



WORK ORDER NO.1470866

APPROPRIATION: Special Projects

# OVERHAUL BOILER #1, BLDG 7CC

At

NAVAL STATION NEWPORT RHODE ISLAND

**SPECIFICATIONS PREPARED BY:**

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Signature

October 28, 2016  
Date

Specs Accepted by CI Team Lead/PMEB

Signature

Date

Design Manager

Signature

Date



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SECTION 01 11 00

SUMMARY OF WORK  
08/15

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Utility Outage Requests  
Utility Connection Requests  
Welding Permits

1.2 WORK COVERED BY CONTRACT DOCUMENTS

1.2.1 Project Description

Overhaul Boiler No. 1 at Building No.7, Coddington Cove (CC), the central thermal plant for Naval Station Newport. The boiler was originally constructed in 1959 and has been in operation up through last heating season. The overhaul shall replace the failed and deficient tubular components of Boiler No. 1 and related equipment, specifically the removal and replacement of all boiler tubes, refractory, baffles, machining repair of waterwall and superheater header hand holes and drum manholes, and removal, reinstallation and repair of boiler skin as necessary for proper performance of work. This does not include major pressure parts, including steam drum and mud drum, or headers should more than resurfacing of sealing surfaces be required; further, other boiler work such as burner or burner control upgrades is specifically excluded, and this project does not include other balance-of-plant work. Hazardous materials in the excavation area will be abated in accordance with the project documents.

1.2.2 Location

The work is located at the Naval Station Newport, Newport, RI, approximately as indicated. The exact location will be shown by the Contracting Officer.

1.3 OCCUPANCY OF PREMISES

Building(s) will be occupied during performance of work under this Contract. Occupancy notifications will be posted in a prominent location in the work area.

Before work is started, arrange with the Contracting Officer a sequence of procedure, means of access, space for storage of materials and equipment, and use of approaches, corridors, and stairways.

1.4 EXISTING WORK

In addition to "FAR 52.236-9, Protection of Existing Vegetation, Structures, Equipment, Utilities, and Improvements":

- a. Remove or alter existing work in such a manner as to prevent injury or damage to any portions of the existing work which remain.
- b. Repair or replace portions of existing work which have been altered during construction operations to match existing or adjoining work, as approved by the Contracting Officer. At the completion of operations, existing work must be in a condition equal to or better than that which existed before new work started.

1.5 ON-SITE PERMITS

1.5.1 Utility Outage Requests and Utility Connection Requests

Schedule work to minimize outages. For utility outages and connections required during the execution of work that affect existing systems, schedule outside the regular working hours or on weekends, as approved by the Contracting Officer. Schedule utility outages and connections to minimize disruptions to the Government. No additional payment will be provided for utility outages and connections required to be performed outside the regular work hours.

The Contractor shall verify the elevations of existing piping, utilities, and any type of obstruction not indicated or specified to be removed, but indicated in locations to be transversed by piping, and other work to be installed. Verify elevations before installing new work.

Contracting Officer may permit utility outages at his discretion. Contractor shall not be entitled to additional payment for utility outages and connections required to be performed outside the regular work hours.

Requests for utility outages and connections shall be made in writing to the Contracting Officer at least 15 calendar days in advance of the time required. Each request shall state the system involved, area involved, approximate duration of outage, and the nature of work involved.

1.5.2 Borrow, Excavation, Welding, and Burning Permits

ACTIVITY	SUBMISSION DATE	SUBMISSION FORM
Welding Permits	As directed by the Contracting Officer	

Post permits at a conspicuous location in the construction area. Burning of trash or rubbish is not permitted on project site.

BOILER PLANT 7CC - BOILER NO. 1 OVERHAUL  
NAVAL STATION NEWPORT, RI

28 OCTOBER 2016  
EPROJECTS W.O. NO.: 1470866

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 14 00

WORK RESTRICTIONS

11/11

PART 1 GENERAL

1.1 SUBMITTALS

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SD-01 Preconstruction Submittals

List of Contact Personnel; G

1.2 SPECIAL SCHEDULING REQUIREMENTS

- a. The Contractor must be ready for operation as approved by Contracting Officer in conjunction with the Naval Station boiler shutdown schedule.
- b. Have materials, equipment, and personnel required to perform the work at the site prior to the commencement of the work.
- c. The job trailer will remain in operation during the entire construction period. The Contractor must conduct his operations so as to cause the least possible interference with normal operations of the activity.
- d. Permission to interrupt any Activity roads, parking, driveways, and/or utility service must be requested in writing prior to the desired date of interruption.  
  
(1) Utilities - 15 days
- e. The work under this contract requires special attention to the scheduling and conduct of the work in connection with existing operations. Identify on the construction schedule each factor which constitutes a potential interruption to operations.
- f. Work may not commence prior to 15 March, and shall be complete by 15 October to maintain required redundancy during heating season. All work on Boiler No. 1 shall be performed during period when steam is not required on Base. Work performed outside that period shall only be performed with permission of Contracting Officer, shall permit the operation of all other boilers, and shall not hinder the operation of other plant system.
- g. Because plant will be operational at start of project, proper lock-out, tag-out procedures and two-valve protection shall be required and critical.
- h. Plant operations will be shut down between 31 May and 1 September, but plant personnel may be on-site during this time to maintain, test, and inspect other equipment in the plant.

- i. Note that the NAVFAC Boiler Inspector may wish to coordinate a visual inspection during the construction period while boiler is open; Contractor shall not anticipate this would result in delays or other impacts to proper schedule.

### 1.3 CONTRACTOR ACCESS AND USE OF PREMISES

#### 1.3.1 Activity Regulations

Ensure that Contractor personnel employed on the Activity become familiar with and obey Activity regulations including safety, fire, traffic and security regulations. Keep within the limits of the work and avenues of ingress and egress. Wear hard hats in designated areas. Do not enter any restricted areas unless required to do so and until cleared for such entry. Mark Contractor equipment for identification.

The Contractor will be required to apply for site access to Naval Station Newport. Project meetings will occur in location to be provided by the Contracting Officer at Naval Station Newport. Applications for access to Naval Station Newport shall use the NCACS Program or the NAVFAC Vetting Procedure. Refer to this section, paragraph 1.5.1.2 for guidance.

##### 1.3.1.1 Subcontractors and Personnel Contacts

Provide a [list of contact personnel](#) of the Contractor and subcontractors including addresses and telephone numbers for use in the event of an emergency. As changes occur and additional information becomes available, correct and change the information contained in previous lists.

##### 1.3.1.2 Identification Badges and Installation Access

Application for and use of badges will be as directed. Obtain access to the installation by participating in the Navy Commercial Access Control System (NCACS), or by obtaining passes each day from the Base Pass and Identification Office. Costs for obtaining passes through the NCACS are the responsibility of the Contractor. One-day passes, issued through the Base Pass and Identification Office, will be furnished without charge. Furnish a completed EMPLOYMENT ELIGIBILITY VERIFICATION (DHS FORM I-9) form for all personnel requesting badges. This form is available at <http://www.uscis.gov/portal/site/uscis> by searching or selecting Employment Verification (Form I-9). Immediately report instances of lost or stolen badges to the Contracting Officer.

- a. NCACS Program: NCACS is a voluntary program in which Contractor personnel who enroll, and are approved, are subsequently granted access to the installation for a period up to one year, or the length of the contract, whichever is less, and are not required to obtain a new pass from the Base Pass and Identification Office for each visit. The Government performs background screening and credentialing. Throughout the year the Contractor employee must continue to meet background screening standards. Periodic background screenings are conducted to verify continued NCACS participation and installation access privileges. Under the NCACS program, no commercial vehicle inspection is required, other than for Random Anti-Terrorism Measures (RAM) or in the case of an elevation of Force Protection Conditions (FPCON). Information on costs and requirements to participate and enroll in NCACS is available at <http://www.rapidgate.com> or by calling 1-877-727-4342. Contractors should be aware that the costs incurred

to obtain NCACS credentials, or costs related to any means of access to a Navy Installation, are not reimbursable. Any time invested, or price(s) paid, for obtaining NCACS credentials will not be compensated in any way or approved as a direct cost of any contract with the Department of the Navy.

- b. One-Day Passes: Participation in the NCACS is not mandatory, and if the Contractor chooses to not participate, the Contractor's personnel will have to obtain daily passes, be subject to daily mandatory vehicle inspection, and will have limited access to the installation. The Government will not be responsible for any cost or lost time associated with obtaining daily passes or added vehicle inspections incurred by non-participants in the NCACS.

#### 1.3.1.3 No Smoking Policy

Smoking is prohibited within and outside of all buildings on installation, except in designated smoking areas. This applies to existing buildings, buildings under construction and buildings under renovation. Discarding tobacco materials other than into designated tobacco receptacles is considered littering and is subject to fines. The Contracting Officer will identify designated smoking areas.

#### 1.3.2 Station Regulations

Ensure that Contractor personnel become familiar with and obey station regulations. Wear hard hats in designated areas. Do not enter any restricted areas unless required to do so and until cleared for such entry. The Contractor's equipment must be conspicuously marked for identification. Comply with the following conditions:

- a. Restrict employees/representatives to the work site and control travel directly to and from the work site.
- b. Restore all traffic/parking/security signs and markings, including space numbers, designations, and lines, to their original form if such signs/markings are defaced or deleted during construction/repair.
- c. Be responsible for control and security of Contractor-owned equipment and materials at the work site. Report immediately missing/lost/stolen property to the Station Police Department as each case occurs.
- d. Ensure that no material is stacked within 10 feet of the perimeter. Remove from the work site, or secure ladders or other such equipment which could be used to climb the fence. Ensure that no vehicles are parked within 10 feet of the perimeter.
- e. Ensure that no openings in the roof/walls/windows/fence of the building exist at the end of the work day and do not exist where penetration is possible during non-working hours. If the building cannot be secured at the end of the workday, coordinate action with the Contracting Office to notify the cognizant code to arrange for a security watch by their personnel.
- f. Seventy-two hours prior to making any penetrations (such as tunneling under, cutting through a fence or building) in a restricted area, contact the Security Office to make arrangements for a security guard or other measures required to meet all security requirements. Cost of

security guard will be charged to the Contractor.

