1140 Connecticut Avenue, NW, Suite 705
Washington, DC 20036
Ph: 202-452-7100
Fax: 202-463-6577
E-mail: webmaster@steel.org
Internet: <http://www.steel.org>

AMERICAN LADDER INSTITUTE (ALI/LADDER)
330 North Wabash Avenue, Suite 2000
Chicago, IL 60611
Tel: 202-367-1217
E-mail: info@americanladderinstitute.org
Internet: <http://www.americanladderinstitute.org>

AMERICAN LUMBER STANDARDS COMMITTEE (ALSC)
P.O. Box 210
Germantown, MD 20875-0210
Ph: 301-972-1700
Fax: 301-540-8004
E-mail: alsc@alsc.org
Internet: <http://www.alsc.org>

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)
1819 L Street, NW, 11th Floor
Washington, DC 20036
Ph: 202-293-8020
Fax: 202-293-9287
E-mail: info@ansi.org
Internet: <http://www.ansi.org/>

AMERICAN NURSERY & LANDSCAPE ASSOCIATION (ANLA)
1200 G Street NW, Suite 800
Washington, DC 20005
Ph: 202-789-2900
Fax: 202-789-1893
E-mail: aflynn@anla.org
Internet: <http://www.anla.org>

AMERICAN PETROLEUM INSTITUTE (API)
1220 L Street, NW
Washington, DC 20005-4070
Ph: 202-682-8000
E-mail: greg.kallio@ihs.com
Internet: <http://www.api.org>

AMERICAN RAILWAY ENGINEERING AND MAINTENANCE-OF-WAY ASSOCIATION
(AREMA)
4501 Forbes Blvd., Suite 130
Lanham, MD 20706
Ph: 301-459-3200
Fax: 301-459-8077
Internet: <http://www.arema.org>

AMERICAN SOCIETY FOR NONDESTRUCTIVE TESTING (ASNT)
1711 Arlingate Lane
P.O. Box 28518
Columbus, OH 43228-0518
Ph: 800-222-2768; 614-274-6003
Fax: 614-274-6899
E-mail: webmaster@asnt.org
Internet: <http://www.asnt.org>

AMERICAN SOCIETY FOR QUALITY (ASQ)
600 North Plankinton Avenue
Milwaukee, WI 53203
-or-
P.O. Box 3005
Milwaukee, WI 53201-3005
Ph: 800-935-2231; 414-272-8575
Fax: 414-272-1734
E-mail: help@asq.org
Internet: <http://www.asq.org>

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)
1801 Alexander Bell Drive
Reston, VA 20191-4400
Ph: 703-295-6300 - 800-548-2723
Fax: 703-295-6333
E-mail: member@asce.org
Internet: <http://www.asce.org>

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING
ENGINEERS (ASHRAE)
1791 Tullie Circle, NE
Atlanta, GA 30329
Ph: 800-527-4723 or 404-636-8400
Fax: 404-321-5478
E-mail: ashrae@ashrae.org
Internet: <http://www.ashrae.org>

AMERICAN SOCIETY OF SAFETY ENGINEERS (ASSE/SAFE)
1800 East Oakton Street
Des Plaines, IL 60018-2187
Ph: 847-699-2929
Fax: 847-768-3434
E-mail: customerservice@asse.org
Internet: <http://www.asse.org>

AMERICAN SOCIETY OF SANITARY ENGINEERING (ASSE)
18927 Hickory Creek Drive, Suite 220
Mokena, IL 60448
Ph: 708-995-3019
Fax: 708-479-6139
E-mail: info@asse-plumbing.org
Internet: <http://www.asse-plumbing.org>

AMERICAN WATER WORKS ASSOCIATION (AWWA)
6666 West Quincy Avenue
Denver, CO 80235
Ph: 800-926-7337
Fax: 303-347-0804
E-mail: smorrison@awwa.org
Internet: <http://www.awwa.org>

AMERICAN WELDING SOCIETY (AWS)
8669 NW 36 Street, #130550 N.W. LeJeune Road
Miami, FL 33166-6672
Ph: 800-443-9353 - 305-443-9353
Fax: 305-443-7559
E-mail: info@aws.org or customerservice@awspubs.com
Internet: <http://www.aws.org>

AMERICAN WOOD COUNCIL (AWC)
222 Catocin Circle SE Suite 201
Leesburg, VA 20175
Ph: 800-890-7732
Fax: 608-232-9354
E-mail: publications@awc.org
Internet: <http://www.awc.org>

AMERICAN WOOD PROTECTION ASSOCIATION (AWPA)

P.O. Box 361784
Birmingham, AL 35236-1784
Ph: 205-733-4077
Fax: 205-733-4075
E-mail: email@awpa.com
Internet: <http://www.awpa.com>

APA - THE ENGINEERED WOOD ASSOCIATION (APA)
7011 South 19th St.
Tacoma, WA 98466-5333
Ph: 253-565-6600
Fax: 253-565-7265
E-mail: help@apawood.org
Internet: <http://www.apawood.org>

ARCHITECTURAL WOODWORK INSTITUTE (AWI)
46179 Westlake Drive, Suite 120
Potomac Falls, VA 20165
Ph: 571-323-3636
Fax: 571-323-3630
E-mail: info@awinet.org
Internet: <http://www.awinet.org>

ARCNET TRADE ASSOCIATION (ATA)
E-M-mail: info@arcnet.com
Internet: <http://www.arcnet.com/index.htm></URL

ASM INTERNATIONAL (ASM)
9639 Kinsman Road
Materials Park, OH 44073-0002
Ph: 440-338-5151
Fax: 440-338-4634
E-mail: memberservicecenter@asminternational.org
Internet:
<http://asmcommunity.asminternational.org/portal/site/www/Home/>

ASME INTERNATIONAL (ASME)
Three Park Avenue, M/S 10E
New York, NY 10016-5990
Ph: 800-854-7179 or 800-843-2763
Fax: 212-591-7674
E-mail: infocentral@asme.org
Internet: <http://www.asme.org>

ASPHALT INSTITUTE (AI)
2696 Research Park Drive
Lexington, KY 40511-8480
Ph: 859-288-4960
Fax: 859-288-4999
E-mail: info@asphaltinstitute.org
Internet: <http://www.asphaltinstitute.org>

ASPHALT ROOFING MANUFACTURER'S ASSOCIATION (ARMA)
529 14th Street, NW
Washington D.C. 20045
Ph: 202-591-2450
Fax: 202-591-2445
Internet: <http://www.asphaltroofing.org>

ASSOCIATED AIR BALANCE COUNCIL (AABC)
1518 K Street, NW
Washington, DC 20005
Ph: 202-737-0202
Fax: 202-638-4833
E-mail: info@aabc.com
Internet: <http://www.aabchq.com>

ASSOCIATION FOR THE ADVANCEMENT OF MEDICAL INSTRUMENTATION (AAMI)
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National Institute of Building Sciences (NIBS)
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Fax: 202-289-1092
Internet: http://www.wbdg.org/references/docs_refs.php

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Internet: <http://www.huduser.org>

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Ph: 202-647-4000
Internet: <http://www.state.gov>

U.S. DEPARTMENT OF TRANSPORTATION (DOT)
1200 New Jersey Ave. SE
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Internet: <http://www.dot.gov>

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)
Ariel Rios Building
1200 Pennsylvania Avenue, N.W.
Washington, DC 20004
Ph: 202-272-0167
for Fax and E-mail see below
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Washington Navy Yard, DC 20374
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Ph: 703-684-2400x7551 (Margaret Richards) or x7211 (Carolyn Chew)
Fax: 703-684-2492
E-mail: mrichards@wef.org(Margaret Richards) or subs@wef.org
(Carolyn Chew)
Internet: <http://www.wef.org>

WATER QUALITY ASSOCIATION (WQA)
4151 Naperville Road
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Ph: 630-505-0160
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E-mail: szrout@wqa.org
Internet: <http://www.wqa.org>

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Internet: <http://www.wwpinstitute.org>

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Internet: <http://www.wdma.com>

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E-mail: wrtb@usa.net
Internet: <http://www.wireropetechnicalboard.org>

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Ph: 530-661-9591 or 800-550-7889
Fax: 530-661-9586
E-mail: info@wmmpa.com
Internet: <http://www.wmmpa.com>

THE WOOLMARK COMPANY (WBI)
The Woolmark Company
1230 Avenue of the Americas, 7th Fl.
New York, NY 10020
Ph: 646-756-2535
internet: <http://www.woolmark.com>

PART 2 PRODUCTS

Not used

PART 3 EXECUTION

Not used

-- End of Section --

SECTION 01 45 00.00 20

QUALITY CONTROL

11/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 52.2 (2012; Errata 2013) Method of Testing General Ventilation Air-Cleaning Devices for Removal Efficiency by Particle Size

ASTM INTERNATIONAL (ASTM)

ASTM D6245 (2012) Using Indoor Carbon Dioxide Concentrations to Evaluate Indoor Air Quality and Ventilation

ASTM D6345 (2010) Selection of Methods for Active, Integrative Sampling of Volatile Organic Compounds in Air

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

ANSI/SMACNA 008 (2007) IAQ Guidelines for Occupied Buildings Under Construction, 2nd Edition

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2008; Errata 1-2010; Changes 1-3 2010; Changes 4-6 2011; Change 7 2012) Safety and Health Requirements Manual

U.S. GREEN BUILDING COUNCIL (USGBC)

LEED GBDC (2009) LEED Reference Guide for Green Building Design and Construction

LEED NC (2009) Leadership in Energy and Environmental Design(tm) New Construction Rating System

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. When used, a designation following the "G" designation identifies

the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES

SD-01 Preconstruction Submittals

Construction Quality Control (QC) Plan; G

Submit a Construction QC Plan prior to start of construction.

SD-07 Certificates

CA Resume

1.3 INFORMATION FOR THE CONTRACTING OFFICER

Prior to commencing work on construction, the Contractor can obtain a single copy set of the current report forms from the Contracting Officer. The report forms will consist of the Contractor Production Report, Contractor Production Report (Continuation Sheet), Contractor Quality Control (CQC) Report, (CQC) Report (Continuation Sheet), Preparatory Phase Checklist, Initial Phase Checklist, Rework Items List, and Testing Plan and Log.

Deliver the following to the Contracting Officer during Construction:

- a. CQC Report: Mail or hand-carry the original (wet signatures) by 10:00 AM the next working day after each day that work is performed and for every seven consecutive calendar days of no-work.
- b. Contractor Production Report: Submit the report electronically by 10:00 AM the next working day after each day that work is performed and for every seven consecutive calendar days of no-work.
- c. Preparatory Phase Checklist: Submit the report electronically in the same manner as the CQC Report for each Preparatory Phase held. Original attached to the original CQC Report and one copy attached to each QC Report copy.
- d. Initial Phase Checklist: [Submit the report electronically in the same manner as the CQC Report for each Initial Phase held.][Original attached to the original CQC Report and one copy attached to each QC Report copy.]

Field Test Reports: Within two working days after the test is performed, submit the report as an electronic attachment to the CQC Report. Mail or hand-carry the original within two working days after the test is performed, attached to the original CQC Report and one copy attached to each QC Report copy.

- f. Monthly Summary Report of Tests: Submit the report as an electronic attachment to the CQC Report at the end of each month.
- g. Testing Plan and Log: [Submit the report as an electronic attachment to the CQC Report, at the end of each month. A copy of the final Testing Plan and Log shall be provided to the OMSI preparer for inclusion into the OMSI documentation.][Mail or hand-carry the original

attached to the last CQC Report of each month and one copy attached to each CQC Report copy. A copy of the final Testing Plan and Log shall be provided to the OMSI preparer for inclusion into the OMSI documentation.]

- h. Rework Items List: Submit lists containing new entries daily, in the same manner as the CQC Report.
- i. CQC Meeting Minutes: Within two working days after the meeting is held, submit the report as an electronic attachment to the CQC Report.
- j. QC Certifications: As required by the paragraph entitled "QC Certifications."

1.4 QC PROGRAM REQUIREMENTS

Establish and maintain a QC program as described in this section. This QC program is a key element in meeting the objectives of NAVFAC Commissioning. The QC program consists of a QC Organization, QC Plan, QC Plan Meeting(s), a Coordination and Mutual Understanding Meeting, QC meetings, three phases of control, submittal review and approval, testing, completion inspections, and QC certifications and documentation necessary to provide materials, equipment, workmanship, fabrication, construction and operations which comply with the requirements of this Contract. The QC program must cover on-site and off-site work and be keyed to the work sequence. No construction work or testing may be performed unless the QC Manager is on the work site. The QC Manager must report to an officer of the firm and not be subordinate to the Project Superintendent or the Project Manager. The QC Manager, Project Superintendent and Project Manager must work together effectively. Although the QC Manager is the primary individual responsible for quality control, all individuals will be held responsible for the quality of work on the job.

1.4.1 Commissioning

Commissioning (Cx) is a systematic process of ensuring that all building systems meet the requirements and perform interactively according to the Contract. The QC Program is a key to this process by coordinating, verifying and documenting measures to achieve the following objectives:

- a. Verify and document that the applicable equipment and systems are installed in accordance with the design intent as expressed through the Contract and according to the manufacturer's recommendations and industry accepted minimum standards.

Verify the Training Plan and training materials are accurate and provide correct instruction and documentation on the critical elements of the products, materials, and systems in the constructed facility. Verify that all identified Government operating personnel are trained.

1.4.2 Acceptance of the Construction Quality Control (QC) Plan

Acceptance of the QC Plan is required prior to the start of construction. The Contracting Officer reserves the right to require changes in the QC Plan and operations as necessary, including removal of personnel, to ensure the specified quality of work. The Contracting Officer reserves the right

to interview any member of the QC organization at any time in order to verify the submitted qualifications. All QC organization personnel are subject to acceptance by the Contracting Officer. The Contracting Officer may require the removal of any individual for non-compliance with quality requirements specified in the Contract.

1.4.3 Preliminary Construction Work Authorized Prior to Acceptance

The only construction work that is authorized to proceed prior to the acceptance of the QC Plan is mobilization of storage and office trailers, temporary utilities, and surveying.

1.4.4 Notification of Changes

Notify the Contracting Officer, in writing, of any proposed changes in the QC Plan or changes to the QC organization personnel, a minimum of 10 work days prior to a proposed change. Proposed changes are subject to acceptance by the Contracting Officer.

1.5 QC ORGANIZATION

1.5.1 QC Manager

1.5.1.1 Duties

Provide a QC Manager at the work site to implement and manage the QC program. In addition to implementing and managing the QC program, the QC Manager may perform the duties of Project Superintendent. The QC Manager is required to attend the QC Plan Meetings, Coordination and Mutual Understanding Meeting, conduct the QC meetings, perform the three phases of control, perform submittal review and approval, ensure testing is performed and provide QC certifications and documentation required in this Contract. The QC Manager is responsible for managing and coordinating the three phases of control and documentation performed by testing laboratory personnel and any other inspection and testing personnel required by this Contract. The QC Manager is the manager of all QC activities.

1.5.1.2 Qualifications

An individual with a minimum of 5 years combined experience in the following positions: Project Superintendent, QC Manager, Project Manager, Project Engineer or Construction Manager on similar size and type construction contracts which included the major trades that are part of this Contract. The individual must have at least two years experience as a QC Manager. The individual must be familiar with the requirements of [EM 385-1-1](#), and have experience in the areas of hazard identification, safety compliance, and sustainability.

A graduate of a four year accredited college or university program in one of the following disciplines: Engineering, Architecture, Construction Management, Engineering Technology, Building Construction, or Building Science, with a minimum of 3 years experience as a Project Superintendent, QC Manager, Project Manager, Project Engineer or Construction Manager on similar size and type construction contracts which included the major trades that are part of this Contract. The individual must have at least two years experience as a QC Manager. The individual must be familiar with the requirements of [EM 385-1-1](#), and have experience in the areas of hazard

identification, safety compliance, and sustainability.

1.5.2 LEED Commissioning Authority

1.5.2.1 NA

1.5.3 Construction Quality Management Training

In addition to the above experience and education requirements, the QC Manager must have completed the course entitled "Construction Quality Management (CQM) for Contractors." If the QC Manager does not have a current certification, they must obtain the CQM for Contractors course certification within 90 days of award. This course is periodically offered by the Naval Facilities Engineering Command and the Army Corps of Engineers. Contact the Contracting Officer for information on the next scheduled class.

1.5.4 Alternate QC Manager Duties and Qualifications

Designate an alternate for the QC Manager at the work site to serve in the event of the designated QC Manager's absence. The period of absence may not exceed two weeks at one time, and not more than 30 workdays during a calendar year. The qualification requirements for the Alternate QC Manager must be the same as for the QC Manager.

1.6 QUALITY CONTROL (QC) PLAN

1.6.1 Construction Quality Control (QC) Plan

1.6.1.1 Requirements

Provide, for acceptance by the Contracting Officer, a Construction QC Plan submitted in a three-ring binder that includes a table of contents, with major sections identified with tabs, with pages numbered sequentially, and that documents the proposed methods and responsibilities for accomplishing commissioning activities during the construction of the project:

- a. QC ORGANIZATION: A chart showing the QC organizational structure.
- b. NAMES AND QUALIFICATIONS: Names and qualifications, in resume format, for each person in the QC organization. Include the CQM for Contractors course certifications for the QC Manager and Alternate QC Manager as required by the paragraphs entitled "Construction Quality Management Training" and "Alternate QC Manager Duties and Qualifications".
- c. DUTIES, RESPONSIBILITY AND AUTHORITY OF QC PERSONNEL: Duties, responsibilities, and authorities of each person in the QC organization.
- d. OUTSIDE ORGANIZATIONS: A listing of outside organizations, such as architectural and consulting engineering firms, that will be employed by the Contractor and a description of the services these firms will provide.
- e. APPOINTMENT LETTERS: Letters signed by an officer of the firm appointing the QC Manager and Alternate QC Manager and stating that they are responsible for implementing and managing the QC program as

described in this Contract. Include in this letter the responsibility of the QC Manager and Alternate QC Manager to implement and manage the three phases of control, and their authority to stop work which is not in compliance with the Contract. Letters of direction are to be issued by the QC Manager to [the Assistant QC Manager and]all other QC Specialists outlining their duties, authorities, and responsibilities. Include copies of the letters in the QC Plan.

- f. SUBMITTAL PROCEDURES AND INITIAL SUBMITTAL REGISTER: Procedures for reviewing, approving, and managing submittals. Provide the name(s) of the person(s) in the QC organization authorized to review and certify submittals prior to approval. Provide the initial submittal of the Submittal Register as specified in Section 01 33 00 SUBMITTAL PROCEDURES.
- g. TESTING LABORATORY INFORMATION: Testing laboratory information required by the paragraphs entitled "Accreditation Requirements", as applicable.
- h. TESTING PLAN AND LOG: A Testing Plan and Log that includes the tests required, referenced by the specification paragraph number requiring the test, the frequency, and the person responsible for each test. Use Government forms to log and track tests.
- i. PROCEDURES TO COMPLETE REWORK ITEMS: Procedures to identify, record, track, and complete rework items. Use Government forms to record and track rework items.
- j. DOCUMENTATION PROCEDURES: Use Government form.
- k. LIST OF DEFINABLE FEATURES: A Definable Feature of Work (DFOW) is a task that is separate and distinct from other tasks and has control requirements and work crews unique to that task. A DFOW is identified by different trades or disciplines and is an item or activity on the construction schedule. Include in the list of DFOWs, but not be limited to, all critical path activities on the NAS. Include all activities for which this specification requires QC Specialists or specialty inspection personnel. Provide separate DFOWs in the Network Analysis Schedule for each design development stage and submittal package.
- l. PROCEDURES FOR PERFORMING THE THREE PHASES OF CONTROL: Identify procedures used to ensure the three phases of control to manage the quality on this project. For each DFOW, a Preparatory and Initial phase checklist will be filled out during the Preparatory and Initial phase meetings. Conduct the Preparatory and Initial Phases and meetings with a view towards obtaining quality construction by planning ahead and identifying potential problems for each DFOW.
- m. PERSONNEL MATRIX: Not Applicable
- n. PROCEDURES FOR COMPLETION INSPECTION: Procedures for identifying and documenting the completion inspection process. Include in these procedures the responsible party for punch out inspection, pre-final inspection, and final acceptance inspection.
- o. TRAINING PROCEDURES AND TRAINING LOG: Not Applicable

- p. ORGANIZATION AND PERSONNEL CERTIFICATIONS LOG: Procedures for coordinating, tracking and documenting all certifications on subcontractors, testing laboratories, suppliers, personnel, etc. QC Manager will ensure that certifications are current, appropriate for the work being performed, and will not lapse during any period of the contract that the work is being performed.

1.7 QC PLAN MEETINGS

Prior to submission of the QC Plan, the QC Manager will meet with the Contracting Officer to discuss the QC Plan requirements of this Contract. The purpose of this meeting is to develop a mutual understanding of the QC Plan requirements prior to plan development and submission and to agree on the Contractor's list of DFOWs.

1.8 COORDINATION AND MUTUAL UNDERSTANDING MEETING

After submission of the QC Plan, and prior to the start of construction, the QC Manager will meet with the Contracting Officer to present the QC program required by this Contract. When a new QC Manager is appointed, the coordination and mutual understanding meeting shall be repeated.

1.8.1 Purpose

The purpose of this meeting is to develop a mutual understanding of the QC details, including documentation, administration for on-site and off-site work, design intent, Cx, environmental requirements and procedures, coordination of activities to be performed, and the coordination of the Contractor's management, production, and QC personnel. At the meeting, the Contractor will be required to explain in detail how three phases of control will be implemented for each DFOW, as well as how each DFOW will be affected by each management plan or requirement as listed below:

- a. Waste Management Plan.
- b. IAQ Management Plan - NA.
- c. Procedures for noise and acoustics management - NA.
- d. Environmental Protection Plan.
- e. Environmental regulatory requirements.

1.8.2 Coordination of Activities

Coordinate activities included in various sections to assure efficient and orderly installation of each component. Coordinate operations included under different sections that are dependent on each other for proper installation and operation. Schedule construction operations with consideration for indoor air quality as specified in the IAQ Management Plan.

1.8.3 Attendees

As a minimum, the Contractor's personnel required to attend include an officer of the firm, the Project Manager, Project Superintendent, QC

Manager, Alternate QC Manager, and subcontractor representatives. Each subcontractor who will be assigned QC responsibilities shall have a principal of the firm at the meeting. Minutes of the meeting will be prepared by the QC Manager and signed by the Contractor, and the Contracting Officer. Provide a copy of the signed minutes to all attendees and shall be included in the QC Plan.

1.9 QC MEETINGS

After the start of construction, conduct weekly QC meetings by the QC Manager at the work site with the Project Superintendent, and the foremen who are performing the work of the DFOWs. The QC Manager is to prepare the minutes of the meeting and provide a copy to the Contracting Officer within two working days after the meeting. The Contracting Officer may attend these meetings. As a minimum, accomplish the following at each meeting:

- a. Review the minutes of the previous meeting.
- b. Review the schedule and the status of work and rework.
- c. Review the status of submittals.
- d. Review the work to be accomplished in the next two weeks and documentation required.
- e. Resolve QC and production problems (RFI, etc.).
- f. Address items that may require revising the QC Plan.
- g. Review Accident Prevention Plan (APP).
- h. Review environmental requirements and procedures.
- i. Review Waste Management Plan.
- k. Review Environmental Management Plan.

1.10 DESIGN REVIEW AND DOCUMENTATION

1.10.1 [Basis of Design and Design Intent](#)

Review the design intent.

1.10.2 [Design Review](#)

Review design documents to verify that each system meets the design intent relative to functionality, water performance, maintainability, sustainability, system cost, and local environmental impacts. Fully document review in written report.

1.10.3 Not Used

1.11 THREE PHASES OF CONTROL

Adequately cover both on-site and off-site work with the Three Phases of

Control and include the following for each DFOW.

1.11.1 Preparatory Phase

Notify the Contracting Officer at least two work days in advance of each preparatory phase meeting. The meeting will be conducted by the QC Manager and attended by [the QC Specialists,]the Project Superintendent, the CA, and the foreman responsible for the DFOW. When the DFOW will be accomplished by a subcontractor, that subcontractor's foreman shall attend the preparatory phase meeting. Document the results of the preparatory phase actions in the daily Contractor Quality Control Report and in the Preparatory Phase Checklist. Perform the following prior to beginning work on each DFOW:

- a. Review each paragraph of the applicable specification sections.
- b. Review the Contract drawings.
- c. Verify that field measurements are as indicated on construction and/or shop drawings before confirming product orders, in order to minimize waste due to excessive materials.
- d. Verify that appropriate shop drawings and submittals for materials and equipment have been submitted and approved. Verify receipt of approved factory test results, when required.
- e. Review the testing plan and ensure that provisions have been made to provide the required QC testing.
- f. Examine the work area to ensure that the required preliminary work has been completed.
- g. Coordinate the schedule of product delivery to designated prepared areas in order to minimize site storage time and potential damage to stored materials.
- h. Arrange for the return of shipping/packaging materials, such as wood pallets, where economically feasible.
- i. Examine the required materials, equipment and sample work to ensure that they are on hand and conform to the approved shop drawings and submitted data.
- j. Discuss specific controls used and construction methods, construction tolerances, workmanship standards, and the approach that will be used to provide quality construction by planning ahead and identifying potential problems for each DFOW.
- k. Review the APP and appropriate Activity Hazard Analysis (AHA) to ensure that applicable safety requirements are met, and that required Safety Data Sheets (SDS) are submitted.
- l. Review and ensure all preliminary work items have been completed and documented.

1.11.2 Initial Phase

Notify the Contracting Officer at least two work days in advance of each initial phase. When construction crews are ready to start work on a DFOW, conduct the initial phase with the Project Superintendent and the foreman responsible for that DFOW. Observe the initial segment of the DFOW to ensure that the work complies with Contract requirements. Document the results of the initial phase in the daily CQC Report and in the Initial Phase Checklist. Repeat the initial phase for each new crew to work on-site, or when acceptable levels of specified quality are not being met. Perform the following for each DFOW:

- a. Establish the quality of workmanship required.
- b. Resolve conflicts.
- c. Ensure that testing is performed by the approved laboratory.
- d. Check work procedures for compliance with the APP and the appropriate AHA to ensure that applicable safety requirements are met.
- e. Review and ensure all preparatory work items have been completed and documented.

1.11.3 Follow-Up Phase

Perform the following for on-going work daily, or more frequently as necessary, until the completion of each DFOW and document in the daily CQC Report:

- a. Ensure the work is in compliance with Contract requirements.
- b. Maintain the quality of workmanship required.
- c. Ensure that testing is performed by the approved laboratory.
- d. Ensure that rework items are being corrected.
- e. Assure manufacturers representatives have performed necessary inspections if required and perform safety inspections.
- f. Review and ensure all work items, testing, and documentation has been completed.

1.11.4 Additional Preparatory and Initial Phases

Conduct additional preparatory and initial phases on the same DFOW if the quality of on-going work is unacceptable, if there are changes in the applicable QC organization, if there are changes in the on-site production supervision or work crew, if work on a DFOW is resumed after substantial period of inactivity, or if other problems develop.

1.11.5 Notification of Three Phases of Control for Off-Site Work

Notify the Contracting Officer at least two weeks prior to the start of the preparatory and initial phases.

1.12 SUBMITTAL REVIEW AND APPROVAL

Procedures for submission, review and approval of submittals are described in Section 01 33 00 SUBMITTAL PROCEDURES.

1.13 TESTING

Except as stated otherwise in the specification sections, perform sampling and testing required under this Contract.

1.13.1 Accreditation Requirements

Construction materials testing laboratories must be accredited by a laboratory accreditation authority and will be required to submit a copy of the Certificate of Accreditation and Scope of Accreditation. The laboratory's scope of accreditation must include the appropriate ASTM standards (E 329, C 1077, D 3666, D 3740, A 880, E 543) listed in the technical sections of the specifications. Laboratories engaged in Hazardous Materials Testing shall meet the requirements of OSHA and EPA. The policy applies to the specific laboratory performing the actual testing, not just the Corporate Office.

1.13.2 Laboratory Accreditation Authorities

Laboratory Accreditation Authorities include the National Voluntary Laboratory Accreditation Program (NVLAP) administered by the National Institute of Standards and Technology at <http://ts.nist.gov/ts/htdocs/210/214/214.htm>, the American Association of State Highway and Transportation Officials (AASHTO) program at <http://www.transportation.org/aashto/home.nsf/frontpage>, International Accreditation Services, Inc. (IAS) at <http://www.iasonline.org>, U. S. Army Corps of Engineers Materials Testing Center (MTC) at <http://www.wes.army.mil/SL/MTC/>, the American Association for Laboratory Accreditation (A2LA) program at <http://www.a2la.org/>, the Washington Association of Building Officials (WABO) at <http://www.wabo.org/> (Approval authority for WABO is limited to projects within Washington State), and the Washington Area Council of Engineering Laboratories (WACEL) at <http://www.wacel.org/labaccred.html> (Approval authority by WACEL is limited to projects within Facilities Engineering Command (FEC) Washington geographical area).

1.13.3 Capability Check

The Contracting Officer retains the right to check laboratory equipment in the proposed laboratory and the laboratory technician's testing procedures, techniques, and other items pertinent to testing, for compliance with the standards set forth in this Contract.

1.13.4 Test Results

Cite applicable Contract requirements, tests or analytical procedures used. Provide actual results and include a statement that the item tested or analyzed conforms or fails to conform to specified requirements. If the item fails to conform, notify the Contracting Officer immediately. Conspicuously stamp the cover sheet for each report in large red letters "CONFORMS" or "DOES NOT CONFORM" to the specification requirements, whichever is applicable. Test results must be signed by a testing laboratory representative authorized to sign certified test reports. Furnish the signed reports, certifications, and other documentation to the Contracting Officer via the QC Manager. Furnish a summary report of field

tests at the end of each month, per the paragraph entitled "INFORMATION FOR THE CONTRACTING OFFICER".

1.13.5 Test Reports and Monthly Summary Report of Tests

Furnish the signed reports, certifications, and a summary report of field tests at the end of each month to the Contracting Officer. Attach a copy of the summary report to the last daily Contractor Quality Control Report of each month.

1.14 QC CERTIFICATIONS

1.14.1 CQC Report Certification

Contain the following statement within the CQC Report: "On behalf of the Contractor, I certify that this report is complete and correct and equipment and material used and work performed during this reporting period is in compliance with the contract drawings and specifications to the best of my knowledge, except as noted in this report."

1.14.2 Invoice Certification

Furnish a certificate to the Contracting Officer with each payment request, signed by the QC Manager, attesting that as-built drawings are current, coordinated and attesting that the work for which payment is requested, including stored material, is in compliance with Contract requirements.

1.14.3 Completion Certification

Upon completion of work under this Contract, the QC Manager shall furnish a certificate to the Contracting Officer attesting that "the work has been completed, inspected, tested and is in compliance with the Contract." Provide a copy of this final QC Certification for completion to the OMSI preparer for inclusion into the OMSI documentation.

1.15 COMPLETION INSPECTIONS

1.15.1 Punch-Out Inspection

Near the completion of all work or any increment thereof, established by a completion time stated in the Contract Clause entitled "Commencement, Prosecution, and Completion of Work," or stated elsewhere in the specifications, the QC Manager and the CA must conduct an inspection of the work and develop a "punch list" of items which do not conform to the approved drawings, specifications and Contract. Include in the punch list any remaining items on the "Rework Items List", which were not corrected prior to the Punch-Out Inspection. Include within the punch list the estimated date by which the deficiencies will be corrected. Provide a copy of the punch list to the Contracting Officer. The QC Manager, or staff, must make follow-on inspections to ascertain that all deficiencies have been corrected. Once this is accomplished, notify the Government that the facility is ready for the Government "Pre-Final Inspection".

1.15.2 Pre-Final Inspection

The Government and QCM will perform this inspection to verify that the facility is complete and ready to be occupied. A Government "Pre-Final

Punch List" will be documented by the CQM as a result of this inspection. The QC Manager will ensure that all items on this list are corrected prior to notifying the Government that a "Final" inspection with the Client can be scheduled. Any items noted on the "Pre-Final" inspection must be corrected in a timely manner and be accomplished before the contract completion date for the work, or any particular increment thereof, if the project is divided into increments by separate completion dates.

1.15.3 Final Acceptance Inspection

Notify the Contracting Officer at least 14 calendar days prior to the date a final acceptance inspection can be held. State within the notice that all items previously identified on the pre-final punch list will be corrected and acceptable, along with any other unfinished Contract work, by the date of the final acceptance inspection. The Contractor must be represented by the QC Manager, the Project Superintendent, the CA, and others deemed necessary. Attendees for the Government will include the Contracting Officer, other FEAD/ROICC personnel, and personnel representing the Client. Failure of the Contractor to have all contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with the Contract Clause entitled "Inspection of Construction."

1.16 DOCUMENTATION

Maintain current and complete records of on-site and off-site QC program operations and activities.

1.16.1 Construction Documentation

Reports are required for each day that work is performed and must [be attached to]the Contractor Quality Control Report prepared for the same day. Maintain current and complete records of on-site and off-site QC program operations and activities. The forms identified under the paragraph "INFORMATION FOR THE CONTRACTING OFFICER" will be used. Reports are required for each day work is performed. Account for each calendar day throughout the life of the Contract. Every space on the forms must be filled in. Use N/A if nothing can be reported in one of the spaces. The Project Superintendent and the QC Manager must prepare and sign the Contractor Production and CQC Reports, respectively. The reporting of work must be identified by terminology consistent with the construction schedule. In the "remarks" sections of the reports, enter pertinent information including directions received, problems encountered during construction, work progress and delays, conflicts or errors in the drawings or specifications, field changes, safety hazards encountered, instructions given and corrective actions taken, delays encountered and a record of visitors to the work site, quality control problem areas, deviations from the QC Plan, construction deficiencies encountered, meetings held. For each entry in the report(s), identify the Schedule Activity No. that is associated with the entered remark.

1.16.2 Quality Control Validation

Establish and maintain the following in a series of three ring binders. Binders shall be divided and tabbed as shown below. These binders must be readily available to the Contracting Officer during all business hours.

- a. All completed Preparatory and Initial Phase Checklists, arranged by

specification section.

- b. All milestone inspections, arranged by Activity Number.
- c. An up-to-date copy of the Testing Plan and Log with supporting field test reports, arranged by specification section.
- d. Copies of all contract modifications, arranged in numerical order. Also include documentation that modified work was accomplished.
- e. An up-to-date copy of the Rework Items List.
- f. Maintain up-to-date copies of all punch lists issued by the QC staff to the Contractor and Sub-Contractors and all punch lists issued by the Government.

1.16.3 Not Used

1.16.4 Testing Plan and Log

As tests are performed, the QC Manager will record on the "Testing Plan and Log" the date the test was performed and the date the test results were forwarded to the Contracting Officer. Attach a copy of the updated "Testing Plan and Log" to the last daily CQC Report of each month, per the paragraph "INFORMATION FOR THE CONTRACTING OFFICER".

1.16.5 Rework Items List

The QC Manager must maintain a list of work that does not comply with the Contract, identifying what items need to be reworked, the date the item was originally discovered, the date the item will be corrected by, and the date the item was corrected. There is no requirement to report a rework item that is corrected the same day it is discovered. Attach a copy of the "Rework Items List" to the last daily CQC Report of each month. The Contractor is responsible for including those items identified by the Contracting Officer.

1.16.6 As-Built Drawings

The QC Manager is required to ensure the as-built drawings, required by Section 01 78 00 CLOSEOUT SUBMITTALS are kept current on a daily basis and marked to show deviations which have been made from the Contract drawings. Ensure each deviation has been identified with the appropriate modifying documentation (e.g. PC No., Modification No., Request for Information No., etc.). The QC Manager must initial each revision. Upon completion of work, the QC Manager will furnish a certificate attesting to the accuracy of the as-built drawings prior to submission to the Contracting Officer.

1.17 NOTIFICATION ON NON-COMPLIANCE

The Contracting Officer will notify the Contractor of any detected non-compliance with the Contract. Take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, shall be deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until

satisfactory corrective action has been taken. No part of the time lost due to such stop orders will be made the subject of claim for extension of time for excess costs or damages by the Contractor.

1.18 Not Used

1.18.1 Not Used

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 PREPARATION

Designate receiving/storage areas for incoming material to be delivered according to installation schedule and to be placed convenient to work area in order to minimize waste due to excessive materials handling and misapplication. Store and handle materials in a manner as to prevent loss

from weather and other damage. Keep materials, products, and accessories covered and off the ground, and store in a dry, secure area. Prevent contact with material that may cause corrosion, discoloration, or staining. Protect all materials and installations from damage by the activities of other trades.

-- End of Section --

SECTION 01 57 19.00 20

TEMPORARY ENVIRONMENTAL CONTROLS

11/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

- EPA 530/F-93/004 (1993; Rev O; Updates I, II, IIA, IIB, and III) Test Methods for Evaluating Solid Waste (Vol IA, IB, IC, and II) (SW-846)
- EPA 833-R-060-04 (2007) Developing Your Storm Water Pollution Prevention Plan, a Guide for Construction Sites

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

- 29 CFR 1910 Occupational Safety and Health Standards
- 29 CFR 1910.120 Hazardous Waste Operations and Emergency Response
- 40 CFR 112 Oil Pollution Prevention
- 40 CFR 112.7 General Requirements for Spill Prevention, Control, and Countermeasure Plans
- 40 CFR 122.26 Storm Water Discharges (Applicable to State NPDES Programs, see section 123.25)
- 40 CFR 241 Guidelines for Disposal of Solid Waste
- 40 CFR 243 Guidelines for the Storage and Collection of Residential, Commercial, and Institutional Solid Waste
- 40 CFR 258 Subtitle D Landfill Requirements
- 40 CFR 260 Hazardous Waste Management System: General
- 40 CFR 261 Identification and Listing of Hazardous Waste
- 40 CFR 262 Standards Applicable to Generators of Hazardous Waste
- 40 CFR 263 Standards Applicable to Transporters of

Hazardous Waste

40 CFR 264	Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 266	Standards for the Management of Specific Hazardous Wastes and Specific Types of Hazardous Waste Management Facilities
40 CFR 268	Land Disposal Restrictions
40 CFR 270	EPA Administered Permit Programs: The Hazardous Waste Permit Program
40 CFR 271	Requirements for Authorization of State Hazardous Waste Programs
40 CFR 272	Approved State Hazardous Waste Management Programs
40 CFR 273	Standards For Universal Waste Management
40 CFR 279	Standards for the Management of Used Oil
40 CFR 280	Technical Standards and Corrective Action Requirements for Owners and Operators of Underground Storage Tanks (UST)
40 CFR 300	National Oil and Hazardous Substances Pollution Contingency Plan
40 CFR 355	Emergency Planning and Notification
40 CFR 372-SUBPART D	Specific Toxic Chemical Listings
40 CFR 60	Standards of Performance for New Stationary Sources
40 CFR 63	National Emission Standards for Hazardous Air Pollutants for Source Categories
40 CFR 761	Polychlorinated Biphenyls (PCBs) Manufacturing, Processing, Distribution in Commerce, and Use Prohibitions
40 CFR 82	Protection of Stratospheric Ozone
49 CFR 171	General Information, Regulations, and Definitions
49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials

Communications, Emergency Response
Information, and Training Requirements

49 CFR 173

Shippers - General Requirements for
Shipments and Packagings

49 CFR 178

Specifications for Packagings

1.2 DEFINITIONS

1.2.1 Sediment

Soil and other debris that have eroded and have been transported by runoff water or wind.

1.2.2 Solid Waste

Garbage, refuse, debris, sludge, or other discharged material, including solid, liquid, semisolid, or contained gaseous materials resulting from domestic, industrial, commercial, mining, or agricultural operations. Types of solid waste typically generated at construction sites may include:

- a. Green waste: The vegetative matter from landscaping, land clearing and grubbing, including, but not limited to, grass, bushes, scrubs, small trees and saplings, tree stumps and plant roots. Marketable trees, grasses and plants that are indicated to remain, be re-located, or be re-used are not included.
- b. Surplus soil: Existing soil that is in excess of what is required for this work, including aggregates intended, but not used, for on-site mixing of concrete, mortars and paving. Contaminated soil meeting the definition of hazardous material or hazardous waste is not included.
- c. Debris: Non-hazardous solid material generated during the construction, demolition, or renovation of a structure which exceeds 2.5 inch particle size that is: a manufactured object; plant or animal matter; or natural geologic material (e.g. cobbles and boulders), broken or removed concrete, masonry, and rock asphalt paving; ceramics; roofing paper and shingles. Inert materials may not be reinforced with or contain ferrous wire, rods, accessories and weldments. A mixture of debris and other material such as soil or sludge is also subject to regulation as debris if the mixture is comprised primarily of debris by volume, based on visual inspection.
- d. Wood: Dimension and non-dimension lumber, plywood, chipboard, hardboard. Treated and/or painted wood that meets the definition of lead contaminated or lead based contaminated paint is not included.
- e. Scrap metal: Scrap and excess ferrous and non-ferrous metals such as reinforcing steel, structural shapes, pipe and wire that are recovered or collected and disposed of as scrap. Scrap metal meeting the definition of hazardous material or hazardous waste is not included.
- f. Paint cans: Metal cans that are empty of paints, solvents, thinners and adhesives. If permitted by the paint can label, a thin dry film may remain in the can.

- g. Recyclables: Materials, equipment and assemblies such as doors, windows, door and window frames, plumbing fixtures, glazing and mirrors that are recovered and sold as recyclable. Metal meeting the definition of lead contaminated or lead based paint contaminated may be included as recyclable if sold to a scrap metal company. Paint cans may not be included as recyclable if sold to a scrap metal company.
- h. Hazardous Waste: By definition, to be a hazardous waste a material must first meet the definition of a solid waste. Hazardous waste and hazardous debris are special cases of solid waste. They have additional regulatory controls and must be handled separately. They are thus defined separately in this document.

Material not regulated as solid waste are: nuclear source or byproduct materials regulated under the Federal Atomic Energy Act of 1954 as amended; suspended or dissolved materials in domestic sewage effluent or irrigation return flows, or other regulated point source discharges; regulated air emissions; and fluids or wastes associated with natural gas or crude oil exploration or production.

1.2.3 Hazardous Debris

As defined in Solid Waste paragraph, debris that contains listed hazardous waste (either on the debris surface, or in its interstices, such as pore structure) per 40 CFR 261; or debris that exhibits a characteristic of hazardous waste per 40 CFR 261.

1.2.4 Chemical Wastes

This includes salts, acids, alkalizes, herbicides, pesticides, and organic chemicals.

1.2.5 Garbage

Refuse and scraps resulting from preparation, cooking, dispensing, and consumption of food.

1.2.6 Hazardous Waste

Any discarded material, liquid, solid, or gas, which meets the definition of hazardous material or is designated hazardous waste by the Environmental Protection Agency or State Hazardous Control Authority as defined in 40 CFR 260, 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, 40 CFR 268, 40 CFR 270, 40 CFR 271, 40 CFR 272, 40 CFR 273, 40 CFR 279, and 40 CFR 280.

1.2.7 Hazardous Materials

Hazardous materials as defined in 49 CFR 171 and listed in 49 CFR 172.

Hazardous material is any material that:

- a. Is regulated as a hazardous material per 49 CFR 173, or
- b. Requires a Material Safety Data Sheet (MSDS) per 29 CFR 1910.120, or
- c. During end use, treatment, handling, packaging, storage, transpiration,

or disposal meets or has components that meet or have potential to meet the definition of a hazardous waste as defined by 40 CFR 261 Subparts A, B, C, or D.

Designation of a material by this definition, when separately regulated or controlled by other instructions or directives, does not eliminate the need for adherence to that hazard-specific guidance which takes precedence over this instruction for "control" purposes. Such material include ammunition, weapons, explosive actuated devices, propellants, pyrotechnics, chemical and biological warfare materials, medical and pharmaceutical supplies, medical waste and infectious materials, bulk fuels, radioactive materials, and other materials such as asbestos, mercury, and polychlorinated biphenyls (PCBs). Nonetheless, the exposure may occur incident to manufacture, storage, use and demilitarization of these items.

1.2.8 Waste Hazardous Material (WHM)

Any waste material which because of its quantity, concentration, or physical, chemical, or infectious characteristics may pose a substantial hazard to human health or the environment and which has been so designated. Used oil not containing any hazardous waste, as defined above, falls under this definition.

1.2.9 Oily Waste

Those materials which are, or were, mixed with used oil and have become separated from that used oil. Oily wastes also means materials, including wastewaters, centrifuge solids, filter residues or sludges, bottom sediments, tank bottoms, and sorbents which have come into contact with and have been contaminated by, used oil and may be appropriately tested and discarded in a manner which is in compliance with other State and local requirements.

This definition includes materials such as oily rags, "kitty litter" sorbent clay and organic sorbent material. These materials may be land filled provided that:

- a. It is not prohibited in other State regulations or local ordinances
- b. The amount generated is "de minimus" (a small amount)
- c. It is the result of minor leaks or spills resulting from normal process operations
- d. All free-flowing oil has been removed to the practical extent possible

Large quantities of this material, generated as a result of a major spill or in lieu of proper maintenance of the processing equipment, are a solid waste. As a solid waste, a hazardous waste determination must be performed prior to disposal. As this can be an expensive process, it is recommended that this type of waste be minimized through good housekeeping practices and employee education.

1.2.10 Regulated Waste

Those solid waste that have specific additional Federal, state, or local controls for handling, storage, or disposal.

1.2.11 Class I and II Ozone Depleting Substance (ODS)

Class I ODS is defined in Section 602(a) of The Clean Air Act and includes the following chemicals:

chlorofluorocarbon-11 (CFC-11)
chlorofluorocarbon-12 (CFC-12)
chlorofluorocarbon-13 (CFC-13)
chlorofluorocarbon-111 (CFC-111)
chlorofluorocarbon-112 (CFC-112)
chlorofluorocarbon-113 (CFC-113)
chlorofluorocarbon-114 (CFC-114)
chlorofluorocarbon-115 (CFC-115)
chlorofluorocarbon-211 (CFC-211)
chlorofluorocarbon-212 (CFC-212)
chlorofluorocarbon-213 (CFC-213)
chlorofluorocarbon-214 (CFC-214)
chlorofluorocarbon-215 (CFC-215)
chlorofluorocarbon-216 (CFC-216)
chlorofluorocarbon-217 (CFC-217)
chlorofluorocarbon-500 (CFC-500)
chlorofluorocarbon-502 (CFC-502)
chlorofluorocarbon-503 (CFC-503)
halon-1211
halon-1301
halon-2402
carbon tetrachloride
methyl bromide
methyl chloroform

Class II ODS is defined in Section 602(s) of The Clean Air Act and includes the following chemicals:

hydrochlorofluorocarbon-21 (HCFC-21)
hydrochlorofluorocarbon-22 (HCFC-22)
hydrochlorofluorocarbon-31 (HCFC-31)
hydrochlorofluorocarbon-121 (HCFC-121)
hydrochlorofluorocarbon-122 (HCFC-122)
hydrochlorofluorocarbon-123 (HCFC-123)
hydrochlorofluorocarbon-124 (HCFC-124)
hydrochlorofluorocarbon-131 (HCFC-131)
hydrochlorofluorocarbon-132 (HCFC-132)
hydrochlorofluorocarbon-133 (HCFC-133)
hydrochlorofluorocarbon-141 (HCFC-141)
hydrochlorofluorocarbon-142 (HCFC-142)
hydrochlorofluorocarbon-221 (HCFC-221)
hydrochlorofluorocarbon-222 (HCFC-222)
hydrochlorofluorocarbon-223 (HCFC-223)
hydrochlorofluorocarbon-224 (HCFC-224)
hydrochlorofluorocarbon-225 (HCFC-225)
hydrochlorofluorocarbon-226 (HCFC-226)
hydrochlorofluorocarbon-231 (HCFC-231)
hydrochlorofluorocarbon-232 (HCFC-232)
hydrochlorofluorocarbon-233 (HCFC-233)
hydrochlorofluorocarbon-234 (HCFC-234)
hydrochlorofluorocarbon-235 (HCFC-235)
hydrochlorofluorocarbon-251 (HCFC-251)
hydrochlorofluorocarbon-252 (HCFC-252)

hydrochlorofluorocarbon-253 (HCFC-253)
hydrochlorofluorocarbon-261 (HCFC-261)
hydrochlorofluorocarbon-262 (HCFC-262)
hydrochlorofluorocarbon-271 (HCFC-271)

1.2.12 Universal Waste

The universal waste regulations streamline collection requirements for certain hazardous wastes in the following categories: batteries, pesticides, mercury-containing equipment (e.g., thermostats) and lamps (e.g., fluorescent bulbs). The rule is designed to reduce hazardous waste in the municipal solid waste (MSW) stream by making it easier for universal waste handlers to collect these items and send them for recycling or proper disposal. These regulations can be found at [40 CFR 273](#).

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section [01 33 00](#)
 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Preconstruction Survey

Solid Waste Management Plan and Permit; G

Regulatory Notifications; G

Environmental Protection Plan; G

Storm Water Pollution Prevention Plan; G

Storm Water Notice of Intent (for NPDES coverage under the general permit for construction activities); G

Dirt and Dust Control Plan

Contractor Hazardous Material Inventory Log; G

SD-06 Test Reports

Laboratory Analysis

Disposal Requirements

Erosion and Sediment Control Inspection Reports

Storm Water Inspection Reports for General Permit

Solid Waste Management Report

SD-07 Certificates

Contractor 40 CFR employee training records

ECATTS certificate of completion

SD-11 Closeout Submittals

Some of the records listed below are also required as part of other submittals. For the "Records" submittal, maintain on-site a separate three-ring Environmental Records binder and submit at the completion of the project. Make separate parts to the binder corresponding to each of the applicable sub items listed below.

Storm Water Pollution Prevention Plan compliance notebook; G

Waste Determination Documentation

Disposal Documentation for Hazardous and Regulated Waste

Contractor 40 CFR Employee Training Records

Solid Waste Management Permit

Solid Waste Management Report

Contractor Hazardous Material Inventory Log

Hazardous Waste/Debris Management

Regulatory Notifications

1.4 ENVIRONMENTAL PROTECTION REQUIREMENTS

Provide and maintain, during the life of the contract, environmental protection as defined. Plan for and provide environmental protective measures to control pollution that develops during normal construction practice. Plan for and provide environmental protective measures required to correct conditions that develop during the construction of permanent or temporary environmental features associated with the project. Comply with Federal, State, and local regulations pertaining to the environment, including water, air, solid waste, hazardous waste and substances, oily substances, and noise pollution.

The Contractor may be required to promptly conduct tests and procedures for the purpose of assessing whether construction operations are in compliance with Applicable Environmental Laws. Analytical work shall be done by qualified laboratories; and where required by law, the laboratories shall be certified.

1.4.1 Environmental Compliance Assessment Training and Tracking System (ECATTS)

The QC Manager is responsible for environmental compliance on projects unless an Environmental Manager is named. The QC Manager must complete ECATTS training prior to starting respective portions of on-site work under this contract. If personnel changes occur for any of these positions after starting work, replacement personnel must complete ECATTS training within 14 days of assignment to the project

Submit an [ECATTS certificate of completion](#) for personnel who have completed the required "Environmental Compliance Assessment Training and Tracking System (ECATTS)" training. This training is web-based and can be accessed from any computer with Internet access using the following instructions.

Register for NAVFAC Environmental Compliance Training and Tracking System, by logging on to <http://navfac.ecatts.com/>. Obtain the password for registration from the Contracting Officer.

This training has been structured to allow contractor personnel to receive credit under this contract and also to carry forward credit to future contracts. Contractors shall ensure that the QC Manager review their training plans for new modules or updated training requirements prior to beginning work. Some training modules are tailored for specific State regulatory requirements; therefore, Contractors working in multiple states will be requires to re-take modules tailored to the state where the contract work is being performed.

ECATTS is available for use by all contractor and subcontractor personnel associated with this project. These other personnel are encouraged (but not required) to take the training and may do so at their discretion.

1.4.2 Conformance with the Environmental Management System

Perform work under this contract consistent with the policy and objectives identified in the installation's Environmental Management System (EMS). Perform work in a manner that conforms to objectives and targets, environmental programs and operational controls identified by the EMS. Provide monitoring and measurement information as necessary to address environmental performance relative to environmental, energy, and transportation management goals. In the event an EMS nonconformance or environmental noncompliance associated with the contracted services, tasks, or actions occurs, take corrective and/or preventative actions. In addition, ensure that employees are aware of their roles and responsibilities under the EMS and how these EMS roles and responsibilities affect work performed under the contract.

Ensure that employees receive applicable environmental and occupational health and safety training, and keep up to date on regulatory required specific training for the type of work to be conducted onsite. All on-site Contractor personnel, and their subcontractor personnel, performing tasks that have the potential to cause a significant environmental impact shall be competent on the basis of appropriate education, training or experience.

1.5 QUALITY ASSURANCE

1.5.1 Preconstruction Survey

Perform a [Preconstruction Survey](#) of the project site with the Contracting Officer, and take photographs showing existing environmental conditions in

and adjacent to the site. Submit a report for the record.

1.5.2 Regulatory Notifications

The Contractor is responsible for all regulatory notification requirements in accordance with Federal, State and local regulations. In cases where the Navy must also provide public notification (such as stormwater permitting), coordinate with the Contracting Officer. Submit copies of all regulatory notifications to the Contracting Officer prior to commencement of work activities. Typically, regulatory notifications must be provided for the following (this listing is not all inclusive): demolition, renovation, NPDES defined site work, remediation of controlled substances (asbestos, hazardous waste, lead paint).

1.5.3 Environmental Brief

Attend an environmental brief to be included in the preconstruction meeting. Provide the following information: types, quantities, and use of hazardous materials that will be brought onto the activity; types and quantities of wastes/wastewater that may be generated during the contract. Discuss the results of the Preconstruction Survey at this time.

Prior to initiating any work on site, meet with the Contracting Officer and activity environmental staff to discuss the proposed Environmental Protection Plan. Develop a mutual understanding relative to the details of environmental protection, including measures for protecting natural resources, required reports, required permits, permit requirements, and other measures to be taken.

1.5.4 Environmental Manager

Appoint in writing an Environmental Manager for the project site. The Environmental Manager will be directly responsible for coordinating contractor compliance with Federal, State, local, and station requirements. The Environmental Manager will ensure compliance with Hazardous Waste Program requirements (including hazardous waste handling, storage, manifesting, and disposal); implement the Environmental Protection Plan; ensure that all environmental permits are obtained, maintained, and closed out; ensure compliance with Storm Water Program Management requirements; ensure compliance with Hazardous Materials (storage, handling, and reporting) requirements; and coordinate any remediation of regulated substances (lead, asbestos, PCB transformers). This can be a collateral position; however the person in this position must be trained to adequately accomplish the following duties: ensure waste segregation and storage compatibility requirements are met; inspect and manage Satellite Accumulation areas; ensure only authorized personnel add wastes to containers; ensure all Contractor personnel are trained in 40 CFR requirements in accordance with their position requirements; coordinate removal of waste containers; and maintain the Environmental Records binder and required documentation, including environmental permits compliance and close-out.

1.5.5 Contractor 40 CFR Employee Training Records

Prepare and maintain employee training records throughout the term of the contract meeting applicable 40 CFR requirements.

1.6 ENVIRONMENTAL PROTECTION PLAN (EPP)

Prior to initiating any work on site, meet with the Contracting Officer to discuss the proposed Environmental Protection Plan and develop a mutual understanding relative to the details of environmental protection, including measures for protecting natural resources, required reports, and other measures to be taken. The Environmental Protection Plan must incorporate construction related objectives and targets from the installation's Environmental Management System. Submit the Environmental Protection Plan in the following format and include the elements specified below.

a. Description of the Environmental Protection Plan

(1) General overview and purpose

(a) A brief description of each specific plan required by environmental permit or elsewhere in this contract.

(b) The duties and level of authority assigned to the person(s) on the job site that oversee environmental compliance.

(c) A copy of any standard or project specific operating procedures that will be used to effectively manage and protect the environment on the project site.

(d) Communication and training procedures that will be used to convey environmental management requirements to contractor employees and subcontractors.

(e) Emergency contact information contact information (office phone number, cell phone number, and e-mail address).

(2) General site information

(3) A letter signed by an officer of the firm appointing the Environmental Manager and stating that he/she is responsible for managing and implementing the Environmental Program as described in this contract. Include in this letter the Environmental Manager's authority to direct the removal and replacement of non-conforming work.

b. Management of Natural Resources

(1) Land resources

(2) Tree protection

(3) Replacement of damaged landscape features

(4) Temporary construction

(5) Stream crossings

(6) Fish and wildlife resources

(7) Wetland areas

c. Protection of Historical and Archaeological Resources

- (1) Objectives
 - (2) Methods
- d. Storm Water Management and Control
- (1) Ground cover
 - (2) Erodible soils
 - (3) Temporary measures
 - (a) Mechanical retardation and control of runoff
 - (b) Vegetation and mulch
 - (4) Effective selection, implementation and maintenance of Best Management Practices (BMPs).
- e. Protection of the Environment from Waste Derived from Contractor Operations
- (1) Control and disposal of solid and sanitary waste. If Section 01 74 19 CONSTRUCTION AND DEMOLITION WASTE MANAGEMENT is included in the contract, submit the plan required by that section as part of the Environmental Protection Plan.
 - (2) Control and disposal of hazardous waste (Hazardous Waste Management Section)

This item will consist of the management procedures for all hazardous waste to be generated. The elements of those procedures will coincide with the Activity Hazardous Waste Management Plan. A copy of the Activity Hazardous Waste Management Plan will be provided by the Contracting Officer. As a minimum, include the following:

 - (a) Procedures to be employed to ensure a written waste determination is made for appropriate wastes which are to be generated;
 - (b) Sampling/analysis plan;
 - (c) Methods of hazardous waste accumulation/storage (i.e., in tanks and/or containers);
 - (d) Management procedures for storage, labeling, transportation, and disposal of waste (treatment of waste is not allowed unless specifically noted);
 - (e) Management procedures and regulatory documentation ensuring disposal of hazardous waste complies with Land Disposal Restrictions (40 CFR 268);
 - (f) Management procedures for recyclable hazardous materials such as lead-acid batteries, used oil, and the like;

- (g) Used oil management procedures in accordance with 40 CFR 279;
- (h) Pollution prevention\hazardous waste minimization procedures;
- (i) Plans for the disposal of hazardous waste by permitted facilities;
- (j) Procedures to be employed to ensure all required employee training records are maintained.

f. Prevention of Releases to the Environment

- (1) Procedures to prevent releases to the environment
- (2) Notifications in the event of a release to the environment

g. Regulatory Notification and Permits

List what notifications and permit applications must be made. Some permits require up to 90 days to obtain. Demonstrate that those permits have been obtained or applied for by including copies of all applicable, environmental permits. The Plan will not be approved until all permits have been obtained.

h. Clean Air Act Compliance

- (1) Identify air pollution generating equipment or processes that may require federal, state, or local permits under the clean air act.
- (2) Identify portable and stationary internal combustion engines (ICE's) that will be supplied, utilized or serviced. Address compliance with 40 CFR 60 Subpart IIII, 40 CFR 63 Subpart ZZZZ, and local regulations as applicable. At minimum, include the make, model, serial number, manufacture date, size (engine bhp), and EPA emission certification status of each engine.
- (3) Identify management practices to ensure that HVAC work involving refrigerants complies with 40 CFR 82 requirements.
- (4) Identify planned air pollution generating processes and management control measures (including but not limited to spray painting, abrasive blasting, demolition, material handling, fugitive dust, and fugitive emissions)

1.6.1 Environmental Protection Plan Review

Within thirty days after the Contract award date, submit the proposed Environmental Protection Plan for review and approval. Commencement of work will not begin until the environmental protection plan has been approved.

1.6.2 Licenses and Permits

Obtain licenses and permits pursuant to the "Permits and Responsibilities" FAR Clause 52.236-7.

No permits will be obtained by the Contracting Officer.

Where required by the State regulatory authority, the inspections and certifications will be provided through the services of a Professional Engineer (PE), registered in the State where the work is being performed. Where a PE is not required, the individual must be otherwise qualified by other current State licensure, specific training and prior experience (minimum 5 years). As a part of the quality control plan, which is required to be submitted for approval by the quality control section, provide a sub item containing the name, appropriate professional registration or licence number, address, and telephone number of the professionals or other qualified persons who will be performing the inspections and certifications for each permit.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 PROTECTION OF NATURAL RESOURCES

Preserve the natural resources within the project boundaries and outside the limits of permanent work. Restore to an equivalent or improved condition upon completion of work. Confine construction activities to within the limits of the work indicated or specified. If the work is near streams, lakes, or other waterways, conform to the national permitting requirements of the Clean Water Act.

Do not disturb fish and wildlife. Do not alter water flows or otherwise significantly disturb the native habitat adjacent to the project and critical to the survival of fish and wildlife, except as indicated or specified.

Except in areas to be cleared, do not remove, cut, deface, injure, or destroy trees or shrubs without the Contracting Officer's permission. Do not fasten or attach ropes, cables, or guys to existing nearby trees for anchorages unless authorized by the Contracting Officer. Where such use of attached ropes, cables, or guys is authorized, the Contractor will be responsible for any resultant damage.

Protect existing trees which are to remain and which may be injured, bruised, defaced, or otherwise damaged by construction operations. Remove displaced rocks from uncleared areas. By approved excavation, remove trees with 30 percent or more of their root systems destroyed. Remove trees and other landscape features scarred or damaged by equipment operations, and replace with equivalent, undamaged trees and landscape features. Obtain Contracting Officer's approval before replacement.

The Contracting Officer's approval is required before any equipment will be permitted to ford live streams. In areas where frequent crossings are required, install temporary culverts or bridges. Obtain Contracting Officer's approval prior to installation. Remove temporary culverts or bridges upon completion of work, and repair the area to its original condition unless otherwise required by the Contracting Officer.

3.1.1 Erosion and Sediment Control Measures

3.1.1.1 Burnoff

Burnoff of the ground cover is not permitted.

3.1.1.2 Protection of Erodible Soils

Immediately finish the earthwork brought to a final grade, as indicated or specified. Immediately protect the side slopes and back slopes upon completion of rough grading. Plan and conduct earthwork to minimize the duration of exposure of unprotected soils.

3.1.1.3 Temporary Protection of Erodible Soils

Use the following methods to prevent erosion and control sedimentation:

a. Mechanical Retardation and Control of Runoff

Mechanically retard and control the rate of runoff from the construction site. This includes construction of diversion ditches, benches, berms, and use of silt fences and straw bales to retard and divert runoff to protected drainage courses.

b. Sediment Basins

- (1) Trap sediment in temporary sediment basins. Select a basin size to accommodate the runoff of a local 10-year storm. Pump dry and remove the accumulated sediment, after each storm. Use a paved weir or vertical overflow pipe for overflow. Remove collected sediment from the site. Institute effluent quality monitoring programs.
- (2) Install, inspect, and maintain best management practices (BMPs) as required by the general permit. Prepare BMP Inspection Reports as required by the general permit. If required by the permit, include those inspection reports.

c. Vegetation and Mulch

- (1) Provide temporary protection on sides and back slopes as soon as rough grading is completed or sufficient soil is exposed to require erosion protection. Protect slopes by accelerated growth of permanent vegetation, temporary vegetation, mulching, or netting. Stabilize slopes by hydroseeding, anchoring mulch in place, covering with anchored netting, sodding, or such combination of these and other methods necessary for effective erosion control.
- (2) Seeding: Provide new seeding where ground is disturbed. Include topsoil or nutriment during the seeding operation necessary to establish or reestablish a suitable stand of grass.

3.1.2 Erosion and Sediment Control Inspection Reports

Submit "[Erosion and Sediment Control Inspection Reports](#)" (E&S) and [Storm Water Inspection Reports for General Permit](#) for General Permit to the Contracting Officer once every 7 calendar days and within 24 hours of a storm event that produces [0.5 inch](#) or more of rain.

Note erosion control inspection reports may be compiled as part of a stormwater pollution prevention plan inspection reports if applicable.

3.1.2.1 Storm Water Notice of Intent for Construction Activities and Storm Water Pollution Prevention Plan

Submit a [Storm Water Notice of Intent \(for NPDES coverage under the general permit for construction activities\)](#) and a [Storm Water Pollution Prevention Plan \(SWPPP\)](#) for the project to the Contracting Officer prior and gain approval prior to the commencement of work. The SWPPP must meet the requirements of the EPA or State general permit for storm water discharges from construction sites. Submit the SWPPP along with any required Notice of Intents, Notice of Termination, and appropriate permit fees, via the Contracting Officer, to the appropriate Georgia EPD for approval, a minimum of 14 calendar days prior to the start of any land disturbing activities. Maintain an approved copy of the SWPPP at the construction on-site office, and continually update as regulations require, reflecting current site conditions.

Coverage under this permit requires the contractor prepare a Storm Water Pollution Prevention Plan (SWPPP), prepare and submit a Registration Statement as a co-permittee with the Construction Officer, and provide the permit fee to the responsible state agency before any land disturbing activities begin. File for permit coverage on behalf of both the Construction Officer and themselves, and file a Notice of Termination once construction is complete and the site is stabilized with a final sustainable cover.

Under the terms and conditions of the permit, the Contractor may be required to install, inspect, maintain best management practices (BMPs), and submit stormwater BMP inspection reports and stormwater pollution prevention plan inspection reports. Ensure construction operations and management are constantly in compliance with the terms and conditions of the general permit for storm water discharges from construction activities.

a. The SWPPP shall:

- (1) Identify potential sources of pollution which may be reasonably expected to affect the quality of storm water discharge from the site.
- (2) Describe and ensure implementation of practices which will be used to reduce the pollutants in storm water discharge from the site.
- (3) Ensure compliance with terms of the EPA or State general permit for storm water discharge.
- (4) Select applicable best management practices from [EPA 833-R-060-04](#).
- (5) Include a completed copy of the Registration Statement, BMP Inspection Report Template and Notice of Termination except for the effective date.
- (6) Storm Water Pollution Prevention Measures and Notice of Intent [40 CFR 122.26](#), [EPA 833-R-060-04](#). Provide a "Storm Water Pollution Prevention Plan" (SWPPP) for the project. The SWPPP will meet the

requirements of the EPA or State general permit for storm water discharges from construction sites. Submit the SWPPP along with any required Notice of Intents, Notice of Termination, and appropriate permit fees, via the Contracting Officer, to the appropriate Federal or State agency for approval, a minimum of 14 calendar days prior to the start of construction. A copy of the approved SWPPP will be kept at the construction on-site office, and continually updated as regulations require reflecting current site conditions.

3.1.2.2 Storm Water Pollution Prevention Plan Compliance Notebook

Create and maintain a three binder of documents that demonstrate compliance with the Stormwater Construction Activity permit. The binder shall include a copy of the permit Registration Statement, proof of permit fee payment, SWPPP and SWPPP update amendments, inspection reports, copies of correspondence with Georgia EPD and a copy of the permit Notice of Termination. At the completion of the project the folder shall become the property of the Government. Provide the compliance notebook to Contracting Officer. Provide an advance copy of the Registration Statement to the Contracting Officer immediately after the form is presented to the permitting agency.

3.1.3 Stormwater Drainage and Construction Dewatering

There will be no discharge of excavation ground water to the sanitary sewer, storm drains, or to the river without prior specific authorization of the Environmental Division in writing. Discharge of hazardous substances will not be permitted under any circumstances.

Construction site runoff will be prevented from entering any storm drain or the river directly by the use of straw bales or other method suitable to the Environmental Division. Contractor will provide erosion protection of the surrounding soils.

Construction Dewatering shall not be discharged to the sanitary sewer. If the construction dewatering is noted or suspected of being contaminated, it may only be released to the storm drain system if the discharge is specifically permitted. Authorization for any contaminated groundwater release shall be obtained in advance from the base Environmental Officer. Discharge of hazardous substances will not be permitted under any circumstances.

3.2 HISTORICAL AND ARCHAEOLOGICAL RESOURCES

Carefully protect in-place and report immediately to the Contracting Officer historical and archaeological items or human skeletal remains discovered in the course of work. Upon discovery, notify the Contracting Officer. Stop work in the immediate area of the discovery until directed by the Contracting Officer to resume work. The Government retains ownership and control over historical and archaeological resources.

3.3 SOLID WASTE MANAGEMENT PLAN and PERMIT

Provide to the contracting officer written notification of the quantity of solid waste/debris that is anticipated to be generated by construction. Include in the report the locations where various types of waste will be disposed or recycled. Include letters of acceptance or as applicable,

submit one copy of a State and local [Solid Waste Management Permit](#) or license showing such agency's approval of the disposal plan before transporting wastes off Government property.

3.3.1 [Solid Waste Management Report](#)

Monthly, submit a solid waste disposal report to the Contracting Officer. For each waste, the report will state the classification (using the definitions provided in this section), amount, location, and name of the business receiving the solid waste.

Include copies of the waste handling facilities' weight tickets, receipts, bills of sale, and other sales documentation. In lieu of sales documentation, the Contractor may submit a statement indicating the disposal location for the solid waste which is signed by an officer of the Contractor firm authorized to legally obligate or bind the firm. The sales documentation or Contractor certification will include the receiver's tax identification number and business, EPA or State registration number, along with the receiver's delivery and business addresses and telephone numbers. For each solid waste retained by the Contractor for his own use, the Contractor will submit on the solid waste disposal report the information previously described in this paragraph. Prices paid or received will not be reported to the Contracting Officer unless required by other provisions or specifications of this Contract or public law.

3.3.2 [Control and Management of Solid Wastes](#)

Pick up solid wastes, and place in covered containers which are regularly emptied. Do not prepare or cook food on the project site. Prevent contamination of the site or other areas when handling and disposing of wastes. At project completion, leave the areas clean. Recycling is encouraged and can be coordinated with the Contracting Officer and the activity recycling coordinator. Remove all solid waste (including non-hazardous debris) from Government property and dispose off-site at an approved landfill. Solid waste disposal off-site must comply with most stringent local, State, and Federal requirements including [40 CFR 241](#), [40 CFR 243](#), and [40 CFR 258](#).

Manage spent hazardous material used in construction, including but not limited to, aerosol cans, waste paint, cleaning solvents, contaminated brushes, and used rags, as per environmental law.

3.3.2.1 [Disposal Documentation for Hazardous and Regulated Waste](#)

Manifest, pack, ship and dispose of hazardous or toxic waste and universal waste that is generated as a result of construction in accordance with the generating facilities generator status under the Resource Conservation and Recovery Act. Contact the Contracting Officer for the facility RCRA identification number that is to be used on each manifest.

Submit a copy of the applicable EPA and or State permit(s), manifest(s), or license(s) for transportation, treatment, storage, and disposal of hazardous and regulated waste by permitted facilities. Hazardous or toxic waste manifest must be reviewed, signed, and approved by the Navy before the Contractor may ship waste. To obtain specific disposal instructions coordinate with the Activity Environmental office.

3.3.2.2 [Dumpsters](#)

Equip dumpsters with a secure cover and paint the standard base color. Keep cover closed at all times, except when being loaded with trash and debris. Locate dumpsters behind the construction fence or out of the public view. Empty site dumpsters at least once a week, or as needed to keep the site free of debris and trash. If necessary, provide 55 gallon trash containers painted the darker base color to collect debris in the construction site area. Locate the trash containers behind the construction fence or out of the public view. Empty trash containers at least once a day. For large demolitions, large dumpsters without lids are acceptable but should not have debris higher than the sides before emptying.

3.4 WASTE DETERMINATION DOCUMENTATION

Complete a Waste Determination form (provided at the pre-construction conference) for all contractor derived wastes to be generated. Base the waste determination upon either a constituent listing from the manufacturer used in conjunction with consideration of the process by which the waste was generated, EPA approved analytical data, or laboratory analysis (Safety Data Sheets (SDS) by themselves are not adequate). Attach all support documentation to the Waste Determination form. As a minimum, a Waste Determination form must be provided for the following wastes (this listing is not all inclusive): oil and latex based painting and caulking products, solvents, adhesives, aerosols, petroleum products, and all containers of the original materials.

3.5 POLLUTION PREVENTION/HAZARDOUS WASTE MINIMIZATION

Minimize the use of hazardous materials and the generation of hazardous waste. Include procedures for pollution prevention/ hazardous waste minimization in the Hazardous Waste Management Section of the Environmental Protection Plan. Consult with the activity Environmental Office for suggestions and to obtain a copy of the installation's pollution prevention/hazardous waste minimization plan for reference material when preparing this part of the plan. If no written plan exists, obtain information by contacting the Contracting Officer. Describe the types of the hazardous materials expected to be used in the construction when requesting information.

3.6 WHM/HW MATERIALS PROHIBITION

No waste hazardous material or hazardous waste shall be disposed of on government property. No hazardous material shall be brought onto government property that does not directly relate to requirements for the performance of this contract. The government is not responsible for disposal of Contractor's waste material brought on the job site and not required in the performance of this contract. The intent of this provision is to dispose of that waste identified as waste hazardous material/hazardous waste as defined herein that was generated as part of this contract and existed within the boundary of the Contract limits and not brought in from offsite by the Contractor. Incidental materials used to support the contract including, but not limited to aerosol cans, waste paint, cleaning solvents, contaminated brushes, rags, clothing, etc. are the responsibility of the Contractor. The list is illustrative rather than inclusive. The Contractor is not authorized to discharge any materials to sanitary sewer, storm drain, or to the river or conduct waste treatment or disposal on government property without written approval of the Contracting Officer.

3.7 HAZARDOUS MATERIAL MANAGEMENT

Include hazardous material control procedures in the Safety Plan. Address procedures and proper handling of hazardous materials, including the appropriate transportation requirements. No hazardous material shall be brought onto government property that does not directly relate to requirements for the performance of this contract. Submit a MSDS and estimated quantities to be used for each hazardous material to the Contracting Officer prior to bringing the material on base. Typical materials requiring MSDS and quantity reporting include, but are not limited to, oil and latex based painting and caulking products, solvents, adhesives, aerosol, and petroleum products. Ensure that hazardous materials are utilized in a manner that will minimize the amount of hazardous waste that is generated. Ensure that all containers of hazardous materials have NFPA labels or their equivalent. Certify that all hazardous materials removed from the site are hazardous materials and do not meet the definition of hazardous waste per 40 CFR 261.

3.7.1 Contractor Hazardous Material Inventory Log

Submit the "Contractor Hazardous Material Inventory Log" (found at: <http://www.wbdg.org/ccb/NAVGRAPH/graphoc.pdf>), which provides information required by (EPCRA Sections 312 and 313) along with corresponding Material Safety Data Sheets (MSDS), to the Contracting Officer at the start and at the end of construction (30 days from final acceptance), and update no later than January 31 of each calendar year during the life of the contract. Keep copies of the MSDS for hazardous materials on site at all times. At the end of the project, provide the Contracting Officer with copies of all of these MSDS, and the maximum quantity of each material that was present at the site at any one time, the dates the material was present, the amount of each material that was used during the project, and how the material was used.

Documentation for any spills/releases, environmental reports or off-site transfers may be requested by the Contracting Officer.

3.8 PETROLEUM PRODUCTS AND REFUELING

Conduct the fueling and lubricating of equipment and motor vehicles in a manner that protects against spills and evaporation. Manage all used oil generated on site in accordance with 40 CFR 279. Determine if any used oil generated while on-site exhibits a characteristic of hazardous waste. Used oil containing 1000 parts per million of solvents will be considered a hazardous waste and disposed of at Contractor's expense. Used oil mixed with a hazardous waste will also be considered a hazardous waste.

3.8.1 Oily and Hazardous Substances

Prevent oil or hazardous substances from entering the ground, drainage areas, or navigable waters. In accordance with 40 CFR 112, surround all temporary fuel oil or petroleum storage tanks with a temporary berm or containment of sufficient size and strength to contain the contents of the tanks, plus 10 percent freeboard for precipitation. The berm will be impervious to oil for 72 hours and be constructed so that any discharge will not permeate, drain, infiltrate, or otherwise escape before cleanup occurs. Provide general secondary containment for oil transfer operations as required by 40 CFR 112.7.

3.8.2 Inadvertent Discovery of Petroleum Contaminated Soil or Hazardous Wastes

If petroleum contaminated soil or suspected hazardous waste is found during construction that was not identified in the contract documents, the contractor shall immediately notify the contracting officer. The contractor shall not disturb this material until authorized by the contracting officer.

3.9 FUEL TANKS

Petroleum products and lubricants required to sustain up to 30 days of construction activity may be kept on site. Storage and refilling practices shall comply with 40 CFR Part 112. Secondary containment shall be provided and be no less than 110 percent of the tank volume plus five inches of free-board. If a secondary berm is used for containment then the berm shall be impervious to oil for 72 hours and be constructed so that any discharge will not permeate, drain, infiltrate, or otherwise escape before cleanup occurs. Drips pans are required and the tanks must be covered during inclement weather.

3.10 RELEASES/SPILLS OF OIL AND HAZARDOUS SUBSTANCES

Exercise due diligence to prevent, contain, and respond to spills of hazardous material, hazardous substances, hazardous waste, sewage, regulated gas, petroleum, lubrication oil, and other substances regulated by environmental law. Maintain spill cleanup equipment and materials at the work site. In the event of a spill, take prompt, effective action to stop, contain, curtail, or otherwise limit the amount, duration, and severity of the spill/release. In the event of any releases of oil and hazardous substances, chemicals, or gases; immediately (within 15 minutes) notify the Base or Activity Fire Department, the activity's Command Duty Officer, and the Contracting Officer. If the contractor's response is inadequate, the Navy may respond. If this should occur, the contractor will be required to reimburse the government for spill response assistance and analysis.

The Contractor is responsible for verbal and written notifications as required by the federal 40 CFR 355, State, local regulations and Navy Instructions. Spill response will be in accordance with 40 CFR 300 and applicable State and local regulations. Contain and clean up these spills without cost to the Government. If Government assistance is requested or required, the Contractor will reimburse the Government for such assistance. Provide copies of the written notification and documentation that a verbal notification was made within 20 days.

Maintain spill cleanup equipment and materials at the work site. Clean up all hazardous and non-hazardous (WHM) waste spills. The Contractor shall reimburse the government for all material, equipment, and clothing generated during any spill cleanup. The Contractor shall reimburse the government for all costs incurred including sample analysis materials, equipment, and labor if the government must initiate its own spill cleanup procedures, for Contractor responsible spills, when:

- a. The Contractor has not begun spill cleanup procedure within one hour of spill discovery/occurrence, or
- b. If, in the government's judgment, the Contractor's spill cleanup is not

adequately abating life threatening situation and/or is a threat to any body of water or environmentally sensitive areas.

3.11 Not Used

3.12 CONTROL AND MANAGEMENT OF HAZARDOUS WASTES

3.12.1 Facility Hazardous Waste Generator Status

All work conducted within the boundaries of this activity must meet the regulatory requirements of this activity. Comply with all provisions of Federal, State and local regulatory requirements applicable to this generator status regarding training and storage, handling, and disposal of all construction derived wastes.

3.12.2 Hazardous Waste/Debris Management

Identify all construction activities which will generate hazardous waste/debris. Provide a documented waste determination for all resultant waste streams. Hazardous waste/debris will be identified, labeled, handled, stored, and disposed of in accordance with all Federal, State, and local regulations including 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, and 40 CFR 268.

Hazardous waste will also be managed in accordance with the approved Hazardous Waste Management Section of the Environmental Protection Plan. Store hazardous wastes in approved containers in accordance with 49 CFR 173 and 49 CFR 178. Hazardous waste generated within the confines of Government facilities will be identified as being generated by the Government.

Prior to removal of any hazardous waste from Government property, all hazardous waste manifests must be signed by activity personnel from the Station Environmental Office. No hazardous waste must be brought onto Government property. Provide to the Contracting Officer a copy of waste determination documentation for any solid waste streams that have any potential to be hazardous waste or contain any chemical constituents listed in 40 CFR 372-SUBPART D. For hazardous wastes spills, verbally notify the Contracting Officer immediately.

3.12.2.1 Regulated Waste Storage/Satellite Accumulation/90 Day Storage Areas

If the work requires the temporary storage/collection of regulated or hazardous wastes, the Contractor will request the establishment of a Regulated Waste Storage Area, a Satellite Accumulation Area, or a 90 Day Storage Area at the point of generation. The Contractor must submit a request in writing to the Contracting Officer providing the following information:

<u>Contract Number</u>	[_____]
<u>Contractor</u>	[_____]
<u>Haz/Waste or Regulated Waste POC</u>	[_____]

<u>Phone Number</u>	[_____]
<u>Type of Waste</u>	[_____]
<u>Source of Waste</u>	[_____]
<u>Emergency POC</u>	[_____]
<u>Phone Number</u>	[_____]
<u>Location of the Site</u>	[_____]

(Attach Site Plan to the Request)

Attach a waste determination form. Allow ten working days for processing this request. The designated area where waste is being stored shall be barricaded and a sign identifying as follows:

"DANGER - UNAUTHORIZED PERSONNEL KEEP OUT"

3.12.2.2 Sampling and Analysis of HW

a. Waste Sampling

Sample waste in accordance with EPA 530/F-93/004. Each sampled drum or container will be clearly marked with the Contractor's identification number and cross referenced to the chemical analysis performed.

b. Laboratory Analysis

Follow the analytical procedure and methods in accordance with the 40 CFR 261. The Contractor will provide all analytical results and reports performed to the Contracting Officer

c. Analysis Type

Identify waste hazardous material/hazardous waste by analyzing for the following properties as a minimum: ignitability, corrosiveness, total chlorides, BTU value, PCBs, TCLP for heavy metals, and cyanide.

3.12.2.3 Hazardous Waste Disposal

No hazardous, toxic, or universal waste shall be disposed or hazardous material abandoned on government property. And unless otherwise other wise noted in this contract, the government is not responsible for disposal of Contractor generated waste material. The disposal of incidental materials used to accomplish the work including, but not limited to aerosol cans, waste paint, cleaning solvents, contaminated brushes, rags, clothing, etc. are the responsibility of the Contractor. The list is illustrative rather than inclusive.

The Contractor is not authorized to discharge any materials to sanitary sewer, storm drain, or water way or conduct waste treatment or disposal on

government property without written approval of the Contracting Officer.

Control of stored waste, packaging, sampling, analysis, and disposal will be determined by the details in the contract. The requirements for jobs in the following paragraphs will be used as the guidelines for disposal of any hazardous waste generated.

a. Responsibilities for Contractor's Disposal

Contractor responsibilities include any generation of WHM/HW requiring Contractor disposal of solid waste or liquid.

- (1) Provide all service necessary for the final treatment/disposal of the hazardous material/waste in accordance with all local, State and Federal laws and regulations, and the terms and conditions of the contract within sixty (60) days after the materials have been generated. These services will include all necessary personnel, labor, transportation, packaging, detailed analysis (if required for disposal, and/or transportation, including manifesting or completing waste profile sheets, equipment, and the compilation of all documentation is required).
- (2) Contain all waste in accordance with 40 CFR 260, 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, 40 CFR 268, 40 CFR 270, 40 CFR 272, 40 CFR 273, 40 CFR 279, 40 CFR 280, and 40 CFR 761.
- (3) Obtain a representative sample of the material generated for each job done to provide waste stream determination.
- (4) Analyze each sample taken and providing analytical results to the Contracting Officer. Provide two copies of the results.
- (5) Determine the DOT proper shipping names for all waste (each container requiring disposal) and will demonstrate how this determination is developed and supported by the sampling and analysis requirements contained herein to the Contracting Officer.

Contractor Disposal Turn-In Requirements

For any waste hazardous materials or hazardous waste generated which requires the Contractor to dispose of, the following conditions must be complied with in order to be acceptable for disposal:

- (1) Drums compatible with waste contents and drums meet DOT requirements for 49 CFR 173 for transportation of materials.
- (2) Drums banded to wooden pallets. No more than three (3) 55 gallon drums to a pallet, or two (2) 85 gallon over packs.
- (3) Band using 1-1/4 inch minimum band on upper third of drum.
- (4) Recovery materials label (provided by Code 106.321) located in middle of drum, filled out to indicate actual volume of material, name of material manufacturer, other vendor information as available.

(5) Always have three (3) to five (5) inches of empty space above volume of material. This space is called 'outage'.

3.12.2.4 Universal Waste/e-Waste Management

Universal waste including but not limited to some mercury containing building products such florescent lamps, mercury vapor lamps, high pressure sodium lamps, CRTs, batteries, aerosol paint containers, electrical equipment containing PCBs, and consumed electronic devices, shall be managed in accordance with applicable environmental law and installation instructions.

3.12.3 Class I and II ODS Prohibition

Class I and II ODS in pure or blended form as defined and identified herein must not be used in the performance of this contract, nor be provided as part of the equipment except for the use of servicing existing government owned equipment. This prohibition will be considered to prevail over any other provision, specification, drawing, or referenced documents.

3.12.3.1 Recycling Requirements

Recycle used refrigerants and ozone depleting substances generated during the performance of this contract to the maximum extent practicable to minimize used refrigerant and ozone depleting substance disposal as HW.

Test, collect, transfer, recycle, and/or arrange for shipping and proper disposal of used refrigerants and ozone depleting substances generated during the performance of work under this contract. The Contractor is responsible for all associated costs.

Any and all Class I ODS and R-22 recovered by the Contractor as part of this contract shall be packaged and turned over to the Government for recycling upon the completion of the work covered by this contract. The Contractor shall arrange for recycling of used refrigerants not turned over to the government, at a licensed refrigerant recycler approved by the Contracting Officer.

3.12.3.2 EPA Certification Requirements

Heating and air conditioning technicians must be certified through an EPA-approved program. Copies of certifications shall be maintained at the employees' place of business and be carried as a wallet card by the technician, as provided by environmental law. .

3.12.3.3 Accidental Venting of Refrigerant

Accidental venting of a refrigerant is a release and must be reported to the Contracting Officer

3.13 DUST CONTROL

Keep dust down at all times, including during nonworking periods. Sprinkle or treat, with dust suppressants, the soil at the site, haul roads, and other areas disturbed by operations. Dry power brooming will not be permitted. Instead, use vacuuming, wet mopping, wet sweeping, or wet power

brooming. Air blowing will be permitted only for cleaning nonparticulate debris such as steel reinforcing bars. Only wet cutting will be permitted for cutting concrete blocks, concrete, and bituminous concrete. Do not unnecessarily shake bags of cement, concrete mortar, or plaster.

3.13.1 [Dirt and Dust Control Plan](#)

Submit truck and material haul routes along with a plan for controlling dirt, debris, and dust on base roadways. As a minimum, identify in the plan the subcontractor and equipment for cleaning along the haul route and measures to reduce dirt, dust, and debris from roadways.

3.14 ABRASIVE BLASTING

3.14.1 Blasting Operations

The use of silica sand is prohibited in sandblasting.

Provide tarpaulin drop cloths and windscreens to enclose abrasive blasting operations to confine and collect dust, abrasive, agent, paint chips, and other debris in accordance with the requirements specified.

3.14.2 [Disposal Requirements](#)

Submit analytical results of the debris generated from abrasive blasting operations per Laboratory Analysis in paragraph SAMPLING AND ANALYSIS OF HW. Hazardous waste generated from blasting operations will be managed in accordance with paragraph CONTROL AND MANAGEMENT OF HAZARDOUS WASTE and with the approved HWMP. Disposal of non-hazardous abrasive blasting debris will be in accordance with paragraph CONTROL AND DISPOSAL OF SOLID WASTES.

3.15 NOISE

Make the maximum use of low-noise emission products, as certified by the EPA. Blasting or use of explosives will not be permitted without written permission from the Contracting Officer, and then only during the designated times. Confine pile-driving operations to the period between 8 a.m. and 4 p.m., Monday through Friday, exclusive of holidays, unless otherwise specified.

3.16 MERCURY MATERIALS

Mercury is prohibited in the construction of this facility, unless specified otherwise, and with the exception of mercury vapor lamps and fluorescent lamps. Dumping of mercury-containing materials and devices such as mercury vapor lamps, fluorescent lamps, and mercury switches, in rubbish containers is prohibited. Remove without breaking, pack to prevent breakage, and transport out of the activity in an unbroken condition for disposal as directed. Immediately report to the Environmental Office and the Contracting Officer instances of breakage or mercury spillage. Clean

mercury spill area to the satisfaction of the Contracting Officer.

Cleanup of a mercury spill shall not be recycled and shall be managed as a hazardous waste for disposal.

-- End of Section --

SECTION 01 78 00

CLOSEOUT SUBMITTALS
08/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM E1971 (2005; R 2011) Stewardship for the Cleaning of Commercial and Institutional Buildings

GREEN SEAL (GS)

GS-37 (2012) Cleaning Products for Industrial and Institutional Use

U.S. ARMY CORPS OF ENGINEERS (USACE)

TR-06-X (2006; Supplement 2009) A/E/C (Architectural, Engineering, and Construction) CADD Standard - Release 3.0

U.S. DEPARTMENT OF DEFENSE (DOD)

UFC 1-300-08 (2009, with Change 2) Criteria for Transfer and Acceptance of DoD Real Property

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

As-Built Record of Equipment and Materials
Warranty Management Plan
Warranty Tags
Final Cleaning
Spare Parts Data

SD-08 Manufacturer's Instructions

Preventative Maintenance

Condition Monitoring (Predictive Testing)
Inspection
Posted Instructions

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals

SD-11 Closeout Submittals

Record Drawings
Interim Form DD1354; G
Checklist for Form DD1354; G

1.3 PROJECT RECORD DOCUMENTS

1.3.1 Record Drawings

Drawings showing final as-built conditions of the project. This paragraph covers record drawings complete, as a requirement of the contract. The terms "drawings," "contract drawings," "drawing files," "working record drawings" and "final record drawings" refer to contract drawings which are revised to be used for final record drawings showing as-built conditions. The final CAD record drawings must consist of one set of electronic CAD and PDF drawing files in the specified format, 2 sets of prints, and one set of the approved working Record drawings. The manually prepared drawings must consist of 1 set of completed final as-built original drawings, 2 sets of blue-line prints, and the approved marked working as-built prints.

1.3.1.1 Government Furnished Materials

One set of electronic CADD files in the specified software and format revised to reflect all bid amendments will be provided by the Government at the preconstruction conference for projects requiring CADD file record drawings.

1.3.1.2 Working Record and Final Record Drawings

Revise 2 sets of paper drawings by red-line process to show the as-built conditions during the prosecution of the project. Keep these working as-built marked drawings current on a weekly basis and at least one set available on the jobsite at all times. Changes from the contract plans which are made in the work or additional information which might be uncovered in the course of construction must be accurately and neatly recorded as they occur by means of details and notes. Prepare final record (as-built) drawings after the completion of each definable feature of work as listed in the Contractor Quality Control Plan (Foundations, Utilities, Structural Steel, etc., as appropriate for the project). The working as-built marked prints and final record (as-built) drawings will be jointly reviewed for accuracy and completeness by the Contracting Officer and the Contractor prior to submission of each monthly pay estimate. If the Contractor fails to maintain the working and final record drawings as specified herein, the Contracting Officer will deduct from the monthly progress payment an amount representing the estimated cost of maintaining the record drawings. This monthly deduction will continue until an agreement can be reached between the Contracting Officer and the Contractor

regarding the accuracy and completeness of updated drawings. Show on the working and final record drawings , but not limited to, the following information:

- a. The actual location, kinds and sizes of all sub-surface utility lines. In order that the location of these lines and appurtenances may be determined in the event the surface openings or indicators become covered over or obscured, show by offset dimensions to two permanently fixed surface features the end of each run including each change in direction on the record drawings. Locate valves, splice boxes and similar appurtenances by dimensioning along the utility run from a reference point. Also record the average depth below the surface of each run. All locations shall be shown using GIS coordinates in accordance with the MCLB standards that follow this section.
- b. The location and dimensions of any changes within the building structure.
- c. Correct grade, elevations, cross section, or alignment of roads, earthwork, structures or utilities if any changes were made from contract plans.
- d. Changes in details of design or additional information obtained from working drawings specified to be prepared and/or furnished by the Contractor; including but not limited to fabrication, erection, installation plans and placing details, pipe sizes, insulation material, dimensions of equipment foundations, etc.
- e. The topography, invert elevations and grades of drainage installed or affected as part of the project construction.
- f. Changes or modifications which result from the final inspection.
- g. Where contract drawings or specifications present options, show only the option selected for construction on the final as-built prints.
- h. If borrow material for this project is from sources on Government property, or if Government property is used as a spoil area, furnish a contour map of the final borrow pit/spoil area elevations.
- i. Systems designed or enhanced by the Contractor, such as HVAC controls, fire alarm, fire sprinkler, and irrigation systems.
- j. Modifications (include within change order price the cost to change working and final record drawings to reflect modifications) and compliance with the following procedures.
 - (1) Follow directions in the modification for posting descriptive changes.
 - (2) Place a Modification Delta at the location of each deletion.
 - (3) For new details or sections which are added to a drawing, place a Modification Circle by the detail or section title.
 - (4) For minor changes, place a Modification Delta by the area changed on the drawing (each location).

- (5) For major changes to a drawing, place a Modification Delta by the title of the affected plan, section, or detail at each location.
- (6) For changes to schedules or drawings, place a Modification Delta either by the schedule heading or by the change in the schedule.
- (7) The Modification symbol size shall be 1/2 inch diameter unless the area where the circle is to be placed is crowded. Smaller size circle shall be used for crowded areas.

1.3.1.3 Drawing Preparation

Modify the record drawings as may be necessary to correctly show the features of the project as it has been constructed by bringing the contract set into agreement with approved working as-built prints, and adding such additional drawings as may be necessary. These working as-built marked prints must be neat, legible and accurate. These drawings are part of the permanent records of this project and must be returned to the Contracting Officer after approval by the Government. Any drawings damaged or lost by the Contractor must be satisfactorily replaced by the Contractor at no expense to the Government.

1.3.1.4 Computer Aided Design and Drafting (CADD) Drawings

Only employ personnel proficient in the preparation of CADD drawings to modify the contract drawings or prepare additional new drawings. Additions and corrections to the contract drawings must be equal in quality and detail to that of the originals. Line colors, line weights, lettering, layering conventions, and symbols must be the same as the original line colors, line weights, lettering, layering conventions, and symbols. If additional drawings are required, prepare them using the specified electronic file format applying the same graphic standards specified for original drawings. The title block and drawing border to be used for any new final record drawings must be identical to that used on the contract drawings. Accomplish additions and corrections to the contract drawings using CADD files. The electronic files will be supplied on optical disk. Provide all program files and hardware necessary to prepare final record drawings. The Contracting Officer will review final record drawings for accuracy and return them to the Contractor for required corrections, changes, additions, and deletions.

- a. Provide CADD "base" colors of red, green, and blue. Color code for changes as follows:
 - (1) Deletions (Red) - Over-strike deleted graphic items (lines), lettering in notes and leaders.
 - (2) Additions (Green) - Added items, lettering in notes and leaders.
 - (3) Special (Blue) - Items requiring special information, coordination, or special detailing or detailing notes.
- b. Rename the Contract Drawing files in a manner related to the contract number (i.e., 98-C-10.DGN) as instructed in the Pre-Construction conference. Use only those renamed files for the Marked-up changes. All changes shall be made on the layer/level as the original item.

- c. When final revisions have been completed, show the wording "RECORD DRAWINGS / AS-BUILT CONDITIONS" followed by the name of the Contractor in letters at least 3/16 inch high on the cover sheet drawing. Mark all other contract drawings either "Record" drawing denoting no revisions on the sheet or "Revised Record" denoting one or more revisions. Date original contract drawings in the revision block.
- d. Within 10 days after Government approval of all of the working record drawings for a phase of work, prepare the final CADD record drawings for that phase of work and submit two sets of prints of these drawings for Government review and approval. The Government will promptly return one set of prints annotated with any necessary corrections. Within 7 days revise the CADD files accordingly at no additional cost and submit one set of final prints for the completed phase of work to the Government. Within 10 days of substantial completion of all phases of work, submit the final record drawing package for the entire project. Submit two sets of electronic files on optical disk and two sets of the approved working record drawings. They must be complete in all details and identical in form and function to the contract drawing files supplied by the Government. Any transactions or adjustments necessary to accomplish this is the responsibility of the Contractor. The Government reserves the right to reject any drawing files it deems incompatible with the customer's CADD system. Paper prints, drawing files and storage media submitted will become the property of the Government upon final approval. Failure to submit final record drawing files and marked prints as specified will be cause for withholding any payment due the Contractor under this contract. Approval and acceptance of final record drawings must be accomplished before final payment is made to the Contractor.

1.3.1.5 Manually Prepared Drawings

Employ only personnel proficient in the preparation of manually prepared drawings to modify the original contract drawing or prepare additional new drawings. Additions and corrections to the contract drawings must be neat, clean and legible, shall be done to the same level of detail, and match the adjacent existing line work, and lettering being annotated in type, density, size and style. Drafting work must be done using the same medium that was employed on the original contract drawings. The Contracting Officer will review record drawings for accuracy and conformance to the above specified drafting standards. Corrections, changes, additions, and deletions required must meet these standards. The title block to be used for any new record drawings must be similar to that used on the original drawings.

- a. When final revisions have been completed, Letter or stamp each drawing with the words "RECORD DRAWINGS / AS-BUILT CONDITIONS" followed by the name of the Contractor in letters at least 3/16 inch high. Mark original contract drawings either "Record" drawings denoting no revisions on the sheet or "Revised Record" denoting one or more revisions. Date all original contract drawings in the revision block.
- b. Within 10 days after Government approval of all of the working record drawings for a phase of work, prepare the final record drawings for that phase of work and submit two sets of blue-line prints of these drawings for Government review and approval. The Government will promptly return one set of prints annotated with any necessary corrections. Within 7 days revise the drawings accordingly at no

additional cost and submit one set of final prints for the completed phase of work to the Government. Within 10 days of substantial completion of all phases of work, submit the final record drawing package for the entire project. Submit two blue-line prints of these drawings and the return of the approved marked record prints, complete in all details. Paper prints and reproducible drawings will become the property of the Government upon final approval. Failure to submit final record drawings and marked prints, as required herein, will be cause for withholding any payment due the Contractor under this contract. Approval and acceptance of final record drawings must be accomplished before final payment is made to the Contractor.

1.3.1.6 Payment

No separate payment will be made for record drawings required under this contract, and all costs accrued in connection with such drawings are considered a subsidiary obligation of the Contractor.

1.3.2 As-Built Record of Equipment and Materials

Furnish one copy of preliminary record of materials used on the project 15 days prior to final inspection. This preliminary submittal will be reviewed and returned 5 days after final inspection with Government comments. Submit Two sets of final record of materials 10 days after final inspection. Key the designations to the related area depicted on the contract drawings. List the following data:

RECORD OF DESIGNATED EQUIPMENT AND MATERIALS DATA				
Description	Specification Section	Manufacturer and Catalog, Model, and Serial Number	Composition and Size	Where Used

1.3.3 Final Approved Shop Drawings

Furnish final approved project shop drawings 30 days after transfer of the completed facility.

1.3.4 Construction Contract Specifications

Furnish final record (as-built) construction contract specifications, including modifications thereto, 30 days after transfer of the completed facility.

1.3.5 Not Used

1.4 SPARE PARTS DATA

Not Applicable

1.5 PREVENTATIVE MAINTENANCE

Submit [Preventative Maintenance](#), [Condition Monitoring \(Predictive Testing\)](#) and [Inspection](#) schedules with instructions that state when systems should be retested.

- a. Define the anticipated length of each test, test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability requirements within the schedule. Provide a signoff blank for the Contractor and Contracting Officer for each test feature; e.g., [gpm](#), [rpm](#), [psi](#). Include a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments, cleaning, or special system notes. Delineate procedures for preventative maintenance, inspection, adjustment, lubrication and cleaning necessary to minimize corrective maintenance and repair.
- b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

1.6 CERTIFICATION OF EPA DESIGNATED ITEMS

Submit the [Certification of EPA Designated Items](#) as required by FAR 52.223-9, "Certification and Estimate of Percentage of Recovered Material Content for EPA Designated Items". Include on the certification form the following information: project name, project number, Contractor name, license number, Contractor address, and certification. The certification will read as follows and be signed and dated by the Contractor. "I hereby certify the information provided herein is accurate and that the requisition/procurement of all materials listed on this form comply with current EPA standards for recycled/recovered materials content. The following exemptions may apply to the non-procurement of recycled/recovered content materials: 1) The product does not meet appropriate performance standards; 2) The product is not available within a reasonable time frame; 3) The product is not available competitively (from two or more sources); 4) The product is only available at an unreasonable price (compared with a comparable non-recycled content product)."

1.7 WARRANTY MANAGEMENT

1.7.1 [Warranty Management Plan](#)

Not Applicable

1.7.2 Performance Bond

The Contractor's Performance Bond must remain effective throughout the construction period.

- a. In the event the Contractor fails to commence and diligently pursue any construction warranty work required, the Contracting Officer will have the work performed by others, and after completion of the work, will charge the remaining construction warranty funds of expenses incurred by the Government while performing the work, including, but not limited to administrative expenses.

- b. In the event sufficient funds are not available to cover the construction warranty work performed by the Government at the Contractor's expense, the Contracting Officer will have the right to recoup expenses from the bonding company.
- c. Following oral or written notification of required construction warranty repair work, respond in a timely manner. Written verification will follow oral instructions. Failure of the Contractor to respond will be cause for the Contracting Officer to proceed against the Contractor.

1.7.3 Pre-Warranty Conference

Prior to contract completion, and at a time designated by the Contracting Officer, meet with the Contracting Officer to develop a mutual understanding with respect to the requirements of this section. Communication procedures for Contractor notification of construction warranty defects, priorities with respect to the type of defect, reasonable time required for Contractor response, and other details deemed necessary by the Contracting Officer for the execution of the construction warranty will be established/reviewed at this meeting. In connection with these requirements and at the time of the Contractor's quality control completion inspection, furnish the name, telephone number and address of a licensed and bonded company which is authorized to initiate and pursue construction warranty work action on behalf of the Contractor. This point of contact will be located within the local service area of the warranted construction, be continuously available, and be responsive to Government inquiry on warranty work action and status. This requirement does not relieve the Contractor of any of its responsibilities in connection with other portions of this provision.