### 1.3.3 Working Hours

Regular working hours must consist of an 8 1/2 hour period established by the Contractor Officer, Monday through Friday, excluding Government holidays.

### 1.3.4 Work Outside Regular Hours

Work outside regular working hours requires Contracting Officer approval. Make application 15 calendar days prior to such work to allow arrangements to be made by the Government for inspecting the work in progress, giving the specific dates, hours, location, type of work to be performed, contract number and project title. Based on the justification provided, the Contracting Officer may approve work outside regular hours. During periods of darkness, the different parts of the work must be lighted in a manner approved by the Contracting Officer.

### 1.3.5 Occupied and Existing Building

The Contractor shall be working in an existing building and around existing buildings which are occupied. Do not enter the building without prior approval of the Contracting Officer.

The existing buildings and their contents must be kept secure at all times. Provide temporary closures as required to maintain security as directed by the Contracting Officer.

Provide dust covers or protective enclosures to protect existing work that remains and Government material located in the steam service entrance during the construction period.

The Government will remove and relocate other Government property in the areas of the building scheduled to receive work.

### 1.3.6 Utility Cutovers and Interruptions

- a. Make utility cutovers and interruptions after normal working hours or on Saturdays, Sundays, and Government holidays. Conform to procedures required in the paragraph "Work Outside Regular Hours."
- b. Ensure that new utility lines are complete, except for the connection, before interrupting existing service.
- c. Interruption to water, sanitary sewer, storm sewer, telephone service, electric service, air conditioning, heating, fire alarm, compressed air, and natural gas are considered utility cutovers pursuant to the paragraph entitled "Work Outside Regular Hours." The expected length of interruption shall be defined in the request for utility outage. This time limit includes time for deactivation and reactivation.
- d. Operation of Station Utilities: The Contractor must not operate nor disturb the setting of control devices in the station utilities system, including water, sewer, electrical, and steam services. The Government will operate the control devices as required for normal conduct of the work. The Contractor must notify the Contracting Officer giving reasonable advance notice when such operation is required.

1.3.7 [Enter Appropriate Subpart Title Here]Radioactive Materials and Equipment

No radiation sources including but not limited to ionizing sources, neutron beta, and gamma sources, are allowed onto Naval Station Newport unless documentation is approved by the Environmental Department. All testing equipment, containing a radioactive source, must be operated in accordance with an approved radioactive equipment plan. This plan must be submitted to the Contracting Officer and approved by the Radiation Officer (Code 105.5), prior to bringing the equipment onto Naval Station Newport. This plan must include:

- a. The name and type of equipment and the most recent leak test results.
- b. A radiation plan including safety procedures to be followed and identification of the contractors RSO.
- c. The type, size and strength of radiation source.
- d. The dates and locations of the equipment's usage.
- e. The radiological controls that the Contractor will use while operating the equipment.
- f. Certification for each individual using a radiation source.
- g. Calculations showing where the 2 mrem/hour boundary will be placed during operation.

A different radioactive equipment plan will be required for each different type of equipment, type of radioactive source, or size of radioactive source. A data sheet of for each piece of new radioactive equipment must be submitted to the Contracting Officer to forward to the shipyard's Radiation Safety Officer. The data sheet must contain the following information:

- a. Name of equipment.
- b. Name and address of equipment manufacturer.
- c. Type and size of radiation source.
- d. The location of the installed radioactive equipment (i.e. building no., floor, code/shop area).

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 20 00.00 20

PRICE AND PAYMENT PROCEDURES

11/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EP-1110-1-8

(2009) Construction Equipment Ownership  
and Operating Expense Schedule

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Schedule of Prices; G

1.3 SCHEDULE OF PRICES

1.3.1 Data Required

Within 15 calendar days of notice of award, prepare and deliver to the Contracting Officer a Schedule of Prices (construction contract) as directed by the Contracting Officer. Provide a detailed breakdown of the contract price, giving quantities for each of the various kinds of work, unit prices, and extended prices. Costs shall be summarized and totals provided for each construction category.

1.3.2 Schedule Instructions

Payments will not be made until the Schedule of Prices has been submitted to and accepted by the Contracting Officer. Identify the cost for each Definable Feature of Work (DFOW) by line item.

1.4 CONTRACT MODIFICATIONS

In conjunction with the Contract Clause "DFARS 252.236-7000, Modification Proposals-Price Breakdown," and where actual ownership and operating costs of construction equipment cannot be determined from Contractor accounting records, equipment use rates shall be based upon the applicable provisions of the EP-1110-1-8.

1.5 CONTRACTOR'S INVOICE AND CONTRACT PERFORMANCE STATEMENT

1.5.1 Content of Invoice

Requests for payment will be processed in accordance with the Contract Clause FAR 52.232-27, Prompt Payment Construction Contracts and FAR 52.232-5, Payments Under Fixed-Price Construction Contracts. The requests for payment shall include the documents listed below.

- a. The Contractor's invoice, on NAVFAC Form 7300/30 furnished by the Government, showing in summary form, the basis for arriving at the amount of the invoice. Form 7300/30 shall include certification by Quality Control (QC) Manager as required by the contract.
- b. The Estimate for Voucher/ Contract Performance Statement on NAVFAC Form 7300/31 furnished by the Government, showing in detail: the estimated cost, percentage of completion, and value of completed performance.
- c. Updated Project Schedule and reports required by the contract.
- d. Contractor Safety Self Evaluation Checklist.
- e. Other supporting documents as requested.
- f. Updated copy of submittal register.
- g. Invoices not completed in accordance with contract requirements will be returned to the Contractor for correction of the deficiencies.
- h. Contractor's Monthly Estimate for Voucher (NAVFAC LANT Form 4-330/110 (New 7/84)) with Subcontractor and supplier payment certification.
- i. Affidavit to accompany invoice (NAVFAC LANT NORVA Form 4-4235/4 (Rev.5/81)).
- j. Materials on Site.
- h. Monthly Work-hour report.
- i. Solid Waste Disposal Report.

1.5.2 Submission of Invoices

If NFAS Clause 5252.232-9301 is included in the contract, the documents listed in paragraph "CONTENT OF INVOICE" shall be provided in their entirety as attachments in Wide Area Work Flow (WAWF) for each invoice submitted. The maximum size of each WAWF attachment is two megabytes, but there are no limits on the number of attachments. If a document cannot be attached in WAWF due to system or size restriction it shall be provided as instructed by the Contracting Officer.

1.5.3 Final Invoice

- a. A final invoice shall be accompanied by the certification required by DFARS 252.247.7023 TRANSPORTATION OF SUPPLIES BY SEA, and the Contractor's Final Release. If the Contractor is incorporated, the Final Release shall contain the corporate seal. An officer of the

corporation shall sign and the corporate secretary shall certify the Final Release.

- b. For final invoices being submitted via WAWF, the original Contractor's Final Release Form and required certification of Transportation of Supplies by Sea must be provided directly to the respective Contracting Officer prior to submission of the final invoice. Once receipt of the original Final Release Form and required certification of Transportation of Supplies by Sea has been confirmed by the Contracting Officer, the Contractor shall then submit final invoice and attach a copy of the Final Release Form and required certification of Transportation of Supplies by Sea in WAWF.
- c. Final invoices not accompanied by the Contractor's Final Release and required certification of Transportation of Supplies by Sea will be considered incomplete and will be returned to the Contractor.

#### 1.6 PAYMENTS TO THE CONTRACTOR

Payments will be made on submission of itemized requests by the Contractor which comply with the requirements of this section, and will be subject to reduction for overpayments or increase for underpayments made on previous payments to the Contractor.

##### 1.6.1 Obligation of Government Payments

The obligation of the Government to make payments required under the provisions of this contract will, at the discretion of the Contracting Officer, be subject to reductions and/or suspensions permitted under the FAR and agency regulations including the following in accordance with "FAR 32.503-6:

- a. Reasonable deductions due to defects in material or workmanship;
- b. Claims which the Government may have against the Contractor under or in connection with this contract;
- c. Unless otherwise adjusted, repayment to the Government upon demand for overpayments made to the Contractor; and
- d. Failure to provide up to date record drawings not current as stated in Contract Clause "FAC 5252.236-9310, Record Drawings."

##### 1.6.2 Payment for Onsite and Offsite Materials

Progress payments may be made to the contractor for materials delivered on the site, for materials stored off construction sites, or materials that are in transit to the construction sites under the following conditions:

- a. FAR 52.232-5(b) Payments Under Fixed Price Construction Contracts.
- b. Materials delivered on the site but not installed, including completed preparatory work, and off-site materials to be considered for progress payment shall be major high cost, long lead, special order, or specialty items, not susceptible to deterioration or physical damage in storage or in transit to the construction site. Examples of materials acceptable for payment consideration include, but are not limited to, structural steel, non-magnetic steel, non-magnetic aggregate, equipment, machinery, large pipe and

fittings, precast/prestressed concrete products, plastic lumber (e.g., fender piles/curbs), and high-voltage electrical cable. Materials not acceptable for payment include consumable materials such as nails, fasteners, conduits, gypsum board, glass, insulation, and wall coverings.