1.7.4 Warranty Tags

1.8 COMMISSIONING

1.8.1 Building Commissioning

Not Applicable

1.8.2 HVAC Commissioning

1.9 OPERATION AND MAINTENANCE MANUALS

1.9.1 Configuration

Not Applicable

1.9.2 Training and Instruction

Not Applicable

1.10 CLEANUP

Sweep paved areas and rake clean landscaped areas. Remove waste and surplus materials, rubbish and construction facilities from the site. Recycle, salvage, and return construction and demolition waste from project in accordance with the Waste Management Plan. Promptly and legally transport and dispose of any trash. Do not burn, bury, or otherwise dispose of trash on the project site.

1.10.1 Extraordinary Cleanup Requirements

Not Applicable

1.11 REAL PROPERTY RECORD

Near the completion of Project, but a minimum of 60 days prior to final acceptance of the work, complete, update draft DD Form 1354 attached to this section, and submit an accounting of all installed property with [Interim Form DD1354](#) "Transfer and Acceptance of Military Real Property." Include any additional assets/improvements/alterations from the Draft DD Form 1354. Contact the Contracting Officer for any project specific information necessary to complete the DD Form 1354. Refer to [UFC 1-300-08](#) for instruction on completing the DD Form 1354. For information purposes, a blank DD Form 1354 (fill-able) in ADOBE (PDF) may be obtained at the following web site:

<http://www.dtic.mil/whs/directives/infomgt/forms/eforms/dd1354.pdf>

Submit the completed [Checklist for Form DD1354](#) of Installed Building Equipment items. Attach this list to the updated DD Form 1354.

1.12 NAVFAC SUSTAINABLE & ENERGY DATA RECORD CARD

Within 60 days of the completion of Project, complete an electronic copy of the NAVFAC Sustainable & Energy Data Record Card, and submit to the Contracting Officer. Draft Record card for this project should be available from Designer of Record (DOR) or Contracting Officer.

Instructions and a blank DD Form (fill-able) in ADOBE (PDF) may be obtained at the Whole Building Design Guide web site by navigating:

Home > Participating Agencies > Department of Defense (DoD) > NAVFAC Sustainable Development Program > Contract Documents > NAVFAC Sustainable & Energy Data Record Card; or directly at

http://www.wbdg.org/pdfs/navfac_sustainable_energy_data_record_card.pdf.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 02 41 00

DEMOLITION
05/10

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO M 145 (1991; R 2008) Standard Specification for Classification of Soils and Soil-Aggregate Mixtures for Highway Construction Purposes

AASHTO T 180 (2010) Standard Method of Test for Moisture-Density Relations of Soils Using a 4.54-kg (10-lb) Rammer and a 457-mm (18-in.) Drop

AMERICAN SOCIETY OF SAFETY ENGINEERS (ASSE/SAFE)

ASSE/SAFE A10.6 (2006) Safety Requirements for Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2008; Errata 1-2010; Changes 1-3 2010; Changes 4-6 2011; Change 7 2012) Safety and Health Requirements Manual

U.S. DEFENSE LOGISTICS AGENCY (DLA)

DLA 4145.25 (June 2000) Storage and Handling of Liquefied and Gaseous Compressed Gases and Their Full and Empty Cylinders

U.S. DEPARTMENT OF DEFENSE (DOD)

DOD 4000.25-1-M (2006) MILSTRIP - Military Standard Requisitioning and Issue Procedures

MIL-STD-129 (2007; Rev P; Change 4 2007) Military Marking for Shipment and Storage

U.S. FEDERAL AVIATION ADMINISTRATION (FAA)

FAA AC 70/7460-1 (2007; Rev K) Obstruction Marking and Lighting

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 61	National Emission Standards for Hazardous Air Pollutants
40 CFR 82	Protection of Stratospheric Ozone
49 CFR 173.301	Shipment of Compressed Gases in Cylinders and Spherical Pressure Vessels

1.2 PROJECT DESCRIPTION

1.2.1 Demolition/Deconstruction Plan

Prepare a [Demolition Plan](#) and submit proposed demolition, and removal procedures for approval before work is started. Include in the plan procedures for careful removal and disposition of materials specified to be salvaged, coordination with other work in progress, a detailed description of methods and equipment to be used for each operation and of the sequence of operations. [Identify components and materials to be salvaged for reuse or recycling with reference to paragraph Existing Facilities to be Removed.](#) [Append tracking forms for all removed materials indicating type, quantities, condition, destination, and end use.](#) Coordinate with Waste Management Plan. Provide procedures for safe conduct of the work in accordance with [EM 385-1-1](#). Plan shall be approved by Contracting Officer prior to work beginning.

1.2.2 General Requirements

Do not begin demolition until authorization is received from the Contracting Officer. [The work of this section is to be performed in a manner that maximizes the value derived from the salvage and recycling of materials.](#) The work includes demolition of identified items and materials, and removal of resulting rubbish and debris. Remove rubbish and debris from Government property daily, unless otherwise directed. Store materials that cannot be removed daily in areas specified by the Contracting Officer. In the interest of occupational safety and health, perform the work in accordance with [EM 385-1-1](#), Section 23, Demolition, and other applicable Sections.

1.3 ITEMS TO REMAIN IN PLACE

Take necessary precautions to avoid damage to existing items to remain in place, to be reused, or to remain the property of the Government. Repair or replace damaged items as approved by the Contracting Officer. Coordinate the work of this section with all other work indicated. Construct and maintain shoring, bracing, and supports as required. Ensure that structural elements are not overloaded. Increase structural supports or add new supports as may be required as a result of any cutting, removal, deconstruction, or demolition work performed under this contract. Do not overload pavements to remain. Provide new supports and reinforcement for existing construction weakened by demolition, deconstruction, or removal work. Repairs, reinforcement, or structural replacement require approval by the Contracting Officer prior to performing such work.

1.3.1 Existing Construction Limits and Protection

Do not disturb existing construction beyond the extent indicated or necessary for installation of new construction. Provide temporary shoring and bracing for support of building components to prevent settlement or

other movement. Provide protective measures to control accumulation and migration of dust and dirt in all work areas. Remove dirt, and debris from work areas daily.

1.3.2 Weather Protection

For portions of the building to remain, protect building interior and materials and equipment from the weather at all times. Where removal of existing roofing is necessary to accomplish work, have materials and workmen ready to provide adequate and temporary covering of exposed areas.

1.3.3 Trees (Deleted)

1.3.4 Utility Service

Maintain existing utilities indicated to stay in service and protect against damage during demolition and deconstruction operations. Prior to start of work, utilities serving each area of alteration or removal will be shut off by the Government and disconnected and sealed by the Contractor.

1.3.5 Facilities

Protect electrical and mechanical services and utilities. Where removal of existing utilities and pavement is specified or indicated, provide approved barricades, temporary covering of exposed areas, and temporary services or connections for electrical and mechanical utilities. Floors, roofs, walls, columns, pilasters, and other structural components that are designed and constructed to stand without lateral support or shoring, and are determined to be in stable condition, must remain standing without additional bracing, shoring, or lateral support until demolished or deconstructed, unless directed otherwise by the Contracting Officer. Ensure that no elements determined to be unstable are left unsupported and place and secure bracing, shoring, or lateral supports as may be required as a result of any cutting, removal, deconstruction, or demolition work performed under this contract.

1.4 BURNING

The use of burning at the project site for the disposal of refuse and debris will not be permitted in the area located.

1.5 AVAILABILITY OF WORK AREAS

Areas in which the work is to be accomplished will be available in accordance with the Contracting Officer.

1.6 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Existing Conditions; G

SD-07 Certificates

Demolition Plan; G
Notification; G
Notification of Demolition and Renovation form; G

SD-11 Closeout Submittals

Receipts

1.7 QUALITY ASSURANCE

Submit timely [notification](#) of demolition projects to Federal, State, regional, and local authorities in accordance with [40 CFR 61](#), Subpart M. Notify the Contracting Officer in writing 10 working days prior to the commencement of work in accordance with [40 CFR 61](#), Subpart M. Comply with federal, state, and local hauling and disposal regulations. In addition to the requirements of the "Contract Clauses," conform to the safety requirements contained in [ASSE/SAFE A10.6](#). Comply with the Environmental Protection Agency requirements specified. Use of explosives will not be permitted.

1.7.1 Hawaii Requirements (Deleted)

1.7.2 Dust and Debris Control

Prevent the spread of dust and debris on pavements and avoid the creation of a nuisance or hazard in the surrounding area. Do not use water if it results in hazardous or objectionable conditions such as, but not limited to, ice, flooding, or pollution. Sweep pavements as often as necessary to control the spread of debris.

1.8 PROTECTION

1.8.1 Traffic Control Signs

a. Where pedestrian and driver safety is endangered in the area of removal work, use traffic barricades with flashing lights. Anchor barricades in a manner to prevent displacement by wind. Notify the Contracting Officer prior to beginning such work.

Provide a minimum of 2 aviation red or high intensity white obstruction lights on temporary structures including cranes over [100 feet](#) above ground level. Light construction and installation shall comply with [FAA AC 70/7460-1](#). Lights shall be operational during periods of reduced visibility, darkness, and as directed by the Contracting Officer. Maintain the temporary services during the period of construction and remove only after permanent services have been installed and tested and are in operation.

1.8.2 Protection of Personnel

Before, during and after the demolition work continuously evaluate the condition of the structure being demolished and take immediate action to protect all personnel working in and around the project site. No area, section, or component of floors, roofs, walls, columns, pilasters, or other structural element will be allowed to be left standing without sufficient

bracing, shoring, or lateral support to prevent collapse or failure while workmen remove debris or perform other work in the immediate area.

1.9 FOREIGN OBJECT DAMAGE (FOD) (DELETED)

1.10 RELOCATIONS

Perform the removal and reinstallation of relocated items as indicated with workmen skilled in the trades involved. Repair or replace items to be relocated which are damaged by the Contractor with new undamaged items as approved by the Contracting Officer.

1.11 EXISTING CONDITIONS

Before beginning any demolition or deconstruction work, survey the site and examine the drawings and specifications to determine the extent of the work. Record existing conditions in the presence of the Contracting Officer showing the condition of structures and other facilities adjacent to areas of alteration or removal. Photographs sized 4 inch will be acceptable as a record of existing conditions. Include in the record the elevation of the top of foundation walls, finish floor elevations, possible conflicting electrical conduits, plumbing lines, alarms systems, the location and extent of existing cracks and other damage and description of surface conditions that exist prior to before starting work. It is the Contractor's responsibility to verify and document all required outages which will be required during the course of work, and to note these outages on the record document. Submit survey results.

PART 2 PRODUCTS

2.1 FILL MATERIAL

- a. Comply with excavating, backfilling, and compacting procedures for soils used as backfill material to fill basements, voids, depressions or excavations resulting from demolition or deconstruction of structures. Fill material shall be waste products from demolition or deconstruction until all waste appropriate for this purpose is consumed.
- b. Fill material shall conform to the definition of satisfactory soil material as defined in AASHTO M 145, Soil Classification Groups A-1, A-2-4, A-2-5 and A-3. In addition, fill material shall be free from roots and other organic matter, trash, debris, frozen materials, and stones larger than 2 inches in any dimension.
- c. Proposed fill material must be sampled and tested by an approved soil testing laboratory, as follows:

Soil classification	AASHTO M 145
Moisture-density relations	AASHTO T 180, Method B or D

PART 3 EXECUTION

3.1 EXISTING FACILITIES TO BE REMOVED

Inspect and evaluate existing structures onsite for reuse. Existing construction scheduled to be removed for reuse shall be disassembled. Dismantled and removed materials are to be separated, set aside, and prepared as specified, and stored or delivered to a collection point for reuse, remanufacture, recycling, or other disposal, as specified. Materials shall be designated for reuse onsite whenever possible.

3.1.1 Structures (Deleted)

3.1.2 Utilities and Related Equipment

3.1.2.1 General Requirements

Do not interrupt existing utilities serving occupied or used facilities, except when authorized in writing by the Contracting Officer. Do not interrupt existing utilities serving facilities occupied and used by the Government except when approved in writing and then only after temporary utility services have been approved and provided. Do not begin demolition or deconstruction work until all utility disconnections have been made. Shut off and cap utilities for future use, as indicated.

3.1.2.2 Disconnecting Existing Utilities

Remove existing utilities as indicated and terminate in a manner conforming to the nationally recognized code covering the specific utility and approved by the Contracting Officer. When utility lines are encountered but are not indicated on the drawings, notify the Contracting Officer prior to further work in that area. Remove meters and related equipment and deliver to a location in accordance with instructions of the Contracting Officer.

3.1.3 Chain Link Fencing (Deleted)

3.1.4 Paving and Slabs

Remove concrete and asphaltic concrete paving and slabs including aggregate base as indicated. Provide neat sawcuts at limits of pavement removal as indicated. Pavement and slabs designated to be recycled and utilized in this project shall be moved, ground and stored as directed by the Contracting Officer. Pavement and slabs not to be used in this project shall be removed from the Installation at Contractor's expense.

3.1.5 Roofing (Deleted)

3.1.6 Masonry (Deleted)

3.1.7 Concrete

Saw concrete along straight lines to a depth of a minimum 2 inch. Make each cut in walls perpendicular to the face and in alignment with the cut in the opposite face. Break out the remainder of the concrete provided that the broken area is concealed in the finished work, and the remaining concrete is sound. At locations where the broken face cannot be concealed, grind smooth or saw cut entirely through the concrete.

- 3.1.8 Structural Steel (Deleted)
- 3.1.9 Miscellaneous Metal (Deleted)
- 3.1.10 Carpentry (Deleted)
- 3.1.11 Carpet (Deleted)
- 3.1.12 Acoustic Ceiling Tile (Deleted)
- 3.1.13 Airfield Lighting (Deleted)
- 3.1.14 Patching (Deleted)
- 3.1.15 Air Conditioning Equipment (Deleted)
- 3.1.16 Cylinders and Canisters (Deleted)
- 3.1.17 Locksets on Swinging Doors (Deleted)
- 3.1.18 Mechanical Equipment and Fixtures (Deleted)
- 3.1.19 Electrical Equipment and Fixtures (Deleted)
- 3.1.20 Elevators and Hoists (Deleted)
- 3.1.21 [Items With Unique/Regulated Disposal Requirements](#)

[Remove and dispose of items with unique or regulated disposal requirements in the manner dictated by law or in the most environmentally responsible manner.](#)

3.2 CONCURRENT EARTH-MOVING OPERATIONS

Do not begin excavation, filling, and other earth-moving operations that are sequential to demolition or deconstruction work in areas occupied by structures to be demolished or deconstructed until all demolition and deconstruction in the area has been completed and debris removed. Fill holes, open basements and other hazardous openings.

3.3 DISPOSITION OF MATERIAL

3.3.1 Title to Materials

Except for salvaged items specified in related Sections, and for materials or equipment scheduled for salvage, all materials and equipment removed and not reused or salvaged, shall become the property of the Contractor and shall be removed from Government property. Title to materials resulting from demolition and deconstruction, and materials and equipment to be removed, is vested in the Contractor upon approval by the Contracting Officer of the Contractor's demolition, deconstruction, and removal procedures, and authorization by the Contracting Officer to begin demolition and deconstruction. The Government will not be responsible for the condition or loss of, or damage to, such property after contract award. Showing for sale or selling materials and equipment on site is prohibited.

3.3.2 Reuse of Materials and Equipment

Remove and store materials and equipment listed in the Demolition Plan to be reused or relocated to prevent damage, and reinstall as the work progresses.

3.3.3 Salvaged Materials and Equipment

Remove materials and equipment that are listed in the Demolition Plan and specified to be removed by the Contractor and that are to remain the property of the Government, and deliver to a storage site as directed.

- a. Salvage items and material to the maximum extent possible.
- b. Store all materials salvaged for the Contractor as approved by the Contracting Officer and remove from Government property before completion of the contract. On site sales of salvaged material is prohibited.
- c. Remove salvaged items to remain the property of the Government in a manner to prevent damage, and packed or crated to protect the items from damage while in storage or during shipment. Items damaged during removal or storage must be repaired or replaced to match existing items. Properly identify the contents of containers.
- d. Remove historical items in a manner to prevent damage. Deliver the following historical items to the Government for disposition: Corner stones, contents of corner stones, and document boxes wherever located on the site.

3.3.4 Debris Disposal in the San Diego Area (Deleted)

3.3.5 Disposal of Ozone Depleting Substance (ODS) (Deleted)

3.3.6 Transportation Guidance (Deleted)

3.3.7 Unsalvageable and Non-Recyclable Material

Dispose of unsalvageable and non-recyclable noncombustible material. The fill in the disposal area shall remain below elevation and after disposal is completed, the disposal area shall be uniformly graded to drain. Dispose of unsalvageable and non-recyclable combustible material off the site.

3.4 CLEANUP

Remove debris and rubbish from basement and similar excavations. Remove and transport the debris in a manner that prevents spillage on streets or adjacent areas. Apply local regulations regarding hauling and disposal.

3.5 DISPOSAL OF REMOVED MATERIALS

3.5.1 Regulation of Removed Materials

Dispose of debris, rubbish, scrap, and other nonsalvageable materials resulting from removal operations with all applicable federal, state and local regulations as contractually specified in the Waste Management Plan.

3.5.2 Burning on Government Property

Burning of materials removed from demolished and deconstructed structures will not be permitted on Government property.

3.5.3 Removal to Spoil Areas on Government Property (Deleted)

3.5.4 Removal from Government Property

Transport waste materials removed from demolished and deconstructed structures, except waste soil, from Government property for legal disposal. Dispose of waste soil as directed.

3.6 REUSE OF SALVAGED ITEMS

Recondition salvaged materials and equipment designated for reuse before installation. Replace items damaged during removal and salvage operations or restore them as necessary to usable condition.

-- End of Section --

SECTION 31 00 00

EARTHWORK
08/08

PART 1 GENERAL

1.1 CRITERIA FOR BIDDING

Base bids on the following criteria:

- a. Surface elevations are as indicated.
- b. Pipes or other artificial obstructions, except those indicated, will not be encountered.
- f. Hard materials and rock will not be encountered.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO T 180 (2010) Standard Method of Test for Moisture-Density Relations of Soils Using a 4.54-kg (10-lb) Rammer and a 457-mm (18-in.) Drop

AASHTO T 224 (2010) Standard Method of Test for Correction for Coarse Particles in the Soil Compaction Test

ASTM INTERNATIONAL (ASTM)

ASTM C136 (2006) Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates

ASTM C33/C33M (2011a) Standard Specification for Concrete Aggregates

ASTM D1140 (2000; R 2006) Amount of Material in Soils Finer than the No. 200 (75-micrometer) Sieve

ASTM D1556 (2007) Density and Unit Weight of Soil in Place by the Sand-Cone Method

ASTM D1557 (2009) Standard Test Methods for Laboratory Compaction Characteristics of Soil Using

Modified Effort (56,000 ft-lbf/ft³) (2700 kN-m/m³)

- ASTM D2167 (2008) Density and Unit Weight of Soil in Place by the Rubber Balloon Method
- ASTM D2487 (2011) Soils for Engineering Purposes (Unified Soil Classification System)
- ASTM D2937 (2010) Density of Soil in Place by the Drive-Cylinder Method
- ASTM D422 (1963; R 2007) Particle-Size Analysis of Soils
- ASTM D4318 (2010) Liquid Limit, Plastic Limit, and Plasticity Index of Soils
- ASTM D6938 (2010) Standard Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth)
- ASTM D698 (2007e1) Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/cu. ft. (600 kN-m/cu. m.))

U.S. ARMY CORPS OF ENGINEERS (USACE)

- EM 385-1-1 (2008; Errata 1-2010; Changes 1-3 2010; Changes 4-6 2011) Safety and Health Requirements Manual

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

- EPA 600/4-79/020 (1983) Methods for Chemical Analysis of Water and Wastes
- EPA SW-846.3-3 (1999, Third Edition, Update III-A) Test Methods for Evaluating Solid Waste: Physical/Chemical Methods

1.3 DEFINITIONS

1.3.1 Satisfactory Materials

Satisfactory materials comprise any materials classified by ASTM D2487 as GW, GP, GM, GP-GM, GW-GM, GC, GP-GC, GM-GC, SW, SP. Satisfactory materials for grading comprise stones less than 8 inches, except for fill material for pavements and railroads which comprise stones less than 3 inches in any dimension.

1.3.2 Unsatisfactory Materials

Materials which do not comply with the requirements for satisfactory materials are unsatisfactory. Unsatisfactory materials also include man-made fills; trash; refuse; backfills from previous construction; and material classified as satisfactory which contains root and other organic matter or frozen material. Notify the Contracting Officer when encountering any contaminated materials.

1.3.3 Cohesionless and Cohesive Materials

Cohesionless materials include materials classified in [ASTM D2487](#) as GW, GP, SW, and SP. Cohesive materials include materials classified as GC, SC, ML, CL, MH, and CH. Materials classified as GM and SM will be identified as cohesionless only when the fines are nonplastic. Perform testing, required for classifying materials, in accordance with [ASTM D4318](#), [ASTM C136](#), [ASTM D422](#), and [ASTM D1140](#).

1.3.4 Degree of Compaction

Degree of compaction required, except as noted in the second sentence, is expressed as a percentage of the maximum density obtained by the test procedure presented in [ASTM D1557](#) abbreviated as a percent of laboratory maximum density. Since [ASTM D1557](#) applies only to soils that have 30 percent or less by weight of their particles retained on the 3/4 inch sieve, express the degree of compaction for material having more than 30 percent by weight of their particles retained on the 3/4 inch sieve as a percentage of the maximum density in accordance with [AASHTO T 180](#) and corrected with [AASHTO T 224](#). To maintain the same percentage of coarse material, use the "remove and replace" procedure as described in NOTE 8 of Paragraph 7.2 in [AASHTO T 180](#).

[C:\Users\john.bronder\32 92 19.doc](#)[C:\Users\john.bronder\32 92 26.doc](#)1.3.5 Hard/Unyielding Materials

Hard/Unyielding materials comprise weathered rock, dense consolidated deposits, or conglomerate materials which are not included in the definition of "rock" with stones greater than 3 inch in any dimension or as defined by the pipe manufacturer, whichever is smaller. These materials usually require the use of heavy excavation equipment, ripper teeth, or jack hammers for removal.

1.3.6 Rock

Solid homogeneous interlocking crystalline material with firmly cemented, laminated, or foliated masses or conglomerate deposits, neither of which can be removed without systematic drilling and blasting, drilling and the use of expansion jacks or feather wedges, or the use of backhoe-mounted pneumatic hole punchers or rock breakers; also large boulders, buried masonry, or concrete other than pavement exceeding 1/2 cubic yard in volume. Removal of hard material will not be considered rock excavation because of intermittent drilling and blasting that is performed merely to increase production.

1.3.7 Unstable Material

Unstable materials are too wet to properly support the utility pipe, conduit, or appurtenant structure.

1.3.8 Select Granular Material

1.3.8.1 General Requirements

Select granular material consist of materials classified as GW, GP, SW, or SP by [ASTM D2487](#) where indicated.

1.3.9 Initial Backfill Material

Initial backfill consists of select granular material or satisfactory materials free from rocks 3 inches or larger in any dimension or free from rocks of such size as recommended by the pipe manufacturer, whichever is smaller. When the pipe is coated or wrapped for corrosion protection, free the initial backfill material of stones larger than 2 inches in any dimension or as recommended by the pipe manufacturer, whichever is smaller.

1.3.10 Expansive Soils

Expansive soils are defined as soils that have a plasticity index equal to or greater than 15 when tested in accordance with [ASTM D4318](#).

1.4 SYSTEM DESCRIPTION

Subsurface soil boring logs are appended to the SPECIAL CONTRACT REQUIREMENTS. These data represent the best subsurface information available; however, variations may exist in the subsurface between boring locations.

1.4.1 Classification of Excavation

No consideration will be given to the nature of the materials, and all excavation will be designated as unclassified excavation.

1.4.1.1 Common Excavation

Include common excavation with the satisfactory removal and disposal of all materials not classified as rock excavation.

1.4.1.2 Rock Excavation

Submit notification of encountering rock in the project. Include rock excavation with blasting, excavating, grading, disposing of material classified as rock, and the satisfactory removal and disposal of boulders 1/2 cubic yard or more in volume; solid rock; rock material that is in ledges, bedded deposits, and unstratified masses, which cannot be removed without systematic drilling and blasting; firmly cemented conglomerate deposits possessing the characteristics of solid rock impossible to remove without systematic drilling and blasting; and hard materials (see Definitions). Include the removal of any concrete or masonry structures, except pavements, exceeding 1/2 cubic yard in volume that may be encountered in the work in this classification. If at any time during excavation, including excavation from borrow areas, the Contractor encounters material that may be classified as rock excavation, uncover such material and notify the Contracting Officer. Do not proceed with the excavation of this material until the Contracting Officer has classified the materials as common excavation or rock excavation and has taken cross sections as

required. Failure on the part of the Contractor to uncover such material, notify the Contracting Officer, and allow ample time for classification and cross sectioning of the undisturbed surface of such material will cause the forfeiture of the Contractor's right of claim to any classification or volume of material to be paid for other than that allowed by the Contracting Officer for the areas of work in which such deposits occur.

1.4.2 Blasting

Blasting will not be permitted.

1.4.3 Dewatering Work Plan

Submit procedures for accomplishing dewatering work.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Shoring; G
Dewatering Work Plan; G

SD-03 Product Data

Utilization of Excavated Materials; G
Rock Excavation
Opening of any Excavation or Borrow Pit
Shoulder Construction

SD-06 Test Reports

Testing; G
Borrow Site Testing; G

SD-07 Certificates

Testing; G

PART 2 PRODUCTS

2.1 REQUIREMENTS FOR OFFSITE SOILS

Test offsite soils brought in for use as backfill for Total Petroleum Hydrocarbons (TPH), Benzene, Toluene, Ethyl Benzene, and Xylene (BTEX) and full Toxicity Characteristic Leaching Procedure (TCLP) including ignitability, corrosivity and reactivity. Backfill shall contain a maximum of 100 parts per million (ppm) of total petroleum hydrocarbons (TPH) and a maximum of 10 ppm of the sum of Benzene, Toluene, Ethyl Benzene, and Xylene (BTEX) and shall pass the TCPL test. Determine TPH concentrations by using EPA 600/4-79/020 Method 418.1. Determine BTEX concentrations by using EPA

SW-846.3-3 Method 5030/8020. Perform TCLP in accordance with EPA SW-846.3-3 Method 1311. Provide Borrow Site Testing for TPH, BTEX and TCLP from a composite sample of material from the borrow site, with at least one test from each borrow site. Within 24 hours of conclusion of physical tests, submit 3 copies of test results, including calibration curves and results of calibration tests. Do not bring material onsite until tests have been approved by the Contracting Officer.

2.2 BURIED WARNING AND IDENTIFICATION TAPE

Provide polyethylene plastic warning tape manufactured specifically for warning and identification of buried utility lines. Provide tape on rolls, 3 inches minimum width, color coded as specified below for the intended utility with warning and identification imprinted in bold black letters continuously over the entire tape length. Warning and identification to read, "CAUTION, BURIED (intended service) LINE BELOW" or similar wording. Provide permanent color and printing, unaffected by moisture or soil.

Warning Tape Color Codes

Red:	Electric
Yellow:	Gas, Oil; Dangerous Materials
Orange:	Telephone and Other Communications
Blue:	Water Systems
Green:	Sewer Systems
White:	Steam Systems
Gray:	Compressed Air

2.2.1 Warning Tape for Metallic Piping

Provide acid and alkali-resistant polyethylene plastic tape conforming to the width, color, and printing requirements specified above, with a minimum thickness of 0.003 inch and a minimum strength of 1500 psi lengthwise, and 1250 psi crosswise, with a maximum 350 percent elongation.

2.2.2 Detectable Warning Tape for Non-Metallic Piping

Provide polyethylene plastic tape conforming to the width, color, and printing requirements specified above, with a minimum thickness of 0.004 inch, and a minimum strength of 1500 psi lengthwise and 1250 psi crosswise. Manufacture tape with integral wires, foil backing, or other means of enabling detection by a metal detector when tape is buried up to 3 feet deep. Encase metallic element of the tape in a protective jacket or provide with other means of corrosion protection.

2.3 DETECTION WIRE FOR NON-METALLIC PIPING

Insulate a single strand, solid copper detection wire with a minimum of 12 AWG.

2.4 MATERIAL FOR RIP-RAP

Provide Bedding material, Filter fabric, and rock conforming to TDOT State Standards for construction indicated.

2.4.1 Bedding Material

Provide bedding material consisting of sand, gravel, or crushed rock, well graded, with a maximum particle size of 2 inches. Compose material of tough, durable particles. Allow fines passing the No. 200 standard sieve with a plasticity index less than six.

2.4.2 Grout

Provide durable grout composed of cement, water, an air-entraining admixture, and sand mixed in proportions of one part portland cement to two parts of sand, sufficient water to produce a workable mixture, and an amount of admixture which will entrain sufficient air, as determined by the Contracting Officer. Mix grout in a concrete mixer. Allow a sufficient mixing time to produce a mixture having a consistency permitting gravity flow into the interstices of the rip-rap with limited spading and brooming.

2.4.3 Rock

Provide rock fragments sufficiently durable to ensure permanence in the structure and the environment in which it is to be used. Use rock fragments free from cracks, seams, and other defects that would increase the risk of deterioration from natural causes. Provide fragments sized so that no individual fragment exceeds a weight of 150 pounds and that no more than 10 percent of the mixture, by weight, consists of fragments weighing 2 pounds or less each. Provide rock with a minimum specific gravity of 2.50. Do not permit the inclusion of more than 1 percent quantities of dirt, sand, clay, and rock fines.

2.5 CAPILLARY WATER BARRIER

Provide capillary water barrier of clean, poorly graded crushed rock, crushed gravel, or uncrushed gravel placed beneath a building slab with or without a vapor barrier to cut off the capillary flow of pore water to the area immediately below. Conform to [ASTM C33/C33M](#) for fine aggregate grading with a maximum of 3 percent by weight passing [ASTM D1140](#), No. 200 sieve, or 1-1/2 inch and no more than 2 percent by weight passing the No. 4 size sieve or coarse aggregate Size 57, 67, or 77.

2.6 PIPE CASING

2.6.1 Casing Pipe

[ASTM A139/A139M](#), Grade B, or [ASTM A252](#), Grade 2, smooth wall pipe. Match casing size to the outside diameter and wall thickness. Protective coating is not required on casing pipe.

2.6.2 Wood Supports

Treated Yellow Pine or Douglas Fir, rough, structural grade. Provide wood with nonleaching water-borne pressure preservative (ACA or CCA) and treatment conforming to [AWPA P5](#) and [AWPA C2](#), respectively. Secure wood supports to carrier pipe with stainless steel or zinc-coated steel bands.

PART 3 EXECUTION

3.1 STRIPPING OF TOPSOIL

Where indicated or directed, strip topsoil to a depth of 4 inches. Spread topsoil on areas already graded and prepared for topsoil, or transported and deposited in stockpiles convenient to areas that are to receive application of the topsoil later, or at locations indicated or specified. Keep topsoil separate from other excavated materials, brush, litter, objectionable weeds, roots, stones larger than 2 inches in diameter, and other materials that would interfere with planting and maintenance operations. Remove from the site any surplus of topsoil from excavations and gradings.

3.2 GENERAL EXCAVATION

Perform excavation of every type of material encountered within the limits of the project to the lines, grades, and elevations indicated and as specified. Perform the grading in accordance with the typical sections shown and the tolerances specified in paragraph FINISHING. Transport satisfactory excavated materials and place in fill or embankment within the limits of the work. Excavate unsatisfactory materials encountered within the limits of the work below grade and replace with satisfactory materials as directed. Include such excavated material and the satisfactory material ordered as replacement in excavation. Dispose surplus satisfactory excavated material not required for fill or embankment in areas approved for surplus material storage or designated waste areas. Dispose unsatisfactory excavated material in designated waste or spoil areas. During construction, perform excavation and fill in a manner and sequence that will provide proper drainage at all times. Excavate material required for fill or embankment in excess of that produced by excavation within the grading limits from the borrow areas indicated or from other approved areas selected by the Contractor as specified.

3.2.1 Ditches, Gutters, and Channel Changes

Finish excavation of ditches, gutters, and channel changes by cutting accurately to the cross sections, grades, and elevations shown on the plans. Do not excavate ditches and gutters below grades shown. Backfill the excessive open ditch or gutter excavation with satisfactory, thoroughly compacted, material or with suitable stone or cobble to grades shown. Dispose excavated material as shown or as directed, except in no case allow material be deposited a maximum 4 feet from edge of a ditch. Maintain excavations free from detrimental quantities of leaves, brush, sticks, trash, and other debris until final acceptance of the work.

3.2.2 Drainage Structures

Make excavations to the lines, grades, and elevations shown, or as directed. Provide trenches and foundation pits of sufficient size to permit the placement and removal of forms for the full length and width of structure footings and foundations as shown. Clean rock or other hard foundation material of loose debris and cut to a firm, level, stepped, or serrated surface. Remove loose disintegrated rock and thin strata. Do not disturb the bottom of the excavation when concrete or masonry is to be placed in an excavated area. Do not excavate to the final grade level until just before the concrete or masonry is to be placed. Where pile foundations are to be used, stop the excavation of each pit at an elevation 1 foot above the base of the footing, as specified, before piles are driven. After the pile

driving has been completed, remove loose and displaced material and complete excavation, leaving a smooth, solid, undisturbed surface to receive the concrete or masonry.

3.2.3 Drainage

Provide for the collection and disposal of surface and subsurface water encountered during construction. Completely drain construction site during periods of construction to keep soil materials sufficiently dry. Construct storm drainage features (ponds/basins) at the earliest stages of site development, and throughout construction grade the construction area to provide positive surface water runoff away from the construction activity [and] [or] provide temporary ditches, swales, and other drainage features and equipment as required to maintain dry soils. When unsuitable working platforms for equipment operation and unsuitable soil support for subsequent construction features develop, remove unsuitable material and provide new soil material as specified herein. It is the responsibility of the Contractor to assess the soil and ground water conditions presented by the plans and specifications and to employ necessary measures to permit construction to proceed.

3.2.4 Dewatering

Control groundwater flowing toward or into excavations to prevent sloughing of excavation slopes and walls, boils, uplift and heave in the excavation and to eliminate interference with orderly progress of construction. Do not permit French drains, sumps, ditches or trenches within 3 feet of the foundation of any structure, except with specific written approval, and after specific contractual provisions for restoration of the foundation area have been made. Take control measures by the time the excavation reaches the water level in order to maintain the integrity of the in situ material. While the excavation is open, maintain the water level continuously, at least 2 feet below the working level. Operate dewatering system continuously until construction work below existing water levels is complete. Submit performance records weekly. Measure and record performance of dewatering system at same time each day by use of observation wells or piezometers installed in conjunction with the dewatering system. Relieve hydrostatic head in previous zones below subgrade elevation in layered soils to prevent uplift.

3.2.5 Trench Excavation Requirements

Excavate the trench as recommended by the manufacturer of the pipe to be installed. Slope trench walls below the top of the pipe, or make vertical, and of such width as recommended in the manufacturer's printed installation manual. Provide vertical trench walls where no manufacturer's printed installation manual is available. Shore trench walls, cut back to a stable slope, or provide with equivalent means of protection for employees who may be exposed to moving ground or cave in. Shore vertical trench walls. Excavate trench walls which are cut back to at least the angle of repose of the soil. Give special attention to slopes which may be adversely affected by weather or moisture content. Do not exceed the trench width below the pipe top of 24 inches plus pipe outside diameter (O.D.) for pipes of less than 24 inches inside diameter, and do not exceed 36 inches plus pipe outside diameter for sizes larger than 24 inches inside diameter. Where recommended trench widths are exceeded, provide redesign, stronger pipe, or

special installation procedures by the Contractor. The Contractor is responsible for the cost of redesign, stronger pipe, or special installation procedures without any additional cost to the Government.

3.2.5.1 Bottom Preparation

Grade the bottoms of trenches accurately to provide uniform bearing and support for the bottom quadrant of each section of the pipe. Excavate bell holes to the necessary size at each joint or coupling to eliminate point bearing. Remove stones of 2 inch or greater in any dimension, or as recommended by the pipe manufacturer, whichever is smaller, to avoid point bearing.

3.2.5.2 Removal of Unyielding Material

Where overdepth is not indicated and unyielding material is encountered in the bottom of the trench, remove such material 6 inch below the required grade and replaced with suitable materials as provided in paragraph BACKFILLING AND COMPACTION.

3.2.5.3 Removal of Unstable Material

Where unstable material is encountered in the bottom of the trench, remove such material to the depth directed and replace it to the proper grade with select granular material as provided in paragraph BACKFILLING AND COMPACTION. When removal of unstable material is required due to the Contractor's fault or neglect in performing the work, the Contractor is responsible for excavating the resulting material and replacing it without additional cost to the Government.

3.2.5.4 Excavation for Appurtenances

Provide excavation for manholes, catch-basins, inlets, or similar structures sufficient to leave at least 12 inches clear between the outer structure surfaces and the face of the excavation or support members or of sufficient size to permit the placement and removal of forms for the full length and width of structure footings and foundations as shown. Clean rock or loose debris and cut to a firm surface either level, stepped, or serrated, as shown or as directed. Remove loose disintegrated rock and thin strata. Specify removal of unstable material. When concrete or masonry is to be placed in an excavated area, take special care not to disturb the bottom of the excavation. Do not excavate to the final grade level until just before the concrete or masonry is to be placed.

3.2.5.5 Jacking, Boring, and Tunneling

Unless otherwise indicated, provide excavation by open cut except that sections of a trench may be jacked, bored, or tunneled if, in the opinion of the Contracting Officer, the pipe, cable, or duct can be safely and properly installed and backfill can be properly compacted in such sections.

3.2.6 Underground Utilities

The Contractor is responsible for movement of construction machinery and equipment over pipes and utilities during construction. Perform work adjacent to non-Government utilities as indicated in accordance with

procedures outlined by utility company. Excavation made with power-driven equipment is not permitted within two feet of known Government-owned utility or subsurface construction. For work immediately adjacent to or for excavations exposing a utility or other buried obstruction, excavate by hand. Start hand excavation on each side of the indicated obstruction and continue until the obstruction is uncovered or until clearance for the new grade is assured. Support uncovered lines or other existing work affected by the contract excavation until approval for backfill is granted by the Contracting Officer. Report damage to utility lines or subsurface construction immediately to the Contracting Officer.

3.2.7 Structural Excavation

Ensure that footing subgrades have been inspected and approved by the Contracting Officer prior to concrete placement. Excavate to bottom of pile cap prior to placing or driving piles, unless authorized otherwise by the Contracting Officer. Backfill and compact over excavations and changes in grade due to pile driving operations to 95 percent of **ASTM D698** maximum density.

3.3 SELECTION OF BORROW MATERIAL

Select borrow material to meet the requirements and conditions of the particular fill or embankment for which it is to be used. Obtain borrow material from the borrow areas from approved sources. Unless otherwise provided in the contract, the Contractor is responsible for obtaining the right to procure material, pay royalties and other charges involved, and bear the expense of developing the sources, including rights-of-way for hauling from the owners. Borrow material from approved sources on Government-controlled land may be obtained without payment of royalties. Unless specifically provided, do not obtain borrow within the limits of the project site without prior written approval. Consider necessary clearing, grubbing, and satisfactory drainage of borrow pits and the disposal of debris thereon related operations to the borrow excavation.

3.4 OPENING AND DRAINAGE OF EXCAVATION AND BORROW PITS

Notify the Contracting Officer sufficiently in advance of the **opening of any excavation or borrow pit** or borrow areas to permit elevations and measurements of the undisturbed ground surface to be taken. Except as otherwise permitted, excavate borrow pits and other excavation areas providing adequate drainage. Transport overburden and other spoil material to designated spoil areas or otherwise dispose of as directed. Provide neatly trimmed and drained borrow pits after the excavation is completed. Ensure that excavation of any area, operation of borrow pits, or dumping of spoil material results in minimum detrimental effects on natural environmental conditions.

3.5 SHORING

3.5.1 General Requirements

Submit a Shoring and Sheeting plan for approval 15 days prior to starting work. Submit drawings and calculations, certified by a registered professional engineer, describing the methods for shoring and sheeting of excavations. Finish shoring, including sheet piling, and install as

necessary to protect workmen, banks, adjacent paving, structures, and utilities. Remove shoring, bracing, and sheeting as excavations are backfilled, in a manner to prevent caving.

3.5.2 Geotechnical Engineer

Hire a Professional Geotechnical Engineer to provide inspection of excavations and soil/groundwater conditions throughout construction. The Geotechnical Engineer is responsible for performing pre-construction and periodic site visits throughout construction to assess site conditions. The Geotechnical Engineer is responsible for updating the excavation, sheeting and dewatering plans as construction progresses to reflect changing conditions and submit an updated plan if necessary. Submit a monthly written report, informing the Contractor and Contracting Officer of the status of the plan and an accounting of the Contractor's adherence to the plan addressing any present or potential problems. The Contracting Officer is responsible for arranging meetings with the Geotechnical Engineer at any time throughout the contract duration.

3.6 GRADING AREAS

Where indicated, divide work into grading areas within which satisfactory excavated material will be placed in embankments, fills, and required backfills. Do not haul satisfactory material excavated in one grading area to another grading area except when so directed in writing. Place and grade stockpiles of satisfactory [and unsatisfactory] [and wasted materials] as specified. Keep stockpiles in a neat and well drained condition, giving due consideration to drainage at all times. Clear, grub, and seal by rubber-tired equipment, the ground surface at stockpile locations; separately stockpile excavated satisfactory and unsatisfactory materials. Protect stockpiles of satisfactory materials from contamination which may destroy the quality and fitness of the stockpiled material. If the Contractor fails to protect the stockpiles, and any material becomes unsatisfactory, remove and replace such material with satisfactory material from approved sources.

3.7 FINAL GRADE OF SURFACES TO SUPPORT CONCRETE

Do not excavate to final grade until just before concrete is to be placed. [For pile foundations, stop the excavation at an elevation of from 6 to 12 inches above the bottom of the footing before driving piles. After pile driving has been completed, complete the remainder of the excavation to the elevations shown.] Only use excavation methods that will leave the foundation rock in a solid and unshattered condition. Roughen the level surfaces, and cut the sloped surfaces, as indicated, into rough steps or benches to provide a satisfactory bond. Protect shales from slaking and all surfaces from erosion resulting from ponding or water flow.

3.8 GROUND SURFACE PREPARATION

3.8.1 General Requirements

Remove and replace unsatisfactory material with satisfactory materials, as directed by the Contracting Officer, in surfaces to receive fill or in excavated areas. Scarify the surface to a depth of 6 inches before the fill is started. Plow, step, bench, or break up sloped surfaces steeper than 1 vertical to 4 horizontal so that the fill material will bond with the

existing material. When subgrades are less than the specified density, break up the ground surface to a minimum depth of 6 inches, pulverizing, and compacting to the specified density. When the subgrade is part fill and part excavation or natural ground, scarify the excavated or natural ground portion to a depth of 12 inches and compact it as specified for the adjacent fill.

3.8.2 Frozen Material

Do not place material on surfaces that are muddy, frozen, or contain frost. Finish compaction by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, or other approved equipment well suited to the soil being compacted. Moisten material as necessary to plus or minus 2 percent of optimum moisture.

3.9 UTILIZATION OF EXCAVATED MATERIALS

Dispose unsatisfactory materials removing from excavations into designated waste disposal or spoil areas. Use satisfactory material removed from excavations, insofar as practicable, in the construction of fills, embankments, subgrades, shoulders, bedding (as backfill), and for similar purposes. Submit procedure and location for disposal of unused satisfactory material. Submit proposed source of borrow material. Do not waste any satisfactory excavated material without specific written authorization. Dispose of satisfactory material, authorized to be wasted, in designated areas approved for surplus material storage or designated waste areas as directed. Clear and grub newly designated waste areas on Government-controlled land before disposal of waste material thereon. Stockpile and use coarse rock from excavations for constructing slopes or embankments adjacent to streams, or sides and bottoms of channels and for protecting against erosion. Do not dispose excavated material to obstruct the flow of any stream, endanger a partly finished structure, impair the efficiency or appearance of any structure, or be detrimental to the completed work in any way.

3.10 BURIED TAPE AND DETECTION WIRE

3.10.1 Buried Warning and Identification Tape

Provide buried utility lines with utility identification tape. Bury tape 12 inches below finished grade; under pavements and slabs, bury tape 6 inches below top of subgrade.

3.10.2 Buried Detection Wire

Bury detection wire directly above non-metallic piping at a distance not to exceed 12 inches above the top of pipe. Extend the wire continuously and unbroken, from manhole to manhole. Terminate the ends of the wire inside the manholes at each end of the pipe, with a minimum of 3 feet of wire, coiled, remaining accessible in each manhole. Furnish insulated wire over it's entire length. Install wires at manholes between the top of the corbel and the frame, and extend up through the chimney seal between the frame and the chimney seal. For force mains, terminate the wire in the valve pit at the pump station end of the pipe.

3.11 BACKFILLING AND COMPACTION

Place backfill adjacent to any and all types of structures, and compact to at least 90 percent laboratory maximum density for cohesive materials or 95 percent laboratory maximum density for cohesionless materials, to prevent wedging action or eccentric loading upon or against the structure. Prepare ground surface on which backfill is to be placed and provide compaction requirements for backfill materials in conformance with the applicable portions of paragraphs GROUND SURFACE PREPARATION. Finish compaction by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment.

3.11.1 Trench Backfill

Backfill trenches to the grade shown. Do not backfill the trench until all specified tests are performed.

3.11.1.1 Replacement of Unyielding Material

Replace unyielding material removed from the bottom of the trench with select granular material or initial backfill material.

3.11.1.2 Replacement of Unstable Material

Replace unstable material removed from the bottom of the trench or excavation with select granular material placed in layers not exceeding 6 inches loose thickness.

3.11.1.3 Bedding and Initial Backfill

Provide bedding of the type and thickness shown. Place initial backfill material and compact it with approved tampers to a height of at least one foot above the utility pipe or conduit. Bring up the backfill evenly on both sides of the pipe for the full length of the pipe. Take care to ensure thorough compaction of the fill under the haunches of the pipe. Except as specified otherwise in the individual piping section, provide bedding for buried piping in accordance with [AWWA C600](#), Type 4, except as specified herein. Compact backfill to top of pipe to 95 percent of [ASTM D698](#) maximum density.

3.11.1.4 Final Backfill

Fill the remainder of the trench, except for special materials for roadways, railroads and airfields, with satisfactory material. Place backfill material and compact as follows:

- a. Roadways, Railroads, and Airfields: Place backfill up to the required elevation as specified. Do not permit water flooding or jetting methods of compaction.
- b. Sidewalks, Turfed or Seeded Areas and Miscellaneous Areas: Deposit backfill in layers of a maximum of 12 inches loose thickness, and compact it to 85 percent maximum density for cohesive soils and 90 percent maximum density for cohesionless soils. [Allow water flooding or jetting methods of compaction for granular noncohesive backfill material. Do not allow water jetting to penetrate the initial

backfill.] [Do not permit compaction by water flooding or jetting.]
Apply this requirement to all other areas not specifically designated
above.

3.11.2 Backfill for Appurtenances

After the manhole, catchbasin, inlet, or similar structure has been constructed and the concrete has been allowed to cure for 5 days, place backfill in such a manner that the structure is not be damaged by the shock of falling earth. Deposit the backfill material, compact it as specified for final backfill, and bring up the backfill evenly on all sides of the structure to prevent eccentric loading and excessive stress.

3.12 SPECIAL REQUIREMENTS

Special requirements for both excavation and backfill relating to the specific utilities are as follows:

3.12.1 Gas Distribution

Excavate trenches to a depth that will provide a minimum 18 inches of cover in rock excavation and a minimum 24 inch of cover in other excavation.

3.12.2 Water Lines

Excavate trenches to a depth that provides a minimum cover of 3 feet from the existing ground surface, or from the indicated finished grade, whichever is lower, to the top of the pipe.

3.12.3 Heat Distribution System

Free initial backfill material of stones larger than 1/4 inch in any dimension.

3.12.4 Electrical Distribution System

Provide a minimum cover of 24 inches from the finished grade to direct burial cable and conduit or duct line, unless otherwise indicated.

3.12.5 Rip-Rap Construction

Construct rip-rap on bedding material, filter fabric, in accordance with TXDOT State Standards in the areas indicated. Trim and dress indicated areas to conform to cross sections, lines and grades shown within a tolerance of 0.1 foot.

3.12.7.1 Bedding Placement

Spread filter fabric bedding material uniformly to a thickness of at least 3 inches on prepared subgrade as indicated. Compaction of bedding is not required. Finish bedding to present even surface free from mounds and windrows.

3.12.7.2 Stone Placement

Place rock for rip-rap on prepared bedding material to produce a well graded mass with the minimum practicable percentage of voids in conformance with lines and grades indicated. Distribute larger rock fragments, with dimensions extending the full depth of the rip-rap throughout the entire mass and eliminate "pockets" of small rock fragments. Rearrange individual pieces by mechanical equipment or by hand as necessary to obtain the distribution of fragment sizes specified above.

3.13 EMBANKMENTS

3.13.1 Earth Embankments

Construct earth embankments from satisfactory materials free of organic or frozen material and rocks with any dimension greater than 3 inches. Place the material in successive horizontal layers of loose material not more than 12 inches in depth. Spread each layer uniformly on a soil surface that has been moistened or aerated as necessary, and scarified or otherwise broken up so that the fill will bond with the surface on which it is placed. After spreading, plow, disk, or otherwise break up each layer; moisten or aerate as necessary; thoroughly mix; and compact to at least 90 percent laboratory maximum density for cohesive materials or 95 percent laboratory maximum density for cohesionless materials. Compaction requirements for the upper portion of earth embankments forming subgrade for pavements are identical with those requirements specified in paragraph SUBGRADE PREPARATION. Finish compaction by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment.

3.14 SUBGRADE PREPARATION

3.14.1 Proof Rolling

Finish proof rolling on an exposed subgrade free of surface water (wet conditions resulting from rainfall) which would promote degradation of an otherwise acceptable subgrade. Proof roll the existing subgrade with six passes of a dump truck loaded with 4 cubic yards of soil. Operate the truck in a systematic manner to ensure the number of passes over all areas, and at speeds between 2-1/2 to 3-1/2 mph. Notify the Contracting Officer a minimum of 3 days prior to proof rolling. Perform proof rolling in the presence of the Contracting Officer. Undercut rutting or pumping of material as directed by the Contracting Officer to a depth of 18 inch and replace with fill and backfill material.

3.14.2 Construction

Shape subgrade to line, grade, and cross section, and compact as specified. Include plowing, disking, and any moistening or aerating required to obtain specified compaction for this operation. Remove soft or otherwise unsatisfactory material and replace with satisfactory excavated material or other approved material as directed. Excavate rock encountered in the cut section to a depth of 6 inches below finished grade for the subgrade. Bring up low areas resulting from removal of unsatisfactory material or excavation of rock to required grade with satisfactory materials, and shape the entire subgrade to line, grade, and cross section and compact as specified. After rolling, the surface of the subgrade for roadways shall not show deviations greater than 1/2 inch when tested with a 12-foot straightedge applied both

parallel and at right angles to the centerline of the area. Do not vary the elevation of the finish subgrade more than 0.05 foot from the established grade and cross section.

3.14.3 Compaction

Finish compaction by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment. Except for paved areas and railroads, compact each layer of the embankment to at least 90 percent of laboratory maximum density.