- c. Materials to be considered for progress payment prior to installation shall be specifically and separately identified in the Contractor's estimates of work submitted for the Contracting Officer's approval in accordance with Schedule of Prices requirement of this contract. Requests for progress payment consideration for such items shall be supported by documents establishing their value and that the title requirements of the clause at FAR 52.232-5 have been met.
- d. Materials are adequately insured and protected from theft and exposure.
- e. Provide a written consent from the surety company with each payment request for offsite materials.
- f. Materials to be considered for progress payments prior to installation shall be stored either in Hawaii, Guam, Puerto Rico, or the Continental United States. Other locations are subject to written approval by the Contracting Officer.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 30 00

ADMINISTRATIVE REQUIREMENTS

08/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1

(2014) Safety and Health Requirements  
Manual

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

[SD-01 Preconstruction Submittals](#)

[View Location Map; G](#)

[Progress and Completion Pictures; G](#)

1.3 VIEW LOCATION MAP

Submit, prior to or with the first digital photograph submittals, a sketch or drawing indicating the required photographic locations. Update as required if the locations are moved.

1.4 PROGRESS AND COMPLETION PICTURES

Photographically document site conditions prior to start of construction operations. Provide monthly, and within one month of the completion of work, digital photographs, 1600x1200x24 bit true color [5 mega pixels](#) minimum resolution in JPEG file format showing the sequence and progress of work. Take a minimum of 20 digital photographs each week throughout the entire project from a minimum of ten views from points located by the Contracting Officer. Submit with the monthly invoice two sets of digital photographs, each set on a separate compact disc (CD) or data versatile disc (DVD), cumulative of all photos to date. Indicate photographs demonstrating environmental procedures. Provide photographs for each month in a separate monthly directory and name each file to indicate its location on the view location sketch. Also provide the view location sketch on the CD or DVD as a digital file. Include a date designator in file names. Cross reference submittals in the appropriate daily report. Photographs provided are for unrestricted use by the Government.

[Note: The Contractor will be required to obtain a camera pass as directed by the Contracting Officer.](#)

#### 1.5 MINIMUM INSURANCE REQUIREMENTS

Procure and maintain during the entire period of performance under this contract the following minimum insurance coverage:

- a. Comprehensive general liability: \$500,000 per occurrence.
- b. Automobile liability: \$200,000 per person, \$500,000 per occurrence for bodily injury, \$20,000 per occurrence for property damage
- c. Workmen's compensation as required by Federal and State workers' compensation and occupational disease laws.
- d. Employer's liability coverage of \$100,000, except in States where workers compensation may not be written by private carriers.
- e. Others as required by State law.

#### 1.6 FIRST TIER CONTRACTOR REQUIREMENTS FOR ASBESTOS CONTAINING MATERIALS

Accomplish all contract requirements of Section 02 82 16.00 20 ENGINEERING CONTROL OF ASBESTOS CONTAINING MATERIALS, assigned to the Private Qualified Person, directly with a first tier subcontractor.

#### 1.7 SUPERVISION

##### 1.7.1 Minimum Communication Requirements

Have at least one qualified superintendent, or competent alternate, capable of reading, writing, and conversing fluently in the English language, on the job-site at all times during the performance of contract work. In addition, if a Quality Control (QC) representative is required on the contract, then that individual must also have fluent English communication skills.

##### 1.7.2 Superintendent Qualifications

The project superintendent must have a minimum of 10 years experience in construction with at least 5 of those years as a superintendent on projects similar in size and complexity. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification and safety compliance. The individual must be capable of interpreting a critical path schedule and construction drawings. The qualification requirements for the alternate superintendent are the same as for the project superintendent. The Contracting Officer may request proof of the superintendent's qualifications at any point in the project if the performance of the superintendent is in question.

##### 1.7.3 Supervisory Roles

The project requires a full time Superintendent, a full time SSHO and a full time QC Manager. These three roles may be filled by one individual as long as that individual meets the qualifications required by the respective specification sections. If one individual cannot meet all the requirements, additional qualified personnel shall be required to be on site to meet the specification requirements.

## 1.8 PRECONSTRUCTION

After award of the contract but prior to commencement of any work at the site, meet with the Contracting Officer to discuss and develop a mutual understanding relative to the administration of the value engineering and safety program, preparation of the schedule of prices or earned value report, shop drawings, and other submittals, scheduling programming, prosecution of the work, and clear expectations of the "Interim DD Form 1354" Submittal. Major subcontractors who will engage in the work must also attend.

## 1.9 PARTNERING

To most effectively accomplish this contract, the Government requires the formation of a cohesive partnership within the Project Team whose members are from the Government, the Contractor and their Subcontractors. Key personnel from the Supported Command, the End User (who will occupy the facility), the Government Design and Construction team and Subject Matter Experts, the Installation, the Contractor and Subcontractors, and the Designer of Record will be invited to participate in the Partnering process. The Partnership will draw on the strength of each organization in an effort to achieve a project that is without any safety mishaps, conforms to the Contract, and stays within budget and on schedule.

The Contracting Officer will provide Information on the Partnering Process and a list of key and optional personnel who should attend the Partnering meeting.

### 1.9.1 Informal Partnering

The Contracting Officer will organize the Partnering Sessions with key personnel of the project team, including Contractor personnel and Government personnel.

The Initial Partnering session should be a part of the Pre-Construction Meeting. Partnering sessions will be held at a location agreed to by the Contracting Officer and the Contractor (typically a conference room provided by the PWD FEAD/ROICC office or the Contractor). The Initial Informal Partnering Session will be conducted and facilitated using electronic media (a video and accompanying forms) provided by the Contracting Officer. The Partners will determine the frequency of the follow-on sessions, at no more than 3 to six month intervals.

## 1.10 AVAILABILITY OF CADD DRAWING FILES

After award and upon request, the electronic "Computer-Aided Drafting and Design (CADD)" drawing files will only be made available to the Contractor for use in preparation of construction data related to the referenced contract subject to the following terms and conditions.

Data contained on these electronic files shall not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse shall be at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor shall make no claim and waives to the fullest extent permitted by law, any claim or cause of action of any nature against the Government, its agents or sub consultants that may arise out of or in connection with the use of these electronic files. The Contractor shall, to the fullest extent permitted by law, indemnify and

hold the Government harmless against all damages, liabilities or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic CADD drawing files are not construction documents. Differences may exist between the CADD files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic CADD files, nor does it make representation to the compatibility of these files with the Contractors hardware or software. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished CADD files, the signed and sealed construction documents shall govern. The Contractor is responsible for determining if any conflict exists. Use of these CADD files does not relieve the Contractor of duty to fully comply with the contract documents, including and without limitation, the need to check, confirm and coordinate the work of all contractors for the project.

If the Contractor uses, duplicates and/or modifies these electronic CADD files for use in producing construction data related to this contract, all previous indicia of ownership (seals, logos, signatures, initials and dates) shall be removed.

1.11 ELECTRONIC MAIL (E-MAIL) ADDRESS

Establish and maintain electronic mail (e-mail) capability along with the capability to open various electronic attachments as text files, pdf files, and other similar formats. Within 10 days after contract award, provide the Contracting Officer a single (only one) e-mail address for electronic communications from the Contracting Officer related to this contract including, but not limited to contract documents, invoice information, request for proposals, and other correspondence. The Contracting Officer may also use email to notify the Contractor of base access conditions when emergency conditions warrant, such as hurricanes or terrorist threats. Multiple email addresses are not allowed.

It is the Contractor's responsibility to make timely distribution of all Contracting Officer initiated e-mail with its own organization including field office(s). Promptly notify the Contracting Officer, in writing, of any changes to this email address.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 32 16.00 20

SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES  
02/15

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Schedule; G

1.2 ACCEPTANCE

Prior to the start of work, prepare and submit to the Contracting Officer for acceptance a construction schedule in the form of a Bar Chart Schedule in accordance with the terms in Contract Clause "FAR 52.236-15, Schedules for Construction Contracts," except as modified in this contract.

The acceptance of a Baseline Construction Schedule is a condition precedent to:

- a. The Contractor starting work on the demolition or construction stage(s) of the contract.
- b. Processing Contractor's invoice(s) for construction activities/items of work.
- c. Review of any schedule updates.

Submittal of the Baseline Schedule, and subsequent schedule updates, is understood to be the Contractor's certification that the submitted schedule meets all of the requirements of the Contract Documents, represents the Contractor's plan on how the work will be accomplished, and accurately reflects the work that has been accomplished and how it was sequenced (as-built logic).

1.3 SCHEDULE FORMAT

1.3.1 Bar Chart Schedule

The Bar Chart must, as a minimum, show work activities, submittals, Government review periods, material/equipment delivery, utility outages, on-site construction, inspection, testing, and closeout activities. The Bar Chart must be time scaled and generated using an electronic spreadsheet program.

1.3.2 Schedule Submittals and Procedures

Submit Bar Chart Schedules and updates in hard copy and on electronic media that is acceptable to the Contracting Officer. Submit an electronic

back-up of the project schedule in an import format compatible with the Government's scheduling program.

#### 1.4 SCHEDULE MONTHLY UPDATES

Update the Construction Schedule at monthly intervals or when the schedule has been revised. The updated schedule must be kept current, reflecting actual activity progress and plan for completing the remaining work. Submit copies of purchase orders and confirmation of delivery dates as directed by the Contracting Officer.

a. Narrative Report: Provide with schedule updates. Identify and justify;

- (1) Progress made in each area of the project
- (2) Critical Path
- (3) Date/time constraint(s), other than those required by the contract
- (4) Changes in the following; added or deleted activities, original and remaining durations for activities that have not started, logic, milestones, planned sequence of operations, and critical path
- (5) Status of Contract Completion Date and interim milestones;
- (6) Current and anticipated delays (describe cause of delay and corrective actions(s) and mitigation measures to minimize);
- (7) Description of current and future schedule problem areas.