3.14.3.1 Subgrade for Railroads

Compact subgrade for railroads to at least 90 percent laboratory maximum density for cohesive materials or 95 percent laboratory maximum density for cohesionless materials.

3.14.3.2 Subgrade for Pavements

Compact subgrade for pavements to at least 90 percentage laboratory maximum density for the depth below the surface of the pavement shown. When more than one soil classification is present in the subgrade, thoroughly blend, reshape, and compact the top 6 inch of subgrade.

3.14.3.3 Subgrade for Shoulders

Compact subgrade for shoulders to at least 90 percentage laboratory maximum density for the full depth of the shoulder.

3.15 SHOULDER CONSTRUCTION

Construct shoulders of satisfactory excavated or borrow material or as otherwise shown or specified. Submit advanced notice on shoulder construction for rigid pavements. Construct shoulders immediately after adjacent paving is complete. In the case of rigid pavements, do not construct shoulders until permission of the Contracting Officer has been obtained. Compact the entire shoulder area to at least the percentage of maximum density as specified in paragraph SUBGRADE PREPARATION above, for specific ranges of depth below the surface of the shoulder. Finish compaction by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment. Finish shoulder construction in proper sequence in such a manner that adjacent ditches will be drained effectively and that no damage of any kind is done to the adjacent completed pavement. Align the completed shoulders true to grade and shaped to drain in conformity with the cross section shown.

3.16 FINISHING

Finish the surface of excavations, embankments, and subgrades to a smooth and compact surface in accordance with the lines, grades, and cross sections or elevations shown. Provide the degree of finish for graded areas within 0.1 foot of the grades and elevations indicated except that the degree of finish for subgrades specified in paragraph SUBGRADE PREPARATION. Finish gutters and ditches in a manner that will result in effective drainage. Finish the surface of areas to be turfed from settlement or washing to a

smoothness suitable for the application of turfing materials. Repair graded, topsoiled, or backfilled areas prior to acceptance of the work, and re-established grades to the required elevations and slopes.

3.16.1 Subgrade and Embankments

During construction, keep embankments and excavations shaped and drained. Maintain ditches and drains along subgrade to drain effectively at all times. Do not disturb the finished subgrade by traffic or other operation. Protect and maintain the finished subgrade in a satisfactory condition until ballast, subbase, base, or pavement is placed. Do not permit the storage or stockpiling of materials on the finished subgrade. Do not lay subbase, base course, ballast, or pavement until the subgrade has been checked and approved, and in no case place subbase, base, surfacing, pavement, or ballast on a muddy, spongy, or frozen subgrade.

3.16.2 Capillary Water Barrier

Place a capillary water barrier under concrete floor and area-way slabs grade directly on the subgrade and compact with a minimum of two passes of a hand-operated plate-type vibratory compactor.

3.16.3 Grading Around Structures

Construct areas within 5 feet outside of each building and structure line true-to-grade, shape to drain, and maintain free of trash and debris until final inspection has been completed and the work has been accepted.

3.17 PLACING TOPSOIL

On areas to receive topsoil, prepare the compacted subgrade soil to a 2 inches depth for bonding of topsoil with subsoil. Spread topsoil evenly to a thickness of 6 inch and grade to the elevations and slopes shown. Do not spread topsoil when frozen or excessively wet or dry. Obtain material required for topsoil in excess of that produced by excavation within the grading limits from offsite areas.

3.18 TESTING

Perform testing by a Corps validated commercial testing laboratory or the Contractor's validated testing facility. Submit qualifications of the Corps validated commercial testing laboratory or the Contractor's validated testing facilities. If the Contractor elects to establish testing facilities, do not permit work requiring testing until the Contractor's facilities have been inspected, Corps validated and approved by the Contracting Officer.

- a. Determine field in-place density in accordance with [ASTM D1557](#).
- b. Check the calibration curves furnished with the moisture gauges along with density calibration checks as described in [ASTM D6938](#); check the calibration of both the density and moisture gauges at the beginning of a job on each different type of material encountered and at intervals as directed by the Contracting Officer. When test results indicate, as determined by the Contracting Officer, that compaction is

not as specified, remove the material, replace and recompact to meet specification requirements.

c. Perform tests on recompacted areas to determine conformance with specification requirements. Appoint a registered professional civil engineer to certify inspections and test results. These certifications shall state that the tests and observations were performed by or under the direct supervision of the engineer and that the results are representative of the materials or conditions being certified by the tests. The following number of tests, if performed at the appropriate time, will be the minimum acceptable for each type operation.

3.18.1 Fill and Backfill Material Gradation

One test per 1000 cubic yards stockpiled or in-place source material. Determine gradation of fill and backfill material in accordance with [ASTM D422](#).

3.18.2 In-Place Densities

a. One test per 10,000 square feet, or fraction thereof, of each lift of fill or backfill areas compacted by other than hand-operated machines.

b. One test per 1,500 square feet, or fraction thereof, of each lift of fill or backfill areas compacted by hand-operated machines.

c. One test per 500 linear feet, or fraction thereof, of each lift of embankment or backfill for roads.

3.18.3 Check Tests on In-Place Densities

If [ASTM D6938](#) is used, check in-place densities by [ASTM D1556](#) as follows:

a. One check test per lift for each 50,000 square feet, or fraction thereof, of each lift of fill or backfill compacted by other than hand-operated machines.

b. One check test per lift for each 5,000 square feet, of fill or backfill areas compacted by hand-operated machines.

c. One check test per lift for each 2,000 linear feet, or fraction thereof, of embankment or backfill for roads.

3.18.4 Moisture Contents

In the stockpile, excavation, or borrow areas, perform a minimum of two tests per day per type of material or source of material being placed during stable weather conditions. During unstable weather, perform tests as dictated by local conditions and approved by the Contracting Officer.

3.18.5 Optimum Moisture and Laboratory Maximum Density

Perform tests for each type material or source of material including borrow material to determine the optimum moisture and laboratory maximum density values. One representative test per 10,000 cubic yards of fill and

backfill, or when any change in material occurs which may affect the optimum moisture content or laboratory maximum density.

3.18.6 Tolerance Tests for Subgrades

Perform continuous checks on the degree of finish specified in paragraph SUBGRADE PREPARATION during construction of the subgrades.

3.18.7 Displacement of Sewers

After other required tests have been performed and the trench backfill compacted to the finished grade surface, inspect the pipe to determine whether significant displacement has occurred. Conduct this inspection in the presence of the Contracting Officer. Inspect pipe sizes larger than 36 inches, while inspecting smaller diameter pipe by shining a light or laser between manholes or manhole locations, or by the use of television cameras passed through the pipe. If, in the judgment of the Contracting Officer, the interior of the pipe shows poor alignment or any other defects that would cause improper functioning of the system, replace or repair the defects as directed at no additional cost to the Government.

-- End of Section --

SECTION 32 01 19

FIELD MOLDED SEALANTS FOR SEALING JOINTS IN RIGID PAVEMENTS
08/08

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM C509 (2006; R 2011) Elastomeric Cellular Preformed Gasket and Sealing Material

ASTM D5893/D5893M (2010) Cold Applied, Single Component, Chemically Curing Silicone Joint Sealant for Portland Cement Concrete Pavements

ASTM D6690 (2007) Standard Specification for Joint and Crack Sealants, Hot Applied, for Concrete and Asphalt Pavements

ASTM D7116 (2005) Standard Specification for Joint Sealants, Hot Applied, Jet Fuel Resistant Types, for Portland Cement Concrete Pavement

ASTM D789 (2007e1) Determination of Relative Viscosity and Moisture Content of Polyamide (PA)

U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 525 (1989) Corps of Engineers Test Method for Evaluation of Hot-Applied Joint Sealants for Bubbling Due to Heating

EM 385-1-1 (2008; Errata 1-2010; Changes 1-3 2010; Changes 4-6 2011) Safety and Health Requirements Manual

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

FS SS-S-200 (Rev E; Am 1; Notice 1) Sealant, Joint, Two-Component, Jet-Blast-Resistant, Cold-Applied, for Portland Cement Concrete Pavement

1.2 SYSTEM DESCRIPTION

Machines, tools, and equipment used in the performance of the work required by this section shall be approved before the work is started and should be maintained in satisfactory condition at all times. Submit a list of proposed equipment to be used in performance of construction work including descriptive data, 15 days prior to use on the project.

1.2.1 Joint Cleaning Equipment

1.2.1.1 Tractor-Mounted Routing Tool

Provide a routing tool, used for removing old sealant from the joints, of such shape and dimensions and so mounted on the tractor that it will not damage the sides of the joints. The tool shall be designed so that it can be adjusted to remove the old material to varying depths as required. The use of V-shaped tools or rotary impact routing devices will not be permitted. Hand-operated spindle routing devices may be used to clean and enlarge random cracks.

1.2.1.2 Concrete Saw

Provide a self-propelled power saw, with water-cooled diamond or abrasive saw blades, for cutting joints to the depths and widths specified or for refacing joints or cleaning sawed joints where sandblasting does not provide a clean joint.

1.2.1.3 Sandblasting Equipment

Include with the sandblasting equipment an air compressor, hose, and long-wearing venturi-type nozzle of proper size, shape and opening. The maximum nozzle opening should not exceed 1/4 inch. The air compressor shall be portable and capable of furnishing not less than 150 cfm and maintaining a line pressure of not less than 90 psi at the nozzle while in use. Demonstrate compressor capability, under job conditions, before approval. The compressor shall be equipped with traps that will maintain the compressed air free of oil and water. The nozzle shall have an adjustable guide that will hold the nozzle aligned with the joint approximately 1 inch above the pavement surface. Adjust the height, angle of inclination and the size of the nozzle as necessary to secure satisfactory results. Abrasive blasting equipment shall also be in accordance with EM-385-1-1 Section 06.H-Abrasive Blasting, 13.G-Abrasive Blasting Equipment, and 20.B-Compressed Air and Gas Systems. Do not use silica sand as the abrasive blasting media.

1.2.1.4 Waterblasting Equipment

Include with the waterblasting equipment a trailer-mounted water tank, pumps, high-pressure hose, wand with safety release cutoff control, nozzle, and auxiliary water resupply equipment. Provide water tank and auxiliary resupply equipment of sufficient capacity to permit continuous operations. The nozzle shall have an adjustable guide that will hold the nozzle aligned with the joint approximately 1 inch above the pavement surface. Adjust the height, angle of inclination and the size of the nozzle as necessary to

obtain satisfactory results. A pressure gauge mounted at the pump shall show at all times the pressure in psi at which the equipment is operating.

1.2.1.5 Hand Tools

Hand tools may be used, when approved, for removing defective sealant from a crack and repairing or cleaning the crack faces.

1.2.2 Sealing Equipment

1.2.2.1 Hot-Poured Sealing Equipment

The unit applicators used for heating and installing ASTM D6690, ASTM D7116 joint sealant materials shall be mobile and shall be equipped with a double-boiler, agitator-type kettle with an oil medium in the outer space for heat transfer; a direct-connected pressure-type extruding device with a nozzle shaped for inserting in the joint to be filled; positive temperature devices for controlling the temperature of the transfer oil and sealant; and a recording type thermometer for indicating the temperature of the sealant. The applicator unit shall be designed so that the sealant will circulate through the delivery hose and return to the inner kettle when not in use.

1.2.2.2 Two-Component, Cold-Applied, Machine Mix Sealing Equipment

Provide equipment used for proportioning, mixing, and installing FS SS-S-200 Type M joint sealants designed to deliver two semifluid components through hoses to a portable mixer at a preset ratio of 1 to 1 by volume using pumps with an accuracy of plus or minus 5 percent for the quantity of each component. The reservoir for each component shall be equipped with mechanical agitation devices that will maintain the components in a uniform condition without entrapping air. Incorporate provisions to permit thermostatically controlled indirect heating of the components, when required. However, immediately prior to proportioning and mixing, the temperature of either component shall not exceed 90 degrees F. Provide screens near the top of each reservoir to remove any foreign particles or partially polymerized material that could clog fluid lines or otherwise cause misproportioning or improper mixing of the two components. Provide equipment capable of thoroughly mixing the two components through a range of application rates of 10 to 60 gallons per hour and through a range of application pressures from 50 to 1500 psi as required by material, climatic, or operating conditions. Design the mixer for the easy removal of the supply lines for cleaning and proportioning of the components. The mixing head shall accommodate nozzles of different types and sizes as may be required by various operations. The dimensions of the nozzle shall be such that the nozzle tip will extend into the joint to allow sealing from the bottom of the joint to the top. Maintain the initially approved equipment in good working condition, serviced in accordance with the supplier's instructions, and unaltered in any way without obtaining prior approval.

1.2.2.3 Two-Component, Cold-Applied, Hand-Mix Sealing Equipment

Mixing equipment for FS SS-S-200 Type H sealants shall consist of a slow-speed electric drill or air-driven mixer with a stirrer in accordance with the manufacturer's recommendations. Submit printed copies of manufacturer's

recommendations, 15 days prior to use on the project, where installation procedures, or any part thereof, are required to be in accordance with those recommendations. Installation of the material will not be allowed until the recommendations are received. Failure to furnish these recommendations can be cause for rejection of the material.

1.2.2.4 Cold-Applied, Single-Component Sealing Equipment

The equipment for installing ASTM D5893/D5893M single component joint sealants shall consist of an extrusion pump, air compressor, following plate, hoses, and nozzle for transferring the sealant from the storage container into the joint opening. The dimension of the nozzle shall be such that the tip of the nozzle will extend into the joint to allow sealing from the bottom of the joint to the top. Maintain the initially approved equipment in good working condition, serviced in accordance with the supplier's instructions, and unaltered in any way without obtaining prior approval. Small hand-held air-powered equipment (i.e., caulking guns) may be used for small applications.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with SUBMITTAL PROCEDURES:

SD-03 Product Data

Manufacturer's Recommendations; G.
Equipment.

SD-04 Samples

Materials; G.

SD-06 Test Reports

Certified copies of the test reports; G.

1.4 QUALITY ASSURANCE

1.4.1 Safety

Do not place joint sealant within 25 feet of any liquid oxygen (LOX) equipment, LOX storage, or LOX piping. Thoroughly clean joints in this area and leave them unsealed.

1.4.2 Test Requirements

Test the joint sealant and backup or separating material for conformance with the referenced applicable material specification. Perform testing of the materials in an approved independent laboratory and submit certified copies of the test reports for approval 15 days prior to the use of the materials at the job site. Samples will be retained by the Government for possible future testing should the materials appear defective during or

after application. Conformance with the requirements of the laboratory tests specified will not constitute final acceptance of the materials. Final acceptance will be based on the performance of the in-place materials.

1.4.3 Trial Joint Sealant Installation

Prior to the cleaning and sealing of the joints for the entire project, prepare a test section at least 200 feet long using the specified materials and approved equipment, so as to demonstrate the proposed joint preparation and sealing of all types of joints in the project. Following the completion of the test section and before any other joint is sealed, inspect the test section to determine that the materials and installation meet the requirements specified. If it is determined that the materials or installation do not meet the requirements, remove the materials, and reclean and reseal the joints at no cost to the Government. When the test section meets the requirements, it may be incorporated into the permanent work and paid for at the contract unit price per linear foot for sealing items scheduled. Prepare and seal all other joints in the manner approved for sealing the test section.

1.5 DELIVERY, STORAGE, AND HANDLING

Inspect materials delivered to the job site for defects, unload, and store them with a minimum of handling to avoid damage. Provide storage facilities at the job site for maintaining materials at the temperatures and conditions recommended by the manufacturer.

1.6 ENVIRONMENTAL REQUIREMENTS

The ambient air temperature and the pavement temperature within the joint wall shall be a minimum of 50 degrees F and rising at the time of application of the materials. Do not apply sealant if moisture is observed in the joint.

PART 2 PRODUCTS

2.1 SEALANTS

Materials for sealing cracks in the various paved areas indicated on the drawings shall be as follows:

Area	Sealing Material
Existing Joints and Cracks	ASTM D6690, Type II and COE CRD-C 525 or ASTM D6690, Type III and COE CRD-C 525
New Pavement	ASTM D5893/D5893M

2.2 PRIMERS

When primers are recommended by the manufacturer of the sealant, use them in accordance with the recommendation of the manufacturer.

2.3 BACKUP MATERIALS

Provide backup material that is a compressible, nonshrinking, nonstaining, nonabsorbing material, nonreactive with the joint sealant. The material shall have a melting point at least 5 degrees F greater than the pouring temperature of the sealant being used when tested in accordance with [ASTM D789](#). The material shall have a water absorption of not more than 5 percent of the sample weight when tested in accordance with [ASTM C509](#). The backup material shall be 25 plus or minus 5 percent larger in diameter than the nominal width of the crack.

2.4 BOND BREAKING TAPES

Provide a bond breaking tape or separating material that is a flexible, nonshrinkable, nonabsorbing, nonstaining, and nonreacting adhesive-backed tape. The material shall have a melting point at least 5 degrees F greater than the pouring temperature of the sealant being used when tested in accordance with [ASTM D789](#). The bond breaker tape shall be approximately 1/8 inch wider than the nominal width of the joint and shall not bond to the joint sealant.

PART 3 EXECUTION

3.1 PREPARATION OF JOINTS

Immediately before the installation of the sealant, thoroughly clean the joints to remove all laitance, curing compound, filler, protrusions of hardened concrete, and old sealant from the sides and upper edges of the joint space to be sealed.

3.1.1 Existing Sealant Removal

Cut loose the in-place sealant from both joint faces and to the depth shown on the drawings, using the tractor-mounted routing equipment, concrete saw, or waterblaster as specified in paragraph [EQUIPMENT](#). Select equipment that will remove existing joint sealant. Depth shall be sufficient to accommodate any separating or backup material that is required to maintain the depth of new sealant to be installed. Prior to further cleaning operations, remove all loose old sealant remaining in the joint opening by blowing with compressed air. Hand tools may be required to remove sealant from random cracks. Chipping, spalling, or otherwise damaging the concrete will not be allowed.

3.1.2 Sawing

3.1.2.1 Refacing of Joints

Accomplish refacing or facing of joints using a concrete saw as specified in paragraph [EQUIPMENT](#) to remove all residual old sealant and a minimum of concrete from the joint face to provide exposure of newly cleaned concrete, and, if required, to enlarge the joint opening to the width and depth shown on the drawings; or to saw through sawed and filler-type joints to loosen and remove material until the joint is clean and open to the full specified width and depth. Stiffen the blade with a sufficient number of suitable

dummy (used) blades or washers. Thoroughly clean, immediately following the sawing operation, the joint opening using a water jet to remove all saw cuttings and debris.

3.1.2.2 Refacing of Random Cracks

Accomplish sawing of the cracks using a power-driven concrete saw as specified in paragraph EQUIPMENT. The saw blade shall be 6 inches or less in diameter to enable the saw to follow the trace of the crack. Stiffen the blade, as necessary, with suitable dummy (or used) blades or washers. Immediately following the sawing operation, thoroughly clean the crack opening using a water jet to remove all saw cuttings and debris.

3.1.3 Sandblasting

The newly exposed concrete joint faces and the pavement surfaces extending a minimum of 1/2 inch from the joint edges shall be sandblasted clean. Use a multiple-pass technique until the surfaces are free of dust, dirt, curing compound, filler, old sealant residue, or any foreign debris that might prevent the bonding of the sealant to the concrete. After final cleaning and immediately prior to sealing, blow out the joints with compressed air and leave them completely free of debris and water. Abrasive blasting equipment shall also be in accordance with EM-385-1-1 Section 06.H-Abrasive Blasting, 13.G-Abrasive Blasting Equipment, and 20.B-Compressed Air and Gas Systems. Do not use silica sand as the abrasive blasting media.

3.1.4 Back-Up Material

When the joint opening is of a greater depth than indicated for the sealant depth, plug or seal off the lower portion of the joint opening using a back-up material to prevent the entrance of the sealant below the specified depth. Take care to ensure that the backup material is placed at the specified depth and is not stretched or twisted during installation.

3.1.5 Bond Breaking Tape

Where inserts or filler materials contain bitumen, or the depth of the joint opening does not allow for the use of a backup material, insert a bond breaker separating tape to prevent incompatibility with the filler materials and three-sided adhesion of the sealant. Securely bond the tape to the bottom of the joint opening so it will not float up into the new sealant.

3.1.6 Rate of Progress of Joint Preparation

Limit the stages of joint preparation, which include sandblasting, air pressure cleaning and placing of the back-up material to only that lineal footage that can be sealed during the same day.

3.2 PREPARATION OF SEALANT

3.2.1 Hot-Poured Sealants

Sealants conforming to **ASTM D6690** or **ASTM D7116** shall not be heated in excess of the safe heating temperature recommended by the manufacturer as shown on the sealant containers. Withdraw and waste sealant that has been overheated or subjected to application temperatures for over 4 hours or that has remained in the applicator at the end of the day's operation.

3.2.2 Type M Sealants

Inspect the **FS SS-S-200** Type M sealant components and containers prior to use. Reject any materials that contain water, hard caking of any separated constituents, nonreversible jell, or materials that are otherwise unsatisfactory. Settlement of constituents in a soft mass that can be readily and uniformly remixed in the field with simple tools will not be cause for rejection. Prior to transfer of the components from the shipping containers to the appropriate reservoir of the application equipment, thoroughly mix the materials to ensure homogeneity of the components and incorporation of all constituents at the time of transfer. When necessary for remixing prior to transfer to the application equipment reservoirs, warm the components to a temperature not to exceed 90 degrees F by placing the components in heated storage or by other approved methods but in no case shall the components be heated by direct flame, or in a single walled kettle, or a kettle without an oil bath.

3.2.3 Type H Sealants

Mix the **FS SS-S-200** Type H sealant components either in the container furnished by the manufacturer or a cylindrical metal container of volume approximately 50 percent greater than the package volume. Thoroughly mix the base material in accordance with the manufacturer's instructions. The cure component shall then be slowly added during continued mixing until a uniform consistency is obtained.

3.2.4 Single-Component, Cold-Applied Sealants

Inspect the **ASTM D5893/D5893M** sealant and containers prior to use. Reject any materials that contain water, hard caking of any separated constituents, nonreversible jell, or materials that are otherwise unsatisfactory. Settlement of constituents in a soft mass that can be readily and uniformly remixed in the field with simple tools will not be cause for rejection.

3.3 INSTALLATION OF SEALANT

3.3.1 Time of Application

Seal joints immediately following final cleaning of the joint walls and following the placement of the separating or backup material. Open joints, that cannot be sealed under the conditions specified, or when rain interrupts sealing operations shall be recleaned and allowed to dry prior to installing the sealant.

3.3.2 Sealing Joints

Immediately preceding, but not more than 50 feet ahead of the joint sealing operations, perform a final cleaning with compressed air. Fill the joints

from the bottom up to 1/8 inch plus or minus 1/16 inch below the pavement surface. Remove and discard excess or spilled sealant from the pavement by approved methods. Install the sealant in such a manner as to prevent the formation of voids and entrapped air. In no case shall gravity methods or pouring pots be used to install the sealant material. Traffic shall not be permitted over newly sealed pavement until authorized by the Contracting Officer. When a primer is recommended by the manufacturer, apply it evenly to the joint faces in accordance with the manufacturer's instructions. Check the joints frequently to ensure that the newly installed sealant is cured to a tack-free condition within the time specified.

3.4 INSPECTION

3.4.1 Joint Cleaning

Inspect joints during the cleaning process to correct improper equipment and cleaning techniques that damage the concrete pavement in any manner. Cleaned joints will be approved prior to installation of the separating or back-up material and joint sealant.

3.4.2 Joint Sealant Application Equipment

Inspect the application equipment to ensure conformance to temperature requirements, proper proportioning and mixing (if two-component sealant) and proper installation. Evidences of bubbling, improper installation, failure to cure or set will be cause to suspend operations until causes of the deficiencies are determined and corrected.

3.4.3 Joint Sealant

Inspect the joint sealant for proper rate of cure and set, bonding to the joint walls, cohesive separation within the sealant, reversion to liquid, entrapped air and voids. Sealants exhibiting any of these deficiencies at any time prior to the final acceptance of the project shall be removed from the joint, wasted, and replaced as specified herein at no additional cost to the Government.

3.5 CLEAN-UP

Upon completion of the project, remove all unused materials from the site and leave the pavement in a clean condition.

-- End of Section --

SECTION 32 01 19.61

RESEALING OF JOINTS IN RIGID PAVEMENT
04/06

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

- ASTM C603 (2004; R 2008) Extrusion Rate and Application Life of Elastomeric Sealants
- ASTM C639 (2001; R 2011) Rheological (Flow) Properties of Elastomeric Sealants
- ASTM C661 (2006; R 2011) Indentation Hardness of Elastomeric-Type Sealants by Means of a Durometer
- ASTM C679 (2003; R 2009e1) Tack-Free Time of Elastomeric Sealants
- ASTM C719 (1993; R 2010) Adhesion and Cohesion of Elastomeric Joint Sealants Under Cyclic Movement (Hockman Cycle)
- ASTM C792 (2004; R 2008) Effects of Heat Aging on Weight Loss, Cracking, and Chalking of Elastomeric Sealants
- ASTM C793 (2005; R 2010) Effects of Accelerated Weathering on Elastomeric Joint Sealants
- ASTM D412 (2006ae2) Standard Test Methods for Vulcanized Rubber and Thermoplastic Elastomers - Tension

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

- FS SS-S-1401 (Rev C; Am 1; Notices 1, 2) Sealant, Joint, Non-Jet-Fuel-Resistant, Hot-Applied, for Portland Cement and Asphalt Concrete Pavements
- FS SS-S-1614 (Rev A; Am 1; Notices 1, 2) Sealants, Joint, Jet-Fuel-Resistant, Hot-Applied, for Portland Cement and Tar Concrete Pavements

FS SS-S-200

(Rev E; Am 1; Notice 1) Sealant, Joint, Two-Component, Jet-Blast-Resistant, Cold-Applied, for Portland Cement Concrete Pavement

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1

(2008; Errata 1-2010; Changes 1-3 2010; Changes 4-6 2011) Safety and Health Requirements Manual

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. The following shall be submitted in accordance with SUBMITTAL PROCEDURES:

SD-03 Product Data

Joint sealant

Submit catalog cuts, specifications, material Safety Data Sheets and other information documenting conformance to contract requirements.

SD-04 Samples

Joint filler

Separating tape

Joint backer rod

Joint sealant

Furnish for testing a five gallon sample of each joint seal with associated primer to the Contracting Officer a minimum of 60 days prior to its use on the job. Each container shall be factory sealed and must contain a factory applied label showing the following information:

Name of sealant

Identification of component, or primer

Specification number and type

Manufacturer's name

Manufacturer's lot and batch number

Date of Manufacture (month and year)

Shelf life retest date (month and year)

List of hazardous components

Quantity of material in container (volume)

Storage instructions

Instructions for use

SD-06 Test Reports

Joint sealant

SD-07 Certificates

Equipment list

SD-08 Manufacturer's Instructions

Joint sealant

Instructions shall include, but not be limited to: storage requirements, ambient temperature and humidity ranges, and moisture condition of joints for successful installation; requirements for preparation of joints; safe heating temperature; mixing instructions; installation equipment and procedures; application and disposal requirements; compatibility of sealant with filler material; curing requirements; and restrictions to be adhered to in order to reduce hazards to personnel or to the environment. Submit instructions at least 30 days prior to use.

1.3 DELIVERY, STORAGE, AND HANDLING

Inspect materials delivered to the site for visible damage, and unload and store with a minimum of handling. Joint materials shall be delivered in original sealed containers and shall be protected from freezing or overheating. Provide jobsite storage facilities capable of maintaining temperature ranges within manufacturers recommendations.

1.4 ENVIRONMENTAL REQUIREMENTS

Work shall not proceed when weather conditions detrimentally affect the quality of cleaning joints or applying joint sealants. Joint preparation and sealing shall proceed only when weather conditions are in accordance with manufacturer's instructions. During installation, surfaces shall be dry and sealant and bond breakers shall be protected from moisture.

1.5 TRAFFIC CONTROL

Do not permit vehicular or heavy equipment traffic on the pavement in the area of the joints being sealed during the protection and curing period of the joint sealant. At the end of the curing period, traffic may be permitted on the pavement when approved.

1.6 EQUIPMENT

Submit an equipment list and description of the equipment to be used and a statement from the supplier of the joint sealant that the proposed equipment is acceptable for installing the specified sealant. Equipment for heating, mixing, and installing joint seals shall be in accordance with the instructions provided by the joint seal manufacturer. Furnish equipment, tools, and accessories necessary to clean existing joints and install liquid joint sealants. Maintain machines, tools, and other equipment in proper working condition.

1.6.1 Joint Cleaning Equipment

1.6.1.1 Routing Tool

To remove old sealant from joints, select rectangular shaped routing tool that is adjustable to varying widths and depths required. The equipment shall be capable of maintaining accurate cutting depth and width control. The joint plow shall be equipped with a spring or hydraulic mechanism to release pressure on the tool prior to spalling the concrete.

1.6.1.2 Concrete Saw

Self-propelled power saw with diamond saw blades designed for sawing, refacing, widening, or deepening existing joints as specified without damaging the sides, bottom, or top edge of joints. Blades may be single or gang type with one or more blades mounted in tandem for fast cutting. Select saw adequately powered and sized to cut specified opening with not more than two passes of the saw through the joint.

1.6.1.3 Sandblasting Equipment

Commercial type capable of removing residual sealer, oil, or other foreign material. Equipment shall include an air compressor, hose and nozzles of proper size, shape, and opening. Attach an adjustable guide that will hold the nozzles aligned with the joint to effectively and efficiently clean without damage to concrete edges. Adjust height, angle of inclination, or size of nozzles to sandblast joint faces and not bottom of joint. Abrasive blasting equipment shall also be in accordance with EM-385-1-1 Section 06.H-Abrasive Blasting, 13.G-Abrasive Blasting Equipment, and 20.B-compressed Air and Gas Systems. Do not use silica sand as the abrasive blasting media.

1.6.1.4 Air Compressor

Portable air compressor capable of operating the sandblasting equipment and capable of blowing out sand, water, dust adhering to sidewalls of concrete, and other objectionable materials from the joints. The compressor shall furnish air at a pressure not less than 90 psi and a minimum rate of 150 cubic feet of air per minute at the nozzles and free of oil.

1.6.1.5 Vacuum Sweeper

Self-propelled, vacuum pickup sweeper capable of completely removing loose sand, water, joint material, and debris from pavement surface.

1.6.1.6 Hand Tools

When approved, hand tools such as brooms and chisels may be used in small areas for removing old sealant from joints and repairing or cleaning the joint faces.

1.6.2 Joint Sealing Equipment

Joint sealing equipment shall be of a type required by the joint seal manufacturer's installation instructions. Equipment shall be capable of installing sealant to the depths, widths and tolerances indicated. When malfunctions are noted, joint sealing shall not proceed until they are corrected.

1.6.2.1 Hot-Poured Liquid Sealant

Install hot-poured sealant materials with unit applicators which will heat and extrude the sealant. Equip the mobile units with double-wall agitator type kettles with an oil medium in the outer space for heat transfer, a direct-connected pressure-type extruding device with nozzles shaped for insertion in the joints to be filled, and a positive device for controlling the temperature of oil and sealer. Design the applicator so that the sealant will circulate through the delivery hose and return to the kettle when not sealing a joint. Insulate the applicator wand from the kettle to the nozzle. Select dimensions of the nozzles such that the tip of the nozzle will easily feed sealant into the void space of the joint. Equip the nozzle tip with a metal cross-bar to ensure that the top of the sealant fed into the joint is level and within the indicated tolerance below the pavement surface.

1.6.2.2 Two-Component Cold-Applied Liquid Sealants

For two component cold applied machine mixed sealants the equipment shall be capable of delivering each component within an accuracy of 5 percent. Equip reservoirs for each component with mechanical agitation devices. Equip equipment with thermostatically controlled indirect heating of components when required. Equipment shall include screens over each reservoir to eliminate foreign particles or partially polymerized material which may clog lines. Equipment shall be capable of intimately mixing the two components through a range of application rates from 10 to 60 gallons per hour and through a range of pressures from 50 to 150 pounds per square inch. Hand-mixing of cold-applied two component sealant may be done at the option of the Contractor for sealants conforming to FS SS-S-200, Type H.

1.6.2.3 Equipment for Silicone Sealant

Equipment for silicone sealant shall be air powered pump, components, and hoses as recommended by the sealant manufacturer. Hoses and seals shall be lined to prevent moisture penetration and withstand pumping pressures. Equipment shall be free of contamination from previously used or other type sealant.

1.7 SAFETY PROVISIONS

In accordance with the provisions of the contract respecting "Accident Prevention," the Contractor shall take appropriate measures to control worker exposure to toxic substances during the work. Provide personnel protective equipment as required. Material Safety Data Sheets (Department of Labor Form OSHA-20 or comparable form) shall be available on the site. Sandblasting operations shall conform to paragraph entitled "Abrasive Blasting" of Section 01 57 19.00 20 TEMPORARY ENVIRONMENTAL CONTROLS.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Joint Sealant

2.1.1.1 Two Component Cold-Applied Sealing Compound

FS SS-S-200, Type H or M.

2.1.1.2 Sealant, Joint, Jet-Fuel Resistant, Hot-Applied

FS SS-S-1614, for portland cement and tar concrete pavements.

2.1.1.3 Sealant, Joint, Non-Jet Fuel Resistant, Hot Applied

FS SS-S-1401, for portland cement and asphalt concrete pavements.

2.1.1.4 Single Component Cold-Applied Silicone

Silicone sealant shall be self leveling, non-acid curing, and meet the following requirements:

Refaced Joint Width	3/8"	1/2"	3/4"	1"
Recess Below Surface	1/4"	1/4"	1/4"	1/2"
Thickness of Sealant	1/4"	1/4"	3/8"	1/2"
Backer Rod Diameter	1/2"	5/8"	7/8"	1 1/4"
Total Depth of Joint	1"	1 1/8"	1 1/2"	2 1/4"

ACCELERATED WEATHERING FACTORY TEST REPORT. For the Accelerated Weathering test, in lieu of testing of actual joint sealant to be used on the project, a report of a factory test, performed within two years of contract award, may be submitted.

2.1.2 Primers

Select concrete primer recommended by the manufacturer of the proposed liquid joint sealant.

2.1.3 Bond Breakers

2.1.3.1 Blocking Media

Compressible, nonshrinkable, nonreactive with joint sealant and nonabsorption type such as plastic **backer rod**, free of oils or bitumens. Blocking media shall be consistent with the joint seal manufacturer's installation instructions and be at least 25 percent larger in diameter than the width of the cleaned and re-faced joints as shown.

2.1.3.2 Separating Tape

Polyethylene or polyester tape, 3 mil minimum thickness, or masking tape, nonreactive, nonabsorptive, adhesive-back tape, width equal to width of cleaned and refaced joints as indicated. Separating tape shall be consistent with the joint seal manufacturer's installation instructions.

PART 3 EXECUTION

3.1 JOINT PREPARATION

Unless otherwise indicated, remove existing material, saw, clean and reseal joints. Do not proceed with final cleaning operations by more than one working day in advance of sealant. Thoroughly clean joints by removing existing joint sealing compound, bond-breakers, dirt, and other foreign material with the equipment specified herein, but not limited thereto. Cleaning procedures which damage joints or previously repaired patches by chipping or spalling will not be permitted. Remove existing sealant to the required depth as indicated. Precise shape and size of existing joints vary, and conditions of joint walls and edges vary and include but are not limited to rounding, square edges, sloping, chips, voids, depressions, and projections.

3.1.1 Removal of Existing Material

Remove from the joint the existing sealants by using the specified routing tool. After cutting free the existing sealant from both joint faces, remove sealant to the depth required to accommodate the bond breaking material and to maintain the specified depth for the new sealant. For expansion joints, remove existing sealant to a depth of not less than the indicated depth. one inch. When existing preformed expansion-joint material is more than one inch below the surface of the pavement, remove existing sealant to the top of the preformed **joint filler**. For joints other than expansion joints, remove in-place sealant to the depth as indicated. At the completion of routing operations, clean pavement surface with vacuum sweeper and clean the joint opening by blowing with compressed air. Protect previously cleaned joints from being contaminated by subsequent cleaning operations.

3.1.2 Refacing of Joints

Reface concrete joint walls. Re-saw joint grooves to the dimensions indicated. Refacing shall be by power-driven concrete saw specified herein to remove residual sealant and a minimum of concrete. Removal shall provide exposure of newly clean concrete. Remove burrs and irregularities from sides of joint faces. Immediately after sawing each joint, thoroughly clean saw cut and adjacent concrete surface. Flush with water under pressure, simultaneously blowing water out with compressed air until debris is removed

from the joint. Protect adjacent previously cleaned joint spaces from receiving water and debris during the cleaning operation.

3.1.2.1 Joint Widening (Except Expansion Joints)

TEST	TEST METHOD	REQUIREMENTS
Weight Loss	ASTM C792 Modified (see note 1 below)	10 percent max.
Flow	ASTM C639 (Type I)	smooth and level
Extrusion Rate	ASTM C603	30 sec. max.
Tack Free Time	ASTM C679	5 hours max.
Hardness (Shore 00) (see note 2 below)	ASTM C661	30 - 80
Tensile Stress at 150 Percent Elongation (see note 2 below)	ASTM D412 (Die C)	207 kPa max. 30 psi max.
Percent Elongation (see note 2 below)	ASTM D412 (Die C)	700 min.
Accelerated Weathering	ASTM C793	pass 5000 hours
Bond and Movement Capability	ASTM C719	pass 10 cycles at plus 50 percent movement (no adhesion or cohesion failure)
Flame Resistance	FS SS-S-200	pass
Notes:		
1. Percent weight loss of wet (uncured) sample after placing in forced-draft oven maintained at 70 degrees plus 2 degrees C 158 degrees plus 1 degrees F for two hours.		
2. Specimen cured 21 days at 23 degrees C plus 2 degrees C 73 degrees plus 1 degree F and 50 percent plus 5 percent humidity.		

Saw joints having grooves less than 3/8 inch wide and less than one inch deep to a minimum width of 3/8inch and to the minimum depth of one inch.

3.1.3 Final Cleaning of Joints

3.1.3.1 Sandblasting Cleaning

Following removal of existing sealant, and sawing, and immediately before resealing, thoroughly clean newly exposed concrete joint faces and pavement surface extending up to 2 inches from each joint edge by sandblasting until concrete surfaces in the joint space are free of sealants, dust, dirt, water

and other foreign materials which would prevent bonding of new sealants to the concrete. Use sand particles of the proper size and quality for the work. Perform sandblasting with specified nozzles, air compressor, and other appurtenant equipment. Position nozzles to clean the joint faces. Make at least two passes; one for each joint face. Make as many passes as required for proper cleaning. Immediately prior to sealing the joint, blow out the joint spaces with compressed air until completely free of sand, water, and dust. Joints shall be dry before installation of joint sealant. Replace expansion joint filler material damaged in performing the work with new materials of the same type and dimensions as the existing material, or with appropriate blocking media. Abrasive blasting equipment shall also be in accordance with EM-385-1-1 Section 06.H-Abrasive Blasting, 13.G-Abrasive Blasting Equipment, and 20.B-Compressed Air and Gas Systems. Do not use silica sand as the abrasive blasting media.

3.1.4 Bond Breaker

At the time the joints receive the final cleaning and are dry, install bond breaker material as indicated with a steel wheel or other approved device.

3.1.4.1 Blocking Media (Backer Rod) (Except for Expansion Joints)

Plug or seal off the lower portion of the groove by installing the specified blocking media as indicated.

3.1.4.2 Separating Tape

Insert the specified tape as indicated.

3.1.5 Rate of Progress

The final stages of joint preparation, which include placement of bond breakers, if required, shall be limited to only that length of joint that can be resealed during the same workday.

3.1.6 Disposal of Debris

Sweep from pavement surface to remove excess joint material, dirt, water, sand, and other debris by vacuum sweepers or hand brooms. Remove the debris immediately to a point off station.

3.2 PREPARATION OF SEALANT

3.2.1 Hot-Poured Type

Heat hot-poured sealing materials in accordance with safe heating temperature ranges recommended by the manufacturer. Withdraw and waste sealant that has been overheated or subjected to heating for over 3 hours or that remain in the applicator at the end of the day's operation. Heat sealant in specified equipment.

3.2.2 Cold-Applied, Two Component Type

Reject materials which contain water, hard caking of separated constituents, nonreversible jell, or other unsatisfactory conditions such as settlement of constituents into a soft mass that cannot be readily and uniformly remixed in the field with simple tools. In conformance with the manufacturer's recommendations, mix individual components in separate shipping containers before transferring components to appropriate reservoirs of application equipment. Thoroughly mix components to ensure homogeneity of components and incorporation of constituents at time of transfer. When necessary, for remixing prior to transfer, warm components to a temperature not exceeding 90 degrees F by placing components in heated storage or by other approved methods. In no case shall components be heated by direct flame or in single-walled, non-oil-bath heating kettles. Hand mixing of cold-applied two component sealant may be done at the option of the Contractor for sealants conforming to FS SS-S-200, Type H.

3.3 INSTALLATION OF SEALANT

3.3.1 Test Section

Install a test section of 200 linear feet at the start of the sealing operation for each type sealant to be used. A representative of the joint seal manufacturer shall be on site full time during the installation of the test section. Test section shall meet contract requirements. The Contracting Officer shall be notified upon completion of the test section.

3.3.2 Time of Application

After approval of the test section, seal joints immediately following final cleaning and placing of bond breakers. Commence sealing joints when walls are dust free and dry, and when weather conditions meet joint seal manufacturer's instructions. If the above conditions cannot be met, or when rains interrupts sealing operations, reclean and permit the joints to dry prior to installing the sealant.

3.3.3 Sealing the Joints

Do not install joint sealant until joints to be sealed have been inspected and approved. Install bond breaker just prior to pouring sealant. Fill the joints with sealant from bottom up until joints are uniformly filled solid from bottom to top using the specified equipment for the type of sealant required. Fill joints to 1/4 inch below top of pavement within tolerances as indicated, and without formation of voids or entrapped air. Except as otherwise permitted, tool the sealant immediately after application to provide firm contact with the joint walls and to form the indicated sealant profile below the pavement surface. Remove excess sealant that has been inadvertently spilled on the pavement surface. Check sealed joints frequently to assure that newly installed sealant is cured to a tack-free condition within 5 hours. Protect new sealant from rain during curing period.

3.4 FIELD QUALITY CONTROL

3.4.1 Sampling Joint Seal

Obtain a one gallon sample of each type of joint seal on the project from material used for each 10,000 linear feet or less of joints sealed. Store samples according to joint seal manufacturer's instructions. Retain samples until final acceptance of the work by the Contracting Officer.

3.4.2 Joints

Inspect and approve joints which have been cleaned and have backer rods or bond breaking tape installed prior to sealing.

3.4.3 Joint Seal Test Section

Inspect joint seal test section with the joint seal manufacturer's representative. The joint seal manufacturer's representative shall provide written notice of deficiencies and required corrections or adjustments in joint seal installation procedures. Correct deficiencies and obtain approval of test section by the Contracting Officer prior to installing joint seals.

3.4.4 Joint Sealer

Inspect installed joint seals for conformance to contract requirements, joint seal manufacturer's instructions, and the test section. Obtain approval for each joint seal installation.

3.5 ACCEPTANCE

Reject joint sealer that fails to cure properly, or fails to bond to joint walls, or reverts to the uncured state, or fails in cohesion, or shows excessive air voids, blisters, surface defects, swelling, or other deficiencies, or is not properly recessed within indicated tolerances. Remove rejected sealer and reclean and reseal joints in accordance with the specification. Perform removal and reseal work promptly by and at the expense of the Contractor.

-- End of Section --

SECTION 32 01 29.61

PARTIAL DEPTH PATCHING OF RIGID PAVING
11/08

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO M 182 (2005; R 2009) Standard Specification for Burlap Cloth Made from Jute or Kenaf and Cotton Mats

AASHTO SDDP-1-OL (2003) Shop Detail Drawing Presentation Guidelines

ASTM INTERNATIONAL (ASTM)

ASTM C1059/C1059M (1999; R 2008) Standard Specification for Latex Agents for Bonding Fresh to Hardened Concrete

ASTM C1260 (2007) Standard Test Method for Potential Alkali Reactivity of Aggregates (Mortar-Bar Method)

ASTM C131 (2006) Standard Test Method for Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine

ASTM C136 (2006) Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates

ASTM C143/C143M (2010a) Standard Test Method for Slump of Hydraulic-Cement Concrete

ASTM C150/C150M (2011) Standard Specification for Portland Cement

ASTM C1581/C1581M (2009a) Standard Test Method for Determining Age at Cracking and Induced Tensile Stress Characteristics of Mortar and Concrete under Restrained Shrinkage

ASTM C1602/C1602M	(2006) Standard Specification for Mixing Water Used in Production of Hydraulic Cement Concrete
ASTM C171	(2007) Standard Specification for Sheet Materials for Curing Concrete
ASTM C173/C173M	(2010b) Standard Test Method for Air Content of Freshly Mixed Concrete by the Volumetric Method
ASTM C192/C192M	(2007) Standard Practice for Making and Curing Concrete Test Specimens in the Laboratory
ASTM C231/C231M	(2010) Standard Test Method for Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C260/C260M	(2010a) Standard Specification for Air-Entraining Admixtures for Concrete
ASTM C309	(2011) Standard Specification for Liquid Membrane-Forming Compounds for Curing Concrete
ASTM C31/C31M	(2010) Standard Practice for Making and Curing Concrete Test Specimens in the Field
ASTM C33/C33M	(2011a) Standard Specification for Concrete Aggregates
ASTM C39/C39M	(2011) Standard Test Method for Compressive Strength of Cylindrical Concrete Specimens
ASTM C469/C469M	(2010) Static Modulus of Elasticity and Poisson's Ratio of Concrete in Compression
ASTM C494/C494M	(2011) Standard Specification for Chemical Admixtures for Concrete
ASTM C531	(2000; R 2005) Linear Shrinkage and Coefficient of Thermal Expansion of Chemical-Resistant Mortars, Grouts, and Monolithic Surfacing, and Polymer Concretes
ASTM C666/C666M	(2003; R 2008) Resistance of Concrete to Rapid Freezing and Thawing
ASTM C685/C685M	(2011) Concrete Made by Volumetric Batching and Continuous Mixing
ASTM C881/C881M	(2010) Standard Specification for Epoxy-Resin-Base Bonding Systems for Concrete

ASTM C882/C882M	(2005e1) Bond Strength of Epoxy-Resin Systems Used with Concrete by Slant Shear
ASTM C94/C94M	(2011b) Standard Specification for Ready-Mixed Concrete
ASTM D1751	(2004; R 2008) Standard Specification for Preformed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)
ASTM D1752	(2004a; R 2008) Standard Specification for Preformed Sponge Rubber Cork and Recycled PVC Expansion
ASTM D75/D75M	(2009) Standard Practice for Sampling Aggregates

U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 300	(1990) Specifications for Membrane-Forming Compounds for Curing Concrete
EM 385-1-1	(2008; Errata 1-2010; Changes 1-3 2010; Changes 4-6 2011) Safety and Health Requirements Manual

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910	Occupational Safety and Health Standards
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1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Shop Drawings; G

SD-03 Product Data

Mix Design; G
Proprietary Cementitious Products; G
pigmented liquid membrane-forming compound; G

SD-04 Samples

Absorbent curing material; G
Joint filler; G
Joint sealant; G

SD-05 Design Data

Concrete Mix Design; G

SD-06 Test Reports

Laboratory Test Results; G
Aggregates gradation; G
Cement; G
Concrete slump; G
Concrete air content; G
Concrete strength (cylinder); G
mixer calibration and efficiency; G

SD-07 Certificates

Cement; G
Aggregate; G
Admixtures; G
Absorbent curing material; G
pigmented liquid membrane-forming compound; G
Waterproof Sheet; G
Joint filler; G
Joint sealant; G

1.3 QUALITY ASSURANCE

1.3.1 Preconstruction Testing Of Materials

Submit proposed concrete mix design at least 30 days prior to placement. Provide mix design evaluation and certification by an approved engineering testing laboratory, and indicate the weight of each ingredient of the mixture, aggregate gradation, slump, air content, water-cement ratio, and 7-day and 28-day compressive strength test results. Include a complete list of materials including admixtures and applicable reference specifications. Place no concrete prior to approval of the proposed mix design. No deviation from the approved mix design is permitted without prior approval.

Within 24 hours of physical completion of laboratory testing, submit copies of test results for approval.

1.3.1.1 Cement

Test cement as prescribed in the referenced specification under which it is furnished. Cement may be accepted on the basis of mill tests and the manufacturer's certification of compliance with the specification.

1.3.1.2 Aggregate

Take aggregate gradation samples for laboratory testing in conformance with ASTM D75/D75M.

1.3.1.3 Proprietary Cementitious Products and Epoxy

At least 30 days before the material is used, submit certified copies of test results for the specific lots or batches to be used on the project, not more than 6 months old prior to use in the work.

Manufacturer's certifications may be submitted rather than laboratory test results for proprietary cementitious products. Include in the instructions details for substrate preparation, mixing, placing, finishing, curing and testing of the material. Include a minimum of three case histories documenting the use of the product in a similar freeze-thaw environment and airfield pavement condition. Certify compliance with the appropriate specification referenced herein. Place no materials without prior approval from the Contracting Officer.

1.3.2 Equipment; Approval, Maintenance, and Safety

Provide and use only dependable and well maintained equipment that is appropriate to accomplish the work specified. Allow sufficient time for assembly of equipment requiring such at the work site to permit thorough inspection, calibration of weighing and measuring devices, adjustment of parts, and the making of any repairs that may be required prior to the start of work.

Submit volumetric mixer calibration and efficiency test results. Results must be current within 6 months of concrete placement.

Provide Material Safety Data Sheets (MSDS) and Personal Protection Equipment (PPE) per 29 CFR 1910.

1.3.3 Shop Detail Drawings

Submit detailed Shop Drawings conforming to AASHTO SDDP-1-OL.

1.4 DELIVERY, STORAGE, AND HANDLING

1.4.1 Cement

Deliver cement in bulk or in suitable bags used for packaging cements and store in a manner to prevent absorption of moisture.

1.4.2 Aggregate

Deliver, handle, and store aggregate in a manner to avoid breakage, segregation, or contamination by foreign materials.

1.4.3 Other Materials

Deliver epoxy-resin, chemical admixtures and proprietary cementitious products to the site in such manner as to avoid damage or loss. Provide storage areas in a windowless and weatherproof, but ventilated, insulated noncombustible building, with provision nearby for conditioning the material to 70 to 85 degrees F for a period of 48 hours prior to use. Keep the ambient temperature in the storage area no higher than 100 degrees F.

1.5 PROJECT/SITE CONDITIONS

Do not place concrete when weather conditions detrimentally affect the quality of the finished product. Do not place concrete when the air temperature is below 40 degrees F in the shade. When air temperature is likely to exceed 90 degrees F, provide concrete having a temperature not exceeding 90 degrees F when deposited. Keep the surface of placed concrete damp with a water fog until the approved curing medium is applied.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Coarse Aggregate

2.1.1.1 Composition

Provide coarse aggregate consisting of gravel, crushed gravel, crushed stone, or a combination thereof.

2.1.1.2 Quality

Provide aggregate, as delivered to the mixers, consisting of clean, hard, unweathered, and uncoated particles. Remove dust and other coatings from the coarse aggregate by adequate washing. Meet the requirements of [ASTM C33/C33M](#), Class 4S for deleterious substances. Abrasion loss, when tested in accordance with [ASTM C131](#), must not exceed 40 percent; the maximum allowable percentage for clay lumps and friable particles is 1.5 percent. Provide documentation of aggregate conforming to [ASTM C136](#).

2.1.1.3 Particle Shape

Provide spherical or cubical shaped coarse aggregate particles.

2.1.1.4 Gradation

The maximum nominal size of the coarse aggregate is 1/2 inch. Provide well graded coarse aggregate, within the limits specified, and tested in accordance with [ASTM C136](#), and conforming to the following grading requirements as delivered to the batching hoppers:

Sieve designation U.S. Standard square mesh	Percentage by weight passing individual sieves No. 4 to 1/2 inch
3/4 inch	100
1/2 inch	90-100
3/8 inch	40-70
No. 4	0-15
No. 8	0-5

2.1.1.5 Alkali Silica Reactivity

Evaluate and test coarse aggregate, to be used in all concrete, for alkali-silica reactivity in accordance with [ASTM C1260](#). Measured expansion must

not exceed 0.08 percent at 28 days when tested. Test data indicating an expansion greater than 0.08 percent will be rejected.

2.1.2 Fine Aggregate

2.1.2.1 Composition

Provide fine aggregate consisting of either natural sand, manufactured sand, or a combination of natural and manufactured sand, and composed of clean, hard, durable particles; conforming to [ASTM C33/C33M](#), Table 1 for deleterious substances..

2.1.2.2 Particle Shape and Quality

Ensure particles of the fine aggregate are generally spherical or cubical in shape.

2.1.2.3 Grading

Conform grading of the fine aggregate as delivered to the mixer to the following requirements when tested in accordance with [ASTM C136](#).

Sieve designation U.S. Standard square mesh	Percentage by weight, passing
3/8 inch	100
No. 4	95-100
No. 8	80-90
No. 16	60-80
No. 30	30-60
No. 50	12-30
No. 100	2-10

In addition, provide fine aggregate, as delivered to the mixer, with a fineness modulus of not less than 2.40 nor more than 2.90, when calculated in accordance with [ASTM C136](#).

2.1.2.4 Alkali Silica Reactivity

Evaluate and test fine aggregate to be used in all concrete for alkali-silica reactivity using the procedures described for coarse aggregate.

2.1.3 Admixtures

2.1.3.1 Air-Entraining Admixtures

Provide air-entraining admixtures conforming to [ASTM C260/C260M](#).

2.1.3.2 Chemical Admixtures

[ASTM C494/C494M](#). Where not shown or specified, the use of admixtures is subject to written approval of the Contracting Officer.

2.1.4 Cement

Provide portland cement conforming to [ASTM C150/C150M](#), Type III. Provide low alkali cement if the proposed fine or coarse aggregate are found to have greater than 0.04 percent expansion when tested in accordance with paragraphs: Alkali Silica Reactivity.

2.1.4.1 Portland Cement Mix Design

Design the concrete mixture to produce a minimum compressive strength of 5,000 psi at 28 days of age, determined in conformance with [ASTM C39/C39M](#) and [ASTM C192/C192M](#), using standard 150 by 300 mm 6 by 12 inch cylinder specimens; and providing an [air content](#) by volume of 5 percent, plus or minus 1.5 percent, based on measurements made on concrete immediately after discharge from the mixer in conformance with [ASTM C231/C231M](#).

The allowable range of [slump](#) is 1/2 to 2 inches when tested in accordance with [ASTM C143/C143M](#) except that maximum slump may be increased by 4 inches when the contractor has included an approved water-reducing, high range, admixture conforming to [ASTM C494/C494M](#) in the mix design. To minimize drying shrinkage, the maximum water-cement ratio by weight is 0.45.

2.1.5 Curing Materials

2.1.5.1 Burlap

Provide burlap conforming to [AASHTO M 182](#).

2.1.5.2 Pigmented Liquid Membrane-Forming Compound

Provide [pigmented liquid membrane-forming compound](#) conforming to [COE CRD-C 300ASTM C309](#).

2.1.5.3 Waterproof Sheet Materials

Provide [waterproof sheet](#) materials conforming to [ASTM C171](#), Type optional, color white.

2.1.6 Bonding-Agents

2.1.6.1 Epoxy-Resin

Provide two component epoxy-resin material formulated to meet the requirements of [ASTM C881/C881M](#), Type III, grade and class as approved, for use in bond coat applications and as a component of epoxy-resin concrete or mortar.

Mix epoxy-resin grout components in the proportions recommended by the manufacturer. Condition the components to 70 to 85 degrees F for 48 hours prior to mixing. Mix the two epoxy components with a power-driven, explosion-proof stirring device in a metal or polyethylene container having a hemispherical bottom. Add the curing-agent component gradually to the epoxy-resin component with constant stirring until a uniform mixture is obtained. Stir such that the rate of entrained air is a minimum.

2.1.6.2 Latex

Provide latex bonding agent meeting the requirements of [ASTM C1059/C1059M](#), Type II.

2.1.7 Joint Sealant

Provide joint sealant as indicated on the drawings and specified in Section [32 01 19.61 RESEALING OF JOINTS IN RIGID PAVING](#).

2.1.8 Joint Filler

Provide joint filler material conforming to [ASTM D1751](#) or [ASTM D1752](#), Type II or 100% recycled material meeting [ASTM D1752](#), subparagraphs 5.1 to 5.4.

2.1.9 Water

Use only clean, fresh water, free from injurious amounts of oil, acid, salt, alkali, organic matter, or other deleterious substances. Water approved by Public Health authorities for domestic consumption may be accepted for use without being tested. Test water that is of questionable quality, in the opinion of the Contracting Officer, in accordance with [ASTM C1602/C1602M](#) and acceptance criteria of Table 1 of [ASTM C94/C94M](#).

2.1.10 Proprietary Cementitious Products

A proprietary cementitious product is defined as a rigid material in its hardened state with an elastic modulus greater than 1,000,000 psi. Maximum size of aggregate used to extend the product is 3/4 inch. Test the product in accordance with the following test series. Replicate each test on three specimens. Report all three results for each test and use the average value for comparison with the specification requirements. Report the curing conditions for each test type.

2.1.10.1 Compressive Strength

Cast 3 by 6 inch cylinder specimens in accordance with [ASTM C192/C192M](#) and test in accordance with [ASTM C39/C39M](#), using bonded or unbonded caps, after 3 hours and 1 day curing period. A minimum compressive strength of 3500 psi is required at 3 hours and 1 day of age.

2.1.10.2 Bond Strength

Cast 3 by 6 inch cylinder specimens and test in accordance with [ASTM C882/C882M](#). Cast the candidate material against a 30-degree wedge specimen consisting of the candidate material itself or an ordinary portland cement mixture. Test specimens, using bonded caps, after 1 day curing period. For a bond consisting of the candidate material bonded to OPC mortar, a minimum bond strength of 500 psi is required at 1 day of age. For a bond consisting of the candidate material bonded to itself, a minimum bond strength of 1000 psi is required at 1 day of age.

2.1.10.3 Modulus of Elasticity

Cast 6 by 12 inch cylinder specimens in accordance with ASTM C192/C192M and test in accordance with ASTM C469/C469M, using bonded caps, after 3 day curing period. A maximum chord modulus of elasticity of 4,000,000 psi is required at 3 days of age.

2.1.10.4 Coefficient of Thermal Expansion

Cast 1 by 1 by 10-inches prismatic bar specimens and test in accordance with ASTM C531, after 3 days curing period. A maximum coefficient of 7 by 10⁻⁶ inch per inch per degree F is required at 3 days of age.

2.1.10.5 Shrinkage Potential

Cast 13 inch I.D. by 16 inch O.D. by 6 inch tall restrained toroidal specimens and test in accordance with ASTM C1581/C1581M. Start measuring strain after completion of casting. A maximum of 40 microstrain is required at 14 days of age. No cracking is permitted at 28 days of age.

2.1.10.6 Freeze-Thaw Resistance

Cast prismatic specimens in accordance with ASTM C192/C192M and test in accordance with ASTM C666/C666M, Procedure A. Begin freeze-thaw testing after specimens have been immersed in saturated lime-water for 3 days. Report the Durability Factor (DF) and the number of cycles to failure.

2.2 NEAT CEMENT GROUT

2.2.1 Sand-Cement Grout Bonding Course

Provide grout bonding course consisting of equal parts of Type III portland cement and sand by dry weight, thoroughly mixed with water to yield a thick, creamy mixture; with a water-cement ratio no greater than 0.62 by weight. Sand must meet the requirements of the fine aggregate specified herein, except 100 percent must pass through a No. 8 sieve.

2.2.2 Sand-Cement Mortar for Filling Small Popouts

Provide mortar consisting of one part Type III portland cement and two parts sand by dry weight, thoroughly mixed with water to yield a thick, suitable mix; with a water-cement ratio no greater than 0.45 by weight. The sand must meet the requirements of the fine aggregate specified herein.

2.2.3 Dowels, Tie Bars, and Reinforcement

Provide dowels, tie bars, and reinforcement as indicated on the drawings.

PART 3 EXECUTION

3.1 PREPARATION OF EXISTING PAVEMENT

3.1.1 Preparation of Existing Surfaces

In the area to be patched, except popouts, remove existing concrete to a minimum depth of 2 inches below the pavement surface adjacent to spalls and to such additional depth where necessary to expose a surface of sound,

unweathered concrete that is uncontaminated by sealants, oils, greases, or deicing salts or solutions. Make a vertical saw cut at least 2 inches deep and 2 inches outside of the area needing repair. Accomplish concrete removal in spalled areas with light, hand-held, high-frequency chipping hammers weighing not more than 30 pounds or other approved hand tools. Do not use jack hammers weighing more than 30 pounds and do not use pavement breaker devices mounted on or pulled by mobile equipment.

Clean the cavity surface by sandblasting, blowing with compressed air, sweeping, and vacuums. Use sandblasting to remove all traces of sealer, oils, grease, rust, and other contaminants.

3.1.1.1 Joint Widening (Except Expansion Joints)

Saw joints having grooves less than 3/8 inch wide and less than one inch deep to a minimum width of 1/2 inch and to the minimum depth, of one inch.

3.1.2 Dowels, Tie Bars, and Reinforcement

Cut and remove to minimum dimensions indicated existing dowels and tie bars exposed in joints adjacent to the spall cavity. Perform cutting by saws or other approved means; do not allow other cutting methods to damage concrete to remain. Clean to bare metal by sandblasting any existing reinforcement or dowels remaining exposed in the repair area. Remove any reinforcement that cannot be properly re-embedded in the new repair concrete. Cut and remove at the joint not less than 1/2 inch of existing exposed reinforcement that is continuous through the repair area and is embedded in the adjacent slab.

3.1.3 Preparation of Joints Adjacent to Spalls

Remove existing joint sealing and joint filler materials. Saw as indicated and install insert, cut to appropriate dimensions, to prevent contact between new patch material and existing concrete at existing joints. At the option of the Contractor, a bead of approved caulking material may be installed to preclude new patching material from getting around insert. Clean up any caulking material accidentally deposited on the prepared spall surface.

3.1.4 Disposal of Debris

Sweep from pavement surface to remove excess joint material, dirt, water, sand, and other debris by vacuum sweepers or hand brooms. Remove the debris immediately to a point off station.

3.1.5 Bonding Coat

Prior to placing concrete, wash the previously prepared surfaces with a high pressure water jet followed by an air jet to remove free water.

3.1.5.1 Neat Cement Grout

Coat the clean and dry surface, including sawed faces, with an approximate 1/16 inch thick coat of neat cement grout. Place the grout just prior to concrete placement and scrub with stiff bristle brushes to fill all voids

and crevices in the spall cavity surface. Apply additional brush coats as needed to obtain the required thickness. The concrete patch material must be placed before the grout dries or sets. Remove dried or hardened grout by sandblasting and re-coat the cavity with fresh grout before placing concrete patch material.

3.1.5.2 Epoxy-Resin

Limit epoxy-resin bonding coat to use on patches with a surface area of less than 2 feet square. Coat the clean and dry surface, including sawed faces, with a 20 to 40 mil thick film of the epoxy-resin grout. Place the epoxy-resin grout in one application, just prior to concrete placement, with the use of mechanical combination, mixing and spraying equipment, or two coat application with stiff brushes. Scrub the first brush coat into the concrete surface, followed by an additional brush coat to obtain the required thickness. When the brush method is used, the initial coat may be allowed to dry; however, apply the final coat just prior to placement of the concrete.

3.1.5.3 Proprietary Cementitious Products

Apply in accordance with the manufacturer's written instructions.

Test as prescribed in the referenced specification under which it is furnished. Cement may be accepted on the basis of mill tests and the manufacturer's certification of compliance with the specification, provided the cement is the product of a mill with a record for the production of high-quality cement for the past 3 years.

3.1.6 Popout Repair

Popouts, as used herein, are pavement surface defects caused by deterioration of unsatisfactory coarse aggregate, decaying of organic material such as wood or roots, mechanical accidents, or other reasons. Most popouts are indicated on the drawings by average diameter but the actual surface configuration will vary from circular to polygonal. Repair popouts as indicated using sand-cement mortar for small popouts (less than 2 inches in width or depth) and portland cement concrete for large popouts. Clean popout cavities of all dirt and contaminants prior to filling. As indicated on drawings, prepare popout areas by chipping surface defects in the concrete to eliminate feather edging of the mortar or concrete repair material. After preparing large popout cavities, coat with sand-cement grout bonding course immediately prior to filling with concrete.

3.1.7 Patch Material Selection

Fill the prepared cavity with: Portland cement concrete or latex modified concrete for cavities more than 600 cubic inches in volume after removal operations; portland cement mortar for cavities between 50 and 600 cubic inches; and epoxy resin mortar or latex modified mortar for those cavities less than 50 cubic inches in size. Proprietary cementitious patching materials may be used, subject to approval by the Contracting Officer.

3.2 BATCHING, MIXING AND PROPORTIONING

Provide facilities for the accurate measurement and control of each of the materials entering the concrete, mortar, or grout. Provide free access for the Contracting Officer to the batching and mixing plant at all times. Provide mixing equipment capable of combining the aggregate, cement, admixture, and water into a uniform mixture and discharging this mixture without segregation.

The use of volumetric batching and continuous mixing is acceptable, provided all operations are in accordance with [ASTM C685/C685M](#).

3.2.1 Equipment

Assemble dependable and operable equipment, allowing time for thorough inspection, calibration of weighing and measuring devices, adjustment of parts, and the making of any repairs that may be required prior to final approval and the commencement of work. Maintain the equipment in good working condition.

3.2.2 Conveying

Convey concrete from mixer to repair area as rapidly as practicable by methods which prevent segregation or loss of ingredients.

3.2.3 Facilities for Sampling

Provide facilities for readily obtaining representative samples of aggregate and concrete for test purposes. Furnish necessary platforms, tools, and equipment for obtaining samples.

3.2.4 Mix Proportions

Use proportions of materials entering into the concrete mixture in accordance with the approved mix design. Revise the mix design whenever necessary to maintain the workability, strength, and standard of quality required, and to meet the varying conditions encountered during the construction; however, no changes shall be made without prior approval.

3.2.5 Measurement

Provide equipment necessary to measure and control the amount of each material in each batch of concrete. Weigh bulk cement. Cement in unopened bags as packed by the manufacturer may be used without weighing. One bag of portland cement is considered as weighing 94 pounds. Measure mixing water and air-entraining admixtures by volume or by weight. Consider one gallon of water as weighing 8.33 pounds.

3.2.6 Workability

Maintain the slump of the concrete at the lowest practicable value, not exceeding the specified value.

3.3 PLACING

3.3.1 Portland Cement Concrete

Place concrete within 90 minutes after the introduction of the mixing water to the cement and aggregate or the introduction of the cement to the aggregate, and before the concrete has obtained its initial set, and before the sand-cement grout bonding course has dried or obtained its initial set. The temperature of the concrete, as deposited in the repair area, must be not less than 50 degrees F nor more than 90 degrees F. Deposit concrete as to require a minimum of re-handling and in such a manner so as to least disturb the sand-cement grout. Place concrete as indicated to maintain existing joints and working cracks; do not allow new repair material to infiltrate or span existing joints and cracks indicated to remain. Place concrete continuously in each spall area. Do not allow workmen to walk on the bonding course surface or in the concrete during placing and finishing operations.

Consolidate the concrete by small spud vibrators not greater than one inch in diameter, except that repair areas less than 4 inches deep or one square foot in area may be consolidated by hand tamping or other approved means. To avoid pulling material away from patch edge and to maximize bond strength, work the finishing screed from the center of the patch out to the patch boundary. Fill all saw kerfs extending beyond the repair area with grout. Start finishing operations immediately after placement of the concrete. Match finished surface grade of patched areas to the existing surface grade of the adjacent undisturbed pavement. Keep screeding, floating, or toweling of patch material onto adjacent pavements to a minimum; remove loose or poorly bonded patch material from adjacent surfaces. Before the concrete becomes non-plastic, finish the surface with a broom to approximately match the surface finish of existing adjacent concrete pavement.

3.3.2 Epoxy-Resin Concrete and Mortar

Limit epoxy-resin bonding coat to use on patches with a surface area of less than 2 feet square. Place the epoxy resin materials in layers not over 2 inches thick. Make the time interval between placement of additional layers such that the temperature of the epoxy resin material does not exceed 140 degrees F at any time during hardening. Use mechanical vibrators and hand tampers to consolidate the concrete or mortar. Remove any repair material on the surrounding surfaces of the existing concrete before it hardens. Use an insert or other bond-breaking medium where the spalled area abuts a joint, to prevent bond at the joint face. Saw a reservoir for the joint sealant to the dimensions required for other joints. Thoroughly clean and seal the reservoir with the sealer specified for the joints. In lieu of sawing, spalls not adjacent to joints and popouts, both less than 6 inches in maximum dimension, may be prepared by drilling a core 2 inches in diameter greater than the size of the defect, centered over the defect, and 2 inches deep or 1/2 inch into sound concrete, whichever is greater. Repair the core hole as specified above for other spalls.

3.3.3 Proprietary Cementitious Products

Perform placing, consolidating, finishing, and curing operations in accordance with the manufacturer's written instructions.

3.3.4 Joints

Construct new joints as detailed on the drawings and align with existing joints. After curing of the concrete, seal new joints as indicated and specified.

3.4 FIELD QUALITY CONTROL

3.4.1 General Requirements

Furnish concrete samples, taken in the field and tested to determine the slump, air content, and strength of the concrete. Make test cylinders for determining conformance with the strength requirements of these specifications and, when required, for determining the time at which pavements may be placed in service. Determine air content in conformance with **ASTM C231/C231M**. Mold and cure test cylinders in conformance with **ASTM C31/C31M** and as specified below. Furnish all materials, labor, and facilities required for molding, curing, and protecting test cylinders at the site and under the supervision of the Contracting Officer. Include furnishing and operating water tanks in curing facilities for test beams, equipped with temperature-control devices that will automatically maintain the temperature of the water at 73 degrees F plus or minus 5 degrees F. Also furnish and maintain at the site, boxes or other facilities suitable for storing the specimens while in the mold at a temperature of 73 degrees F plus or minus 10 degrees F. Tests of the fresh concrete and of the hardened concrete cylinders are to be made by and at the expense of the Contractor. Test Proprietary Cementitious Products in accordance with the manufacturer's written instructions.

3.4.2 Specimens for Strength Tests

Sample concrete in the field and test to determine the slump, air content, and **strength** of the concrete. Make cylinders for each shift of placed concrete. Mold each group of test cylinders from the same batch of concrete, consisting of a sufficient number of specimens to provide two compressive-strength tests at each test age. Make one group of specimens during the first half of each shift, and the other during the last portion of the shift. However, at the start of paving operations and each time the aggregate source, aggregate characteristics, or mix design is changed, make one additional set of test cylinders.

Determine the air content and slump in conformance with **ASTM C173/C173M** and **ASTM C143/C143M**, respectively. Mold and cure test cylinders in conformance with **ASTM C31/C31M**. Furnish and maintain at the site, boxes or other facilities suitable for storing the specimens while in the mold at a temperature of 73 degrees F plus or minus 10 degrees F. Test cylinders in accordance with **ASTM C39/C39M**.

3.4.2.1 Test Results

Remove concrete not meeting strength, consistency, and air content requirements and provide new acceptable concrete. The removal and replacement method or methods are subject to approval of the Contracting Officer.

3.4.2.2 Acceptance

Reject any spall repair material that cracks, or delaminates, or loses bond partly or completely, or causes spalling of adjacent portland cement concrete, or is not separated properly from adjacent slabs at joints, or fails to cure uniformly and completely, or is otherwise defective. Remove all unacceptable repairs, including new damaged areas adjacent to new spall patches, and provide new repairs meeting the specifications.

3.5 FINISHING

Start finishing operations immediately after placement of the concrete. Finished surfaces of patched areas are to approximate surface texture of the adjacent undisturbed pavements.

3.6 CURING

Cure the concrete by protection against loss of moisture and rapid temperature changes for a period of not less than 3 days from the beginning of the curing operation. Protect unhardened concrete from rain and flowing water. Provide all equipment needed for adequate curing and protection of the concrete on hand and ready to install before actual concrete placement begins. Cure proprietary cementitious products in accordance with manufacturer's recommendations. Failure to comply with curing requirements will be cause for immediate suspension of concreting operations.

3.6.1 Moist Curing

Moist-cure all portland cement concrete patches for the first 24-hours after finishing. Immediately after the finishing operations are complete and the concrete is set sufficiently to prevent marring the surface, cover the entire surface of the newly laid concrete with approved wetted burlap, and keep wet for a period of not less than 24 hours. Keep the surface of the newly laid concrete moist until the burlap coverings are in place. Ensure that moist curing is continuous 24 hours per day and that the entire surface is wet, by having an approved work system. Continue curing the concrete for the duration of the required curing period by this method or one of the methods specified below.

3.6.2 Waterproof-Paper Blankets or Impermeable Sheets

Immediately after removing the covering used for initial curing, moisten the exposed concrete surfaces with a fine spray of water and cover with waterproof-paper blankets, polyethylene-coated-burlap blankets, or impermeable sheets. Saturate polyethylene-coated burlap with water before placing. Place sheets with the light-colored side up. Overlap sheets not less than 12 inches with edges taped or secured to form a completely closed joint. Weight down coverings to prevent displacement or billowing from winds. Immediately repair tears or holes appearing during the curing by patching.

3.6.3 Membrane-Forming Curing Compound

Apply membrane -forming curing compound immediately to exposed concrete surfaces after removing burlap coverings. Apply the curing compound with an overlapping coverage that will give a two-coat application at a coverage of not more than 200 square feet per gallon for both coats. When application

is made by hand-operated sprayers, apply the second coat in a direction approximately at right angles to the first coat.

Cure concrete properly at joints, but do not allow absorbent curing compound to enter joints that are to be sealed with joint-sealing compounds. Provide a uniform, continuous, cohesive compound film that will not check, crack, or peel, and that will be free from pinholes and other imperfections. Respray concrete surfaces that are subjected to heavy rainfall within 3 hours after the curing compound has been applied at the coverage specified above and at no additional cost to the Government. Respray areas covered with **absorbent curing material** that are damaged by pedestrian and vehicular traffic or by subsequent construction operations within the specified curing period at no additional cost to the Government.

3.7 FINISH TOLERANCE

Provide finished surfaces of patched areas meeting the grade of the adjoining pavements without deviations more than 1/8 inch from a true plan surface within the patched area.

3.8 PAVEMENT PROTECTION

Protect the patched areas against damage prior to final acceptance of the work by the Government. Exclude traffic from the patched areas by erecting and maintaining barricades and signs until the completion of the curing period of the concrete.

3.9 JOINTS

Provide joints conforming in detail and in alignment with the existing joints. After curing of the concrete, prepare and seal the joints in accordance with Section 32 01 19.61 RESEALING OF JOINTS IN RIGID PAVEMENTS.

-- End of Section --

SECTION 32 11 24

GRADED CRUSHED AGGREGATE BASE COURSE FOR FLEXIBLE AND RIGID PAVEMENT
11/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

- ASTM C117 (2004) Standard Test Method for Materials Finer than 75-um (No. 200) Sieve in Mineral Aggregates by Washing
- ASTM C131 (2006) Standard Test Method for Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine
- ASTM C136 (2006) Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates
- ASTM C29/C29M (2009) Standard Test Method for Bulk Density ("Unit Weight") and Voids in Aggregate
- ASTM D1556 (2007) Density and Unit Weight of Soil in Place by the Sand-Cone Method
- ASTM D1557 (2009) Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft³) (2700 kN-m/m³)
- ASTM D1883 (2007e2) CBR (California Bearing Ratio) of Laboratory-Compacted Soils
- ASTM D2217 (1985; R 1998) Wet Preparation of Soil Samples for Particle-Size Analysis and Determination of Soil Constants
- ASTM D4318 (2010) Liquid Limit, Plastic Limit, and Plasticity Index of Soils
- ASTM D6938 (2010) Standard Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth)
- ASTM D75/D75M (2009) Standard Practice for Sampling Aggregates

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(2008; Errata 1-2010; Changes 1-3 2010;
Changes 4-6 2011) Safety and Health
Requirements Manual

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. The following shall be submitted in accordance with SUBMITTAL PROCEDURES:

SD-03 Product Data

Aggregates;

Submit documentation indicating percentage of post-industrial and post-consumer recycled content per unit of product. Indicate relative dollar value of recycled content products to total dollar value of products included in project.

Local/Regional Materials;

Submit documentation indicating distance between manufacturing facility and the project site. Indicate distance of raw material origin from the project site. Indicate relative dollar value of local/regional materials to total dollar value of products included in project.

SD-06 Test Reports

Gradation

Bearing ratio

Liquid limit

Plasticity index

Percentage of wear

Dry weight of slag

Density

Smoothness

Thickness

1.4 DELIVERY AND STORAGE

Inspect materials delivered to site for damage and store as to prevent segregation and contamination.

1.5 WEATHER LIMITATIONS

Do not construct base course when atmospheric temperature is below 35 degrees F or when rainfall or other weather conditions detrimentally affect the quality of the finished course.

1.6 CONSTRUCTION EQUIPMENT

Equipment shall be dependable and adequate for the purpose intended. Maintain equipment in satisfactory and safe operating condition. Subject to approval, special equipment dictated by local conditions may be used. Calibrated equipment, such as scales, batching equipment, spreaders, and similar items, shall have been recalibrated by a State calibration laboratory within 12 months of commencing work.

1.7 SUSTAINABLE DESIGN REQUIREMENTS

1.7.1 Local/Regional Materials

Use materials or products extracted, harvested, or recovered, as well as manufactured, within a 500 mile radius from the project site, if available from a minimum of three sources.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Aggregates

Consist of durable and sound crushed concrete, crushed masonry, crushed tile, crushed gravel, crushed stone, or crushed slag, free of lumps or balls of clay or other objectionable matter. Materials shall originate primarily from on-site construction waste, then from off-site construction waste, and finally from other nearby sources as needed. Aggregate material shall contain in total a minimum of 5 percent post-consumer recycled content, or a minimum of 20 percent post-industrial recycled content. Crushed stone and gravel shall be free from flat, elongated, soft, or disintegrated pieces. Crushed gravel retained on a No. 4 sieve shall have at least 90 percent by weight with at least two fractured faces and 100 percent by weight with at least one fractured face. Base course materials samples shall have a **bearing ratio** of at least 100 as determined by laboratory tests on a 4-day soaked specimen in accordance with **ASTM D1883**; compact specimen in accordance with **ASTM D1557**, Method D. Determine grain size in accordance with **ASTM C136** and amount of material finer than 200 mesh sieve in accordance with **ASTM C117**. Aggregate, other than slag, shall have a **percentage of wear** not exceeding 40 when tested in accordance with **ASTM C131**, Grading A. Slag shall be an air-cooled, blast furnace product having a **dry weight** of not less than 70 pounds per cubic foot when tested in accordance with **ASTM C29/C29M** and shall consist of angular fragments uniform in density and quality, reasonably free from thin, elongated pieces, dirt, or other objectionable material. Soil binder material, that portion of material passing the No. 40 sieve, shall be of such composition that the composite material conforms to the requirements specified herein. The base course shall be of such nature that it can be compacted readily with

watering and rolling to a firm, stable base and shall conform to Size Number 2 in the following table:

Percentage by Weight Passing Square Mesh Laboratory Sieves			
Sieves	Size Numbers		
	1	2	3
50.0 mm 2 inch	100	-	-
37.5 mm 1 1/2 inch	70-100	100	-
25.0 mm 1 inch	45-80	60-100	100
12.5 mm 1/2 inch	30-60	30-65	40-70
4.75 mm No. 4	20-50	20-50	20-50
2.0 mm No. 10	15-40	15-40	15-40
425 micrometers No. 40	5-25	5-25	5-25
75 micrometers No. 200	0-10	0-10	0-10

That portion of the material passing the No. 40 sieve shall have a liquid limit of not more than 25 and a plasticity index of not more than 5 as determined by ASTM D4318. Prepare samples in accordance with ASTM D2217, Procedure A.

PART 3 EXECUTION

3.1 BASE COURSE

Construct the graded aggregate base course on a prepared subgrade, as indicated. Verify compacted subgrade, granular base, or stabilized soil is acceptable and ready to support paving and imposed loads. Provide line and grade stakes for control. Place grade stakes in lanes parallel to the centerline of areas to be paved and space for string lining or other control methods. The base course shall consist of aggregate processed, deposited, spread, and compacted on a prepared surface. The Contractor shall be responsible for protection of completed areas against detrimental effects. Recondition, reshape, and recompact areas damaged by freezing, rainfall, or other weather conditions.

3.2 OPENING AND OPERATION OF PITS

Perform stripping, clearing, processing, and blending in the opening of new pits and operation of existing pits as necessary to obtain acceptable material. Open pits in a manner to expose the vertical faces of the deposits for suitable working depths, following which the material shall be obtained in successive vertical cuts extending through the exposed strata.

Waste strata and pockets of unsuitable materials overlaying or occurring in the deposit. Change or modify the method of operating the pits, and the processing and blending of the material when necessary to obtain material conforming to the specified requirements. Upon completion of the work, condition pits to drain readily and leave in a satisfactory condition.

3.3 MIXING OF MATERIALS

Mix aggregates in a stationary or traveling plant. Proportion aggregates by weight or volume in such quantities that specified gradation, liquid limit, and plasticity index requirements are met after the base course has been placed and compacted. Incorporate, during the mixing operation, water in quantities sufficient to provide the necessary moisture content for the specified compaction. Mixing operations shall produce satisfactory uniform blending and the method of discharging into trucks shall not produce segregation.

3.4 PLACING

Do not dump mixed materials in piles, but place on prepared subgrade or subbase in layers of uniform thickness with a spreader. When a compacted course 6 inches in thickness is required, place material in a single layer. When a compacted course in excess of 6 inches is required, place material in layers of equal thickness. Do not exceed 6 inches or have less than 3 inches in thickness for any compacted layer. Place layers so that when compacted, they will be true to grades or levels required with the least possible surface disturbance. Where the base course is constructed in more than one layer, clean previously constructed layers of loose and foreign matter. Maintain material water content during the placing period to obtain the compaction specified. Make adjustments in placing procedures or equipment to obtain true grades, to minimize segregation and degradation, to reduce or increase water content, and to insure a satisfactory base course.

3.4.1 Stationary-Plant Method

Mix aggregates, binder material and water until a uniform homogeneous mixture is obtained. Do not dump materials in piles; place in layers of essentially uniform thickness, not to exceed 6 inches after compaction, by an approved spreader. Tail gate spreading will be acceptable only with permission, under conditions such as where space limitations prohibit use of the spreader.

3.4.2 Windrow Traveling-Plant Method

Place aggregates and binder materials in windrows of such cross section and proportions that, when picked up, mixed, and redeposited in windrows, the finished mixture shall conform to the specified requirements. Do not exceed the rated capacity of the traveling plant with the size of the windrow of the combined materials. Add water, in quantity sufficient to provide the necessary moisture content for compacting, to the aggregates at the time of mixing. Mix materials uniformly by the traveling plant, deposit in windrows of uniform cross section, and spread in a layer of uniform thickness to the required contour and grades.

3.5 COMPACTING AND FINISHING

Immediately following the placing, spread the finished mixture uniformly in a layer and bring to optimum moisture content. The loose thickness and the surface of the layer shall be such that the specified density and the required thickness shall be obtained after compaction. Compact the layer with steel-faced, vibrating or pneumatic-tired rollers, or other suitable compacting equipment or combinations thereof. Continue compacting until the layer is compacted through the full depth to a field density of at least 95 percent of the maximum density at optimum moisture content tested in accordance with [ASTM D1556](#) [ASTM D6938](#). In areas not accessible to rollers or compactors, compact the mixture with mechanical hand tampers. If the mixture is excessively moistened by rain, aerate by blade graders, or other suitable equipment. Aerate until the moisture content of the material is that needed to obtain the required density. Finish the surface of the layer by a combination of rolling and blading. Final surface shall be smooth and free from waves, irregularities, and ruts or soft yielding spots.

3.6 FINISHING AT EDGES OF BASE COURSE

Place earth or other approved materials along the edges of the base course in such quantity that it will compact to the thickness of the course being constructed. When the course is being constructed in two or more layers, place material to the thickness of each layer. In each operation, allow at least a one foot width of the shoulder to be rolled and compacted simultaneously with the rolling and compacting of each layer.

3.7 FIELD QUALITY CONTROL

Approve materials and material sources in advance of the use of such materials in the work. Replace base where samples are removed. Provide duplicate samples to the Contracting Officer on an average of 3 samples a week. Take duplicate samples at the same time and in the same manner as the original.

3.7.1 Sampling

3.7.1.1 Aggregates at the Source

Prior to production and delivery of aggregates, take at least one initial sample in accordance with [ASTM D75/D75M](#). Collect each sample by taking three incremental samples at random from the source material to make a composite sample of not less than 50 pounds. Repeat above sampling when source of material is changed or when unacceptable deficiencies or variations from specified grading of materials are found in testing.

3.7.1.2 During Construction

Take one random sample from each 1000 tons of completed course material, but not less than one random sample per day's run. Take samples in accordance with [ASTM D75/D75M](#).

3.7.1.3 Sample Identification

Place each sample in a clean container, securely fastened to prevent loss of material. Tag each sample for identification and with the following information:

Contract No. _____
Sample No. _____ Quality _____
Date of Sample _____
Sampler _____
Source _____
Intended Use _____
For Testing _____

3.7.2 Testing

3.7.2.1 Aggregates

Test each sample of base course material without delay. Make gradation tests from each sample in accordance with ASTM C136. Make sieve analysis on material passing the No. 200 sieve in accordance with ASTM C117.

3.7.2.2 Smoothness Tests

Test with a 10 foot straightedge, applied parallel with and at right angles to the center line of the paved area. Correct deviations in the surface in excess of 1/2 inch by loosening, adding or removing material, reshaping, watering, and compacting. The smoothness requirements specified herein apply only to the top layer when base course is constructed in more than one layer.

3.7.2.3 Field Density Tests

ASTM D1556 or ASTM D6938. Take one test for each 500 square yards of each layer of base course.

3.7.2.4 Laboratory Density Tests

In accordance with ASTM D1557, Method D.

3.7.2.5 Thickness Tests

Measure thickness of base course at intervals such that there will be a depth measurement for at least each 500 square yards of complete base course. Make depth measurements by test holes, at least 3 inches in diameter, through the base course. Where base course deficiency is more than 1/2 inch, correct by scarifying, adding mixture of proper gradation, reblading, and recompacting. Where the measured thickness is more than 1/2 inch thicker than indicated, consider it as the indicated thickness plus 1/2 inch for determining the average. The average thickness is the average of the depth measurements and shall not underrun the thickness indicated.

3.8 MAINTENANCE

After construction is completed, maintain the base course throughout, except where portion of the succeeding course is under construction thereon. Maintenance includes drainage, rolling, shaping, and watering, as necessary,

to maintain the course in proper condition. Correct deficiencies in thickness, composition, construction, smoothness, and density, which develop during the maintenance, to conform to the requirements specified herein. Maintain sufficient moisture by light sprinkling with water at the surface to prevent a dusty condition.

-- End of Section --

SECTION 32 11 30

LIME TREATED SUBGRADE
08/08

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

- AASHTO M 216 (2005; R 2009) Standard Specification for Lime for Soil Stabilization
- AASHTO T 102 (2009) Standard Method of Test for Spot Test of Asphaltic Materials
- AASHTO T 219 (1987; R 2008) Standard Method of Test for Testing Lime for Chemical Constituents and Particle Sizes
- AASHTO T 27 (2011) Standard Method of Test for Sieve Analysis of Fine and Coarse Aggregates

ASTM INTERNATIONAL (ASTM)

- ASTM C207 (2006; R 2011) Standard Specification for Hydrated Lime for Masonry Purposes
- ASTM C25 (2011) Standard Test Method for Chemical Analysis of Limestone, Quicklime, and Hydrated Lime
- ASTM C977 (2010) Quicklime and Hydrated Lime for Soil Stabilization
- ASTM D1556 (2007) Density and Unit Weight of Soil in Place by the Sand-Cone Method
- ASTM D1557 (2009) Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft³) (2700 kN-m/m³)
- ASTM D2397 (2005) Standard Specification for Cationic Emulsified Asphalt
- ASTM D3551 (2008) Laboratory Preparation of Soil-Lime Mixtures Using a Mechanical Mixer

ASTM D6938 (2010) Standard Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth)

ASTM D977 (2005) Emulsified Asphalt

NATIONAL LIME ASSOCIATION (NLA)

NLA BUL 326 (2004) Lime-Treated Soil Construction Manual: Lime Stabilization and Lime Modification

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2008; Errata 1-2010; Changes 1-3 2010; Changes 4-6 2011) Safety and Health Requirements Manual

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are [for Contractor Quality Control approval. The following shall be submitted in accordance with SUBMITTAL PROCEDURES:

SD-04 Samples

Cured lime-treated material

Lime

Submit a typical cured sample of on-site material with the required percent of lime content.

SD-05 Design Data

Job-mix formula

Mixing procedures

Analysis of equipment

SD-06 Test Reports

Site preparation test

Final compaction report

Field application rate test

SD-07 Certificates

Bituminous curing seal

Lime

Contractor **equipment** list

Submit a list of construction equipment 7 days prior to bringing equipment on the job.

1.3 DELIVERY AND STORAGE

Deliver lime, bituminous materials in containers showing or including designated trade name, product identification, specification number, manufacturers name, and source. Store in a manner that will prevent moisture damage, overexposure, and contamination.

1.4 WEATHER LIMITATIONS

Do not construct subgrade when weather conditions detrimentally affect the quality of the materials. Do not apply lime unless the air temperature is at least 40 degrees F in the shade and rising. Do not apply lime to soils that are frozen or contain frost. If the air temperature falls below 35 degrees F in the shade, protect completed lime-treated areas by approved methods against the detrimental effects of freezing. Remove and replace any damaged portion of the completed soil-lime treated area with new soil-lime material in accordance with this specification.

1.4.1 Freeze Protection Method(s)

Submit Contractor's plan(s) for freeze protection to Contracting Officer for approval.

1.5 QUALITY ASSURANCE

1.5.1 Required Data

Ten days prior to the commencement of the work, a **job-mix formula** showing the amount of lime and water required per cubic yard, and **procedures** for blending the lime/subgrade mixture for each type of existing soil. Include process type and number of: Lime applications, stages of mixing, slurry injection depths, mixing depths and depths of compaction lifts. Also, a list of **equipment** to be used and their relation to method of mixing proportioning, spreading, pulverizing and compacting subgrade, slurry injection, jet slurry mixing and other related work. The formula shall also contain amount of lime, either in sacks or pounds per cubic yard and the amount of water to be used, if slurry method is used. Use the following laboratory test method when applicable: **ASTM D3551**.

PART 2 PRODUCTS

2.1 LIME TREATMENT REQUIREMENTS

Perform lime treatment of subgrade. Scarify subgrade soil and mix uniformly with lime and water, spread, shape, compact and cure in accordance with these specifications and the following requirements:

Lime requirement: The percent of hydrated lime by weight of dry soil material: 10 percent.

2.1.1 Hydrated Lime

2.1.1.1 Type I

AASHTO M 216 Grade A

2.2 SOIL

The inorganic natural material in the area to be stabilized unless imported material, relocated material, or preliminary earthwork is required: See Section 31 00 00 EARTHWORK. Remove stones retained on a 3 inch sieve and deleterious substances such as sticks, debris, and vegetable matter.

2.3 WATER

Potable

2.4 BITUMINOUS CURING SEAL

2.4.1 Emulsified Asphalt

Conform to ASTM D977, Type SS-1 or ASTM D977 Type SS-1h; ASTM D2397, Type CSS-1 or ASTM D2397 Type CSS-1h. The base asphalt used to manufacture the emulsion shall show a negative spot when tested in accordance with AASHTO T 102 using standard naphtha.

PART 3 EXECUTION

3.1 SITE PREPARATION

Clean debris from area to be stabilized. Perform clearing and grubbing to a depth of 18 inches as required. Remove rocks larger than 3 inches. Inspect original ground for adequacy for the forthcoming compactive effort of lime treatment work. Rough grade and shape the area to be stabilized to conform to the lines, grades, and cross sections indicated. Comply with subgrade requirements of Section 31 00 00 EARTHWORK.

3.1.1 Grade Control

When stabilized course is to be constructed to meet a fixed grade, provide adequate line and grade stakes for control. Finished and completed stabilized areas shall conform to the lines, grades, cross section, and dimensions indicated. Locate grade stakes in lanes parallel to center line of areas under construction, and suitably placed for string lining. Maintain line and grade.

3.1.2 Soil Testing

Test original ground prior to scarification in accordance with ASTM D1557.

3.2 LIME TREATMENT AND SEQUENCE OF CONSTRUCTION OPERATIONS

Comply with NLA BUL 326 and sequence of construction operations, unless specified otherwise hereinafter.

3.2.1 Application Requirements

After site preparation, scarify subgrade and spread lime. Blend lime into subgrade to required depth as indicated. Apply lime and water only to those areas where mixing operations can be completed during the same working day. Accomplish application and mixing of lime by either the dry placing method or the slurry method. Use same method during any single days operation.

3.2.2 Scarification

After obtaining required line and grade, scarify and partially pulverize the subgrade. Remove organic materials such as stumps and roots. Remove rocks larger than 3 inches.

3.2.3 Dry Placing

Spread and distribute lime at a uniform rate with protection from wind as an important distribution and timing criteria. Prevent dry lime from blowing by adding water to lime or by other suitable means. Do not apply lime when wind conditions, in the opinion of the Contracting Officer, are objectionable.

3.2.4 Slurry Method

Apply or inject mixture of lime and water into the existing soil. Maintain the water content at 5 percent above optimum during application to lime/soil mixture. Prepare hydrate slurry either in a central mixing tank or tank trucks, with agitation provided for mixing or using a jet slurry maker. Prepare quicklime slurry using a portable batch slaking unit. Accurately weigh or meter lime and water. Standard water or asphalt trucks, properly cleaned, with or without pressure distributors, may be used to apply lime treatment. Spread or inject lime slurry evenly to yield uniform distribution of lime throughout soil. Distribute lime in successive passes over subgrade materials until proper amount of lime has been spread or injected to proper depth. Continually agitate slurry to keep mixture uniform. Keep pumps, distribution spray bars, slurry injection equipment and other equipment clean of excessive lime slurry. The Contractor's laboratory shall verify the specified amount and rate of application of lime for the various materials encountered.

3.2.5 Preliminary Mixing and Watering

Distribute lime uniformly by mixing and pulverizing subgrade. During mixing, add water to subgrade to provide a moisture content of 5 percent above optimum moisture content of material and to insure chemical action of lime and subgrade materials. Mixer shall continue making passes until it has produced a homogeneous, uniform mixture of lime, soil, and water. Continue mixing or remixing operations, until material is free of streaks or pockets of lime and mixture is uniform as indicated by testing. After initial mixing, shape and roll subgrade lightly to seal surface in order to reduce evaporation of moisture and lime carbonation.

3.2.6 Preliminary Curing

Moisture cure lime-soil mixture up to 48 hours until adhesive quality of clay is reduced to almost normal soil consistency. Allow 7 days or more for curing heavy clays.

3.2.7 Mixing, Uniformity Testing and Compaction

After dry lime or lime slurry is uniformly applied to soil and mixture is pulverized and cured, continue mixing until individual agglomerates of soil do not exceed one inch in maximum dimension (soil particles will pass a one inch sieve with at least 60 percent passing the No. 4 sieve). Continue mixing and re-mixing until material is uniformly mixed. Moisture shall be at approximately 2 percent over optimum for material other than rock. Compact lime-treated material immediately after final mixing and testing. Aerate or sprinkle as necessary to provide optimum moisture content during compaction. Compact lime-treated material in specified lifts to 95 percent of maximum density at optimum moisture content in accordance with **ASTM D1557**, Method D. Base density value on a representative soil sample obtained from site and treated with required proportion of lime. As compaction progresses, maintain the shape of the lifts by blading. Surface upon completion shall be smooth and conform to indicated section and established lines and grades. Perform initial compaction with sheepsfoot roller or other suitable roller. Perform final rolling by means of sheepsfoot, steel-tired, or pneumatic rollers.

3.2.9 Finishing

Surface of finished lime-treated material after compaction shall be the established graded plane. At any point the surface shall not vary more than 0.05 foot above or below established grade. Finish completed section by rolling with a pneumatic or suitable roller sufficiently light to prevent hairline cracking. Keep surface of each compacted layer of lime-treated material moist until covered by a subsequent layer of lime-treated material or curing seal.

3.2.10 Limit of Daily Operations (Temporary Joints)

At the end of each working day, prepare a temporary joint in fully compacted material normal to paved surface centerline. Construct a longitudinal temporary joint for partial width sections against which future material is to be placed. Remove temporary joints during next work period by trimming 3 inches into treated material for continuity. Trimmed material may be incorporated in subsequent work. Temporary joints shall not coincide with any longitudinal or transverse temporary joint location of previous or subsequent construction. Remixing 4 inches into the previous day's work may be substituted for joints providing the method and equipment is acceptable to the Contracting Officer.

3.2.11 Final Curing

3.2.11.1 Curing

Cure lime-treated material for 72 hours. During curing period, add emulsion to surface to maintain moisture content of mixture at five percent above optimum water content. Lime that has been overexposed to open air shall be removed and disposed of off-station.

- Asphalt emulsion curing seal: Apply at least two applications uniformly to top (final) layer of lime-treated material at a rate of 0.15 to 0.20 gallons per square yard of surface. Apply curing seal same day as soon as possible after completion of final rolling, before temperature falls below 40 degrees F.

3.3 TRAFFIC CONTROL, CURING MAINTENANCE AND DRAINAGE PROTECTION

Keep traffic off surfaces freshly treated with bituminous material. Provide warning signs and barricades so that traffic will not travel over freshly treated surfaces. Do not permit equipment or traffic on lime-treated material until subgrade stability is assured. Maintain finished surface until work has been completed. Provide drainage during entire period of construction to prevent water from collecting or standing on area to be stabilized.

3.4 EQUIPMENT LIMITATIONS

3.4.1 General

The type of equipment to be used for each category of work shall conform to the [NLA BUL 326](#) unless specified otherwise. Maintain equipment in satisfactory and safe operating condition.

3.4.2 Spreading Equipment

At windy locations use an approved screw type spreader box, mixer, or other semi-enclosed equipment which will offer protection from wind. Spreading hydrated lime by aggregate spreaders, dump trucks or agricultural spreaders is not allowed. Spreading by end-dumping, or tailgate control methods are not allowed. Change or alter equipment to be used in the event of non-uniform spreading of lime.

3.4.3 Additional Mixing Equipment Limitations

- a. Motor graders will not be allowed to mix lime with clays.
- b. Deep-lift rotary mixers may be used and may facilitate changes in specified depths of operation, providing equipment and method of operation sustains uniform distribution of lime with required compacted density throughout the deeper layer, with approval of Contracting Officer.

3.4.4 Additional Compaction Equipment Limitations

Unauthorized equipment, hauling or transportation vehicles will not be allowed for compaction purposes.

3.5 SAFETY REQUIREMENTS

In addition to the Contract Clause entitled "Accident Prevention", prevent employee eye or skin contact with quicklime during transport or application. Provide and require employees use the following:

- a. Protective clothing, high top boots, gauntlet-type gloves and protective headwear

- b. Splash-proof safety goggles and face shields
- c. Protective cream.

3.6 TESTS

3.6.1 General

Perform sampling and testing using a laboratory which has been inspected by the Cement and Concrete Reference Laboratory (of ASTM/CCRL) within the past 3 years or by a Government approved independent commercial testing laboratory. Frequency of sampling and testing of materials for conformance and quality control shall be as specified herein and shall be performed at such other times as necessary to document contract compliance. Test reports and results shall be certified by the laboratory and submitted together with Contractor's daily certification.

3.6.2 Optimum Moisture, Maximum Density

Perform optimum moisture, maximum density test on lime-treated material sampled after final mixing and prior to final compaction. Soil mixture shall be laboratory compacted within 3 hours of sampling and then moist-cured for 24 hours prior to optimum moisture-maximum density determination. Test in accordance with [ASTM D1557](#), Method D and the Job-Mix Formula.

3.6.3 Uniformity Tests

After placement and mixing of each lift perform a series of uniformity tests. Excavate a hole 10 inches in diameter through full depth of lift and impregnate sides of hole with a standard phenolphthalein alcohol indicator. Non-conformity of color reaction, when material is treated as above, will be considered evidence of inadequate mixing.

3.6.4 Compaction

Perform in-place density test to determine degree of compaction between 24 and 72 hours after [final compaction](#) and 24 hour moist cure period. Test in accordance with [ASTM D1556](#). Subject to approval of the Contracting Officer the following test methods may be included: [ASTM D6938](#) and compatible meter methods providing one [ASTM D1556](#) check test is made after every four nuclear tests.

3.6.5 Thickness and Smoothness

Thickness of final lime treated subgrade shall be not less than thickness shown. Final grade smoothness shall not deviate by more than 3/8 inch, when tested with a 10 foot straightedge.

3.6.6 Field Application Rate Test

Test for checking initial lime spreading rate.

3.6.7 Frequency of Tests

The minimum number and type of quality control tests shall be as follows:

- a. Optimum moisture, maximum density. Two of each type or change of material with in-place density requirements.
- b. Thickness, smoothness and uniformity. Two tests each day for every 1000 square yards or less mixed and placed.
- c. Field density. One set of 3 tests for each lift for every 2000 square yards or less.
- d. Field application rate test. One test for each lime spreading vehicle to be used on site.