Each entry in the narrative report must cite the respective Activity ID and Activity Description, the date and reason for the change, and description of the change.

#### 1.5 3-WEEK LOOK AHEAD SCHEDULE

Prepare and issue a 3-Week Look Ahead schedule to provide a more detailed day-to-day plan of upcoming work identified on the Construction Schedule. Key the work plans to activity numbers when a NAS is required and update each week to show the planned work for the current and following two-week period. Additionally, include upcoming outages, closures, preparatory meetings, and initial meetings. Identify critical path activities on the Three-Week Look Ahead Schedule. The detail work plans are to be bar chart type schedules, maintained separately from the Construction Schedule on an electronic spreadsheet program and printed on 8-1/2 by 11 inch sheets as directed by the Contracting Officer. Activities must not exceed 5 working days in duration and have sufficient level of detail to assign crews, tools and equipment required to complete the work. Deliver three hard copies and one electronic file of the 3-Week Look Ahead Schedule to the Contracting Officer no later than 8 a.m. each Monday, and review during the weekly CQC Coordination or Production Meeting.

#### 1.6 CORRESPONDENCE AND TEST REPORTS:

All correspondence (e.g., letters, Requests for Information (RFIs), e-mails, meeting minute items, Production and QC Daily Reports, material delivery tickets, photographs) must reference Schedule Activities that are being addressed. All test reports (e.g., concrete, soil compaction, weld,

pressure) must reference Schedule Activities that are being addressed.

#### 1.7 ADDITIONAL SCHEDULING REQUIREMENTS

Any references to additional scheduling requirements, including systems to be inspected, tested and commissioned, that are located throughout the remainder of the Contract Documents, are subject to all requirements of this section.

#### PART 2 PRODUCTS

Not used.

#### PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 33 00

SUBMITTAL PROCEDURES

05/11

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 Submittal Descriptions (SD)

Submittals requirements are specified in the technical sections.  
Submittals are identified by Submittal Description (SD) numbers and titles  
as follows:

**SD-01 Preconstruction Submittals**

Submittals which are required prior to **or commencing work on site.**

Certificates of insurance

Surety bonds

List of proposed Subcontractors

List of proposed products

Construction progress schedule

Submittal register

Schedule of prices

Health and safety plan

Work plan

Quality Control(QC) plan

Environmental protection plan

**SD-02 Shop Drawings**

Drawings, diagrams and schedules specifically prepared to illustrate  
some portion of the work.

Diagrams and instructions from a manufacturer or fabricator for use in  
producing the product and as aids to the Contractor for integrating  
the product or system into the project.

Drawings prepared by or for the Contractor to show how multiple  
systems and interdisciplinary work will be coordinated.

**SD-03 Product Data**

Catalog cuts, illustrations, schedules, diagrams, performance charts,  
instructions and brochures illustrating size, physical appearance and  
other characteristics of materials, systems or equipment for some

portion of the work.

Samples of warranty language when the contract requires extended product warranties.

#### SD-04 Samples

Fabricated or unfabricated physical examples of materials, equipment or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged.

Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project.

Field samples and mock-ups constructed on the project site establish standards by which the ensuring work can be judged. Includes assemblies or portions of assemblies which are to be incorporated into the project and those which will be removed at conclusion of the work.

#### SD-05 Design Data

Design calculations, mix designs, analyses or other data pertaining to a part of work.

#### SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product or system identical to the material, product or system to be provided has been tested in accord with specified requirements. Unless specified in another section, testing must have been within three years of date of contract award for the project.

Report which includes findings of a test required to be performed by the Contractor on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report which includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports.

Daily logs and checklists.

Final acceptance test and operational test procedure.

#### SD-07 Certificates

Statements printed on the manufacturer's letterhead and signed by responsible officials of manufacturer of product, system or material attesting that product, system or material meets specification requirements. Must be dated after award of project contract and clearly name the project.

Document required of Contractor, or of a manufacturer, supplier, installer or Subcontractor through Contractor. The document purpose is to further promote the orderly progression of a portion of the work

by documenting procedures, acceptability of methods or personnel qualifications.

Confined space entry permits.

Text of posted operating instructions.

#### SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and (MSDS) concerning impedances, hazards and safety precautions.

#### SD-10 Operation and Maintenance Data

Data that is furnished by the manufacturer, or the system provider, to the equipment operating and maintenance personnel, including manufacturer's help and product line documentation necessary to maintain and install equipment. This data is needed by operating and maintenance personnel for the safe and efficient operation, maintenance and repair of the item.

This data is intended to be incorporated in an operations and maintenance manual or control system.

#### SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

Submittals required for Guiding Principle Validation (GPV) or Third Party Certification (TPC).

Special requirements necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a major phase of construction on a multi-phase contract.

##### 1.1.2 Approving Authority

Office or designated person authorized to approve submittal.

##### 1.1.3 Work

As used in this section, on- and off-site construction required by contract documents, including labor necessary to produce submittals, construction, materials, products, equipment, and systems incorporated or to be incorporated in such construction.

##### 1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with this section.

#### SD-01 Preconstruction Submittals

Submittal Register; G

1.3 SUBMITTAL CLASSIFICATION

Submittals are classified as follows:

1.3.1 Government Approved (G)

Government approval is required for extensions of design, critical materials, deviations, equipment whose compatibility with the entire system must be checked, and other items as designated by the Contracting Officer. Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, they are considered to be "shop drawings."

1.4 FORWARDING SUBMITTALS REQUIRING GOVERNMENT APPROVAL

1.4.1 Submittals Required from the Contractor

As soon as practicable after award of contract, and before procurement of fabrication, forward to the NAVFAC Construction Manager (CM) submittals required in the technical sections of this specification, including shop drawings, product data and samples. Forward one copy of the transmittal form for all submittals to the Resident Officer in Charge of Construction.

NAVFAC CM will review and approve for the Contracting Officer those submittals reserved for Contracting Officer approval to verify submittals comply with the contract requirements.

1.4.1.1 O&M Data

NAVFAC CM will review and approve for the Contracting Officer O&M Data to verify the submittals comply with the contract requirements; submit data specified for a given item within 30 calendar days after the item is delivered to the contract site.

In the event the Contractor fails to deliver O&M Data within the time limits specified, the Contracting Officer may withhold from progress payments 50 percent of the price of the item with which such O&M Data are applicable.

1.5 PREPARATION

1.5.1 Transmittal Form

Transmit each submittal, except sample installations and sample panels to office of approving authority. Transmit submittals with transmittal form prescribed by Contracting Officer and standard for project. On the transmittal form identify Contractor, indicate date of submittal, and include information prescribed by transmittal form and required in paragraph IDENTIFYING SUBMITTALS of this section. Process transmittal forms to record actions regarding samples, installations, panels.

1.5.2 Identifying Submittals

When submittals are provided by a Subcontractor, the Prime Contractor is to prepare, review and stamp with Contractor's approval all specified submittals prior to submitting for Government approval.

Identify submittals, except sample installations and sample panels, with the following information permanently adhered to or noted on each separate component of each submittal and noted on transmittal form. Mark each copy of each submittal identically, with the following:

- a. Project title and location.
- b. Construction contract number.
- c. Date of the drawings and revisions.
- d. Name, address, and telephone number of subcontractor, supplier, manufacturer and any other subcontractor associated with the submittal.
- e. Section number of the specification section by which submittal is required.
- f. Submittal description (SD) number of each component of submittal.
- g. When a resubmission, add alphabetic suffix on submittal description, for example, submittal 18 would become 18A, to indicate resubmission.
- h. Product identification and location in project.

#### 1.5.3 Format for SD-02 Shop Drawings

Shop drawings are not to be less than 8 1/2 by 11 inches nor more than 30 by 42 inches, except for full size patterns or templates. Prepare drawings to accurate size, with scale indicated, unless other form is required. Drawings are to be suitable for reproduction and be of a quality to produce clear, distinct lines and letters with dark lines on a white background.

Present 8 1/2 by 11 inches sized shop drawings as part of the bound volume for submittals required by section. Present larger drawings in sets.

Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph IDENTIFYING SUBMITTALS.

Number drawings in a logical sequence. Contractors may use their own number system. Each drawing is to bear the number of the submittal in a uniform location adjacent to the title block. Place the Government contract number in the margin, immediately below the title block, for each drawing.

Reserve a blank space, no smaller than 3 inches on the right hand side of each sheet for the Government disposition stamp.

Dimension drawings, except diagrams and schematic drawings; prepare drawings demonstrating interface with other trades to scale. Use the same unit of measure for shop drawings as indicated on the contract drawings. Identify materials and products for work shown.

Include the nameplate data, size and capacity on drawings. Also include applicable federal, military, industry and technical society publication references.

Submit drawings PDF format.

#### 1.5.4 Format of SD-03 Product Data and SD-08 Manufacturer's Instructions

Present product data submittals for each section as a complete, bound volume. Include table of contents, listing page and catalog item numbers for product data.

Indicate, by prominent notation, each product which is being submitted; indicate specification section number and paragraph number to which it pertains.

Supplement product data with material prepared for project to satisfy submittal requirements for which product data does not exist. Identify this material as developed specifically for project, with information and format as required for submission of SD-07 Certificates.

Include the manufacturer's name, trade name, place of manufacture, and catalog model or number on product data. Also include applicable federal, military, industry and technical society publication references. Should manufacturer's data require supplemental information for clarification, submit as specified for SD-07 Certificates.

Where equipment or materials are specified to conform to industry and technical society reference standards of the organizations such as American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), and Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

Collect required data submittals for each specific material, product, unit of work, or system into a single submittal and marked for choices, options, and portions applicable to the submittal. Mark each copy of the product data identically. Partial submittals will not be accepted for expedition of construction effort.