-- End of Section --

SECTION 32 12 10

BITUMINOUS TACK AND PRIME COATS
08/08

PART 1 GENERAL

1.1 WAYBILLS AND DELIVERY TICKETS

Submit waybills and delivery tickets, during progress of the work. Before the final statement is allowed, file with the Contracting Officer certified waybills and certified delivery tickets for all bituminous materials used in the construction of the pavement covered by the contract. Do not remove bituminous material from storage until the initial outage and temperature measurements have been taken. The delivery or storage units will not be released until the final outage has been taken.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO M 20	(1970; R 2004) Penetration-Graded Asphalt Cement
AASHTO M 226	(1980; R 2008) Standard Specification for Viscosity-Graded Asphalt Cement
AASHTO M 81	(1992; R 2008) Standard Specification for Cutback Asphalt (Rapid-Curing Type)
AASHTO M 82	(1975; R 2008) Standard Specification for Cutback Asphalt (Medium-Curing Type)
AASHTO T 102	(2009) Standard Method of Test for Spot Test of Asphaltic Materials
AASHTO T 40	(2002; R 2006) Sampling Bituminous Materials

ASTM INTERNATIONAL (ASTM)

ASTM D1250	(2008) Standard Guide for Use of the Petroleum Measurement Tables
ASTM D140/D140M	(2009) Standard Practice for Sampling Bituminous Materials

ASTM D2026/D2026M	(1997; R 2010e1) Cutback Asphalt (Slow-Curing Type)
ASTM D2027	(2010) Cutback Asphalt (Medium-Curing Type)
ASTM D2028/D2028M	(2010) Cutback Asphalt (Rapid-Curing Type)
ASTM D2397	(2005) Standard Specification for Cationic Emulsified Asphalt
ASTM D2995	(1999; R 2009) Determining Application Rate of Bituminous Distributors
ASTM D3381/D3381M	(2009a) Viscosity-Graded Asphalt Cement for Use in Pavement Construction
ASTM D946/D946M	(2009a) Penetration-Graded Asphalt Cement for Use in Pavement Construction
ASTM D977	(2005) Emulsified Asphalt
U.S. GREEN BUILDING COUNCIL (USGBC)	
LEED NC	(2009) Leadership in Energy and Environmental Design(tm) New Construction Rating System
U.S. ARMY CORPS OF ENGINEERS (USACE)	
EM 385-1-1	(2008; Errata 1-2010; Changes 1-3 2010; Changes 4-6 2011) Safety and Health Requirements Manual

1.3 SYSTEM DESCRIPTION

1.3.1 General Requirements

Plant, equipment, machines and tools used in the work are subject to approval and shall be maintained in a satisfactory working condition at all times. Calibrated equipment such as asphalt distributors, scales, batching equipment, spreaders and similar equipment, should have been recalibrated by a calibration laboratory within 12 months prior to commencing work. The Contractor shall comply with all applicable standards & regulations to reduce contaminant concentration levels As Low As is Reasonably Achievable (ALARA) and all requirements of EM 385-1-1 Section 6: Hazardous or Toxic Agents and Environments.

1.3.2 Bituminous Distributor

Provide a distributor with pneumatic tires of such size and number that the load produced on the base surface does not exceed 650 psi of tire width to prevent rutting, shoving or otherwise damaging the base surface or other layers in the pavement structure. Design and equip the distributor to spray the bituminous material in a uniform coverage at the specified temperature, at readily determined and controlled rates from 0.05 to 2.0 gallons per square yard, with a pressure range of 25 to 75 psi and with an allowable

variation from the specified rate of not more than plus or minus 5 percent, and at variable widths. Include with the distributor equipment a separate power unit for the bitumen pump, full-circulation spray bars, tachometer, pressure gauges, volume-measuring devices, adequate heaters for heating of materials to the proper application temperature, a thermometer for reading the temperature of tank contents, and a hand hose attachment suitable for applying bituminous material manually to areas inaccessible to the distributor. Equip the distributor to circulate and agitate the bituminous material during the heating process.

1.3.3 Heating Equipment for Storage Tanks

The equipment for heating the bituminous material shall be steam, electric, or hot oil heaters. Provide steam heaters consisting of steam coils and equipment for producing steam, so designed that the steam cannot get into the material. Fix an armored thermometer to the tank with a temperature range from 40 to 400 degrees F so that the temperature of the bituminous material may be determined at all times.

1.3.4 Power Brooms and Power Blowers

Use power brooms and power blowers suitable for cleaning the surfaces to which the bituminous coat is to be applied.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with SUBMITTAL PROCEDURES:

SD-03 Product Data

Waybills and Delivery Tickets

Local/Regional Materials

SD-06 Test Reports

Sampling and Testing

1.5 QUALITY ASSURANCE

Use *Local/Regional Materials* or products extracted, harvested, or recovered, as well as manufactured, within a 500 mile radius from the project site, if available from a minimum of three sources.

1.6 DELIVERY, STORAGE, AND HANDLING

Inspect the materials delivered to the site for contamination and damage. Unload and store the materials with a minimum of handling.

1.7 ENVIRONMENTAL REQUIREMENTS

Apply bituminous coat only when the surface to receive the bituminous coat is dry. Apply bituminous coat only when the atmospheric temperature in the

shade is 50 degrees F or above and when the temperature has not been below 35 degrees F for the 12 hours prior to application, unless otherwise directed.

PART 2 PRODUCTS

2.1 PRIME COAT

Provide asphalt conforming to **AASHTO M 82** and specified in the following two subparagraphs.

2.1.1 Cutback Asphalt

Provide cutback asphalt conforming to **ASTM D2027**, Grade MC-70.

2.1.2 Emulsified Asphalt

Provide emulsified asphalt conforming to **ASTM D977**, Type SS1h or **ASTM D2397**, Type CSS-1h.

2.2 TACK COAT

Provide asphalt conforming to **ASTM D977** or **ASTM D2397**.

2.2.2 Emulsified Asphalt

Provide emulsified asphalt conforming to **ASTM D977**, Type SS-1, SS1h, **ASTM D2397**, Type CSS-1 CSS-1h. Dilute the emulsified asphalt with equal parts of water. The base asphalt used to manufacture the emulsion shall show a negative spot when tested in accordance with **AASHTO T 102** using standard naphtha.

PART 3 EXECUTION

3.1 PREPARATION OF SURFACE

Immediately before applying the bituminous coat, remove all loose material, dirt, clay, or other objectionable material from the surface to be treated by means of a power broom or blower supplemented with hand brooms. The surface shall be dry and clean at the time of treatment.

3.2 APPLICATION RATE

The exact quantities within the range specified, which may be varied to suit field conditions, will be determined by the Contracting Officer.

3.2.1 Tack Coat

Apply bituminous material for the tack coat in quantities of not less than 0.05 gallon nor more than 0.15 gallon per square yard of pavement surface.

3.2.2 Prime Coat

Apply bituminous material for the prime coat in quantities of not less than 0.18 gallon nor more than 0.35 gallon per square yard of pavement surface.

3.3 APPLICATION TEMPERATURE

3.3.1 Viscosity Relationship

Asphalt application temperature shall provide an application viscosity between 10 and 60 seconds, Saybolt Furol, or between 20 and 120 centistokes, kinematic. Furnish the temperature viscosity relation to the Contracting Officer.

3.3.2 Temperature Ranges

The viscosity requirements determine the application temperature to be used. The following is a normal range of application temperatures:

Liquid Asphalts	

MC-70	120-225 degrees F
Emulsions	

SS-1	70-160 degrees F
SS-1h	70-160 degrees F
CRS-1	125-185 degrees F
CSS-1	70-160 degrees F
CSS-1h	70-160 degrees F

These temperature ranges exceed the flash point of the material and care should be taken in their heating.

3.4 APPLICATION

3.4.1 General

Following preparation and subsequent inspection of the surface, apply the bituminous prime or tack coat with the Bituminous Distributor at the specified rate with uniform distribution over the surface to be treated. Properly treat all areas and spots missed by the distributor with the hand spray. Until the succeeding layer of pavement is placed, maintain the surface by protecting the surface against damage and by repairing deficient areas at no additional cost to the Government. If required, spread clean dry sand to effectively blot up any excess bituminous material. No smoking, fires, or flames other than those from the heaters that are a part of the equipment are permitted within 25 feet of heating, distributing, and transferring operations of bituminous material other than bituminous emulsions. Prevent all traffic, except for paving equipment used in constructing the surfacing, from using the underlying material, whether primed or not, until the surfacing is completed. The bituminous coat shall conform to all requirements as described herein.

3.4.2 Prime Coat

The prime coat is required if it will be at least 7 days before the surfacing (Asphalt cement hot mix concrete) layer is constructed on the

underlying (base course, etc.) compacted material. The type of liquid asphalt and application rate will be as specified herein. Protect the underlying from any damage (water, traffic, etc.) until the surfacing is placed. If the Contractor places the surfacing within seven days, the choice of protection measures or actions to be taken is at the Contractor's option. Repair (recompact or replace) damage to the underlying material caused by lack of, or inadequate, protection by approved methods at no additional cost to the Government. If the Contractor opts to use the prime coat, apply as soon as possible after consolidation of the underlying material.] Apply the bituminous material uniformly over the surface to be treated at a pressure range of 25 to 75 psi; the rate shall be as specified above in paragraph APPLICATION RATE. To obtain uniform application of the prime coat on the surface treated at the junction of previous and subsequent applications, spread building paper on the surface for a sufficient distance back from the ends of each application to start and stop the prime coat on the paper and to ensure that all sprayers will operate at full force on the surface to be treated. Immediately after application remove and destroy the building paper.

3.4.3 Tack Coat

Apply tack coat at the locations shown on the drawings. Apply the tack coat when the surface to be treated is dry. Immediately following the preparation of the surface for treatment, apply the bituminous material by means of the bituminous distributor, within the limits of temperature specified herein and at a rate as specified above in paragraph APPLICATION RATE. Apply the bituminous material so that uniform distribution is obtained over the entire surface to be treated. Treat lightly coated areas and spots missed by the distributor with the bituminous material. Following the application of bituminous material, allow the surface to cure without being disturbed for period of time necessary to permit setting of the tack coat. Apply the bituminous tack coat only as far in advance of the placing of the overlying layer as required for that day's operation. Maintain and protect the treated surface from damage until the succeeding course of pavement is placed.

3.5 CURING PERIOD

Following application of the bituminous material and prior to application of the succeeding layer of pavement, allow the bituminous coat to cure and to obtain evaporation of any volatiles or moisture. Maintain the coated surface until the succeeding layer of pavement is placed, by protecting the surface against damage and by repairing and recoating deficient areas. Allow the prime coat to cure without being disturbed for a period of at least 48 hours or longer, as may be necessary to attain penetration into the treated course. Furnish and spread enough sand to effectively blot up and cure excess bituminous material.

3.6 FIELD QUALITY CONTROL

Samples of the bituminous material shall be obtained and tested by the Contractor for every 10 tons of bituminous material used. The sample may be retained and tested by the Government at no cost to the Contractor.

3.7 SAMPLING AND TESTING

Submit copies of all test results for emulsified asphalt, and bituminous materials, within 24 hours of completion of tests. Furnish certified copies of the manufacturer's test reports indicating temperature viscosity relationship for cutback asphalt, compliance with applicable specified requirements, not less than 30 days before the material is required in the work. Perform sampling and testing by an approved commercial testing laboratory or by facilities furnished by the Contractor. No work requiring testing will be permitted until the facilities have been inspected and approved.

3.7.1 Sampling

The samples of bituminous material, unless otherwise specified, shall be in accordance with [ASTM D140/D140M](#) or [AASHTO T 40](#). Sources from which bituminous materials are to be obtained shall be selected and notification furnished the Contracting Officer within 15 days after the award of the contract.

3.7.2 Calibration Test

Furnish all equipment, materials, and labor necessary to calibrate the bituminous distributor. Calibration shall be made with the approved job material and prior to applying the bituminous coat material to the prepared surface. Calibrate the bituminous distributor in accordance with [ASTM D2995](#).

3.7.3 Trial Applications

Before providing the complete bituminous coat, apply three lengths of at least 100 feet for the full width of the distributor bar to evaluate the amount of bituminous material that can be satisfactorily applied.

3.7.3.1 Tack Coat Trial Application Rate

Unless otherwise authorized, apply the trial application rate of bituminous tack coat materials in the amount of 0.05 gallons per square yard. Other trial applications shall be made using various amounts of material as may be deemed necessary.

3.7.3.2 Prime Coat Trial Application Rate

Unless otherwise authorized, apply the trial application rate of bituminous materials in the amount of 0.25 gallon per square yard. Other trial applications shall be made using various amounts of material as may be deemed necessary.

3.7.4 Sampling and Testing During Construction

Perform quality control sampling and testing as required in paragraph FIELD QUALITY CONTROL.

3.8 TRAFFIC CONTROLS

Keep traffic off surfaces freshly treated with bituminous material. Provide sufficient warning signs and barricades so that traffic will not travel over freshly treated surfaces.

-- End of Section --

SECTION 32 12 17

HOT MIX BITUMINOUS PAVEMENT
04/08

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO M320 Performance Graded Asphalt Binder

ASPHALT INSTITUTE (AI)

AI MS-02 (1997 6th Ed) Mix Design Methods

ASTM INTERNATIONAL (ASTM)

ASTM C117 (2004) Standard Test Method for Materials
Finer than 75-um (No. 200) Sieve in Mineral
Aggregates by Washing

ASTM C127 (2007) Standard Test Method for Density,
Relative Density (Specific Gravity), and
Absorption of Coarse Aggregate

ASTM C128 (2007a) Standard Test Method for Density,
Relative Density (Specific Gravity), and
Absorption of Fine Aggregate

ASTM C131 (2006) Standard Test Method for Resistance to
Degradation of Small-Size Coarse Aggregate by
Abrasion and Impact in the Los Angeles
Machine

ASTM C136 (2006) Standard Test Method for Sieve
Analysis of Fine and Coarse Aggregates

ASTM C188 (2009) Standard Test Method for Density of
Hydraulic Cement

ASTM C29/C29M (2009) Standard Test Method for Bulk Density
("Unit Weight") and Voids in Aggregate

ASTM C88 (2005) Standard Test Method for Soundness of
Aggregates by Use of Sodium Sulfate or
Magnesium Sulfate

ASTM D1073	(2011) Fine Aggregate for Bituminous Paving Mixtures
ASTM D1188	(2007e1) Bulk Specific Gravity and Density of Compacted Bituminous Mixtures Using Paraffin-Coated Specimens
ASTM D140/D140M	(2009) Standard Practice for Sampling Bituminous Materials
ASTM D1559	(1989) Resistance to Plastic Flow of Bituminous Mixtures Using Marshall Apparatus
ASTM D2041/D2041M	(2011) Theoretical Maximum Specific Gravity and Density of Bituminous Paving Mixtures
ASTM D2172/D2172M	(2011) Quantitative Extraction of Bitumen from Bituminous Paving Mixtures
ASTM D242/D242M	(2009) Mineral Filler for Bituminous Paving Mixtures
ASTM D2726	(2011) Bulk Specific Gravity and Density of Non-Absorptive Compacted Bituminous Mixtures
ASTM D3381/D3381M	(2009a) Viscosity-Graded Asphalt Cement for Use in Pavement Construction
ASTM D3666	(2011) Standard Specification for Minimum Requirements for Agencies Testing and Inspecting Road and Paving Materials
ASTM D4867/D4867M	(2009) Effect of Moisture on Asphalt Concrete Paving Mixtures
ASTM D546	(2010) Sieve Analysis of Mineral Filler for Bituminous Paving Mixtures
ASTM D692/D692M	(2009) Coarse Aggregate for Bituminous Paving Mixtures
ASTM D70	(2009e1) Specific Gravity and Density of Semi-Solid Bituminous Materials (Pycnometer Method)
ASTM D75/D75M	(2009) Standard Practice for Sampling Aggregates
ASTM D854	(2010) Specific Gravity of Soil Solids by Water Pycnometer
ASTM D946/D946M	(2009a) Penetration-Graded Asphalt Cement for Use in Pavement Construction
ASTM D979/D979M	(2011) Sampling Bituminous Paving Mixtures

ASTM D995 (1995b; R 2002) Mixing Plants for Hot-Mixed,
Hot-Laid Bituminous Paving Mixtures

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2008; Errata 1-2010; Changes 1-3 2010;
Changes 4-6 2011) Safety and Health
Requirements Manual

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. The following shall be submitted in accordance with SUBMITTAL PROCEDURES:

SD-04 Samples

Bituminous pavement

SD-05 Design Data

Job-mix formula

Submit a job-mix formula, prepared specifically for this project within one year of submittal for roads, for approval by the Government prior to preparing and placing the bituminous mixture. Design mix using procedures contained in Chapter V, Marshall Method of Mix Design, of AI MS-02. Formulas shall indicate physical properties of the mixes as shown by tests made by a commercial laboratory approved by the Contracting Officer, using materials identical to those to be provided on this project. Submit formulas with material samples. Job-mix formula for each mixture shall be in effect until modified in writing by the Contractor and approved by the Contracting Officer. Provide a new job-mix formula for each source change. Submittal shall include all tests indicated in MIX DESIGN section of this specification.

ASPHALT CEMENT BINDER

MIX DESIGN

SD-06 Test Reports

Specific gravity test of asphalt

Coarse aggregate tests

Weight of slag test

Percent of crushed pieces in gravel

Fine aggregate tests

Specific gravity of mineral filler

Bituminous mixture tests

Aggregates tests

Bituminous mix tests

Pavement courses

Submit in accordance with paragraph entitled "Mock-Up Test Section."

1.3 QUALITY ASSURANCE

1.3.1 Safety Requirements

Provide adequate and safe stairways with handrails to the mixer platform, and safe and protected ladders or other means for accessibility to plant operations. Guard equipment and exposed steam or other high temperature lines or cover with a suitable type of insulation. The Contractor shall comply with all applicable standards & regulations to reduce contaminant concentration levels As Low As is Reasonably Achievable (ALARA) and all requirements of EM 385-1-1 Section 6: Hazardous or Toxic Agents and Environments.

1.3.2 Mock-Up Test Section

Prior to full production of the binder and wearing course, prepare a quantity of bituminous mixture according to the job-mix formula. Construct a test section 200 feet long by not less than 10 feet wide and of the same compacted depth specified for the construction of the course which the test section represents. The underlying grade or pavement structure upon which the test section is to be constructed shall be the same as the remainder of the course represented by the test section. The equipment used in construction of the test section shall be the same type and weight to be used on the remainder of the course represented by the test section. Test not less than two samples of the mixture produced at the plant for gradation, asphalt cement content, stability, flow, air voids, voids in mineral aggregate, and in weight. Obtain not less than three cores from the test strip for density and thickness tests. Check the test section for smoothness and finish surface texture. If the test section should prove to be unsatisfactory, make the necessary adjustments to the mix design, plant operation, transportation, laydown, or rolling procedures. Additional test sections, as required, shall be constructed and evaluated for conformance to the specified requirements. When test sections do not conform to specified requirements, remove and replace the bituminous pavement. A marginal quality test section that has been placed in an area of little or no traffic may be left in place. If a second test section also does not meet specified requirements, remove both sections at the Contractor's expense. Full production shall not begin without the Contracting Officer's approval.

1.3.3 Required Data

Job-mix formula shall show the following:

- a. Source and proportions, percent by weight, of each ingredient of the mixture;
- b. Correct gradation, the percentages passing each size sieve listed in the specifications for the mixture to be used, for the aggregate and mineral filler from each separate source and from each different size to be used in the mixture and for the composite mixture;
- c. Amount of material passing the No. 200 sieve determined by dry sieving;
- d. Number of blows of hammer compaction per side of molded specimen;
- e. Temperature viscosity relationship of the asphalt cement;
- f. Stability, flow, percent voids in mineral aggregate, percent air voids, unit weight;
- g. Asphalt absorption by the aggregate;
- h. Effective asphalt content as percent by weight of total mix;
- i. Temperature of the mixture immediately upon completion of mixing;
- j. Asphalt performance grade; and
- k. Curves for all courses.

1.3.4 Charts

Plot and submit, on a grain size chart, the specified aggregate gradation band, the job-mix gradation and the job-mix tolerance band.

1.3.5 Selection of Optimum Asphalt Content

Base selection on percent of total mix and the average of values at the following points on the curves for each mix:

- a. Stability: Peak
- b. Unit Weight: Peak
- c. Percent Air Voids: Median

1.4 DELIVERY, STORAGE, AND HANDLING

Inspect materials delivered to the site for damage and store with a minimum of handling. Store aggregates in such a manner as to prevent segregation, contamination, or intermixing of the different aggregate sizes.

1.5 ENVIRONMENTAL CONDITIONS

Place bituminous mixture only during dry weather and on dry surfaces. Place courses only when the surface temperature of the underlying course is greater than 45 degrees F for course thicknesses greater than one inch and 55 degrees F for course thicknesses one inch or less.

1.6 CONSTRUCTION EQUIPMENT

Calibrated equipment, such as scales, batching equipment, spreaders and similar equipment, shall have been recalibrated by a calibration laboratory approved by the Contracting Officer within 12 months of commencing work.

1.6.1 Mixing Plant

Design, coordinate, and operate the mixing plant to produce a mixture within the job-mix formula tolerances and to meet the requirements of [ASTM D995](#), including additional plant requirements specified herein. The plant shall be a batch type, continuous mix type or drum-dryer mixer type, and shall have sufficient capacity to handle the new bituminous construction. Minimum plant capacity shall be 100 tons per hour. The mixing plant and equipment shall remain accessible at all times for inspecting operation, verifying weights, proportions and character of materials, and checking mixture temperatures. The plant and plant site shall meet the requirements of TEMPORARY ENVIRONMENTAL CONTROLS.

1.6.1.1 Cold Aggregate Feeder

Provide plant with a feeder or feeders capable of delivering the maximum number of aggregate sizes required in their proper proportion. Provide adjustment for total and proportional feed and feeders capable of being locked in any position. When more than one cold elevator is used, feed each elevator as a separate unit and install individual controls integrated with a master control.

1.6.1.2 Dryer

Provide rotary drum-dryer which continuously agitates the mineral aggregate during the heating and drying process. When one dryer does not dry the aggregate to specified moisture requirements, provide additional dryers.

1.6.1.3 Plant Screens and Bins for Batch and Continuous Mix Plants

Use screen to obtain accurate gradation and allow no bin to contain more than 10 percent oversize or undersize. Inspect screens each day prior to commencing work for plugged, worn, or broken screens. Clean plugged screens and replace worn or broken screens with new screens prior to beginning operations. Divide hot aggregate bins into at least three compartments arranged to ensure separate and adequate storage of appropriate fractions of the aggregate.

1.6.1.4 Testing Laboratory

Provide a testing laboratory for control and acceptance testing functions during periods of mix production, sampling and testing, and whenever materials subject to the provisions of these specifications are being supplied or tested. The laboratory shall provide adequate equipment, space, and utilities as required for the performance of the specified tests.

1.6.1.5 Surge and Storage Bins

Use for temporary storage of hot bituminous mixtures will be permitted under the following conditions:

- a. When stored in surge bins for a period of time not to exceed 3 hours.
- b. When stored in insulated and heated storage bins for a period of time not to exceed 12 hours. If it is determined by the Contracting Officer that there is an excessive amount of heat loss, segregation and oxidation of the mixture due to temporary storage, discontinue use of surge bins or storage bins.

1.6.1.6 Drum-Dryer Mixer

Do not use drum-dryer mixer if specified requirements of the bituminous mixture or of the completed bituminous pavement course cannot be met. If drum-dryer mixer is prohibited, use either batch or continuous mix plants meeting the specifications and producing a satisfactory mix.

1.6.2 Paving Equipment

1.6.2.1 Spreading Equipment

Self-propelled electronically controlled type, unless other equipment is authorized by the Contracting Officer. Equip spreading equipment of the self-propelled electronically controlled type with hoppers, tamping or vibrating devices, distributing screws, electronically adjustable screeds, and equalizing devices. Capable of spreading hot bituminous mixtures without tearing, shoving, or gouging and to produce a finished surface of specified grade and smoothness. Operate spreaders, when laying mixture, at variable speeds between 5 and 45 feet per minute. Design spreader with a quick and efficient steering device; a forward and reverse traveling speed; and automatic devices to adjust to grade and confine the edges of the mixture to true lines. The use of a spreader that leaves indented areas or other objectionable irregularities in the fresh laid mix during operations is prohibited.

1.6.2.2 Rolling Equipment

Self-propelled pneumatic-tired rollers supplemented by three-wheel and tandem type steel wheel rollers. The number, type and weight of rollers shall be sufficient to compact the mixture to the required density without detrimentally affecting the compacted material. Rollers shall be suitable for rolling hot-mix bituminous pavements and capable of reversing without backlash. Pneumatic-tired rollers shall be capable of being operated both forward and backward without turning on the mat, and without loosening the surface being rolled. Equip rollers with suitable devices and apparatus to keep the rolling surfaces wet and prevent adherence of bituminous mixture. Vibratory rollers especially designed for bituminous concrete compaction may be used provided rollers do not impair stability of pavement structure and underlying layers. Repair depressions in pavement surfaces resulting from use of vibratory rollers. Rollers shall be self-propelled, single or dual vibrating drums, and steel drive wheels, as applicable; equipped with variable amplitude and separate controls for energy and propulsion.

1.6.2.3 Hand Tampers

Minimum weight of 25 pounds with a tamping face of not more than 50 square inches.

1.6.2.4 Mechanical Hand Tampers

Commercial type, operated by pneumatic pressure or by internal combustion.

PART 2 PRODUCTS

2.1 AGGREGATES

Grade and proportion aggregates and filler so that combined mineral aggregate conforms to specified grading.

2.1.1 Coarse Aggregates

ASTM D692/D692M, except as modified herein. At least 75 percent by weight of aggregate retained on the No. 4 sieve shall have two or more fractured faces. Percentage of wear, Los Angeles test, except for slag, shall not exceed 40 in accordance with ASTM C131. Weight of slag shall not be less than 70 pounds per cubic foot. Soundness test is required in accordance with ASTM C88; after 5 cycles, loss shall not be more than 12 percent when tested with sodium sulfate or 18 percent when tested with magnesium sulfate.

2.1.2 Fine Aggregate

ASTM D1073, except as modified herein. Fine aggregate shall be produced by crushing stone, slag or gravel that meets requirements for wear and soundness specified for coarse aggregate. Where necessary to obtain the gradation of aggregate blend or workability, natural sand may be used. Quantity of natural sand to be added shall be approved by the Contracting Officer and shall not exceed 15 percent of weight of coarse and fine aggregate and material passing the No. 200 sieve.

2.1.3 Mineral Filler

Nonplastic material meeting the requirements of ASTM D242/D242M.

2.1.4 Aggregate Gradation

The combined aggregate gradation shall conform to gradations specified in Table I, when tested in accordance with ASTM C136 and ASTM C117, and shall not vary from the low limit on one sieve to the high limit on the adjacent sieve or vice versa, but grade uniformly from coarse to fine. Use gradation 2 for surface course and for interlayer under PCC pavement and use gradation 1 for all other courses.

	Gradation 1	Gradation 2	Gradation 3
Sieve Size, mm inch	Percent Passing by Mass	Percent Passing by Mass	Percent Passing by Mass
25.0 1	100	---	---

Table I. Aggregate Gradations			
	Gradation 1	Gradation 2	Gradation 3
Sieve Size, mm inch	Percent Passing by Mass	Percent Passing by Mass	Percent Passing by Mass
19.0 3/4	76-96	100	---
12.5 1/2	68-88	76-96	100
9.5 3/8	60-82	69-89	76-96
4.75 No. 4	45-67	53-73	58-78
2.36 No. 8	32-54	38-60	40-60
1.18 No. 16	22-44	26-48	28-48
0.60 No. 30	15-35	18-38	18-38
0.30 No. 50	9-25	11-27	11-27
0.15 No. 100	6-18	6-18	6-18
0.075 No. 200	3-6	3-6	3-6

2.2 ASPHALT CEMENT BINDER

Asphalt cement binder shall conform to **AASHTO M320** Performance Grade (PG) 70-16 for the interlayer under PCC pavement and PG 76-22 for all other pavement courses. Test data indicating grade certification shall be provided by the supplier at the time of delivery of each load to the mix plant. Copies of these certifications shall be submitted to the Contracting Officer/Engineer. The supplier is defined as the last source of any modification to the binder. The Contracting Officer/Engineer may sample and test the binder at the mix plant at any time before or during mix production. Samples for this verification testing shall be obtained by the Contractor in accordance with **ASTM D140/D140M** and in the presence of the Contracting Officer/Engineer. These samples shall be furnished to the Contracting Officer/Engineer for the verification testing, which shall be at no cost to the Contractor. Samples of the asphalt cement specified shall be submitted for approval not less than 14 days before start of the test section.

2.3 MIX DESIGN

The Contractor shall develop the mix design. The asphalt mix shall be composed of a mixture of well-graded aggregate, mineral filler if required, and asphalt material. The aggregate fractions shall be sized, handled in separate size groups, and combined in such proportions that the resulting mixture meets the grading requirements of the job mix formula (JMF). No hot-mix asphalt for payment shall be produced until a JMF has been approved.

The hot-mix asphalt shall be designed using procedures contained in [AI MS-02](#) and the criteria shown in Table II for a 75 Blow Mix. If the Tensile Strength Ratio (TSR) of the composite mixture, as determined by [ASTM D4867/D4867M](#) is less than 75, the aggregates shall be rejected or the asphalt mixture treated with an approved anti-stripping agent. The amount of anti-stripping agent added shall be sufficient to produce a TSR of not less than 75. If an antistrip agent is required, it shall be provided by the Contractor at no additional cost.

2.3.1 JMF Requirements

The job mix formula shall be submitted in writing by the Contractor for approval at least 14 days prior to the start of the test section and shall include as a minimum:

- a. Percent passing each sieve size.
- b. Percent of asphalt cement.
- c. Percent of each aggregate and mineral filler to be used.
- d. Asphalt viscosity grade, penetration grade, or performance grade.
- e. Number of blows of hammer per side of molded specimen.
- f. Laboratory mixing temperature.
- g. Lab compaction temperature.
- h. Temperature-viscosity relationship of the asphalt cement.
- i. Plot of the combined gradation on the 0.45 power gradation chart, stating the nominal maximum size.
- j. Graphical plots of stability, flow, air voids, voids in the mineral aggregate, and unit weight versus asphalt content as shown in [AI MS-02](#).
- k. Specific gravity and absorption of each aggregate.
- l. Percent natural sand.
- m. Percent particles with two or more fractured faces (in coarse aggregate).
- n. Fine aggregate angularity.
- o. Percent flat or elongated particles (in coarse aggregate).
- p. Tensile Strength Ratio.
- q. Antistrip agent (if required) and amount.
- r. List of all modifiers and amount.

- s. Percentage and properties (asphalt content, binder properties, and aggregate properties) of RAP in accordance with paragraph RECYCLED HOT-MIX ASPHALT, if RAP is used.

Table II. Marshall Design Criteria		
Test Property	75 Blow Mix	50 Blow Mix
Stability, newtons pounds minimum	*9560 *2150	*6000 *1350
Flow, 0.25 mm 0.01 inch	8-16	8-18
Air voids, percent	3-5	3-5
Percent Voids in mineral aggregate (minimum)	See Table IV See Table III	See Table IV See Table III
TSR, minimum percent	75	75
* This is a minimum requirement. The average during construction shall be significantly higher than this number to ensure compliance with the specifications.		

Table III. Minimum Percent Voids in Mineral Aggregate (VMA)**	
Aggregate (See Table 2)	Minimum VMA, percent
Gradation 1	13.0
Gradation 2	14.0
Gradation 3	15.0
** Calculate VMA in accordance with AI MS-02, based on ASTM D2726 bulk specific gravity for the aggregate.	

2.3.2 Adjustments to JMF

The JMF for each mixture shall be in effect until a new formula is approved in writing by the Contracting Officer. Should a change in sources of any materials be made, a new mix design shall be performed and a new JMF approved before the new material is used. The Contractor will be allowed to adjust the JMF within the limits specified below to optimize mix volumetric properties. Adjustments to the JMF shall be limited to plus or minus 3

percent on the 1/2 inch, No. 4, and No. 8 sieves; plus or minus 1.0 percent on the No. 200 sieve; and plus or minus 0.40 percent binder content. If adjustments are needed that exceed these limits, a new mix design shall be developed. Tolerances given above may permit the aggregate grading to be outside the limits shown in Table I; this is acceptable.

2.4 RECYCLED HOT MIX ASPHALT

Recycled HMA shall consist of reclaimed asphalt pavement (RAP), coarse aggregate, fine aggregate, mineral filler, and asphalt cement. The RAP shall be of a consistent gradation and asphalt content and properties. When RAP is fed into the plant, the maximum RAP chunk size shall not exceed 2 inches. The recycled HMA mix shall be designed using procedures contained in [AI MS-02](#). The job mix shall meet the requirements of paragraph MIX DESIGN. RAP should only be used for shoulder surface course mixes and for any intermediate courses. The amount of RAP shall be limited to 20 percent.

2.4.1 RAP Aggregates and Asphalt Cement

The blend of aggregates used in the recycled mix shall meet the requirements of paragraph AGGREGATES. The percentage of asphalt in the RAP shall be established for the mixture design according to [ASTM D2172/D2172M](#) using the appropriate dust correction procedure.

2.4.2 RAP Mix

The blend of new asphalt cement and the RAP asphalt binder shall meet the dynamic shear rheometer at high temperature and bending beam at low temperature requirements in paragraph ASPHALT CEMENT BINDER. The virgin asphalt cement shall not be more than two standard asphalt material grades different than that specified in paragraph ASPHALT CEMENT BINDER.

2.5 SOURCE QUALITY CONTROL

Employ a commercial laboratory approved by the Contracting Officer to perform testing. The laboratory used to develop the JMF and the laboratory used to perform all sampling and testing shall meet the requirements of [ASTM D3666](#). A certification signed by the manager of the laboratory stating that it meets these requirements or clearly listing all deficiencies shall be submitted to the Contracting Officer prior to the start of construction. The certification shall contain as a minimum:

- a. Qualifications of personnel; laboratory manager, supervising technician, and testing technicians.
- b. A listing of equipment to be used in developing the job mix.
- c. A copy of the laboratory's quality control system.
- d. Evidence of participation in the AASHTO Materials Reference Laboratory (AMRL) program.

2.5.1 Tests

Perform testing in accordance with the following:

- a. Specific Gravity Test of Asphalt: ASTM D70
 - b. Coarse Aggregate Tests:
 - (1) Bulk Specific Gravity: ASTM C127
 - (2) Abrasion Loss: ASTM C131
 - (3) Soundness Loss: ASTM C88
 - c. Weight of Slag Test: ASTM C29/C29M
 - d. Percent of Crushed Pieces in Gravel: Count by observation and weight
 - e. Fine Aggregate Tests:
 - (1) Bulk Specific Gravity: ASTM C128
 - (2) Soundness Loss: ASTM C88
 - f. Specific Gravity of Mineral Filler: ASTM C188 or ASTM D854
 - g. Bituminous Mixture Tests:
 - (1) Bulk Specific Gravity: ASTM D1188 or ASTM D2726
 - (2) Theoretical Maximum Specific Gravity: ASTM D2041/D2041M
- (3) Tensile Strength Ratio: ASTM D4867/D4867M

2.5.2 Specimens

ASTM D1559 for the making and testing of bituminous specimens with the following exceptions:

- a. Compaction: Apply 75 blows of the hammer to each flat face of the specimens.
- b. Curves: Plot curves for all the courses to show the effect on the test properties of at least four different percentages of asphalt on the unit weight, stability, flow, air voids, and voids in mineral aggregate; each point on the curves shall represent the average of at least four specimens.
- c. Cooling of Specimen: After compaction is completed, allow the specimen to cool in air to the same temperature approximately as that of the water, 77 degrees F, to be used in the specific gravity determination.

PART 3 EXECUTION

3.1 PREPARATION

3.1.1 Preparation of Asphalt Binder Material

The asphalt cement material shall be heated avoiding local overheating and providing a continuous supply of the asphalt material to the mixer at a uniform temperature. The temperature of unmodified asphalts shall be no more than 160 degrees C 325 degrees F when added to the aggregates. Modified asphalts shall be no more than 174 degrees C 350 degrees F when added to the aggregate.

3.1.2 Preparation of Mineral Aggregates

Store different size aggregate in separate stockpiles so that different sizes will not mix. Stockpile different-sized aggregates in uniform layers by use of a clam shell or other approved method so as to prevent segregation. The use of bulldozers in stockpiling of aggregate or in feeding aggregate to the dryer is prohibited. Feed aggregates into the cold elevator by means of separate mechanical feeders so that aggregates are graded within requirements of the job-mix formulas and tolerances specified. Regulate rates of feed of the aggregates so that moisture content and temperature of aggregates are within tolerances specified herein. Dry and heat aggregates to the temperature necessary to achieve the mixture determined by the job mix formula within the job tolerance specified. Provide adequate dry storage for mineral filler.

3.1.3 Preparation of Bituminous Mixture

Accurately weigh aggregates and dry mineral filler and convey into the mixer in the proportionate amounts of each aggregate size required to meet the job-mix formula. In batch mixing, after aggregates and mineral filler have been introduced into the mixer and mixed for not less than 15 seconds, add asphalt by spraying or other approved methods and continue mixing for a period of not less than 20 seconds, or as long as required to obtain a homogeneous mixture. The time required to add or spray asphalt into the mixer will not be added to the total wet-mixing time provided the operation does not exceed 10 seconds and a homogeneous mixture is obtained. When a continuous mixer is employed, mixing time shall be more than 35 seconds to obtain a homogeneous mixture. Additional mixing time, when required, will be as directed by the Contracting Officer. When mixture is prepared in a twin-pugmill mixer, volume of the aggregates, mineral filler, and asphalt shall not extend above tips of mixer blades when blades are in a vertical position. Overheated and carbonized mixtures, or mixtures that foam or show indication of free moisture, will be rejected. When free moisture is detected in batch or continuous mix plant produced mixtures, waste the mix and withdraw the aggregates in the hot bins immediately and return to the respective stockpiles; for drum-dryer mixer plants, waste the mix, including that in surge or storage bins that is affected by free moisture.

3.1.4 Transportation of Bituminous Mixtures

Transport bituminous material from the mixing plant to the paving site in trucks having tight, clean, smooth beds that have been coated with a minimum amount of concentrated solution of hydrated lime and water or other approved coating to prevent adhesion of the mixture to the truck. Petroleum products will not be permitted for coating truck. If air temperature is less than 60 degrees F or if haul time is greater than 30 minutes, cover each load with canvas or other approved material of ample size to protect the mixture from the loss of heat. Make deliveries so that the spreading and rolling of all the mixture prepared for one day's run can be completed during daylight,

unless adequate approved artificial lighting is provided. Deliver mixture to area to be paved so that the temperature at the time of dumping into the spreader is within the range specified herein. Reject loads that are below minimum temperature, that have crusts of cold unworkable material, or that have been wet excessively by rain. Hauling over freshly laid material is prohibited.

3.1.5 Surface Preparation of Underlying Course

Prior to the laying of the asphalt concrete, clean underlying course of foreign or objectionable matter with power blowers or power brooms, supplemented by hand brooms and other cleaning methods where necessary. During the placement of multiple lifts of bituminous concrete, each succeeding lift of bituminous concrete shall have its underlying lift cleaned and provided with a bituminous tack coat if the time period between the placement of each lift of bituminous concrete exceeds 14 days, or the underlying bituminous concrete has become dirty.

3.1.6 Spraying of Contact Surfaces

Spray contact surfaces of previously constructed pavement with a thin coat of bituminous materials to act as an anti-stripping agent, conforming to Section 32 12 10 BITUMINOUS TACK AND PRIME COATS. Paint contact surfaces of structures with a thin coat of emulsion or other approved bituminous material prior to placing the bituminous mixture. Tack coat the previously placed primed coats on base courses when surface has become excessively dirty and cannot be cleaned or when primed surface has cured to the extent that it has lost all bonding effect.

3.2 PLACEMENT

3.2.1 Machine Spreading

TABLE IV								
MINIMUM SPREADING TEMPERATURES								
Base Temp. in Degrees C (*)	Wearing, Binder, or Leveling Course Thickness, (mm)							
	13	19	25	38	50	75	88	100
-7 - 0 (**)	---	---	---	---	---	---	135 (**)	127 (**)
0 - 4 (**)	---	---	---	---	146	138	132	127
4 - 10	---	---	---	149	141	135	129	124
10 - 16	---	---	149	146	138	132	127	124
16 - 21	---	149	143	141	135	129	124	121
21 - 27	149	143	141	138	132	129	124	121
27 - 32	143	138	135	132	129	127	121	121

32	138	135	132	129	129	124	121	121
* Note: Base on which mix is placed.								
** Note: Increase by 8 degrees when placement is on base or subbase containing frozen moisture. Normally, hot mix paving is not allowed on base temperatures below 7 degrees C.								

The range of temperatures of the mixtures at the time of spreading shall be between 250 degrees F and 300 degrees F. Bituminous concrete having temperatures less than minimum spreading temperature when dumped into the spreader will be rejected. Adjust spreader and regulate speed so that the surface of the course is smooth and continuous without tears and pulling, and of such depth that, when compacted, the surface conforms with the cross section, grade, and contour indicated. Unless otherwise directed, begin the placing along the centerline of areas to be paved on a crowned section or on the high side of areas with a one-way slope. Place mixture in consecutive adjacent strips having a minimum width of 10 feet, except where the edge lanes require strips less than 10 feet to complete the area. Construct longitudinal joints and edges to true line markings. Establish lines parallel to the centerline of the area to be paved, and place string lines coinciding with the established lines for the spreading machine to follow. Provide the number and location of the lines needed to accomplish proper grade control. When specified grade and smoothness requirements can be met for initial lane construction by use of an approved long ski-type device of not less than 30 feet in length and for subsequent lane construction by use of a short ski or shoe, in-place string lines for grade control may be omitted. Place mixture as nearly continuous as possible and adjust the speed of placing as needed to permit proper rolling.

3.2.2 Shoveling, Raking, and Tamping After Machine-Spreading

Shovelers and rakers shall follow the spreading machine. Add or remove hot mixture and rake the mixture as required to obtain a course that when completed will conform to requirements specified herein. Broadcasting or fanning of mixture over areas being compacted is prohibited. When segregation occurs in the mixture during placing, suspend spreading operation until the cause is determined and corrected. Correct irregularities in alignment left by the spreader by trimming directly behind the machine. Immediately after trimming, compact edges of the course by tamping laterally with a metal lute or by other approved methods. Distortion of the course during tamping is prohibited.

3.2.3 Hand-Spreading in Lieu of Machine-Spreading

In areas where the use of machine spreading is impractical, spread mixture by hand. The range of temperatures of the mixtures when dumped onto the area to be paved shall be between 250 and 300 degrees F. Mixtures having temperatures less than minimum spreading temperature when dumped onto the area to be paved will be rejected. Spread hot mixture with rakes in a uniformly loose layer of a thickness that, when compacted, will conform to the required grade, thickness, and smoothness. During hand spreading, place each shovelful of mixture by turning the shovel over in a manner that will prevent segregation. Do not place mixture by throwing or broadcasting from

a shovel. Do not dump loads any faster than can be properly handled by the shovelers and rakers.

3.3 COMPACTION OF MIXTURE

Compact mixture by rolling. Begin rolling as soon as placement of mixture will bear rollers. Delays in rolling freshly spread mixture shall not be permitted. Start rolling longitudinally at the extreme sides of the lanes and proceed toward center of pavement, or toward high side of pavement with a one-way slope. Operate rollers so that each trip overlaps the previous adjacent strip by at least one foot. Alternate trips of the roller shall be of slightly different lengths. Conduct tests for conformity with the specified crown, grade and smoothness immediately after initial rolling. Before continuing rolling, correct variations by removing or adding materials as necessary. If required, subject course to diagonal rolling with the steel wheeled roller crossing the lines of the previous rolling while mixture is hot and in a compactible condition. Speed of the rollers shall be slow enough to avoid displacement of hot mixture. Correct displacement of mixture immediately by use of rakes and fresh mixture, or remove and replace mixture as directed. Continue rolling until roller marks are eliminated and course has a density of at least 96 percent but not more than 100 percent of that attained in a laboratory specimen of the same mixture prepared in accordance with [ASTM D1559](#). During rolling, moisten wheels of the rollers enough to prevent adhesion of mixture to wheels, but excessive water is prohibited. Operation of rollers shall be by competent and experienced operators. Provide sufficient rollers for each spreading machine in operation on the job and to handle plant output. In places not accessible to the rollers, compact mixture thoroughly with hot hand tampers. Skin patching of an area after compaction is prohibited. Remove mixture that becomes mixed with foreign materials or is defective and replace with fresh mixture compacted to the density specified herein. Roller shall pass over unprotected edge of the course only when laying of course is to be discontinued for such length of time as to permit mixture to become cold.

3.4 JOINTS

Joints shall present the same texture and smoothness as other portions of the course, except permissible density at the joint may be up to 2 percent less than the specified course density. Carefully make joints between old and new pavement or within new pavements in a manner to ensure a thorough and continuous bond between old and new sections of the course. Vertical contact surfaces of previously constructed sections that are coated with dust, sand, or other objectionable material shall be painted with a thin uniform coat of emulsion or other approved bituminous material just before placing fresh mixture.

3.4.1 Transverse

Roller shall pass over unprotected end of freshly laid mixture only when laying of course is to be discontinued. Except when an approved bulkhead is used, cut back the edge of previously laid course to expose an even, vertical surface for the full thickness of the course. When required, rake fresh mixture against joints, thoroughly tamp with hot tampers, smooth with hot smoothers, and roll. Transverse joints in adjacent lanes shall be offset a minimum of 2 feet.

3.4.2 Longitudinal Joints

Space 6 inches apart. Do not allow joints to coincide with joints of existing pavement or previously placed courses. Spreader screed shall overlap previously placed lanes 2 to 3 inches and be of such height to permit compaction to produce a smooth dense joint. With a lute, push back mixture placed on the surface of previous lanes to the joint edge. Do not scatter mix. Remove and waste excess material. When edges of longitudinal joints are irregular, honeycombed, or poorly compacted, cut back unsatisfactory sections of joint and expose an even vertical surface for the full thickness of the course. When required, rake fresh mixture against joint, thoroughly tamp with hot tampers, smooth with hot smoothers, and roll while hot.

3.5 FIELD QUALITY CONTROL

3.5.1 Sampling

3.5.1.1 Aggregates At Source

Prior to production and delivery of aggregates, take at least one initial sample in accordance with [ASTM D75/D75M](#) from each stockpile. Collect each sample by taking three incremental samples at random from each stockpile material to make a composite sample of not less than 50 pounds. Repeat the sampling when the material source changes or when testing reveals unacceptable deficiencies or variations from the specified grading of materials.

3.5.1.2 Cold Feed Aggregate Sampling

Take two samples daily from the belt conveying materials from the cold feed. Collect materials in three increments at random to make a representative composite sample of not less than 50 pounds. Take samples in accordance with [ASTM D75/D75M](#).

3.5.1.3 Coarse and Fine Aggregates

Take a 50 pound sample from the cold feed at least once daily for sieve analyses and specific gravity tests. Additional samples may be required to perform more frequent tests when analyses show deficiencies, or unacceptable variances or deviations. The method of sampling is as specified herein for aggregates.

3.5.1.4 Mineral Filler

[ASTM D546](#). Take samples large enough to provide ample material for testing.

3.5.1.5 Pavement and Mixture

Take plant samples for the determination of mix properties and field samples for thickness and density of the completed pavements. Furnish tools, labor and material for samples, and satisfactory replacement of pavement. Take samples and tests at not less than frequency specified hereinafter and at the beginning of plant operations; for each day's work as a minimum; each change in the mix or equipment; and as often as directed. Accomplish sampling in accordance with [ASTM D979/D979M](#).

3.5.2 Testing

3.5.2.1 Aggregates Tests

- a. Gradation: **ASTM C136**.
- b. Mineral Filler Content: **ASTM D546**.
- c. Abrasion: **ASTM C131** for wear (Los Angeles test). Perform one test initially prior to incorporation into the work and each time the source is changed.

3.5.2.2 Bituminous Mix Tests

Test one sample for each 500 tons, or fraction thereof, of the uncompacted mix for extraction in accordance with **ASTM D2172/D2172M**; perform a sieve analysis on each extraction sample in accordance with **ASTM C136** and **ASTM C117**. Test one sample for each 500 tons or fraction thereof for stability and flow in accordance with **ASTM D1559**. Test one sample for each material blend for Tensile Strength Ratio in accordance with **ASTM D4867/D4867M**.

3.5.2.3 Pavement Courses

Perform the following tests:

- a. Density: For each 1000 tons of bituminous mixture placed, determine the representative laboratory density by averaging the density of four laboratory specimens prepared in accordance with **ASTM D1559**. Samples for laboratory specimens shall be taken from trucks delivering mixture to the site; record in a manner approved by the Contracting Officer the project areas represented by the laboratory densities. From each representative area recorded, determine field density of pavement by averaging densities of 4 inch diameter cores obtained from each course; take one core for each 2000 square yards or fraction thereof of course placed. Determine density of laboratory prepared specimens and cored samples in accordance with **ASTM D1188** or **ASTM D2726**, as applicable. Separate pavement layers by sawing or other approved means. Maximum allowable deficiency at any point, excluding joints, shall not be more than 2 percent less than the specified density for any course. The average density of each course, excluding joints, shall be not less than the specified density. Joint densities shall not be more than 2 percent less than specified course densities and are not included when calculating average course densities. When the deficiency exceeds the specified tolerances, correct each such representative area or areas by removing the deficient pavement and replacing with new pavement.
- b. Thickness: Determine thickness of each course from samples taken for the field density test. The maximum allowable deficiency at any point shall not be more than 1/4 inch less than the thickness for the indicated course. Average thickness of course or of combined courses shall be not less than the indicated thickness. Where a deficiency exceeds the specified tolerances, correct each such representative area or areas by removing the deficient pavement and replacing with new pavement.

- c. Smoothness: Straightedge test the compacted surface of wearing course as work progresses. Apply straightedge parallel with and at right angles to the centerline after final rolling. Unevenness of course shall not vary more than 1/4 inch in 10 feet; variations in the wearing course shall not vary more than 1/8 inch in 10 feet. Correct each portion of the pavement showing irregularities greater than that specified.
- d. Finished Grades: Finish grades of each course placed shall not vary from the finish elevations, profiles, and cross sections indicated by more than 1/2 inch. Finished surface of the final wearing course will be tested by running lines of levels at intervals of 25 feet longitudinally and transversely to determine elevations of completed pavement. Within 45 days after completion of final placement, perform a level survey at the specified grid spacing and plot the results on a plan drawn to the same scale as the drawings. Elevations not in conformance with the specified tolerance shall be noted on the plan in an approved manner. The survey shall be performed by a registered land surveyor. Correct deficient paved areas by removing existing work and replacing with new materials that meet the specifications. Skin patching for correcting low areas is prohibited.
- e. Finish Surface Texture of Wearing Course: Visually check final surface texture for uniformity and reasonable compactness and tightness. Final wearing course with a surface texture having undesirable irregularities such as segregation, cavities, pulls or tears, checking, excessive exposure of coarse aggregates, sand streaks, indentations, ripples, or lack of uniformity shall be removed and replaced with new materials.

3.6 PROTECTION

Do not permit vehicular traffic, including heavy equipment, on pavement until surface temperature has cooled to at least 120 degrees F. Measure surface temperature by approved surface thermometers or other satisfactory methods. The Contractor shall comply with all applicable standards & regulations to reduce contaminant concentration levels as As Low As is Reasonably Achievable (ALARA) and all requirements of EM 385-1-1 Section 6: Hazardous or Toxic Agents and Environments.