Submit manufacturer's instructions prior to installation.

#### 1.5.5 Format of SD-04 Samples

Furnish samples in sizes below, unless otherwise specified or unless the manufacturer has prepackaged samples of approximately same size as specified:

- a. Sample of Equipment or Device: Full size.
- b. Sample of Materials Less Than 2 by 3 inches: Built up to 8 1/2 by 11 inches.
- c. Sample of Materials Exceeding 8 1/2 by 11 inches: Cut down to 8 1/2 by 11 inches and adequate to indicate color, texture, and material variations.

- d. Sample of Linear Devices or Materials: 10 inch length or length to be supplied, if less than 10 inches. Examples of linear devices or materials are conduit and handrails.
- e. Sample of Non-Solid Materials: Pint. Examples of non-solid materials are sand and paint.
- f. Color Selection Samples: 2 by 4 inches. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified. Sizes and quantities of samples are to represent their respective standard unit.
- g. Sample Panel: 4 by 4 feet.
- h. Sample Installation: 100 square feet.

Samples Showing Range of Variation: Where variations in color, finish, pattern, or texture are unavoidable due to nature of the materials, submit sets of samples of not less than three units showing extremes and middle of range. Mark each unit to describe its relation to the range of the variation.

Reusable Samples: Incorporate returned samples into work only if so specified or indicated. Incorporated samples are to be in undamaged condition at time of use.

Recording of Sample Installation: Note and preserve the notation of area constituting sample installation but remove notation at final clean up of project.

#### 1.5.6 Format of SD-05 Design Data and SD-07 Certificates

Provide design data and certificates on 8 1/2 by 11 inches paper. Provide a bound volume for submittals containing numerous pages.

#### 1.5.7 Format of SD-06 Test Reports and SD-09 Manufacturer's Field Reports

Provide reports on 8 1/2 by 11 inches paper in a complete bound volume.

Indicate by prominent notation, each report in the submittal. Indicate specification number and paragraph number to which it pertains.

#### 1.5.8 Format of SD-10 Operation and Maintenance Data (O&M)

Comply with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA for O&M Data format.

#### 1.5.9 Format of SD-01 Preconstruction Submittals and SD-11 Closeout Submittals

When submittal includes a document which is to be used in project or become part of project record, other than as a submittal, do not apply Contractor's approval stamp to document, but to a separate sheet accompanying document.

Provide all dimensions in administrative submittals in English. Where data are included in preprinted material with metric units only, submit English dimensions on separate sheet.

## 1.6 QUANTITY OF SUBMITTALS

### 1.6.1 Number of Copies of SD-02 Shop Drawings

Submit six copies of submittals of shop drawings requiring review and approval only by QC organization and seven copies of shop drawings requiring review and approval by Contracting Officer.

### 1.6.2 Number of Copies of SD-03 Product Data and SD-08 Manufacturer's Instructions

Submit in compliance with quantity requirements specified for shop drawings.

### 1.6.3 Number of Samples SD-04 Samples

- a. Submit two samples, or two sets of samples showing range of variation, of each required item. One approved sample or set of samples will be retained by approving authority and one will be returned to Contractor.
- b. Submit one sample panel or provide one sample installation where directed. Include components listed in technical section or as directed.
- c. Submit one sample installation, where directed.
- d. Submit one sample of non-solid materials.

### 1.6.4 Number of Copies SD-05 Design Data and SD-07 Certificates

Submit in compliance with quantity requirements specified for shop drawings.

### 1.6.5 Number of Copies SD-06 Test Reports and SD-09 Manufacturer's Field Reports

Submit in compliance with quantity and quality requirements specified for shop drawings other than field test results that will be submitted with QC reports.

### 1.6.6 Number of Copies of SD-10 Operation and Maintenance Data

Submit five copies of O&M Data to the Contracting Officer for review and approval.

### 1.6.7 Number of Copies of SD-01 Preconstruction Submittals and SD-11 Closeout Submittals

Unless otherwise specified, submit three sets of administrative submittals.

## 1.7 VARIATIONS

Variations from contract requirements require both Designer of Record (DOR) and Government approval pursuant to contract Clause FAR 52.236-21 and will be considered where advantageous to Government.

#### 1.7.1 Considering Variations

Discussion with Contracting Officer prior to submission, after consulting with the DOR, will help ensure functional and quality requirements are met and minimize rejections and re-submittals. When contemplating a variation which results in lower cost, consider submission of the variation as a Value Engineering Change Proposal (VECP).

Specifically point out variations from contract requirements in transmittal letters. Failure to point out deviations may result in the Government requiring rejection and removal of such work at no additional cost to the Government.

#### 1.7.2 Proposing Variations

When proposing variation, deliver written request to the Contracting Officer, with documentation of the nature and features of the variation and why the variation is desirable and beneficial to Government, including the DOR's written analysis and approval. If lower cost is a benefit, also include an estimate of the cost savings. In addition to documentation required for variation, include the submittals required for the item. Clearly mark the proposed variation in all documentation.

#### 1.7.3 Warranting that Variations are Compatible

When delivering a variation for approval, Contractor, including its Designer(s) of Record, warrants that this contract has been reviewed to establish that the variation, if incorporated, will be compatible with other elements of work.

#### 1.7.4 Review Schedule is Modified

In addition to normal submittal review period, a period of 10 working days will be allowed for consideration by the Government of submittals with variations.

### 1.8 SUBMITTAL REGISTER

Prepare and maintain submittal register, as the work progresses. Do not change data which is output in columns (c), (d), (e), and (f) as delivered by Government; retain data which is output in columns (a), (g), (h), and (i) as approved. A submittal register showing items of equipment and materials for which submittals are required by the specifications is provided as an attachment. This list may not be all inclusive and additional submittals may be required. The Government will provide the initial submittal register in electronic format with the following fields completed, to the extent that will be required by the Government during subsequent usage.

Column (c): Lists specification section in which submittal is required.

Column (d): Lists each submittal description (SD No. and type, e.g. SD-02 Shop Drawings) required in each specification section.

Column (e): Lists one principal paragraph in specification section where a material or product is specified. This listing is only to facilitate locating submitted requirements. Do not consider entries in column (e) as limiting project requirements.

Column (f): Indicate approving authority for each submittal.

#### 1.8.1 Use of Submittal Register

Submit submittal register. Submit with QC plan and project schedule. Verify that all submittals required for project are listed and add missing submittals. Coordinate and complete the following fields on the register submitted with the QC plan and the project schedule:

Column (a) Activity Number: Activity number from the project schedule.

Column (g) Contractor Submit Date: Scheduled date for approving authority to receive submittals.

Column (h) Contractor Approval Date: Date Contractor needs approval of submittal.

Column (i) Contractor Material: Date that Contractor needs material delivered to Contractor control.

#### 1.8.2 Contractor Use of Submittal Register

Update the following fields with each submittal throughout contract.

Column (b) Transmittal Number: Contractor assigned list of consecutive numbers.

Column (j) Action Code (k): Date of action used to record Contractor's review when forwarding submittals to QC.

Column (l) List date of submittal transmission.

Column (q) List date approval received.

#### 1.8.3 Approving Authority Use of Submittal Register

Update the following fields.

Column (b) Transmittal Number: Contractor assigned list of consecutive numbers.

Column (l) List date of submittal receipt.

Column (m) through (p) List Date related to review actions.

Column (q) List date returned to Contractor.

#### 1.8.4 Action Codes

Entries for columns (j) and (o), are to be used are as follows (others may be prescribed by Transmittal Form):

##### 1.8.4.1 Government Review Action Codes

"A" - "Approved as submitted"; "Completed"

"B" - "Approved, except as noted on drawings"; "Completed"

"C" - "Approved, except as noted on drawings; resubmission required"; "Resubmit"

"D" - "Returned by separate correspondence"; "Completed"

"E" - "Disapproved (See attached)"; "Resubmit"

"F" - "Receipt acknowledged"; "Completed"

"G" - "Other (Specify)"; "Resubmit"

"X" - "Receipt acknowledged, does not comply with contract requirements"; "Resubmit"

#### 1.8.5 Copies Delivered to the Government

Deliver one copy of submittal register updated by Contractor to Government with each invoice request.

#### 1.9 SCHEDULING

Schedule and submit concurrently submittals covering component items forming a system or items that are interrelated. Include certifications to be submitted with the pertinent drawings at the same time. No delay damages or time extensions will be allowed for time lost in late submittals. An additional 10 calendar days will be allowed and shown on the register for review and approval of submittals for refrigeration and HVAC control systems.

- a. Coordinate scheduling, sequencing, preparing and processing of submittals with performance of work so that work will not be delayed by submittal processing. Allow for potential resubmittal of requirements.
- b. Submittals called for by the contract documents will be listed on the register. If a submittal is called for but does not pertain to the contract work, the Contractor is to include the submittal in the register and annotate it "N/A" with a brief explanation. Approval by the Contracting Officer does not relieve the Contractor of supplying submittals required by the contract documents but which have been omitted from the register or marked "N/A."
- c. Re-submit register and annotate monthly by the Contractor with actual submission and approval dates. When all items on the register have been fully approved, no further re-submittal is required.
- d. Carefully control procurement operations to ensure that each individual submittal is made on or before the Contractor scheduled submittal date shown on the approved "Submittal Register."
- e. Except as specified otherwise, allow review period, beginning with receipt by approving authority, that includes at least 15 working days for submittals for QC Manager approval and 20 working days for submittals for Contracting Officer approval. Period of review for submittals with Contracting Officer approval begins when Government receives submittal from QC organization.
- f. For submittals requiring review by fire protection engineer, allow

review period, beginning when Government receives submittal from QC organization, of 30 working days for return of submittal to the Contractor.

- g. Period of review for each resubmittal is the same as for initial submittal.

#### 1.9.1 Reviewing, Certifying, Approving Authority

The QC organization is responsible for reviewing and certifying that submittals are in compliance with contract requirements. Approving authority on submittals is QC Manager unless otherwise specified for specific submittal. At each "Submittal" paragraph in individual specification sections, a notation "G," following a submittal item, indicates Contracting Officer is approving authority for that submittal item.

#### 1.9.2 Constraints

Conform to provisions of this section, unless explicitly stated otherwise for submittals listed or specified in this contract.

Submit complete submittals for each definable feature of work. Submit at the same time components of definable feature interrelated as a system.

When acceptability of a submittal is dependent on conditions, items, or materials included in separate subsequent submittals, submittal will be returned without review.

Approval of a separate material, product, or component does not imply approval of assembly in which item functions.

#### 1.9.3 QC Organization Responsibilities

- a. Note date on which submittal was received from Contractor on each submittal.
- b. Review each submittal; and check and coordinate each submittal with requirements of work and contract documents.
- c. Review submittals for conformance with project design concepts and compliance with contract documents.
- d. Act on submittals, determining appropriate action based on QC organization's review of submittal.
  - (1) When QC Manager is approving authority, take appropriate action on submittal from the possible actions defined in paragraph APPROVED /ACCEPTED SUBMITTALS.
  - (2) When Contracting Officer is approving authority or when variation has been proposed, forward submittal to Government with certifying statement or return submittal marked "not reviewed" or "revise and resubmit" as appropriate. The QC organization's review of submittal determines appropriate action.
- e. Ensure that material is clearly legible.
- f. Stamp each sheet of each submittal with QC certifying statement or approving statement, except that data submitted in bound volume or on

one sheet printed on two sides may be stamped on the front of the first sheet only.

- (1) When approving authority is Contracting Officer, QC organization will certify submittals forwarded to Contracting Officer with the following certifying statement:

"I hereby certify that the (equipment) (material) (article) shown and marked in this submittal is that proposed to be incorporated with contract Number \_\_\_\_\_, is in compliance with the contract drawings and specification, can be installed in the allocated spaces, and is submitted for Government approval.

Certified by Submittal Reviewer \_\_\_\_\_, Date \_\_\_\_\_  
(Signature when applicable)

Certified by QC Manager \_\_\_\_\_, Date \_\_\_\_\_"  
(Signature)

- (2) When approving authority is QC Manager, QC Manager will use the following approval statement when returning submittals to Contractor as "Approved" or "Approved as Noted."

"I hereby certify that the (material) (equipment) (article) shown and marked in this submittal and proposed to be incorporated with contract Number \_\_\_\_\_, is in compliance with the contract drawings and specification, can be installed in the allocated spaces, and is approved for use.

Certified by Submittal Reviewer \_\_\_\_\_, Date \_\_\_\_\_  
(Signature when applicable)

Approved by QC Manager \_\_\_\_\_, Date \_\_\_\_\_"  
(Signature)

- g. Sign certifying statement or approval statement. The QC organization member designated in the approved QC plan is the person signing certifying statements. The use of original ink for signatures is required. Stamped signatures are not acceptable.
- h. Update submittal register as submittal actions occur and maintain the submittal register at project site until final acceptance of all work by Contracting Officer.
- i. Retain a copy of approved submittals at project site, including Contractor's copy of approved samples.

#### 1.10 GOVERNMENT APPROVING AUTHORITY

When approving authority is Contracting Officer, the Government will:

- a. Note date on which submittal was received from QC Manager.
- b. Review submittals for approval within scheduling period specified and only for conformance with project design concepts and compliance with contract documents.
- c. Identify returned submittals with one of the actions defined in paragraph REVIEW NOTATIONS and with markings appropriate for action

indicated.

Upon completion of review of submittals requiring Government approval, stamp and date submittals. Two copies of the submittal will be retained by the Contracting Officer and four copies of the submittal will be returned to the Contractor.

#### 1.10.1 Review Notations

Submittals will be returned to the Contractor with the following notations:

- a. Submittals marked "approved" or "accepted" authorize the Contractor to proceed with the work covered.
- b. Submittals marked "approved as noted" "or approved, except as noted, resubmittal not required," authorize the Contractor to proceed with the work covered provided he takes no exception to the corrections.
- c. Submittals marked "not approved" or "disapproved," or "revise and resubmit," indicate noncompliance with the contract requirements or design concept, or that submittal is incomplete. Resubmit with appropriate changes. No work shall proceed for this item until resubmittal is approved.
- d. Submittals marked "not reviewed" will indicate submittal has been previously reviewed and approved, is not required, does not have evidence of being reviewed and approved by Contractor, or is not complete. A submittal marked "not reviewed" will be returned with an explanation of the reason it is not reviewed. Resubmit submittals returned for lack of review by Contractor or for being incomplete, with appropriate action, coordination, or change.

#### 1.11 DISAPPROVED OR REJECTED SUBMITTALS

Contractor shall make corrections required by the Contracting Officer. If the Contractor considers any correction or notation on the returned submittals to constitute a change to the contract drawings or specifications; notice as required under the Contract clause CHANGES, is to be given to the Contracting Officer. Contractor is responsible for the dimensions and design of connection details and construction of work. Failure to point out deviations may result in the Government requiring rejection and removal of such work at the Contractor's expense.

If changes are necessary to submittals, make such revisions and submission of the submittals in accordance with the procedures above. No item of work requiring a submittal change is to be accomplished until the changed submittals are approved.

#### 1.12 APPROVED/ACCEPTED SUBMITTALS

The Contracting Officer's approval or acceptance of submittals is not to be construed as a complete check, and indicates only that the general method of construction, materials, detailing and other information are satisfactory.

Approval or acceptance will not relieve the Contractor of the responsibility for any error which may exist, as the Contractor under the Contractor Quality Control (CQC) requirements of this contract is responsible for dimensions, the design of adequate connections and

details, and the satisfactory construction of all work.

After submittals have been approved or accepted by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

#### 1.13 APPROVED SAMPLES

Approval of a sample is only for the characteristics or use named in such approval and is not be construed to change or modify any contract requirements. Before submitting samples, the Contractor to assure that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.

Match the approved samples for materials and equipment incorporated in the work. If requested, approved samples, including those which may be damaged in testing, will be returned to the Contractor, at his expense, upon completion of the contract. Samples not approved will also be returned to the Contractor at its expense, if so requested.

Failure of any materials to pass the specified tests will be sufficient cause for refusal to consider, under this contract, any further samples of the same brand or make of that material. Government reserves the right to disapprove any material or equipment which previously has proved unsatisfactory in service.

Samples of various materials or equipment delivered on the site or in place may be taken by the Contracting Officer for testing. Samples failing to meet contract requirements will automatically void previous approvals. Contractor to replace such materials or equipment to meet contract requirements.

Approval of the Contractor's samples by the Contracting Officer does not relieve the Contractor of his responsibilities under the contract.

#### PART 2 PRODUCTS

Not Used

#### PART 3 EXECUTION

Not Used

-- End of Section --

# SUBMITTAL REGISTER

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Newport Boiler Retube

CONTRACTOR

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						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/	DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE		DATE OF ACTION	MAILED TO CONTR/	DATE RCD FRM APPR AUTH
		01 14 00	SD-01 Preconstruction Submittals																
			List of Contact Personnel	1.3.1.1	G														
		01 20 00.00 20	SD-01 Preconstruction Submittals																
			Schedule of Prices	1.3	G														
		01 30 00	SD-01 Preconstruction Submittals																
			View Location Map	1.3	G														
			Progress and Completion	1.4	G														
			Pictures																
		01 32 16.00 20	SD-01 Preconstruction Submittals																
			Construction Schedule	1.2	G														
		01 33 00	SD-01 Preconstruction Submittals																
			Submittal Register	1.8	G														
		01 35 26	SD-01 Preconstruction Submittals																
			Accident Prevention Plan (APP)	1.7	G														
			Activity Hazard Analysis (AHA)	1.8	G														
			SD-06 Test Reports																
			Notifications and Reports	1.12															
			Accident Reports	1.12.2	G														
			LHE Inspection Reports	1.12.3															
			SD-07 Certificates																
			Contractor Safety Self-Evaluation	1.4															
			Checklist																
			Crane Operators/Riggers	1.6.1.3															
			Confined Space Entry Permit	1.9.1															
			Hot Work Permit	1.9.1															
			Certificate of Compliance	1.12.4															

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						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE			DATE OF ACTION
		01 45 00.00 20	SD-01 Preconstruction Submittals														
			Construction Quality Control (QC) Plan	1.6.1	G												
		01 50 00	SD-01 Preconstruction Submittals														
			Construction Site Plan	1.3	G												
			Traffic Control Plan	3.4.1	G												
		01 57 19.00 20	SD-01 Preconstruction Submittals														
			Preconstruction Survey	1.5.1	G												
			Environmental Management Plan		G												
			Solid Waste Protection Plan		G												
			Regulatory Notifications	1.5.2	G												
			Contractor Hazardous Material Inventory Log	3.6	G												
			ECATTS certificate of completion	1.4.1	G												
			Environmental Protection Plan	3.1													
			Environmental Protection Plan	3.1.1													
			SD-06 Test Reports														
			Laboratory Analysis	3.12.1.2													
			Disposal Requirements	3.14.2													
			Solid Waste Management Report		G												
			SD-11 Closeout Submittals														
			Waste Determination Documentation														
			Disposal Documentation for Hazardous and Regulated Waste	3.6.1													