-- End of Section --

SECTION 32 13 13.06

PORTLAND CEMENT CONCRETE PAVEMENT FOR ROADS AND SITE FACILITIES
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PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE INTERNATIONAL (ACI)

- ACI 211.1 (1991; R 2009) Standard Practice for Selecting Proportions for Normal, Heavyweight and Mass Concrete
- ACI 301 (2010) Specifications for Structural Concrete
- ACI 305R (2010) Guide to Hot Weather Concreting
- ACI 306.1 (1990; R 2002) Standard Specification for Cold Weather Concreting

AMERICAN WATER WORKS ASSOCIATION (AWWA)

- AWWA C215 (2010) Extruded Polyolefin Coatings for the Exterior of Steel Water Pipelines

ASTM INTERNATIONAL (ASTM)

- ASTM A184/A184M (2006e1) Standard Specification for Fabricated Deformed Steel Bar Mats for Concrete Reinforcement
- ASTM A615/A615M (2009b) Standard Specification for Deformed and Plain Carbon-Steel Bars for Concrete Reinforcement
- ASTM A775/A775M (2007b) Standard Specification for Epoxy-Coated Steel Reinforcing Bars
- ASTM A966/A966M (2008) Standard Test Method for Magnetic Particle Examination of Steel Forgings Using Alternating Current
- ASTM C1077 (2011c) Standard Practice for Laboratories Testing Concrete and Concrete Aggregates for Use in Construction and Criteria for Laboratory Evaluation

ASTM C1157/C1157M	(2011) Standard Specification for Hydraulic Cement
ASTM C1260	(2007) Standard Test Method for Potential Alkali Reactivity of Aggregates (Mortar-Bar Method)
ASTM C143/C143M	(2010a) Standard Test Method for Slump of Hydraulic-Cement Concrete
ASTM C150/C150M	(2011) Standard Specification for Portland Cement
ASTM C1567	(2011) Standard Test Method for Potential Alkali-Silica Reactivity of Combinations of Cementitious Materials and Aggregate (Accelerated Mortar-Bar Method)
ASTM C1602/C1602M	(2006) Standard Specification for Mixing Water Used in Production of Hydraulic Cement Concrete
ASTM C171	(2007) Standard Specification for Sheet Materials for Curing Concrete
ASTM C172/C172M	(2010) Standard Practice for Sampling Freshly Mixed Concrete
ASTM C231/C231M	(2010) Standard Test Method for Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C260/C260M	(2010a) Standard Specification for Air-Entraining Admixtures for Concrete
ASTM C309	(2011) Standard Specification for Liquid Membrane-Forming Compounds for Curing Concrete
ASTM C31/C31M	(2010) Standard Practice for Making and Curing Concrete Test Specimens in the Field
ASTM C33/C33M	(2011a) Standard Specification for Concrete Aggregates
ASTM C494/C494M	(2011) Standard Specification for Chemical Admixtures for Concrete
ASTM C595/C595M	(2011) Standard Specification for Blended Hydraulic Cements
ASTM C618	(2008a) Standard Specification for Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use in Concrete

ASTM C78/C78M	(2010) Standard Test Method for Flexural Strength of Concrete (Using Simple Beam with Third-Point Loading)
ASTM C94/C94M	(2011b) Standard Specification for Ready-Mixed Concrete
ASTM C989/C989M	(2011) Standard Specification for Slag Cement for Use in Concrete and Mortars U.S. ARMY CORPS OF ENGINEERS (USACE)
EM 385-1-1	(2008; Errata 1-2010; Changes 1-3 2010; Changes 4-6 2011) Safety and Health Requirements Manual

1.2 RELATED SECTIONS

Portland cement concrete pavement shall use Section 32 11 24 GRADED CRUSHED AGGREGATE BASE COURSE FOR FLEXIBLE AND RIGID PAVEMENT, in addition to this section.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. The following shall be submitted in accordance with SUBMITTAL PROCEDURES:

SD-03 Product Data; G

Curing materials

Admixtures

Dowel

Reinforcement

Submit a complete list of materials including type, brand and applicable reference specifications.

Cementitious Materials;

Aggregate;

SD-04 Samples

Field-Constructed Mockup

SD-05 Design Data; G

Concrete mix design

Thirty days minimum prior to concrete placement, submit a mix design, with applicable tests, for each strength and type of concrete for approval. Submit a complete list of materials including type; brand; source and amount of cement, fly ash, slag, and admixtures; and applicable reference specifications. Provide mix proportion data using at least three different water-cement ratios for each type of mixture, which will produce a range of strength encompassing those required for each class and type of concrete required. Submittal shall clearly indicate where each mix design will be used when more than one mix design is submitted. Obtain acknowledgement of approvals prior to concrete placement. Submit a new mix design for each material source change.

SD-06 Test Reports; G

Aggregate tests

Concrete slump tests

Air content tests

Flexural strength tests

Cementitious materials

SD-07 Certificates; G

Ready-mixed concrete plant

Batch tickets

Cementitious materials

SD-11 Closeout Submittals; G

1.4 DELIVERY, STORAGE, AND HANDLING

ASTM C94/C94M.

1.5 QUALITY ASSURANCE

1.5.1 Ready-mixed Concrete Plant Certification

Unless otherwise approved by the Contracting Officer, ready mixed concrete shall be produced and provided by a National Ready-Mix Concrete Association (NRMCA) certified plant. If a volumetric mobile mixer is used to produce the concrete, rather than ready-mixed concrete, the mixer(s) must conform to the standards of the Volumetric Mixer Manufacturers Bureau (VMMB). Verification shall be made by a current VMMB conformance plate affixed to the volumetric mixer equipment.

1.5.2 Contractor Qualifications

Unless waived by the Contracting Officer, the Contractor shall meet one of the following criteria:

- a. Contractor shall have at least one National Ready Mixed Concrete Association (NMRCA) certified concrete craftsman on site, overseeing each placement crew during all concrete placement.
- b. Contractor shall have no less than three NRMCA certified concrete installers, who shall be on site working as members of each placement crew during all concrete placement.

1.5.3 Required Information

Submit copies of laboratory test reports showing that the mix has been successfully tested to produce concrete with the properties specified and that mix will be suitable for the job conditions. The laboratory test reports shall include mill test and all other test for cementitious materials, aggregates, and admixtures. Provide maximum nominal aggregate size, combined aggregate gradation analysis, percentage retained and passing sieve, and a graph of percentage retained verses sieve size. Test reports shall be submitted along with the concrete mix design. Sampling and testing of materials, concrete mix design, sampling and testing in the field shall be performed by a commercial testing laboratory which conforms to **ASTM C1077**. The laboratory shall be approved in writing by the Government.

1.5.4 Batch Tickets

ASTM C94/C94M. Submit mandatory batch ticket information for each load of ready-mixed concrete.

1.5.5 Field-Constructed Mockup

Install a minimum 400 square feet to demonstrate typical joints, surface finish, texture, color, thickness, and standard of workmanship. Test panels shall be placed using the mixture proportions, materials, and equipment as proposed for the project. Test mock up panels in accordance with requirements in FIELD QUALITY CONTROL.

When a test panel does not meet one or more of the requirements, the test panel shall be rejected, removed, and replaced at the Contractor's expense. If the test panels are acceptable, they may be incorporated into the project with the approval of the Contracting Officer.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Cementitious Materials

Cementitious materials in concrete mix shall be 20 to 50 percent non-portland cement pozzolanic materials by weight. Provide test data demonstrating compatibility and performance of concrete satisfactory to Contracting Officer.

2.1.1.1 Cement

ASTM C150/C150M, Type II.

2.1.1.2 Fly Ash and Pozzolan

ASTM C618, Type F, or N. Fly ash certificates shall include test results in accordance with ASTM C618.

2.1.1.2 Slag

ASTM C989/C989M, Slag Cement (formerly Ground Granulated Blast Furnace Slag) Grade 100 or 120. Certificates shall include test results in accordance with ASTM C989/C989M.

2.1.2 Water

Water shall conform to ASTM C1602/C1602M. Hot water shall not be used unless approved by the Contracting Officer.

2.1.2 Aggregate

Coarse aggregate shall consist of crushed or uncrushed gravel, crushed stone, or a combination thereof. Aggregates, as delivered to the mixers, shall consist of clean, hard, uncoated particles. Coarse aggregate shall be washed. Washing shall be sufficient to remove dust and other coatings. Fine aggregate shall consist of natural sand, manufactured sand, or a combination of the two, and shall be composed of clean, hard, durable particles. Both coarse and fine aggregates shall meet the requirements of ASTM C33/C33M.

2.1.2.1 Alkali Reactivity Test

Aggregates to be used in all concrete in projects over 50,000 SF in size shall be evaluated and tested by the Contractor for alkali-aggregate reactivity in accordance with ASTM C1260. The types of aggregates shall be evaluated in a combination which matches the contractors' proposed mix design (including Class F fly ash or GGBF slag), utilizing ASTM C1567. Test results of the combination shall have a measured expansion of less than 0.08 percent at 28 days. Should the test data indicate an expansion of greater than 0.08%, the aggregate(s) shall be rejected and the contractor shall submit new aggregate sources for retesting or may submit additional test results incorporating Lithium Nitrate for consideration.

ASTM C1260 shall be modified as follows to include one of the following options:

- a. Utilize the contractor's proposed low alkali Portland cement and Class F fly ash in combination for the test proportioning. The laboratory shall use the contractor's proposed percentage of cement and fly ash.
- b. Utilize the contractor's proposed low alkali Portland cement and ground granulated blast furnace (GGBF) slag in combination for the test proportioning. The laboratory shall use the contractor's proposed percentage of cement and GGBF.

- c. Utilize the contractor's proposed low alkali Portland cement and Class F fly ash and ground granulated blast furnace (GGBF) slag in combination for the test proportioning. The laboratory shall use the contractor's proposed percentage of cement, fly ash and GGBF.

2.1.2.2 Fine Aggregates

ASTM C33/C33M.

2.1.2.3 Coarse Aggregates

ASTM C33/C33M.

2.1.3 Admixtures

ASTM C494/C494M: Type A, water reducing; Type B, retarding; Type C, accelerating; Type D, water-reducing and retarding; and Type E, water-reducing and accelerating admixture. Do not use calcium chloride admixtures. Where not shown or specified, the use of admixtures is subject to written approval of the Contracting Officer.

ASTM C260/C260M: Air-entraining.

2.1.4 Reinforcement

2.1.4.1 Dowel Bars

Bars shall conform to ASTM A615/A615M, Grade 60 for plain billet-steel bars of the size and length indicated. Remove all burrs and projections from the bars.

2.1.4.2 Coated Dowel Bars

Bars shall conform to ASTM A615/A615M, Grade 60 for plain billet-steel bars of the size and length indicated. Remove all burrs or projections from the dowel bars. Coating system shall conform to AWWA C215, Type 2. Coat the bars with a double coat system or an epoxy coating system for resistance to penetration of oil and salt solutions. The systems shall be in accordance with manufacturer's recommendation for coatings which are not bondable to concrete. Bond the coating to the dowel bar to resist laps or folds during movement of the joint. Coating thickness shall be 7 mils minimum and 20 mils maximum.

2.1.4.3 Tie Bars

Bars shall be billet or axle steel deformed bars and conform to ASTM A615/A615M or ASTM A966/A966M Grade 60. Epoxy coated in accordance with ASTM A775/A775M.

2.1.5 Curing Materials

2.1.5.1 White-Burlap-Polyethylene Sheet

ASTM C171, 0.004 inch thick white opaque polyethylene bonded to 10 oz/linear yard (40 inch) wide burlap.

2.1.5.2 Liquid Membrane-Forming Compound

ASTM C309, white pigmented, Type 2, Class B, free of paraffin or petroleum.

2.1.6 Joint Fillers and Sealants

Provide as specified in Section 32 01 19 FIELD MOLDED SEALANTS FOR SEALING JOINTS IN RIGID PAVEMENTS. New joints shall match existing alignment.

2.2 CONCRETE PAVEMENT

2.2.1 Joint Layout Drawings

If jointing requirements on the project drawings are not compatible with the contractor's placement sequence, the contractor shall submit a joint layout plan shop drawing to the Contracting Officer for approval. No work shall be allowed to start until the joint layout plan is approved. The joint layout plan shall indicate and describe in the detail the proposed jointing plan for contraction joints, expansion joints, and construction joints, in accordance with the following:

- a. Indicate locations of contraction joints, construction joints, and expansion joints. Spacing between contraction joints shall not exceed 15 feet unless noted otherwise or approved by the Contracting Officer.
- b. The larger dimension of a panel shall not be greater than 125% of the smaller dimension.
- c. The minimum angle between two intersecting joints shall be 80 degrees, unless noted otherwise or approved by the Contracting Officer.
- d. Joints shall intersect pavement-free edges at a 90 degree angle the pavement edge and shall extend straight for a minimum of 1.5 feet from the pavement edge, where possible.
 - e. Align joints of adjacent panels.
 - f. Align joints in attached curbs with joints in pavement when possible.
 - g. Ensure joint depth, widths, and dimensions are specified.
 - h. Minimum contraction joint depth shall be 1/4 of the pavement thickness. The minimum joint width shall be 1/8 inch.
 - i. Use expansion joints only where pavement abuts buildings, foundations, manholes, and other fixed objects.

2.3 CONTRACTOR-FURNISHED MIX DESIGN

Contractor-furnished mix design concrete shall be designed in accordance with ACI 211.1 except as modified herein, and the mix design shall be as specified herein under paragraph entitled "Submittals." The concrete shall have a minimum flexural strength of 650 pounds per square inch at 28 days. The concrete may be air entrained. If air entrainment is used the air

content shall be 5.0 plus or minus 1.5 percent. Maximum size aggregate for slip forming shall be 1.5 inches. The minimum cementitious factor is 564 lbs per cubic yard and slump shall be 1 to 3 inches (or less when slip form is used).

If the cementitious material is not sufficient to produce concrete of the flexural strength required it shall be increased as necessary, without additional compensation under the contract. The cementitious factor shall be calculated using cement, Class F fly ash, and or GGBF slag. The mix shall use a cement replacement (by weight) of 25 percent Class F fly ash, or 40 percent GGBF slag, or a combination of the two. In the combination, each 5 percent of Class F fly ash shall be replaced by 8 percent GGBF slag.

PART 3 EXECUTION

3.1 FORMS

3.1.1 Construction

Construct forms to be removable without damaging the concrete.

3.1.2 Coating

Before placing the concrete, coat the contact surfaces of forms except existing pavement sections where bonding is required, with a non-staining mineral oil, non-staining form coating compound, or two coats of nitro-cellulose lacquer. When using existing pavement as a form, clean existing concrete and then coat with asphalt emulsion bondbreaker before concrete is placed.

3.1.3 Grade and Alignment

Check and correct grade elevations and alignment of the forms immediately before placing the concrete.

3.2 REINFORCEMENT

3.2.1 Dowel Bars

Install bars accurately aligned, vertically and horizontally, at indicated locations and to the dimensions and tolerances indicated. Before installation thoroughly grease the sliding portion of each dowel. Dowels must remain in position during concrete placement and curing.

3.2.2 Coated Dowel Bars

Install bars, accurately aligned vertically and horizontally, at indicated locations and to the dimensions and tolerances indicated. Reject coatings which are perforated, cracked or otherwise damaged. While handling avoid scuffing or gouging of the coatings.

3.2.3 Tie Bars

Install bars, accurately aligned horizontally and vertically, at indicated locations. For slipform construction, insert bent tie bars by hand or other approved means.

3.2.4 Setting Slab Reinforcement

Reinforcement shall be positioned on suitable chairs prior to concrete placement. At expansion, contraction and construction joints, place the reinforcement as indicated. Reinforcement, when placed in concrete, shall be free of mud, oil, scale or other foreign materials. Place reinforcement accurately and wire securely. The laps at splices shall be 12 inches minimum and the distances from ends and sides of slabs and joints shall be as indicated.

3.3 MEASURING, MIXING, CONVEYING, AND PLACING CONCRETE

3.3.1 Measuring

ASTM C94/C94M.

3.3.2 Mixing

ASTM C94/C94M, except as modified herein. Begin mixing within 30 minutes after cement has been added to aggregates. When the air temperature is greater than 85 degrees F, place concrete within 60 minutes. With the approval of the Contracting Officer, a hydration stabilizer admixture meeting the requirements of ASTM C494/C494M Type D, may be used to extend the placement time to 90 minutes. Additional water may be added to bring slump within required limits as specified in Section 11.7 of ASTM C94/C94M, provided that the specified water-cement ratio is not exceeded.

3.3.3 Conveying

ASTM C94/C94M.

3.3.4 Placing

Follow guidance of ACI 301, except as modified herein. Do not exceed a free vertical drop of 5 feet from the point of discharge. Deposit concrete either directly from the transporting equipment or by conveyor on to the pre-wetted subgrade or subbase, unless otherwise specified. Do not place concrete on frozen subgrade or subbase. Deposit the concrete between the forms to an approximately uniform height. Place concrete continuously at a uniform rate, with minimum amount of segregation, without damage to the grade and without unscheduled stops except for equipment failure or other emergencies. If this occurs within 10 feet of a previously placed expansion joint, remove concrete back to joint, repair any damage to grade, install a construction joint and continue placing concrete only after cause of the stop has been corrected.

3.3.5 Vibration

Immediately after spreading concrete, consolidate concrete with internal type vibrating equipment along the boundaries of all slabs regardless of slab thickness, and interior of all concrete slabs 6 inches or more in

thickness. Limit duration of vibration to that necessary to produce consolidation of concrete. Excessive vibration will not be permitted. Vibrators shall not be operated in concrete at one location for more than 15 seconds. At the option of the Contractor, vibrating equipment of a type approved by the Contracting Officer may be used to consolidate concrete in unreinforced pavement slabs less than 6 inches thick.

3.3.5.1 Vibrating Equipment

Operate equipment, except hand-manipulated equipment, ahead of the finishing machine. Select the number of vibrating units and power of each unit to properly consolidate the concrete. Mount units on a frame that is capable of vertical movement and, when necessary, radial movement, so vibrators may be operated at any desired depth within the slab or be completely withdrawn from the concrete. Clear distance between frame-mounted vibrating units that have spuds that extend into the slab at intervals across the paving lane shall not exceed 30 inches. Distance between end of vibrating tube and side form shall not exceed 2 inches. For pavements less than 10 inches thick, operate vibrators at mid-depth parallel with or at a slight angle to the subbase. For thicker pavements, angle vibrators toward the vertical, with vibrator tip preferably about 2 inches from subbase, and top of vibrator a few inches below pavement surface. Vibrators may be pneumatic, gas driven, or electric, and shall be operated at frequencies within the concrete of not less than 8,000 vibrations per minute. Amplitude of vibration shall be such that noticeable vibrations occur at 1.5 foot radius when the vibrator is inserted in the concrete to the depth specified.

3.3.6 Cold Weather

Except with authorization, do not place concrete when ambient temperature is below 40 degrees F or when concrete is likely to be subjected to freezing temperatures within 24 hours. When authorized, when concrete is likely to be subjected to freezing within 24 hours after placing, heat concrete materials so that temperature of concrete when deposited is between 65 and 80 degrees F. Methods of heating materials are subject to approval of the Contracting Officer. Do not heat mixing water above 165 degrees F. Remove lumps of frozen material and ice from aggregates before placing aggregates in mixer. Follow practices found in [ACI 306.1](#).

3.3.7 Hot Weather

Maintain required concrete temperature in accordance with Figure 2.1.5 in [ACI 305R](#) to prevent evaporation rate from exceeding 0.2 pound of water per square foot of exposed concrete per hour. Cool ingredients before mixing or use other suitable means to control concrete temperature and prevent rapid drying of newly placed concrete. After placement, use fog spray, apply monomolecular film, or use other suitable means to reduce the evaporation rate. Start curing when surface of fresh concrete is sufficiently hard to permit curing without damage. Cool underlying material by sprinkling lightly with water before placing concrete. Follow practices found in [ACI 305R](#).

3.4 PAVING

Pavement shall be constructed with paving and finishing equipment utilizing slipforms. Fixed form paving may be used for fillets, odd shaped areas or areas where slipform paving is impractical.

3.4.1 Consolidation

The paver vibrators shall be inserted into the concrete not closer to the underlying material than 2 inches. The vibrators or any tamping units in front of the paver shall be automatically controlled so that they shall be stopped immediately as forward motion ceases. Excessive vibration shall not be permitted. Concrete in small, odd-shaped slabs or in locations inaccessible to the paver mounted vibration equipment shall be vibrated with a hand-operated immersion vibrator. Vibrators shall not be used to transport or spread the concrete.

3.4.2 Operation

When the paver is operated between or adjacent to previously constructed pavement (fill-in lanes), provisions shall be made to prevent damage to the previously constructed pavement, including keeping the existing pavement surface free of any debris, and placing rubber mats beneath the paver tracks. Transversely oscillating screeds and extrusion plates shall overlap the existing pavement the minimum possible, but in no case more than 8 inches.

3.4.3 Required Results

The paver-finisher shall be operated to produce a thoroughly consolidated slab throughout, true to line and grade within specified tolerances. The paver-finishing operation shall produce a surface finish free of irregularities, tears, voids of any kind, and any other discontinuities. It shall produce only a very minimum of paste at the surface. Multiple passes of the paver-finisher shall not be permitted. The equipment and its operation shall produce a finished surface requiring no hand finishing, other than the use of cutting straightedges, except in very infrequent instances. No water, other than true fog sprays (mist), shall be applied to the concrete surface during paving and finishing.

3.4.4 Fixed Form Paving

Forms shall be steel, except that wood forms may be used for curves having a radius of 150 feet or less, and for fillets. Forms may be built up with metal or wood, added only to the base, to provide an increase in depth of not more than 25 percent. The base width of the form shall be not less than eight-tenths of the vertical height of the form, except that forms 8 inches or less in vertical height shall have a base width not less than the vertical height of the form. Wood forms for curves and fillets shall be adequate in strength and rigidly braced. Forms shall be set on firm material cut true to grade so that each form section when placed will be firmly in contact with the underlying layer for its entire base. Forms shall not be set on blocks or on built-up spots of underlying material. Forms shall remain in place at least 12 hours after the concrete has been placed. Forms shall be removed without injuring the concrete.

3.4.5 Slipform Paving

The slipform paver shall shape the concrete to the specified and indicated cross section in one pass, and shall finish the surface and edges so that only a very minimum amount of hand finishing is required. Dowels shall not be installed by dowel inserters attached to the paver or by any other means of inserting the dowels into the plastic concrete.

3.4.6 Placing Reinforcing Steel

Reinforcement shall be positioned on suitable chairs securely fastened to the subbase prior to concrete placement.

3.4.7 Placing Dowels and Tie Bars

Dowels shall be installed with alignment not greater than 1/8 inch per ft. Except as otherwise specified below, location of dowels shall be within a horizontal tolerance of plus or minus 5/8 inch and a vertical tolerance of plus or minus 3/16 inch. The portion of each dowel intended to move within the concrete or expansion cap shall be oiled just prior to placement. Dowels and tie bars in joints shall be omitted when the center of the dowel or tie bar is located within a horizontal distance from an intersecting joint equal to or less than one-fourth of the slab thickness.

3.4.7.1 Contraction Joints

Dowels and tie bars in longitudinal and transverse contraction joints within the paving lane shall be held securely in place by means of rigid metal basket assemblies. The dowels and tie bars shall be welded to the assembly or held firmly by mechanical locking arrangements that will prevent them from becoming distorted during paving operations. The basket assemblies shall be held securely in the proper location by means of suitable anchors.

3.4.7.2 Construction Joints-Fixed Form Paving

Installation of dowels and tie bars shall be by the bonded-in-place method, supported by means of devices fastened to the forms. Installation by removing and replacing in preformed holes will not be permitted.

3.4.7.3 Dowels Installed in Hardened Concrete

Installation shall be by bonding the dowels into holes drilled into the hardened concrete. Holes approximately 1/8 inch greater in diameter than the dowels shall be drilled into the hardened concrete. Dowels shall be bonded in the drilled holes using epoxy resin injected at the back of the hole before installing the dowel and extruded to the collar during insertion of the dowel so as to completely fill the void around the dowel. Application by buttering the dowel shall not be permitted. The dowels shall be held in alignment at the collar of the hole, after insertion and before the grout hardens, by means of a suitable metal or plastic collar fitted around the dowel. The vertical alignment of the dowels shall be checked by placing the straightedge on the surface of the pavement over the top of the dowel and measuring the vertical distance between the straightedge and the beginning and ending point of the exposed part of the dowel. Where tie bars are required in longitudinal construction joints of slipform pavement, bent

tie bars shall be installed at the paver, in front of the transverse screed or extrusion plate. If tie bars are required, a standard keyway shall be constructed, and the bent tie bars shall be inserted into the plastic concrete through a 26 gauge thick metal keyway liner. Tie bars shall not be installed in preformed holes. The keyway liner shall be protected and shall remain in place and become part of the joint. Before placement of the adjoining paving lane, the tie bars shall be straightened, without spalling the concrete around the bar.

3.4.7.4 Expansion Joints

Dowels in expansion joints shall be installed by the bonded-in-place method or by bonding into holes drilled in hardened concrete, using procedures specified above.

3.5 FINISHING CONCRETE

Start finishing operations immediately after placement of concrete. Use finishing machine, except hand finishing may be used in emergencies and for concrete slabs in inaccessible locations or of such shapes or sizes that machine finishing is impracticable. Finish pavement surface on both sides of a joint to the same grade. Finish formed joints from a securely supported transverse bridge. Provide hand finishing equipment for use at all times. Transverse and longitudinal surface tolerances shall be 1/4 inch in 10 feet.

3.5.1 Side Form Finishing

Strike off and screed concrete to the required slope and cross-section by a power-driven transverse finishing machine. Transverse rotating tube or pipe shall not be permitted unless approved by the Contracting Officer. Elevation of concrete shall be such that, when consolidated and finished, pavement surface will be adequately consolidated and at the required grade. Equip finishing machine with two screeds which are readily and accurately adjustable for changes in pavement slope and compensation for wear and other causes. Make as many passes over each area of pavement and at such intervals as necessary to give proper compaction, retention of coarse aggregate near the finished surface, and a surface of uniform texture, true to grade and slope. Do not permit excessive operation over an area, which will result in an excess of mortar and water being brought to the surface.

3.5.1.1 Equipment Operation

Maintain the travel of machine on the forms without lifting, wobbling, or other variation of the machine which tend to affect the precision of concrete finish. Keep the tops of the forms clean by a device attached to the machine. During the first pass of the finishing machine, maintain a uniform ridge of concrete ahead of the front screed for its entire length.

3.5.1.2 Joint Finish

Before concrete is hardened, correct edge slump of pavement, exclusive of edge rounding, in excess of 0.02 foot. Finish concrete surface on each side of construction joints to the same plane, and correct deviations before newly placed concrete has hardened.

3.5.1.3 Hand Finishing

Strike-off and screed surface of concrete to elevations slightly above finish grade so that when concrete is consolidated and finished pavement surface is at the indicated elevation. Vibrate entire surface until required compaction and reduction of surface voids is secured with a strike-off template.

3.5.1.4 Longitudinal Floating

After initial finishing, further smooth and consolidate concrete by means of hand-operated longitudinal floats. Use floats that are not less than 12 feet long and 6 inches wide and stiffened to prevent flexing and warping.

3.5.2 Texturing

Before the surface sheen has disappeared and before the concrete hardens, the surface of the pavement shall be given a texture as described herein. Following initial texturing on the first day of placement, the Placing Foreman, Contracting Officer representative, and a representative of the Using Agency shall inspect the texturing for compliance with design requirements. After curing is complete, all textured surfaces shall be thoroughly power broomed to remove all debris. The concrete in areas of recesses for tie-down anchors, lighting fixtures, and other outlets in the pavement shall be finished to provide a surface of the same texture as the surrounding area.

3.5.2.1 Burlap Drag Finish

Before concrete becomes non-plastic, finish the surface of the slab by dragging on the surface a strip of clean, wet burlap measuring from 3 to 10 feet long and 2 feet wider than the width of the pavement. Select dimension of burlap drag so that at least 3 feet of the material is in contact with the pavement. Drag the surface so as to produce a finished surface with a fine granular or sandy texture without leaving disfiguring marks.

3.5.3 Edging

At the time the concrete has attained a degree of hardness suitable for edging, carefully finish slab edges, including edges at formed joints, with an edge having a maximum radius of one-eighth inch. Clean by removing loose fragments and soupy mortar from corners or edges of slabs which have crumbled and areas which lack sufficient mortar for proper finishing. Refill voids solidly with a mixture of suitable proportions and consistency and refinish. Remove unnecessary tool marks and edges. Remaining edges shall be smooth and true to line.

3.5.4 Repair of Surface Defects

Follow guidance of [ACI 301](#).

3.6 CURING AND PROTECTION

Protect concrete adequately from injurious action by sun, rain, flowing water, frost, mechanical injury, tire marks and oil stains, and do not allow it to dry out from the time it is placed until the expiration of the minimum curing periods specified herein. Use White-Burlap-Polyethylene Sheet or liquid membrane-forming compound, except as specified otherwise herein. Do not use membrane-forming compound on surfaces where its appearance would be objectionable, on surfaces to be painted, where coverings are to be bonded to concrete, or on concrete to which other concrete is to be bonded. Maintain temperature of air next to concrete above 40 degrees F for the full curing periods.

3.6.1 White-Burlap-Polyethylene Sheet

Wet entire exposed surface thoroughly with a fine spray of water, saturate burlap but do not have excessive water dripping off the burlap and then cover concrete with White-Burlap-Polyethylene Sheet, burlap side down. Lay sheets directly on concrete surface and overlap 12 inches. Make sheeting not less than 18 inches wider than concrete surface to be cured, and weight down on the edges and over the transverse laps to form closed joints. Repair or replace sheets when damaged during curing. Check daily to assure burlap has not lost all moisture. If moisture evaporates, resaturate burlap and re-place on pavement (re-saturation and re-placing shall take no longer than 10 minutes per sheet). Leave sheeting on concrete surface to be cured for at least 7 days.

3.6.2 Liquid Membrane-Forming Compound Curing

Apply compound immediately after surface loses its water sheen and has a dull appearance and before joints are sawed. Agitate curing compound thoroughly by mechanical means during use and apply uniformly in a two-coat continuous operation by suitable power-spraying equipment. Total coverage for the two coats shall be at least one gallon of undiluted compound per 200 square feet. Compound shall form a uniform, continuous, coherent film that will not check, crack, or peel and shall be free from pinholes or other imperfections. Apply an additional coat of compound immediately to areas where film is defective. Respray concrete surfaces that are subject to heavy rainfall within 3 hours after curing compound has been applied in the same manner.

3.6.2.1 Protection of Treated Surfaces

Keep concrete surfaces to which liquid membrane-forming compounds have been applied free from vehicular traffic and other sources of abrasion for not less than 72 hours. Foot traffic is allowed after 24 hours for inspection purposes. Maintain continuity of coating for entire curing period and repair damage to coating immediately.

3.7 FIELD QUALITY CONTROL

3.7.1 Sampling

The Contractor's approved laboratory shall collect samples of fresh concrete in accordance with [ASTM C172/C172M](#) during each working day as required to perform tests specified herein. Make test specimens in accordance with [ASTM C31/C31M](#).

3.7.2 Consistency Tests

The Contractor's approved laboratory shall perform **concrete slump tests** in accordance with **ASTM C143/C143M**. Take samples for slump determination from concrete during placement. Perform tests at the beginning of a concrete placement operation and for each batch (minimum) or every 20 cubic yards (maximum) of concrete to ensure that specification requirements are met. In addition, perform tests each time test beams and cylinders are made.

3.7.3 Flexural Strength Tests

The Contractor's approved laboratory shall test for flexural strength in accordance with **ASTM C78/C78M**. Make four test specimens for each set of tests. Test two specimens at 7 days, and the other two at 28 days. Concrete strength will be considered satisfactory when the minimum of the 28-day test results equals or exceeds the specified 28-day flexural strength, and no individual strength test is less than 600 pounds per square inch. If the ratio of the 7-day strength test to the specified 28-day strength is less than 65 percent, make necessary adjustments for conformance. Frequency of flexural tests on concrete beams shall be not less than four test beams for each 50 cubic yards of concrete, or fraction thereof, placed. Concrete which is determined to be defective, based on the strength acceptance criteria therein, shall be removed and replaced with acceptable concrete.

3.7.4 Air Content Tests

Test air-entrained concrete for air content at the same frequency as specified for slump tests. Determine percentage of air in accordance with **ASTM C231/C231M** on samples taken during placement of concrete in forms.

3.7.5 Surface Testing

Surface testing for surface smoothness and plan grade shall be performed as indicated below by the Testing Laboratory. The measurements shall be properly referenced in accordance with paving lane identification and stationing, and a report given to the Government within 24 hours after measurement is made. A final report of surface testing, signed by a Registered Engineer, containing all surface measurements and a description of all actions taken to correct deficiencies, shall be provided to the Government upon conclusion of surface testing.

3.7.5.1 Surface Smoothness Requirements

The finished surfaces of the pavements shall have no abrupt change of 1/8 inch or more, and all pavements shall be within the tolerances specified when checked with a 12 foot straightedge: 1/5 inch longitudinal and 1/4 inch transverse directions for roads and streets and 1/4 inch for both directions for other concrete surfaces, such as parking areas.

3.7.5.2 Surface Smoothness Testing Method

The surface of the pavement shall be tested with the straightedge to identify all surface irregularities exceeding the tolerances specified above. The entire area of the pavement shall be tested in both a

longitudinal and a transverse direction on parallel lines approximately 15 feet apart. The straightedge shall be held in contact with the surface and moved ahead one-half the length of the straightedge for each successive measurement. The amount of surface irregularity shall be determined by placing the straightedge on the pavement surface and allowing it to rest upon the two highest spots covered by its length and measuring the maximum gap between the straightedge and the pavement surface, in the area between these two high points.

3.7.6 Plan Grade Testing and Conformance

The surfaces shall vary not more than 0.06 foot above or below the plan grade line or elevation indicated. Each pavement category shall be checked by the Contractor for conformance with plan grade requirements by running lines of levels at intervals to determine the elevation at each joint intersection.

3.7.7 Test for Pavement Thickness

Measure during concrete placement to determine in-place thickness of concrete pavement.

3.7.8 Reinforcement

Inspect reinforcement prior to installation to assure it is free of loose flaky rust, loose scale, oil, mud, or other objectionable material.

3.7.9 Dowels

Inspect dowel placement prior to placing concrete to assure that dowels are of the size indicated, and are spaced, aligned and oiled as specified. Dowels shall not deviate from vertical or horizontal alignment after concrete has been placed by more than 1/8 inch per foot.

3.8 WASTE MANAGEMENT

In accordance with the Waste Management Plan. Protect excess material from contamination and return to manufacturer, or reuse on-site for walkways, patching, ditch beds, speed bumps, or curbs.

-- End of Section --

SECTION 32 13 45

LOADING DOCK METHACRYLATE RESIN TREATMENT

PART 1 GENERAL

This work includes applying a high molecular weight methacrylate (HMWM) resin system with sand to the loading deck concrete surface.

1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with SUBMITTAL PROCEDURES:

SD-03 HMWM Resin System Placement Plan; G

Schedule of work and testing.
Description of equipment for applying HMWM resin.
Range of gel time and final cure time for HMWM resin.
Description of equipment for applying and removing excess sand.
Procedure for removing HMWM from concrete surface, including equipment.
Storage and handling of HMWM resin components.

SD-06 Public Safety Plan; G

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D2369	(2010) Standard Test Method for Volatile Content of Coatings
ASTM D2196	(2010) Standard Test Method for Rheological Properties of Non-Newtonian Materials by Rotational (Brookfield type) Viscometer
ASTM D1475	(2012) Standard Test Method For Density of Liquid Coatings, Inks, and Related Products
ASTM D323	(2008) Standard Test Method for Vapor Pressure of Petroleum Products (Reid Method)

ASTM D3278

(2011) Standard Test Methods for Flash Point of Liquids by Small Scale Closed-Cup Apparatus

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1

(2008; Errata 1-2010; Changes 1-3 2010; Changes 4-6 2011) Safety and Health Requirements Manual

1.3 QUALITY CONTROL AND ASSURANCE

Submit copies of the HMWM resin components 15 days before use. Notify the Engineer 15 days before delivery of the HMWM resin components to the job site.

Complete a test area before starting work. Results from the airborne emissions monitoring of the test area must be submitted to the Engineer before starting production work.

The test area must:

1. Be approximately 500 sf.
2. Be placed within the project limits outside the traveled way at an approved location.
3. Be constructed using the same equipment as the production work.
4. Replicate field conditions for the production work.
5. Demonstrate proposed means and methods meet the acceptance criteria.
6. Demonstrate production work will be completed within the time allowed.
7. Demonstrate suitability of the airborne emissions monitoring plan.
8. The test area will be acceptable if:
9. The treated surface is tack free and non oily.
10. The sand cover adheres and resists brushing by hand.
11. Excess sand has been removed.

PART 2 PRODUCTS

2.1 MATERIALS

HMWM resin system consists of a resin, a promoter, and an initiator. HMWM resin must be low odor and comply with the following:

HMWM Resin

Property	Requirement	Test Method
Volatile Content	30% maximum	ASTM D2369
Viscosity	25 cP maximum, (Brookfield RVT with UL	ASTM D2196

	adaptor, 50 rpm at 77°F)	
Specific Gravity	0.90 minimum at 77°F	ASTM D1475
Vapor Pressure	1.0 mm Hg, maximum at 77°F	ASTM D323
Tack-free Time	400 minutes, maximum at 77°F	Specimens prepared by California Test 551
Flash Point	180°F minimum	ASTM D3278
PCC Saturated Surface Dry Bond Strength	3.5 MPa minimum at 24 hours and 70° ± 1°F	California Test 551

Sand for abrasive sand finish must:

1. Be commercial quality dry blast sand.
2. Have at least 95% passing the No. 8 sieve and at least 95% retained on the No. 20 sieve when tested under California Test 205.

PART 3 EXECUTION

3.1 CONSTRUCTION

HMWM resin system applied by machine must be:

1. Combined in volumetric streams of promoted resin to initiated resin by static in-line mixers.
2. Applied without atomization.

HMWM resin may be applied manually. Limit the amounts of resin mixed manually to 5 gallons at a time.

Prepare the surface and make sure it is clean and free of deteriorated concrete.

The surface must be dry before application of the HMWM resin. The concrete surface must be at least 50°F and at most 100° F. Relative humidity must be expected to be at the most 85% during the work shift.

Thoroughly mix all components of HMWM resin according to manufacturer's recommendations. Apply HMWM resin to the concrete surface within 5 minutes of mixing at approximately 90 sf per gallon. The Engineer determines the exact application rate. The resin gel time must be between 40 and 90 minutes. HMWM resin that thickens during application is rejected.

Spread the HMWM resin uniformly. Completely cover surfaces to be treated and fill all cracks. Redistribute excess resin using squeegees or brooms within 10 minutes of application. For textured or grooved surfaces, excess resin must be removed from the textured indentations.

Apply the abrasive sand finish of at least 2 lbs. per SY or until saturation is determined by the Engineer no sooner than 20 minutes after applying resin. Remove excess sand by vacuuming or power sweeping.

Traffic or equipment will be allowed on the finished surface after the Engineer has determined:

1. The treated surface is tack free and non-oily.
2. The sand cover adheres and resists brushing by hand.
3. Excess sand has been removed.
4. No material will be tracked beyond limits of treatment by traffic.

Remove the HMWM resin from the surface if the Engineer determines the above listed conditions are not met and allowable traffic closure time will be exceeded.

-- End of Section --

SECTION 32 13 73

COMPRESSION JOINT SEALS FOR CONCRETE PAVEMENTS
04/08

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D2628 (1991; R 2011) Standard Specification for Preformed Polychloroprene Elastomeric Joint Seals for Concrete Pavements

ASTM D2835 (1989; R 2007) Lubricant for Installation of Preformed Compression Seals in Concrete Pavements

U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 548 (1988) Standard Specification for Jet-Fuel and Heat-Resistant Preformed Polychloroprene Elastomeric Joint Seals for Rigid Pavements

EM 385-1-1 (2008; Errata 1-2010; Changes 1-3 2010; Changes 4-6 2011) Safety and Health Requirements Manual

1.2 SYSTEM DESCRIPTION

Provide machines, tools, and **equipment**, used in the performance of the work required by this section, approved before the work is started and maintained in satisfactory condition at all times. Submit list of proposed equipment to be used in the performance of construction work, including descriptive data, 14 days prior to use on the project.

1.2.1 Joint Cleaning Equipment

1.2.1.2 Sandblasting Equipment

Include with the sandblasting equipment an air compressor, hose, and a long-wearing venturi-type nozzle of proper size, shape, and opening. The maximum nozzle opening should not exceed **1/4 inch**. Provide a portable air compressor capable of furnishing not less than **150 cubic feet** per minute and maintaining a line pressure of not less than **90 psi** at the nozzle while in use. The compressor shall be equipped with traps that will maintain the compressed air free of oil and water. The nozzle shall have an adjustable guide that will hold the nozzle aligned with the joint about **1 inch** above

the pavement surface and will direct the blast to clean the joint walls. Adjust the height, angle of inclination, and the size of the nozzle as necessary to ensure satisfactory results. Abrasive blasting equipment shall also be in accordance with EM-385-1-1 Section 06.H-Abrasive Blasting, 13.G-Abrasive Blasting Equipment, and 20.B-Compressed Air and Gas Systems. Do not use silica sand as the abrasive blasting media.

1.2.2 Sealing Equipment

Equipment used to install the compression seal shall place the compression seal to the prescribed depths within the specified tolerances without cutting, nicking, twisting, or otherwise damaging the seal. The equipment shall be capable of placing the seal with not more than two percent longitudinal stretch or compression of the seal during installation. The machine shall be an automatic self-propelled joint seal application equipment and engine powered. The machine shall include a reservoir for the lubricant/adhesive, a device for conveying the lubricant/adhesive in the proper quantities to the sides of the compression seal or the sidewalls of the joints, a reel capable of holding one full spool of compression seal, and a power-driven apparatus for feeding the joint seal through a compression device and inserting the seal into the joint. The equipment shall also include a guide to maintain the proper course along the joint being sealed. The machine shall at all times be operated by an experienced operator.

1.2.3 Test Requirements

Submit certified copies of test results, 14 days prior to use of material on the project. Each lot of compression joint seal and lubricant/adhesive shall be sampled, identified, and tested for conformance with the applicable material specification.

a. A lot of compression seal shall consist of 1 day's production or 20,000 linear feet for each cross section, whichever is less. A lot of lubricant/adhesive shall consist of 1 day's production. Samples of the compression joint seal and lubricant/adhesive material shall be submitted and will be tested by the Government. No material shall be used at the project prior to receipt of written notice that the materials meet the laboratory requirements.

b. The cost of testing the samples from each original lot supplied will be borne by the Government. If the samples fail to meet specification requirements, the materials represented by the sample shall be replaced and the new materials tested. Testing of the compression joint seal and lubricant/adhesive material is the responsibility of the Contractor and shall be performed in an approved independent laboratory, and certified copies of the test reports shall be submitted for approval 14 days prior to the use of the materials at the jobsite.

c. Samples of each lot of material shall also be submitted and will be retained by the Government for possible future testing should the materials appear defective during or after application. Furnish additional samples of materials, in sufficient quantity to be tested, upon request. Final acceptance will be based on conformance to the

specified test requirements and the performance of the in-place materials.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Equipment
Manufacturer's Instructions

SD-04, Samples

Compression Seals; G

SD-06 Test Reports

Test Requirements

1.4 QUALITY ASSURANCE

1.4.1 Safety

DO NOT place compression joint seals within 25 feet of liquid oxygen (LOX) equipment, LOX storage, or LOX piping.

1.5 DELIVERY, STORAGE, AND HANDLING

Materials delivered to the jobsite shall be inspected for defects, unloaded, and stored with a minimum of handling to avoid damage. Provide storage facilities that protect materials from weather and maintain materials at temperatures recommended by the manufacturer.

1.6 ENVIRONMENTAL REQUIREMENTS

The ambient temperature and the pavement temperature within the joint wall shall be at least 35 degrees F and rising at the time of installation of the materials. Sealant installation will not be allowed if moisture or foreign material is observed in the joint.

PART 2 PRODUCTS

2.1 COMPRESSION SEALS

Regardless of testing responsibility, submit 9 foot long samples of the materials, 60 days prior to use on the project. Printed directions from the manufacturer on recommended installation criteria shall be furnished with the samples plus the manufacturer's certification that the selected seal is recommended for the installation on this project. Compression joint seal materials shall be a vulcanized elastomeric compound using polychloroprene as the only base polymer. The material and manufactured seal shall conform

to **ASTM D2628**. The joint seal shall be a labyrinth type seal. The uncompressed depth of the face of the compression seal (that is to be bonded to the joint wall) shall be greater than the uncompressed width of the seal, except that for seals **1 inch** or greater in width, the depth need be only **1 inch** or greater. The actual width of the uncompressed seal for construction and contraction joints shall be **1 inch** and for expansion joints shall be **1.25 inches**. The joint seal material shall have a Movement Rating or Maximum Movement of **1.25 inches**. The tolerance on the seal shall be **plus 1/8 inch** or **minus 1/16 inch**.

2.2 LUBRICANT/ADHESIVE

Lubricant/adhesive used for the compression elastomeric joint seal shall be a one-component compound conforming to **ASTM D2835**.

PART 3 EXECUTION

3.1 PREPARATION OF JOINTS

Immediately before installation of the compression joint seal, thoroughly clean the joints to remove laitance, filler, existing sealer, foreign material and protrusions of hardened concrete from the sides and upper edges of the joint space to be sealed. Cleaning shall be by sandblasting and shall extend along pavement surfaces at least **1/2 inch** on either side of the joint. After final cleaning and immediately prior to sealing, the joints shall be blown out with compressed air and left completely free of debris and water. Demonstrate that the selected cleaning operation meets the cleanliness requirements. Correct any irregularity in the joint face which would prevent uniform contact between the joint seal and the joint face prior to the installation of the joint seal.

3.1.1 Sandblast Cleaning

Use a multiple pass sandblasting technique until the surfaces are free of dust, dirt, curing compound, or any residue that might prevent ready insertion or uniform contact of the seal and bonding of the lubricant/adhesive to the concrete. Abrasive blasting equipment shall also be in accordance with EM-385-1-1 Section 06.H-Abrasive Blasting, 13.G-Abrasive Blasting Equipment, and 20.B-Compressed Air and Gas Systems. Do not use silica sand as the abrasive blasting media.

3.1.2 Rate of Progress

Limit sandblasting of joint faces to the length of joint that can be sealed during the same workday.

3.2 INSTALLATION OF THE COMPRESSION SEAL

3.2.1 Time of Installation

Seal joints immediately within 3 calendar days following concrete cure and the final cleaning of the joint walls. Provide open joints, ready for sealing that cannot be sealed under the specified conditions, with an approved temporary seal to prevent infiltration of foreign material. When rain interrupts the sealing operations, the joints shall be washed, air

pressure cleaned, and allowed to dry prior to installing the lubricant/adhesive and compression seal.

3.3 SEALING OF JOINTS

The sides of the joint seal or the sides of the joint shall be covered with a coating of lubricant/adhesive and the seal installed as specified. Butt joints and seal intersections shall be coated with liberal applications of lubricant/adhesive. Lubricant/adhesive spilled on the pavement shall be removed immediately to prevent setting on the pavement. The in-place joint seal shall be in an upright position and free from twisting, distortion, and cuts. Adjustments shall be made to the installation equipment and procedure, if the stretch exceeds 1 percent. Any seal exceeding 2 percent stretch shall be removed and replaced. The joint seal shall be placed at a uniform depth within the tolerances specified. In-place joint seal which fails to meet the specified requirements shall be removed and replaced with new joint seal at no cost to the Government. The compression joint seal shall be placed to a depth of $1/4$ inch, plus or minus $1/8$ inch, below the pavement surface except when the joint is beveled or has a radius at the surface, or unless otherwise directed. For beveled joints or joints with a radius at the surface, the compression joint seal shall be installed at a depth of $1/8$ inch, plus or minus $1/8$ inch, below the bottom of the edge of the bevel or radius. No part of the seal shall be allowed to project above the surface of the pavement or above the edge of the bevel or radius. The seal shall be installed in the longest practicable lengths in longitudinal joints and shall be cut at the joint intersections to provide continuous installation of the seal in the transverse joints. The lubricant/adhesive in the longitudinal joints shall be allowed to set for 1 hour prior to cutting at the joint intersections to reduce the possibility of shrinkage. For all transverse joints, the minimum length of the compression joint seal shall be the pavement width from edge to edge.

3.4 CLEAN-UP

Upon completion of the project, remove all unused materials from the site, remove any lubricant/adhesive on the pavement surface, and leave the pavement in clean condition.

3.5 QUALITY CONTROL PROVISIONS

3.5.1 Application Equipment

Inspect the application equipment to assure uniform application of lubricant/adhesive to the sides of the compression joint seal or the walls of the joint. If any equipment causes cutting, twisting, nicking, excessive stretching or compressing of the seal, or improper application of the lubricant/adhesive, suspend the operation until causes of the deficiencies are determined and corrected.

3.5.2 Procedures

3.5.2.1 Quality Control Inspection

Provide quality control provisions during the joint cleaning process to prevent or correct improper equipment and cleaning techniques that damage the concrete in any manner. Cleaned joints shall be approved by the

Government prior to installation of the lubricant/adhesive and compression joint seal.

3.5.2.2 Conformance to Stretching and Compression Limitations

Determine conformance to stretching and compression limitations. Mark the top surface of the compression seal at 1 foot intervals in a manner clear and durable to enable length determinations of the seal. After installation, measure the distance between the marks on the seal. If the stretching or compression exceeds 2 percent, remove the seal and replace it with new joint at no additional cost to the Government. The seal shall be removed up to the last correct measurement. The seal shall be inspected once for compliance to the shrinkage or compression requirements. Measurements shall also be made to determine conformance with depth and width of installation requirements. Remove and replace compression seal that is not in conformance with specification requirements with new joint seal at no additional cost to the Government.

3.5.3 Final Inspection

Inspect the joint sealing system (compression seal and lubricant/adhesive) for proper rate of cure and bonding to the concrete, cuts, twists, nicks and other deficiencies. Seals exhibiting any defects, at any time prior to final acceptance of the project, shall be removed from the joint, wasted, and replaced in a satisfactory manner.

-- End of Section --

Qualifications

SD-07 Certificates

Volatile Organic Compound (VOC)

1.4 QUALITY ASSURANCE

1.4.1 Qualifications

Submit documentation certifying that pertinent personnel are qualified for equipment operation and handling of chemicals.

1.4.2 Traffic Controls

Suitable warning signs shall be placed near the beginning of the worksite and well ahead of the worksite for alerting approaching traffic from both directions. Small markers shall be placed along newly painted lines or freshly placed raised markers to control traffic and prevent damage to newly painted surfaces or displacement of raised pavement markers.

1.4.3 Maintenance of Traffic

1.4.3.1 Roads, Streets, and Parking Areas

When traffic must be rerouted or controlled to accomplish the work, the necessary warning signs, flagpersons, and related equipment for the safe passage of vehicles shall be provided.