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						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/	DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER			ACTION CODE	DATE OF ACTION
		01 57 19.00 20	Contractor 40 CFR Employee Training Records	1.5.5														
			Solid Waste Management Report															
			Solid Waste Management Permit	3.4														
			Contractor Hazardous Material Inventory Log	3.6	G													
			Hazardous Waste/Debris Management	3.12.1														
			Regulatory Notifications	1.5.2														
		01 74 19	SD-01 Preconstruction Submittals															
			Waste Management Plan	1.5	G													
			SD-11 Closeout Submittals															
			Records	1.6														
		01 78 00	SD-03 Product Data															
			Warranty Management Plan	1.5.1														
			Warranty Tags	1.5.4														
			Final Cleaning	3.3														
			Spare Parts Data	1.3														
			SD-08 Manufacturer's Instructions															
			Preventative Maintenance															
			Condition Monitoring (Predictive Testing)															
			Inspection															
			Instructions	1.5.1														
			SD-10 Operation and Maintenance															
			Data															

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						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/	DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER			ACTION CODE	DATE OF ACTION
		01 78 00	Operation and Maintenance Manuals	3.2	G													
			SD-11 Closeout Submittals															
			Record Drawings		G													
			As-Built Record of Equipment and Materials															
		01 78 23	SD-10 Operation and Maintenance Data															
			O&M Database	1.4	G													
		02 41 00	SD-01 Preconstruction Submittals															
			Demolition Plan	1.2.1	G													
			Existing Conditions	1.10														
			SD-07 Certificates															
			Notification	1.6	G													
			SD-11 Closeout Submittals															
			Receipts															
		02 82 16.00 20	SD-03 Product Data															
			Local Exhaust Equipment	3.1.4	G													
			Vacuums	3.1.5	G													
			Respirators	3.1.1.1	G													
			Pressure Differential Automatic Recording Instrument	3.1.4	G													
			Amended Water	1.2.2	G													
			Material Safety Data Sheets (Msd) for all materials	1.3.8	G													
			Encapsulants	2.1	G													

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						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE			DATE OF ACTION
		02 82 16.00 20	SD-06 Test Reports														
			Air Sampling Results	1.5.5	G												
			Pressure Differential Recordings For Local Exhaust System	1.5.6	G												
			Asbestos Disposal Quantity Report	3.3.3.2	G												
			Clearance Sampling	3.2.5.3	G												
			SD-07 Certificates														
			Asbestos Hazard Abatement Plan	1.3.9	G												
			Testing Laboratory	1.3.10	G												
			Private Qualified Person Documentation	1.5.1	G												
			Contractor's License	1.5.4	G												
			Competent Person	1.5.2	G												
			Worker's License	1.5.3	G												
			Landfill Approval	1.3.11	G												
			Employee Training	1.3.3	G												
			Medical Certification	1.3.12	G												
			Waste Shipment Records	1.3.11	G												
			Respiratory Protection Program	1.3.6	G												
			Delivery Tickets	1.3.11	G												
			Vacuums	3.1.5	G												
			Water Filtration Equipment	3.1.2.3	G												
			Ventilation Systems	3.1.5	G												
			Equipment Used To Contain Airborne Asbestos Fibers	3.1	G												

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						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE			DATE OF ACTION
		02 82 16.00 20	Encapsulants	2.1	G												
			Notifications	1.3.4													
			SD-11 Closeout Submittals														
			Notifications	1.3.4	G												
			Rental Equipment	1.6.1	G												
			Respirator Program Records	1.3.6.1	G												
			Permits and Licenses	1.3.4	G												
		02 83 13.00 20	SD-01 Preconstruction Submittals														
			Lead Compliance Plan	1.5.2.2	G												
			Competent Person	1.5.1.1	G												
			Training Certification	1.5.1.2	G												
			Lead Waste Management Plan	1.5.2.8	G												
			Written Evidence	3.5.2.1	G												
			Medical Examinations	1.5.2.4	G												
			SD-06 Test Reports														
			Sampling Results	1.5.2.3	G												
			Occupational and Environmental Assessment Data Report	1.5.2.3	G												
			SD-07 Certificates														
			Testing Laboratory	1.5.1.3	G												
			Clearance Certification	3.5.1.1	G												
			SD-11 Closeout Submittals														
			hazardous waste manifest	3.5.2.1	G												
		05 12 00	SD-02 Shop Drawings														
			Fabrication Drawings	1.3.2	G												
			SD-03 Product Data														

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						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/	DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER			ACTION CODE	DATE OF ACTION
		05 12 00	Shop Primer	2.5.2														
			Welding Electrodes and Rods	2.4.1														
			SD-06 Test Reports															
			Class B Coating	2.5.2														
			Bolts, Nuts, and Washers	2.3														
			Bolt Testing Reports	3.6.2.1														
			SD-07 Certificates															
			Steel	2.2														
			Bolts, Nuts, and Washers	2.3														
			Welding Procedures and Qualifications	1.3.3.1														
			Welding Electrodes and Rods	2.4.1														
		09 90 00	SD-02 Shop Drawings															
			Piping identification stencil	3.6														
			SD-03 Product Data															
			Materials	2.1														
			Coating		G													
			Manufacturer's Technical Data Sheets	2.1														
			SD-04 Samples															
			Coatings	2.1	G													
			SD-07 Certificates															
			Applicator's qualifications	1.3														
			SD-08 Manufacturer's Instructions															
			Application instructions	3.2.1														

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						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE			DATE OF ACTION	
																		(a)
		09 90 00	Mixing	3.4.2														
			Manufacturer's Material Safety Data Sheets	1.6.2														
			SD-10 Operation and Maintenance Data															
			Coatings:	2.1	G													
		23 07 00	SD-02 Shop Drawings															
			Pipe Insulation Systems															
			Duct Insulation Systems															
			SD-03 Product Data															
			Pipe Insulation Systems		G													
			Duct Insulation Systems		G													
			SD-04 Samples															
			Thermal Insulation	2.3.1.2	G													
			SD-08 Manufacturer's Instructions															
			Pipe Insulation Systems		G													
			Duct Insulation Systems		G													
			SD-11 Closeout Submittals															
			Reduce Volatile Organic Compounds (VOC)	2.1.1	S													
			Recycled Content	2.1.2	S													
		23 52 33.03 20	SD-02 Shop Drawings															
			Boiler	1.2.2.1	G													
			Burner Throat and Setting	2.3	G													
			Reproducible Drawings	1.2.2.3	G													
			SD-03 Product Data															

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						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		MAILED TO CONTR/ DATE RCD FRM APPR AUTH	
																		(g)
		23 52 33.03 20	Refractory/Insulation/Wall Anchoring System		G													
			Boiler Tubing		G													
			Waterwall Headers	2.2.2	G													
			SD-05 Design Data															
			Engineering Calculations	1.4.4.4	G													
			Experience Requirements	1.4.1.1														
			SD-06 Test Reports															
			Boiler Performance Test Procedure	1.4.4.4														
			Hydrostatic and Leak Tightness Tests	3.2.1.2	G													
			Preliminary Operation	3.2.2	G													
			Boilers and Auxiliaries Tests and Inspections	3.2.3	G													
			SD-07 Certificates															
			Compatibility of Boiler Components and Equipment	1.4.4.1	G													
			System And Equipment Installation	1.4.4.2														
			SD-10 Operation and Maintenance Data															
			Boiler	1.2.2.1	G													
			SD-11 Closeout Submittals															
			Record Drawings (As-Builts)	3.3	G													

SECTION 01 35 26

GOVERNMENTAL SAFETY REQUIREMENTS  
11/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SAFETY ENGINEERS (ASSE/SAFE)

ASSE/SAFE A10.34	(2001; R 2012) Protection of the Public on or Adjacent to Construction Sites
ASSE/SAFE A10.44	(2014) Control of Energy Sources (Lockout/Tagout) for Construction and Demolition Operations
ASSE/SAFE Z244.1	(2003; R 2014) Control of Hazardous Energy Lockout/Tagout and Alternative Methods
ASSE/SAFE Z359.0	(2012) Definitions and Nomenclature Used for Fall Protection and Fall Arrest
ASSE/SAFE Z359.1	(2007) Safety Requirements for Personal Fall Arrest Systems, Subsystems and Components
ASSE/SAFE Z359.11	(2014) Safety Requirements for Full Body Harnesses
ASSE/SAFE Z359.12	(2009) Connecting Components for Personal Fall Arrest Systems
ASSE/SAFE Z359.13	(2013) Personal Energy Absorbers and Energy Absorbing Lanyards
ASSE/SAFE Z359.14	(2014) Safety Requirements for Self-Retracting Devices for Personal Fall Arrest and Rescue Systems
ASSE/SAFE Z359.15	(2014) Safety Requirements for Single Anchor Lifelines and Fall Arresters for Personal Fall Arrest Systems
ASSE/SAFE Z359.2	(2007) Minimum Requirements for a Comprehensive Managed Fall Protection Program
ASSE/SAFE Z359.3	(2007) Safety Requirements for Positioning and Travel Restraint Systems
ASSE/SAFE Z359.4	(2013) Safety Requirements for Assisted-Rescue and Self-Rescue Systems,

Subsystems and Components

ASSE/SAFE Z359.6 (2009) Specifications and Design  
Requirements for Active Fall Protection  
Systems

ASSE/SAFE Z359.7 (2011) Qualification and Verification  
Testing of Fall Protection Products

ASME INTERNATIONAL (ASME)

ASME B30.20 (2013; INT Oct 2010 - May 2012)  
Below-the-Hook Lifting Devices

ASME B30.22 (2010) Articulating Boom Cranes

ASME B30.26 (2015; INT Jun 2010 - Jun 2014) Rigging  
Hardware

ASME B30.3 (2012) Tower Cranes

ASME B30.5 (2014) Mobile and Locomotive Cranes

ASME B30.8 (2010) Floating Cranes and Floating  
Derricks

ASME B30.9 (2014; INT Feb 2011 - Nov 2013) Slings

ASTM INTERNATIONAL (ASTM)