1.5 DELIVERY, STORAGE, AND HANDLING

All materials shall be delivered and stored in sealed containers that plainly show the designated name, formula or specification number, batch number, color, date of manufacture, manufacturer's name, and directions, all of which shall be plainly legible at time of use.

1.6 ENVIRONMENTAL REQUIREMENTS

Pavement surface shall be free of snow, ice, or slush. Surface temperature shall be at least 40 degrees F and rising at the beginning of operations, except those involving shot or sand blasting. Operation shall cease during thunderstorms. Operation shall cease during rainfall, except for waterblasting and removal of previously applied chemicals. Waterblasting shall cease where surface water accumulation alters the effectiveness of material removal.

PART 2 PRODUCTS

2.1 PAINT

The paint shall be homogeneous, easily stirred to smooth consistency, and shall show no hard settlement or other objectionable characteristics during a storage period of 6 months. Paints for airfields, roads, parking areas, and streets shall conform to FS TT-P-1952, color as indicated. Pavement marking paints shall comply with applicable state and local laws enacted to ensure compliance with Federal Clean Air Standards. Paint materials shall conform to the restrictions of the local Air Pollution Control District.

2.2 SAMPLING AND TESTING

Materials proposed for use shall be stored on the project site in sealed and labeled containers, or segregated at source of supply. Submit certified copies of the test reports, prior to the use of the materials at the jobsite. Testing shall be performed in an approved independent laboratory. Upon notification by the Contractor that the material is at the site or source of supply, a sample shall be taken by random selection from sealed containers in the presence of the Contracting Officer. Samples shall be clearly identified by designated name, specification number, batch number, manufacturer's formulation number, project contract number, intended use, and quantity involved. Testing shall be performed in an approved independent laboratory. If materials are approved based on reports furnished by the Contractor, samples will be retained by the Government for possible future testing should the material appear defective during or after application.

PART 3 EXECUTION

3.1 SURFACE PREPARATION

Thoroughly clean surfaces to be marked before application of the pavement marking material. Dust, dirt, and other granular surface deposits shall be removed by sweeping, blowing with compressed air, rinsing with water or a combination of these methods as required. Rubber deposits, surface laitance, existing paint markings, and other coatings adhering to the pavement shall be completely removed with scrapers, wire brushes, sandblasting, approved chemicals, or mechanical abrasion as directed. Areas of old pavement affected with oil or grease shall be scrubbed with several applications of trisodium phosphate solution or other approved detergent or degreaser, and rinsed thoroughly after each application. After cleaning, oil-soaked areas shall be sealed with cut shellac to prevent bleeding through the new paint. Pavement surfaces shall be allowed to dry, when water is used for cleaning, prior to striping or marking. Surfaces shall be recleaned, when work has been stopped due to rain.

3.1.1 Pretreatment for Early Painting

Where early painting is required on rigid pavements, apply a pretreatment with an aqueous solution, containing 3 percent phosphoric acid and 2 percent zinc chloride, to prepared pavement areas prior to painting.

3.1.2 Cleaning Existing Pavement Markings

In general, markings shall not be placed over existing pavement marking patterns. Remove existing pavement markings, which are in good condition but interfere or conflict with the newly applied marking patterns. Deteriorated or obscured markings that are not misleading or confusing or interfere with the adhesion of the new marking material do not require removal.

3.1.3 Cleaning Concrete Curing Compounds

On new portland cement concrete pavements, cleaning operations shall not begin until a minimum of 30 days after the placement of concrete. All new concrete pavements shall be cleaned by either sandblasting or water blasting. When water blasting is performed, thermoplastic and preformed markings shall be applied no sooner than 24 hours after the blasting has been completed. The extent of the blasting work shall be to clean and prepare the concrete surface as follows:

- a. There is no visible evidence of curing compound on the peaks of the textured concrete surface.
- b. There are no heavy puddled deposits of curing compound in the valleys of the textured concrete surface.
- c. All remaining curing compound is intact; all loose and flaking material is removed.
- d. The peaks of the textured pavement surface are rounded in profile and free of sharp edges and irregularities.
- e. The surface to be marked is dry.

3.2 APPLICATION

All pavement markings and patterns shall be placed as shown on the plans.

3.2.1 Paint

Paint shall be applied to clean, dry surfaces, and only when air and pavement temperatures are above 40 degrees F and less than 95 degrees F. Paint temperature shall be maintained within these same limits. New asphalt pavement surfaces and new Portland concrete cement shall be allowed to cure for a period of not less than 2 days and 30 days before applications of paint, respectively. Paint shall be applied pneumatically with approved equipment at rate of coverage specified. Provide guide lines and templates as necessary to control paint application. Special precautions shall be taken in marking numbers, letters, and symbols. Edges of markings shall be sharply outlined.

3.2.1.1 Rate of Application

Nonreflective Markings: Paint shall be applied evenly to the pavement surface to be coated at a rate of 105 plus or minus 5 square feet/gallon.

3.2.1.2 Drying

The maximum drying time requirements of the paint specifications will be strictly enforced to prevent undue softening of bitumen, and pickup, displacement, or discoloration by tires of traffic. If there is a delay in drying of the markings, painting operations shall be discontinued until cause of the slow drying is determined and corrected.

3.3 OPERATION

3.3.1 Equipment Operation

Equipment shall be controlled and operated to remove markings from the pavement surface, prevent dilution or removal of binder from underlying pavement, and prevent emission of blue smoke from asphalt or tar surfaces.

3.3.2 Cleanup and Waste Disposal

The worksite shall be kept clean of debris and waste from the removal operations. Cleanup shall immediately follow removal operations in areas subject to air traffic. Debris shall be disposed of at approved sites.

-- End of Section --

APPENDIX

**REPORT OF
GEOTECHNICAL EXPLORATION**

USACE Pavement Support
Defense Distribution Depot
Albany, Dougherty County, Georgia

Prepared for:

DLA

Prepared by:

AMEC Environment & Infrastructure, Inc.
396 Plasters Avenue NE
Atlanta, Georgia 30324
(404) 873-4761

August 2, 2013

Project No. 6142-12-0092.DDAG



August 2, 2013

Mr. R. Greg Henley, Project Controls Manager
AMEC Environment and Infrastructure, Inc.
396 Plasters Avenue, NE
Atlanta, Georgia 30324

**Subject: Report of Geotechnical Exploration
Defense Distribution Depot
Albany, Dougherty County, Georgia
AMEC Project 6142-12-0092.DDAG**

Dear Mr. Henley:

AMEC Environment & Infrastructure, Inc. (AMEC) is pleased to submit this report of our limited study of pavement sections and geotechnical exploration related to the above referenced project.

1 PROJECT DESCRIPTION

The project will involve rehabilitation or replacement of portions of the existing pavements at the Defense Distribution Depot, Albany, Georgia (DDAG). The facility consists of a series of warehouses with paved areas between. Pavements are used for loading docks for the warehouses as well as for storage of vehicles and equipment, including heavy armored vehicles. Details of pavement loading were not provided, but a typical AASHTO Equivalent Single Axle Load (ESAL) model is unlikely to be representative of the range of loadings from tracks, skids, pallets, jacks, etc. that these pavement are subject to in addition to conventional pneumatic tire wheel loads.

1.3 SITE AND AREA GEOLOGY

The Site is in the Coastal Plain Physiographic Province. The Coastal Plain is characterized by a wedge of late Mesozoic and Tertiary sedimentary deposits ranging from zero thickness at the updip edge, known as the fall line, to thousands of feet at the coast. The fall line is the outcrop of the Piedmont Physiographic Province which is underlain by crystalline (igneous or metamorphic) rock of late Precambrian to Paleozoic Age. The Piedmont was formerly a significant mountainous area, since weathered and eroded. The fall line in Georgia can be

approximated by a line from Columbus through Macon, to Augusta, so that Albany is well south of the fall line.

Geologic mapping indicates that the site is underlain by the Ocala Limestone of Eocene age. This is a relatively young and poorly indurated limestone material and is typically overlain by more recent sediments including sands and clays.

2 FIELD AND LABORATORY TESTING

Four borings, designated B-1 through B-4, were conducted at the approximate locations indicated on the boring location plan in the Appendix. Boring locations were selected in concert with DLA personnel to investigate various pavement conditions. The borings are widely spaced and unlikely to represent general shallow site conditions which can vary over short horizontal distances. However, as they were selected based on pavement distress, they likely represent a range of conditions of pavement thickness and subgrade.

Pavement encountered by the borings ranged from ¼ inch of asphalt to nearly 9 inches of concrete. No graded aggregate, lime rock, or soil cement base was evident under the pavement though some of the subgrade soils may have been intended as a sand-clay base, though the sand contents appeared somewhat low for a sand clay base which should be no more than 35 percent fines per the GDOT standard specification.

Subgrade soils were generally firm to hard with SPT N-values of 7 to 43 with an automatic hammer. We note that the automatic hammer is higher efficiency than the safety hammer to which consistency correlations were made, so the standardized (N60) SPT blow counts are likely 30 to 50 percent higher, probably about 9 to over 60 bpf or stiff to hard.

Soils appeared to be primarily clay with limited sand. Clayey soils were generally low plasticity (CL) but some seams of higher plasticity clays (CH) were encountered. Wood fragments were encountered in surficial soils in boring B-4, suggesting fill and other borings had generally lower SPT N-values in the first sample, which would be consistent with expected surface disturbance that may have occurred during the construction of the existing pavements.

No groundwater was encountered in any of the borings, but boreholes were backfilled shortly after completion to allow patching and remove the hazard and groundwater entry through the clayey soils would be expect to be slow, so shallow groundwater cannot be ruled out, however, soils sampled appeared to be unsaturated, even in the deepest samples (8.5 to 10 feet). Groundwater levels can fluctuate with weather or local drainage and may be different at other times.

3 CONCLUSIONS AND RECOMMENDATIONS

The following conclusions and recommendations are based on the previously discussed project information and data as well as AMEC's experience with similar subsurface conditions. These recommendations should be reviewed and possibly revised or augmented if the project requirements are different from those described above.

3.1 Site Preparation

Existing pavements should be removed. No base material was encountered, but if aggregate base is discovered between or away from boring locations portions of the base that are not significantly mixed with subgrade soils and which can be recovered without mixing with soil can be stockpiled for reuse. The pavements encountered were relatively thin, so that we anticipate most areas will require additional excavation to accommodate new pavement sections while maintaining existing top of pavement elevations. Therefore, re-use of broken and pulverized asphalt or crushed concrete in new fill is unlikely to be feasible for this project. These materials may be wasted or stockpiled for future use.

Once the pavements have been removed, the subgrade should be cut to allow placement of the new pavement section. The new subgrade should be sloped to drain, with ½ percent or steeper slopes. The graded surface should then be proofrolled with a fully-loaded, tandem axle, dump truck or pneumatic tired vehicle of similar size and weight (25-30 tons) making two passes in each of two perpendicular directions. Proofrolling should be conducted under the observation of the geotechnical engineer or his representative. Proofrolling should detect soft or weak areas in the subgrade. Based on the observations, geotechnical engineer can recommend treatment, which may include undercut and replacement or stabilization in place. The geotechnical engineer can also observe exposed subgrade soils for evidence of deleterious materials including organic soils, highly plastic clay, trash, or debris and recommend treatment for those conditions.

3.2 Excavation

No grading plan was provided and we anticipate only minor grading and excavation. Minor grading should be possible with conventional equipment including pan scrapers, loaders, and excavators. Pan scrapers may require higher consistency soils (N60>25) to be pre-loosened by ripping and/or large dozers may be needed to push the scrapers in such soils.

3.3 Fill Placement

As noted, no grading information was provided and only minor grading is anticipated. However, fill placement for grading should generally be as follows:

Structural fill soils should be low to moderate plasticity soil (PI less than 30), free of deleterious materials and rock fragments larger than 3 inches in any dimension. We anticipate most soils from planned cut areas will meet this specification, but some higher plasticity clays may be encountered. Soils with PI between 30 and 40 may be used in fill provided they are at least 3 feet below finished grade. Soils with PI above 40 should be used in non-structural fills, wasted, or treated with lime to reduce their plasticity prior to use in fill.

Structural fill should be placed in maximum eight inch thick loose lifts, adequately keyed into the existing slopes/subgrade soils, and compacted to at least 98 percent of the maximum dry density as determined by the standard Proctor compaction test (SPMDD). Regardless of the SPMDD, all fill should be compacted to a minimum dry density of 95 pounds per cubic foot (pcf). Inspection should be performed, and may include proofrolling as described above, prior to placement of base, to confirm that the competency of the subgrade has not been degraded by construction and/or weather.

During fill placement, the soil moisture should be maintained within 3 percent of the optimum moisture content as determined by ASTM D698. The contractor should be prepared to adjust moisture contents by wetting or drying of the soils. Laboratory testing of proposed fill soils will be necessary during site grading to evaluate the compaction characteristics of the fill. Fill placement should be witnessed by a qualified soils technician under the direction of a geotechnical engineer. Areas that do not meet the compaction specifications should be recompacted to achieve the specified density and retested for compliance.

3.4 PAVEMENT

If the subgrade is prepared as outlined above, pavement may be designed based on a CBR value of 4. A uniform, well drained subgrade is required for long pavement life. We recommend that pavement include a base course of a minimum of 4 inches of GDOT standard type 2 Graded Aggregate Base (GAB). GAB should be compacted to at least 98 percent of modified proctor (ASTM D1557) maximum dry density. GAB will provide drainage, increase the pavement structural value, and filter subgrade soils to reduce the risk of pumping soils through pavement joints.

Since subgrade will slope to drain, the GAB should be provided with outlets to allow water to exit, either by daylighting of GAB behind curbs or with filtered openings from the lower portion of

the GAB into drop inlets. Care should be taken to prevent backing up of stormwater into the GAB. Changes in pavement section thickness should be implemented so as not to create traps in the GAB over the subgrade where water could collect. The clayey soils will not allow significant infiltration but will become wetted, saturated, and weakened if water is trapped over them.

Pavement design should consider anticipated traffic and loads. Shear and punching loads due to tracks, jacks, pallets and other heavy, rigid, and concentrated loads should be considered. Concrete pavement is generally better at withstanding such loads than flexible (asphalt). We recommend a minimum section of 8 inches of concrete to reduce the potential for cracking due to isolated loads, but thicker sections may be required for frequent, heavy loads. AASHTO and ACI pavement design methods, intended for pneumatic tire loads, may not be appropriate for equipment loads. However, we recommend that, at a minimum, joint spacing, reinforcing, and dowelling meeting ACI be incorporated in the pavement.

Pavement maintenance will be key to long term performance. Joints seals should be maintained to limit moisture penetration through the pavement. Cracks or damaged areas should be repaired expeditiously. Saturation of subgrade soils through open joints, cracks, or potholes will reduce the subgrade support and lead to pavement distress and damage rapidly.

3.5 Limestone Solutioning

Bedrock at the DDAG site is limestone. Where limestone or other calcareous materials occur in the subsurface, there can be a risk of sinkhole formation due to openings in the limestone created by solutioning of the limestone. Significant solutioning of limestone takes thousands of years and will not occur in the anticipated project life. Sinkhole formation is rather caused by overburden soils raveling into pre-existing openings in the rock. Raveling is normally caused by changes in the stress condition of the soil, primarily by dramatic changes in the groundwater level. Available exploratory technologies (geotechnical or geophysical) cannot reliably detect small openings in the limestone, especially at depth. Sinkholes have occurred in the greater Albany area and are typically triggered by lowering of groundwater, generally due to drought and/or substantial groundwater extraction.

During demolition and grading, the geotechnical engineer should observe the exposed surface for evidence of voids or void fills. If such are encountered, they should be treated per the recommendations of the geotechnical engineer, which will depend on the location relative to planned structures and other factors. Treatment will likely involve overexcavation to some depth and sealing by placement of clayey engineered fill to bridge over the sinkhole and reduce infiltration. If the sinkhole occurs close to or beneath a planned foundation, undercutting may be deeper and reinforcement with geogrids may also be considered.

3.6 Construction Quality Control

We recommend that the geotechnical engineer or his representative be present during construction for the following inspection activities:

- Observe Site soils during demolition and prior to placement of pavements to confirm materials exposed are as anticipated and recommend treatment as needed.
- Observe fill placement and conduct tests to confirm specified compaction.
- Observe pavement installation and conduct tests to confirm specified compaction.
- Address unanticipated geotechnical conditions that impact the work.

4 QUALIFICATIONS OF RECOMMENDATIONS

Our evaluation of geotechnical conditions has been based on our understanding of the site, the available project information, our previous experience relevant to the project site, our assumptions and the data obtained during our field exploration as described herein. The geotechnical recommendations in this report have been developed on the basis of the previously described project characteristics and subsurface conditions.

Our professional services have been performed, our findings derived, and our recommendations prepared in accordance with generally accepted geotechnical engineering principles and practices. This warranty is in lieu of all other warranties either expressed or implied. This company is not responsible for the conclusions, opinions or recommendations of others based on these data.

5 CLOSING

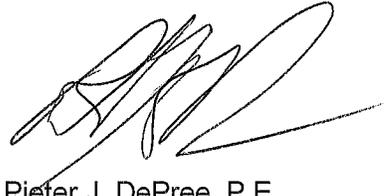
We appreciate the opportunity to assist you on this project. Please contact us if you have any questions or require further information.

Sincerely,

AMEC Environment & Infrastructure, Inc.

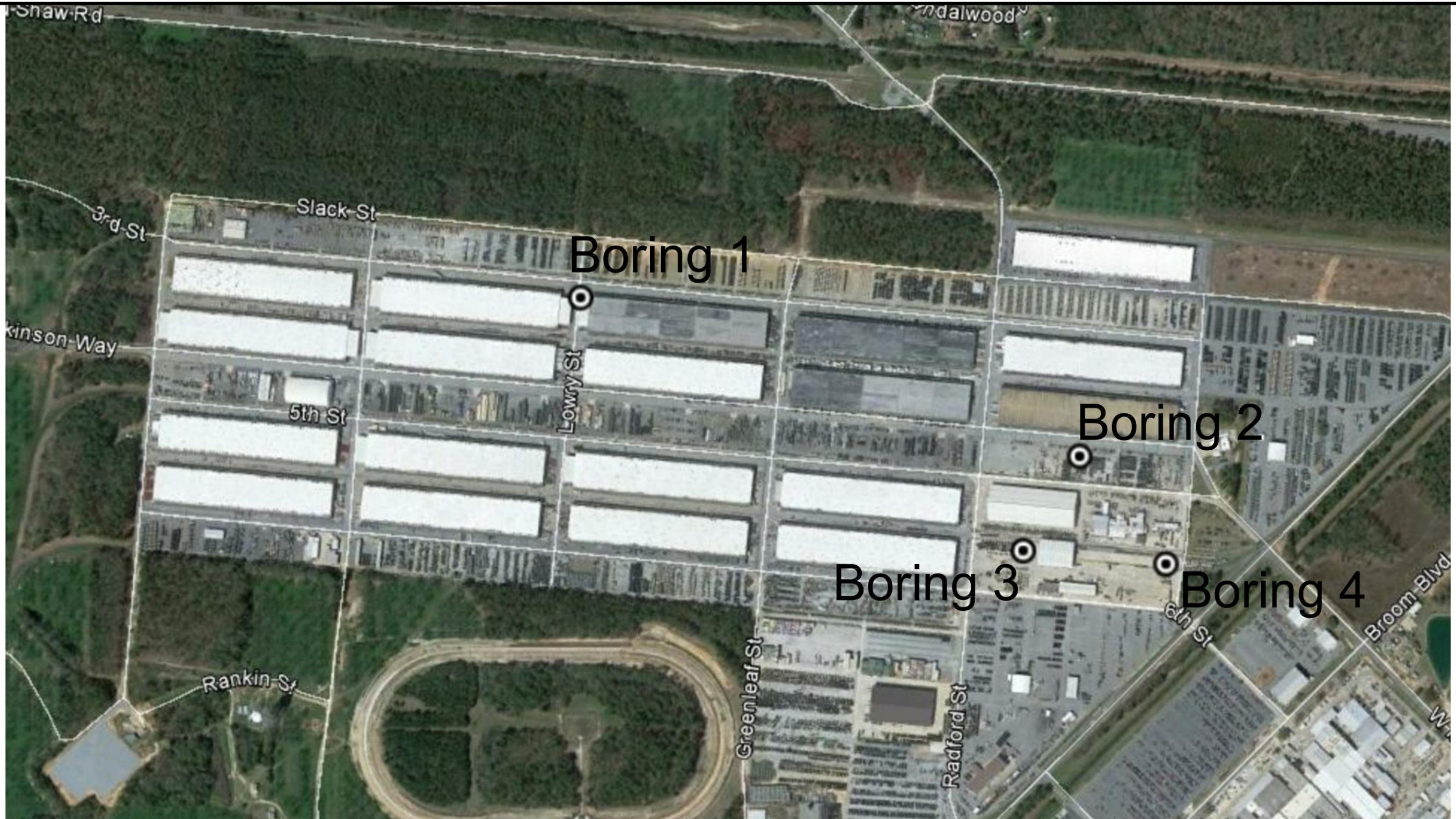


Daniel K. Ramsey
Staff Engineer

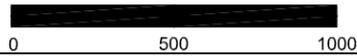


Pieter J. DePree, P.E.
Principal Engineer

Encl.
Boring Location Plan
Field Procedures
Key To Symbols
Boring Records (4)
ASFE Information



SCALE IN FEET



LEGEND

● APPROXIMATE SOIL TEST BORING LOCATION



Albany MCLB DDAG
Albany, Georgia



AMEC Environment & Infrastructure, Inc.
396 PLASTERS AVENUE NE
ATLANTA, GEORGIA 30324 (404) 873-4761

GEOTECHNICAL EXPLORATION
LOCATION PLAN

JOB NO. 6142-12-0092.DDAG.VALXOS FIGURE 1

PREPARED BY/DATE
DKR 7/16/2013

CHECKED BY/DATE

FIELD EXPLORATORY PROCEDURES

SOIL TEST BORING

Boring and sampling operations are conducted in general accordance with ASTM designation D 1586-67. Borings are advanced using continuous flight, hollow stem augers. At regular intervals, soil samples obtained with a standard 1.4-inch I.D., 2-inch O.D., split-tube sampler through the augers. The sampler is first seated six inches to penetrate any loose cuttings and then driven an additional foot with blows of a 140-pound hammer falling 30 inches. The number of hammer blows required to drive the sampler the final foot is recorded and is designated the "standard penetration resistance". The penetration resistance, when properly evaluated, is an index to the soil strength, consistency and ability to support foundations.

Representative portions of the soil samples are placed in glass jars and transported to the laboratory where they are examined to verify the driller's field classifications. Soil descriptions and penetration resistances are graphically presented on boring records.

LABORATORY TESTING PROCEDURES

SOIL PLASTICITY TEST

A representative sample of soil is tested to determine its plasticity characteristics as an indication of the shrink-swell potential. The soil's plastic index (PI) is representative of this characteristic and is bracketed by the liquid limit (LL) and the plastic limit (PL). The LL is the moisture content at which the soil will flow as a heavy viscous fluid. The PL is the moisture content at which the soil begins to lose its plasticity. These determinations are in general accordance with ASTM D 4318.

MOISTURE CONTENT

The moisture content of soil is defined as the weight of water in a given soil mass divided by the weight of dry soil solids in the same mass. Natural moisture contents are determined in accordance with ASTM designation D 2216.

MAJOR DIVISIONS			GROUP SYMBOLS	TYPICAL NAMES	Undisturbed Sample	Auger Cuttings		
COARSE GRAINED SOILS (More than 50% of material is LARGER than No. 200 sieve size)	GRAVELS (More than 50% of coarse fraction is LARGER than the No. 4 sieve size)	CLEAN GRAVELS (Little or no fines)	GW	Well graded gravels, gravel - sand mixtures, little or no fines.		Bulk Sample		
			GP	Poorly graded gravels or gravel - sand mixtures, little or no fines.			Crandall Sampler	
		GRAVELS WITH FINES (Appreciable amount of fines)	GM	Silty gravels, gravel - sand - silt mixtures.		Dilatometer		Pressure Meter
			GC	Clayey gravels, gravel - sand - clay mixtures.		Packer		No Recovery
	SANDS (More than 50% of coarse fraction is SMALLER than the No. 4 Sieve Size)	CLEAN SANDS (Little or no fines)	SW	Well graded sands, gravelly sands, little or no fines.			Water Table at time of boring	Water Table after 24 hours
			SP	Poorly graded sands or gravelly sands, little or no fines.			Correlation of Standard Penetration Resistance with Relative Density and Consistency	
		SANDS WITH FINES (Appreciable amount of fines)	SM	Silty sands, sand - silt mixtures	SAND & GRAVEL			
			SC	Clayey sands, sand - clay mixtures.	No. of Blows	Relative Density	No. of Blows	Consistency
	FINE GRAINED SOILS (More than 50% of material is SMALLER than No. 200 sieve size)	SILTS AND CLAYS (Liquid limit LESS than 50)	ML	Inorganic silts and very fine sands, rock flour, silty of clayey fine sands or clayey silts and with slight plasticity.	0 - 4	Very Loose	0 - 2	Very Soft
			CL	Inorganic lays of low to medium plasticity, gravelly clays, sandy clays, silty clays, lean clays.	5 - 10	Loose	3 - 4	Soft
OL			Organic silts and organic silty clays of low plasticity.	11 - 30	Medium Dense	5 - 8	Firm	
				31 - 50	Dense	9 - 15	Stiff	
				Over 50	Very Dense	16 - 30	Very Stiff	
SILTS AND CLAYS (Liquid limit GREATER than 50)		MH	Inorganic silts, micaceous or diatomaceous fine sandy or silty soils, elastic silts.			31 - 50	Hard	
		CH	Inorganic clays of high plasticity, fat clays			Over 50	Very Hard	
		OH	Organic clays of medium to high plasticity, organic silts.	Correlation of Dynamic Cone Penetration Resistance with Relative Density and Consistency (Piedmont Residual Soils)				
								SAND & GRAVEL
				No. of Blows	Relative Density	No. of Blows	Consistency	
		0 - 4	Very Loose	0 - 2	Very Soft			
		5 - 15	Loose	3 - 4	Soft			
HIGHLY ORGANIC SOILS			PT	Peat and other highly organic soils.	16 - 30	Medium Dense	5 - 10	Firm
FILL				Fill			11 - 30	Stiff

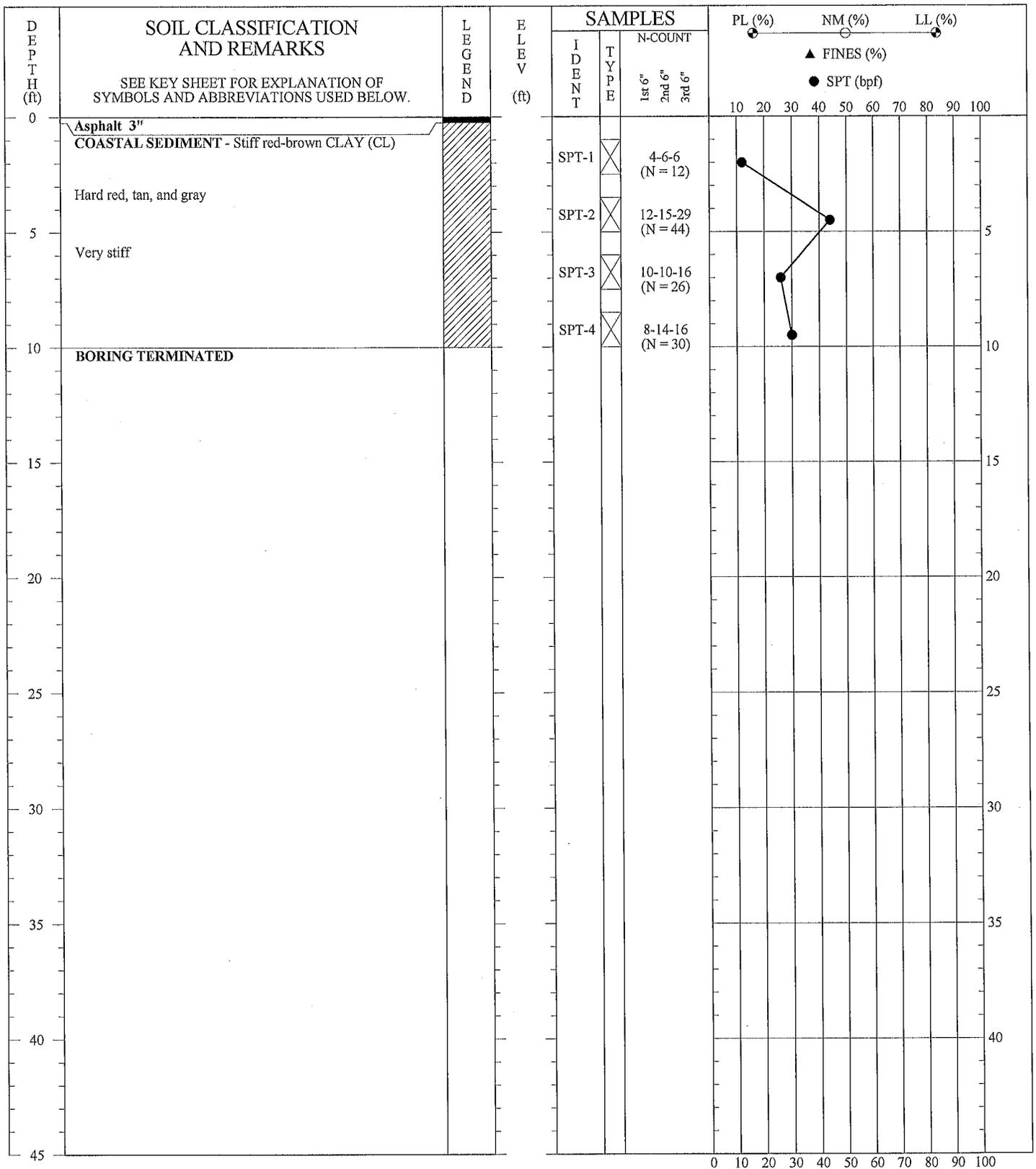
BOUNDARY CLASSIFICATIONS: Soils possessing characteristics of two groups are designated by combinations of group symbols.

SILT OR CLAY	SAND			GRAVEL			Cobbles Boulders
	Fine	Medium	Coarse	Fine	Coarse		
	No.200	No.40	No.10 No.4	3/4"	3"	12"	

U.S. STANDARD SIEVE SIZE

KEY TO SYMBOLS AND DESCRIPTIONS





DRILLER: Premier
 EQUIPMENT: CME-550 (Auto-Hammer)
 METHOD: 2 1/4" HSA
 HOLE DIA.: 6 inches
 REMARKS: No Groundwater Encountered At Time Of Boring
 PREPARED BY: *DKL* CHECKED BY: *POB*

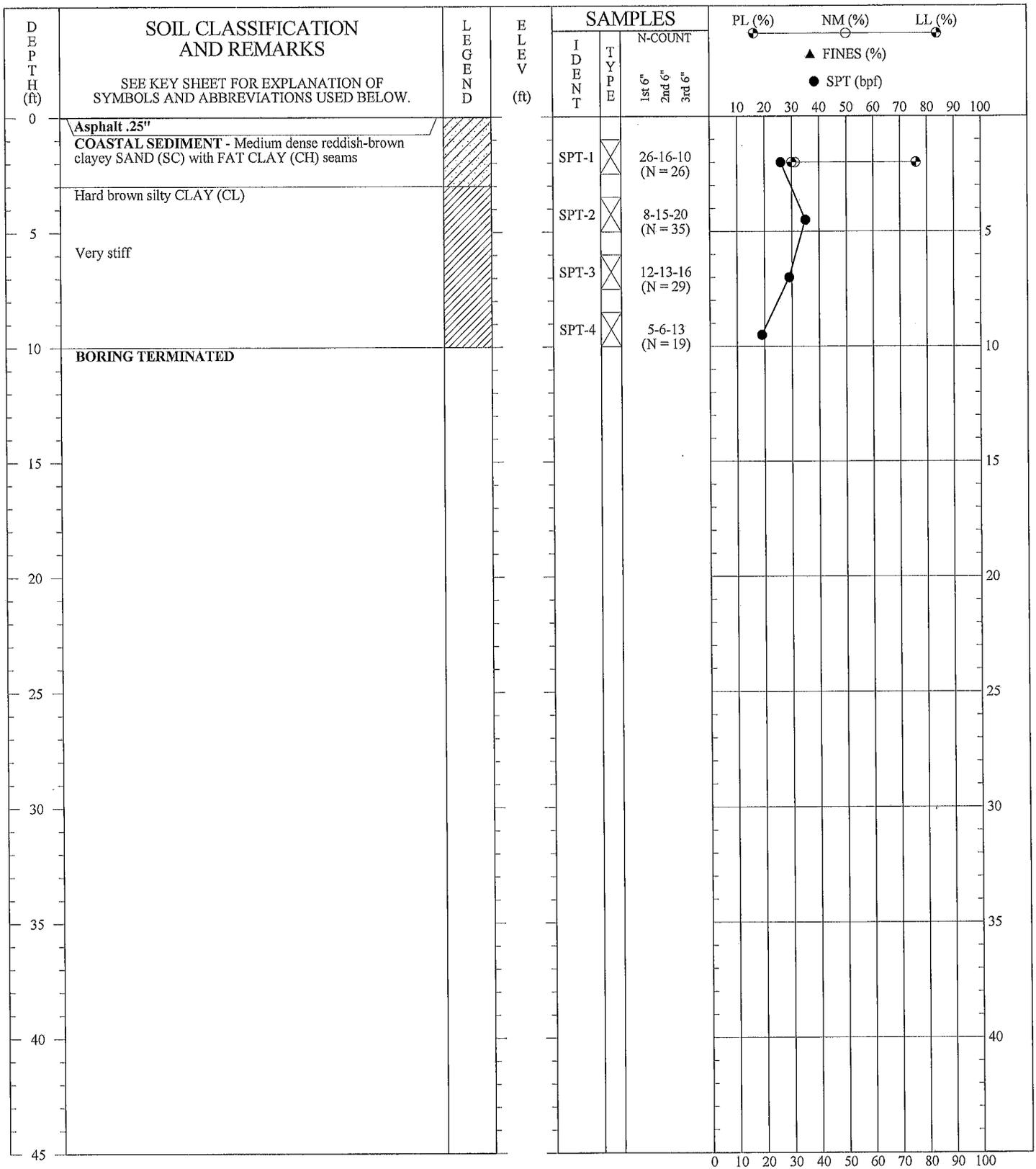
SOIL TEST BORING RECORD

BORING NO.: B-1
PROJECT: Albany MCLB DDAG
LOCATION: Albany, Georgia
DRILLED: 7/9/2013
PROJECT NO: 6142-12-0092

PAGE 1 OF 1

THIS RECORD IS A REASONABLE INTERPRETATION OF
 SUBSURFACE CONDITIONS AT THE EXPLORATION
 LOCATION. SUBSURFACE CONDITIONS AT OTHER
 LOCATIONS AND AT OTHER TIMES MAY DIFFER.
 INTERFACES BETWEEN STRATA ARE APPROXIMATE.
 TRANSITIONS BETWEEN STRATA MAY BE GRADUAL.





DRILLER: Premier
 EQUIPMENT: CME-550 (Auto-Hammer)
 METHOD: 2 1/4" HSA
 HOLE DIA.: 6 inches
 REMARKS: No Groundwater Encountered At Time Of Boring
 PREPARED BY: *DKR* CHECKED BY:

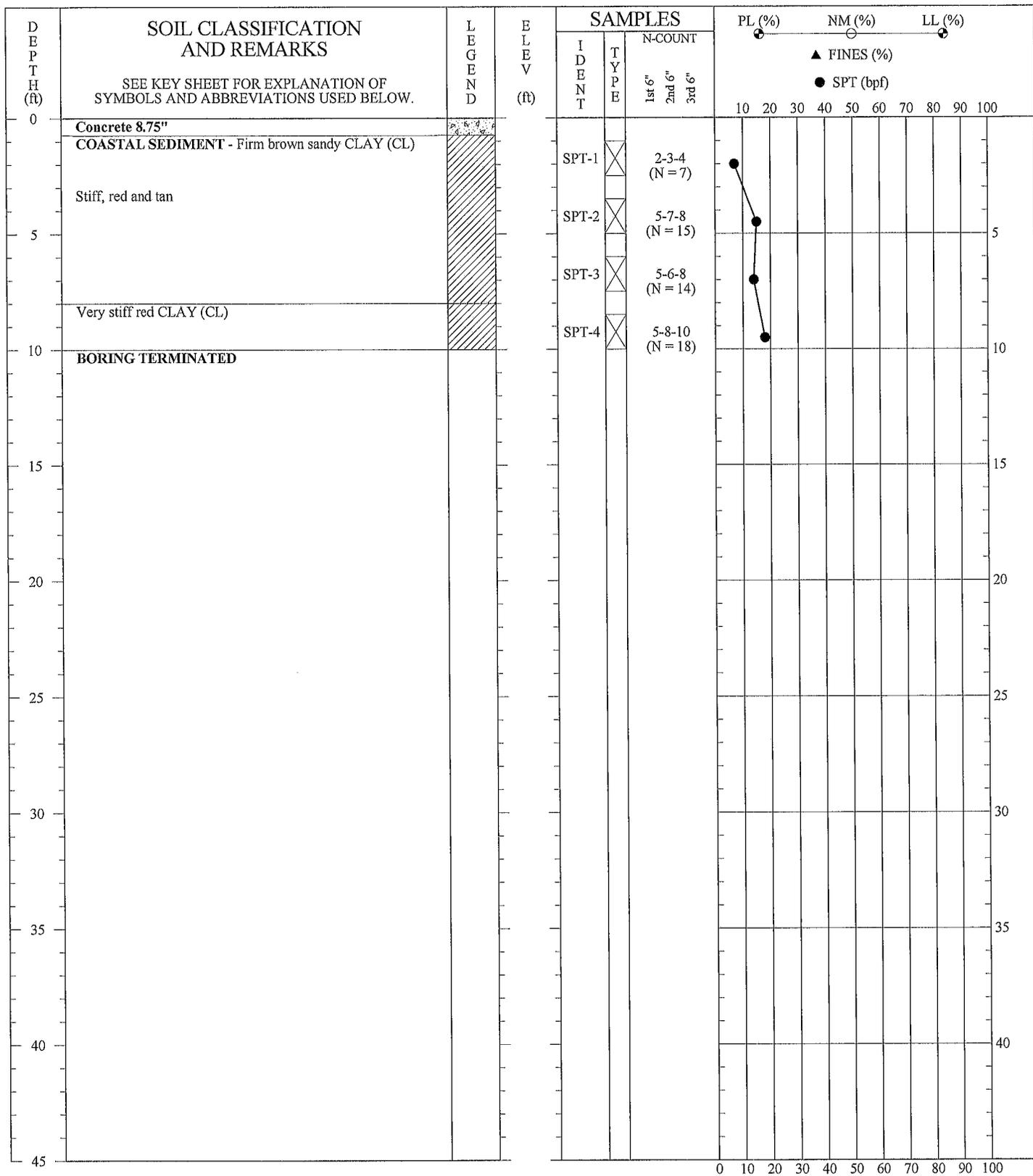
SOIL TEST BORING RECORD

BORING NO.: B-2
PROJECT: Albany MCLB DDAG
LOCATION: Albany, Georgia
DRILLED: 7/9/2013
PROJECT NO: 6142-12-0092

PAGE 1 OF 1

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DRILLER: Premier
 EQUIPMENT: CME-550 (Auto-Hammer)
 METHOD: 2 1/4" HSA
 HOLE DIA.: 6 inches
 REMARKS: No Groundwater Encountered At Time Of Boring
 PREPARED BY: *DWR* CHECKED BY:

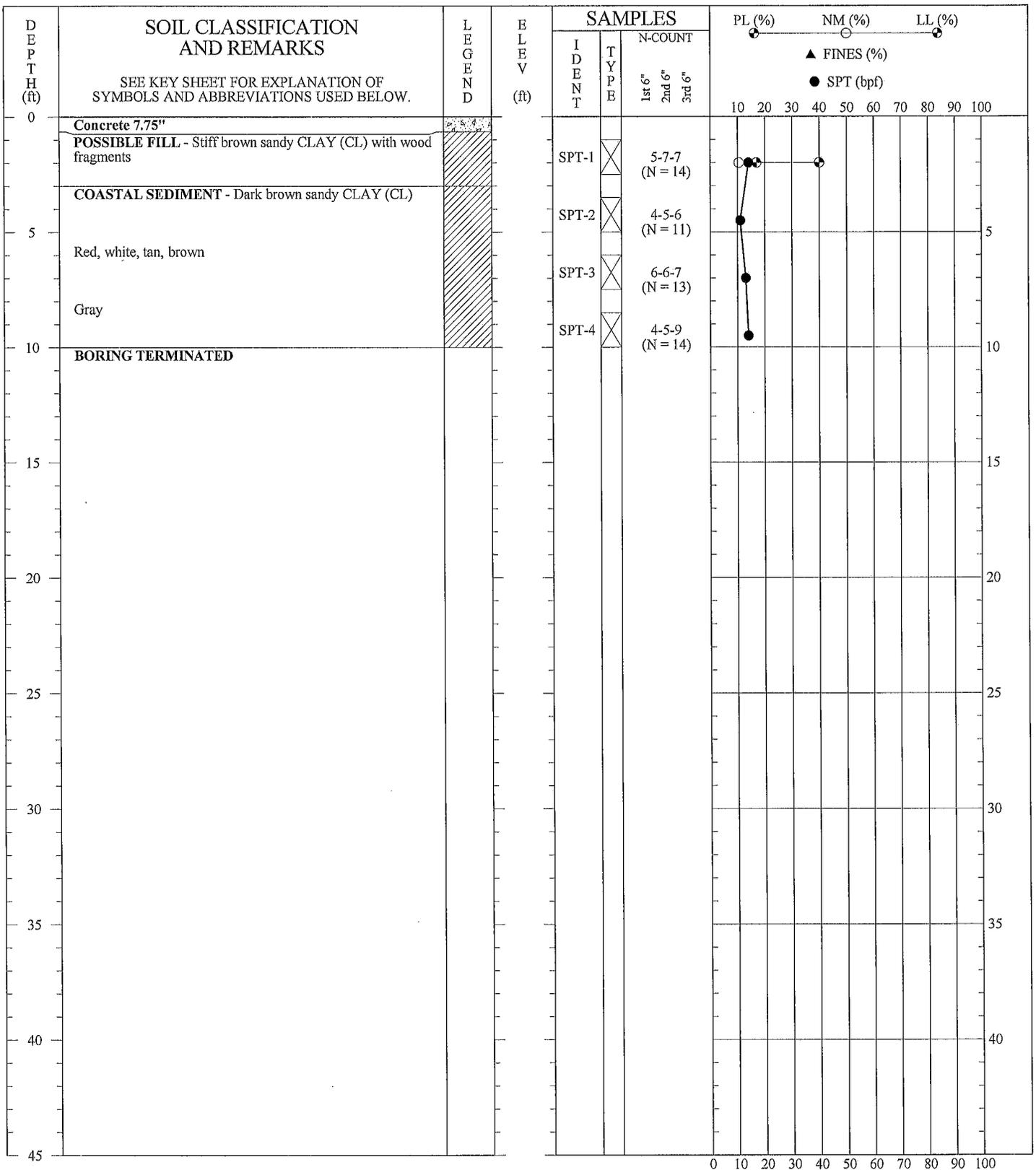
SOIL TEST BORING RECORD

BORING NO.: B-3
PROJECT: Albany MCLB DDAG
LOCATION: Albany, Georgia
DRILLED: 7/9/2013
PROJECT NO.: 6142-12-0092

PAGE 1 OF 1

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DRILLER: Premier
 EQUIPMENT: CME-550 (Auto-Hammer)
 METHOD: 2 1/4" HSA
 HOLE DIA.: 6 inches
 REMARKS: No Groundwater Encountered At Time Of Boring
 PREPARED BY: *DWR* CHECKED BY:

SOIL TEST BORING RECORD

BORING NO.: B-4
PROJECT: Albany MCLB DDAG
LOCATION: Albany, Georgia
DRILLED: 7/9/2013
PROJECT NO: 6142-12-0092

PAGE 1 OF 1

THIS RECORD IS A REASONABLE INTERPRETATION OF
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Important Information About Your Geotechnical Engineering Report

Subsurface problems are a principal cause of construction delays, cost overruns, claims, and disputes.

The following information is provided to help you manage your risks.

Geotechnical Services Are Performed for Specific Purposes, Persons, and Projects

Geotechnical engineers structure their services to meet the specific needs of their clients. A geotechnical engineering study conducted for a civil engineer may not fulfill the needs of a construction contractor or even another civil engineer. Because each geotechnical engineering study is unique, each geotechnical engineering report is unique, prepared *solely* for the client. No one except you should rely on your geotechnical engineering report without first conferring with the geotechnical engineer who prepared it. *And no one — not even you — should apply the report for any purpose or project except the one originally contemplated.*

Read the Full Report

Serious problems have occurred because those relying on a geotechnical engineering report did not read it all. Do not rely on an executive summary. Do not read selected elements only.

A Geotechnical Engineering Report Is Based on A Unique Set of Project-Specific Factors

Geotechnical engineers consider a number of unique, project-specific factors when establishing the scope of a study. Typical factors include: the client's goals, objectives, and risk management preferences; the general nature of the structure involved, its size, and configuration; the location of the structure on the site; and other planned or existing site improvements, such as access roads, parking lots, and underground utilities. Unless the geotechnical engineer who conducted the study specifically indicates otherwise, do not rely on a geotechnical engineering report that was:

- not prepared for you,
- not prepared for your project,
- not prepared for the specific site explored, or
- completed before important project changes were made.

Typical changes that can erode the reliability of an existing geotechnical engineering report include those that affect:

- the function of the proposed structure, as when it's changed from a parking garage to an office building, or from a light industrial plant to a refrigerated warehouse,

- elevation, configuration, location, orientation, or weight of the proposed structure,
- composition of the design team, or
- project ownership.

As a general rule, *always* inform your geotechnical engineer of project changes—even minor ones—and request an assessment of their impact. *Geotechnical engineers cannot accept responsibility or liability for problems that occur because their reports do not consider developments of which they were not informed.*

Subsurface Conditions Can Change

A geotechnical engineering report is based on conditions that existed at the time the study was performed. *Do not rely on a geotechnical engineering report* whose adequacy may have been affected by: the passage of time; by man-made events, such as construction on or adjacent to the site; or by natural events, such as floods, earthquakes, or groundwater fluctuations. *Always* contact the geotechnical engineer before applying the report to determine if it is still reliable. A minor amount of additional testing or analysis could prevent major problems.

Most Geotechnical Findings Are Professional Opinions

Site exploration identifies subsurface conditions only at those points where subsurface tests are conducted or samples are taken. Geotechnical engineers review field and laboratory data and then apply their professional judgment to render an opinion about subsurface conditions throughout the site. Actual subsurface conditions may differ—sometimes significantly—from those indicated in your report. Retaining the geotechnical engineer who developed your report to provide construction observation is the most effective method of managing the risks associated with unanticipated conditions.

A Report's Recommendations Are *Not* Final

Do not overrely on the construction recommendations included in your report. *Those recommendations are not final*, because geotechnical engineers develop them principally from judgment and opinion. Geotechnical engineers can finalize their recommendations only by observing actual

subsurface conditions revealed during construction. *The geotechnical engineer who developed your report cannot assume responsibility or liability for the report's recommendations if that engineer does not perform construction observation.*

A Geotechnical Engineering Report Is Subject to Misinterpretation

Other design team members' misinterpretation of geotechnical engineering reports has resulted in costly problems. Lower that risk by having your geotechnical engineer confer with appropriate members of the design team after submitting the report. Also retain your geotechnical engineer to review pertinent elements of the design team's plans and specifications. Contractors can also misinterpret a geotechnical engineering report. Reduce that risk by having your geotechnical engineer participate in prebid and preconstruction conferences, and by providing construction observation.

Do Not Redraw the Engineer's Logs

Geotechnical engineers prepare final boring and testing logs based upon their interpretation of field logs and laboratory data. To prevent errors or omissions, the logs included in a geotechnical engineering report should *never* be redrawn for inclusion in architectural or other design drawings. Only photographic or electronic reproduction is acceptable, *but recognize that separating logs from the report can elevate risk.*

Give Contractors a Complete Report and Guidance

Some owners and design professionals mistakenly believe they can make contractors liable for unanticipated subsurface conditions by limiting what they provide for bid preparation. To help prevent costly problems, give contractors the complete geotechnical engineering report, *but* preface it with a clearly written letter of transmittal. In that letter, advise contractors that the report was not prepared for purposes of bid development and that the report's accuracy is limited; encourage them to confer with the geotechnical engineer who prepared the report (a modest fee may be required) and/or to conduct additional study to obtain the specific types of information they need or prefer. A prebid conference can also be valuable. *Be sure contractors have sufficient time to perform additional study.* Only then might you be in a position to give contractors the best information available to you, while requiring them to at least share some of the financial responsibilities stemming from unanticipated conditions.

Read Responsibility Provisions Closely

Some clients, design professionals, and contractors do not recognize that geotechnical engineering is far less exact than other engineering disciplines. This lack of understanding has created unrealistic expectations that

have led to disappointments, claims, and disputes. To help reduce the risk of such outcomes, geotechnical engineers commonly include a variety of explanatory provisions in their reports. Sometimes labeled "limitations" many of these provisions indicate where geotechnical engineers' responsibilities begin and end, to help others recognize their own responsibilities and risks. *Read these provisions closely.* Ask questions. Your geotechnical engineer should respond fully and frankly.

Geoenvironmental Concerns Are Not Covered

The equipment, techniques, and personnel used to perform a *geoenvironmental* study differ significantly from those used to perform a *geotechnical* study. For that reason, a geotechnical engineering report does not usually relate any geoenvironmental findings, conclusions, or recommendations; e.g., about the likelihood of encountering underground storage tanks or regulated contaminants. *Unanticipated environmental problems have led to numerous project failures.* If you have not yet obtained your own geoenvironmental information, ask your geotechnical consultant for risk management guidance. *Do not rely on an environmental report prepared for someone else.*

Obtain Professional Assistance To Deal with Mold

Diverse strategies can be applied during building design, construction, operation, and maintenance to prevent significant amounts of mold from growing on indoor surfaces. To be effective, all such strategies should be devised for the *express purpose* of mold prevention, integrated into a comprehensive plan, and executed with diligent oversight by a professional mold prevention consultant. Because just a small amount of water or moisture can lead to the development of severe mold infestations, a number of mold prevention strategies focus on keeping building surfaces dry. While groundwater, water infiltration, and similar issues may have been addressed as part of the geotechnical engineering study whose findings are conveyed in this report, the geotechnical engineer in charge of this project is not a mold prevention consultant; ***none of the services performed in connection with the geotechnical engineer's study were designed or conducted for the purpose of mold prevention. Proper implementation of the recommendations conveyed in this report will not of itself be sufficient to prevent mold from growing in or on the structure involved.***

Rely, on Your ASFE-Member Geotechnical Engineer for Additional Assistance

Membership in ASFE/The Best People on Earth exposes geotechnical engineers to a wide array of risk management techniques that can be of genuine benefit for everyone involved with a construction project. Confer with you ASFE-member geotechnical engineer for more information.



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e-mail: info@asfe.org www.asfe.org

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