ASTM F855 (2015) Standard Specifications for  
Temporary Protective Grounds to Be Used on  
De-energized Electric Power Lines and  
Equipment

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 1048 (2003) Guide for Protective Grounding of  
Power Lines

IEEE C2 (2012; Errata 2012; INT 1-4 2012; INT 5-7  
2013; INT 8-10 2014; INT 11 2015) National  
Electrical Safety Code

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA Z535.2 (2011) Environmental and Facility Safety  
Signs

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 10 (2013) Standard for Portable Fire  
Extinguishers

NFPA 241 (2013; Errata 2015) Standard for  
Safeguarding Construction, Alteration, and  
Demolition Operations

NFPA 51B (2014) Standard for Fire Prevention During

Welding, Cutting, and Other Hot Work

NFPA 70 (2014; AMD 1 2013; Errata 1 2013; AMD 2 2013; Errata 2 2013; AMD 3 2014; Errata 3-4 2014; AMD 4-6 2014) National Electrical Code

NFPA 70E (2015; ERTA 1 2015) Standard for Electrical Safety in the Workplace

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2014) Safety and Health Requirements Manual

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

10 CFR 20 Standards for Protection Against Radiation

29 CFR 1910 Occupational Safety and Health Standards

29 CFR 1910.146 Permit-required Confined Spaces

29 CFR 1910.147 Control of Hazardous Energy (Lock Out/Tag Out)

29 CFR 1910.333 Selection and Use of Work Practices

29 CFR 1915 Confined and Enclosed Spaces and Other Dangerous Atmospheres in Shipyard Employment

29 CFR 1915.89 Control of Hazardous Energy (Lockout/Tags-Plus)

29 CFR 1926 Safety and Health Regulations for Construction

29 CFR 1926.1400 Cranes and Derricks in Construction

29 CFR 1926.16 Rules of Construction

29 CFR 1926.500 Fall Protection

49 CFR 173 Shippers - General Requirements for Shipments and Packagings

CPL 2.100 (1995) Application of the Permit-Required Confined Spaces (PRCS) Standards, 29 CFR 1910.146

1.2 DEFINITIONS

1.2.1 Competent Person (CP)

The CP is a person designated in writing, who, through training, knowledge and experience, is capable of identifying, evaluating, and addressing existing and predictable hazards in the working environment or working conditions that are dangerous to personnel, and who has authorization to

take prompt corrective measures with regards to such hazards.

#### 1.2.2 Competent Person, Confined Space

The CP, Confined Space, is a person meeting the competent person requirements as defined in EM 385-1-1 Appendix Q, with thorough knowledge of OSHA's Confined Space Standard, 29 CFR 1910.146, and designated in writing to be responsible for the immediate supervision, implementation and monitoring of the confined space program, who through training, knowledge and experience in confined space entry is capable of identifying, evaluating and addressing existing and potential confined space hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

#### 1.2.3 Competent Person, Cranes and Rigging

The CP, Cranes and Rigging, as defined in EM 385-1-1 Appendix Q, is a person meeting the competent person, who has been designated in writing to be responsible for the immediate supervision, implementation and monitoring of the Crane and Rigging Program, who through training, knowledge and experience in crane and rigging is capable of identifying, evaluating and addressing existing and potential hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

#### 1.2.4 Competent Person, Excavation/Trenching

A CP, Excavation/Trenching, is a person meeting the competent person requirements as defined in EM 385-1-1 Appendix Q and 29 CFR 1926, who has been designated in writing to be responsible for the immediate supervision, implementation and monitoring of the excavation/trenching program, who through training, knowledge and experience in excavation/trenching is capable of identifying, evaluating and addressing existing and potential hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

#### 1.2.5 Competent Person, Fall Protection

The CP, Fall Protection, is a person meeting the competent person requirements as defined in EM 385-1-1 Appendix Q and in accordance with ASSE/SAFE Z359.0, who has been designated in writing by the employer to be responsible for immediate supervising, implementing and monitoring of the fall protection program, who through training, knowledge and experience in fall protection and rescue systems and equipment, is capable of identifying, evaluating and addressing existing and potential fall hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

#### 1.2.6 Competent Person, Scaffolding

The CP, Scaffolding is a person meeting the competent person requirements in EM 385-1-1 Appendix Q, and designated in writing by the employer to be responsible for immediate supervising, implementing and monitoring of the scaffolding program. The CP for Scaffolding has enough training, knowledge and experience in scaffolding to correctly identify, evaluate and address existing and potential hazards and also has the authority to take prompt corrective measures with regard to these hazards. CP qualifications must be documented and include experience on the specific scaffolding systems/types being used, assessment of the base material that the scaffold will be erected upon, load calculations for materials and

personnel, and erection and dismantling. The CP for scaffolding must have a documented, minimum of 8-hours of scaffold training to include training on the specific type of scaffold being used (e.g. mast-climbing, adjustable, tubular frame), in accordance with EM 385-1-1 Section 22.B.02.

#### 1.2.7 High Visibility Accident

A High Visibility Accident is any mishap which may generate publicity or high visibility.

#### 1.2.8 Load Handling Equipment (LHE)

LHE is a term used to describe cranes, hoists and all other hoisting equipment (hoisting equipment means equipment, including crane, derricks, hoists and power operated equipment used with rigging to raise, lower or horizontally move a load).

#### 1.2.9 Medical Treatment

Medical Treatment is treatment administered by a physician or by registered professional personnel under the standing orders of a physician. Medical treatment does not include first aid treatment even through provided by a physician or registered personnel.

#### 1.2.10 Near Miss

A Near Miss is a mishap resulting in no personal injury and zero property damage, but given a shift in time or position, damage or injury may have occurred (e.g., a worker falls off a scaffold and is not injured; a crane swings around to move the load and narrowly misses a parked vehicle).

#### 1.2.11 Operating Envelope

The Operating Envelope is the area surrounding any crane or load handling equipment. Inside this "envelope" is the crane, the operator, riggers and crane walkers, other personnel involved in the operation, rigging gear between the hook, the load, the crane's supporting structure (i.e. ground or rail), the load's rigging path, the lift and rigging procedure.

#### 1.2.12 Qualified Person (QP)

The QP is a person designated in writing, who, by possession of a recognized degree, certificate, or professional standing, or extensive knowledge, training, and experience, has successfully demonstrated their ability to solve or resolve problems related to the subject matter, the work, or the project.

#### 1.2.13 Qualified Person, Fall Protection (QP for FP)

A QP for FP is a person meeting the requirements of EM 385-1-1 Appendix Q, and ASSE/SAFE Z359.0, with a recognized degree or professional certificate and with extensive knowledge, training and experience in the fall protection and rescue field who is capable of designing, analyzing, and evaluating and specifying fall protection and rescue systems.

#### 1.2.14 Recordable Injuries or Illnesses

Recordable Injuries or Illnesses are any work-related injury or illness that results in:

- a. Death, regardless of the time between the injury and death, or the length of the illness;
- b. Days away from work (any time lost after day of injury/illness onset);
- c. Restricted work;
- d. Transfer to another job;
- e. Medical treatment beyond first aid;
- f. Loss of consciousness; or
- g. A significant injury or illness diagnosed by a physician or other licensed health care professional, even if it did not result in (a) through (f) above.

#### 1.2.15 USACE Property and Equipment

Interpret "USACE" property and equipment specified in USACE EM 385-1-1 as Government property and equipment.

#### 1.2.16 Load Handling Equipment (LHE) Accident or Load Handling Equipment Mishap

A LHE accident occurs when any one or more of the eight elements in the operating envelope fails to perform correctly during operation, including operation during maintenance or testing resulting in personnel injury or death; material or equipment damage; dropped load; derailment; two-blocking; overload; or collision, including unplanned contact between the load, crane, or other objects. A dropped load, derailment, two-blocking, overload and collision are considered accidents, even though no material damage or injury occurs. A component failure (e.g., motor burnout, gear tooth failure, bearing failure) is not considered an accident solely due to material or equipment damage unless the component failure results in damage to other components (e.g., dropped boom, dropped load, or roll over). Document any mishap that meets the criteria described in the Contractor Significant Incident Report (CSIR) using the NAVFAC prescribed Navy Crane Center (NCC) form.

#### 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

##### SD-01 Preconstruction Submittals

Accident Prevention Plan (APP); G  
Activity Hazard Analysis (AHA); G

##### SD-06 Test Reports

Notifications and Reports

Submit reports as their incidence occurs, in accordance with the requirements of the paragraph, "Notifications and Reports."

Accident Reports; G  
LHE Inspection Reports

#### SD-07 Certificates

Contractor Safety Self-Evaluation Checklist

Crane Operators/Riggers

Confined Space Entry Permit

Hot Work Permit

Certificate of Compliance

Submit one copy of each permit/certificate attached to each Daily Production Report.

### 1.4 CONTRACTOR SAFETY SELF-EVALUATION CHECKLIST

Contracting Officer will provide a "Contractor Safety Self-Evaluation checklist" to the Contractor at the pre-construction conference. Complete the checklist monthly and submit with each request for payment voucher. An acceptable score of 90 or greater is required. Failure to submit the completed safety self-evaluation checklist or achieve a score of at least 90 may result in retention of up to 10 percent of the voucher.

Additionally, provide a Monthly Exposure Report and attach to the monthly billing request. This report is a compilation of employee-hours worked each month for all site workers, both prime and subcontractor. Failure to submit the report may result in retention of up to 10 percent of the voucher. The Contracting Officer will submit a copy of the Contractor Safety Self-Evaluation and Monthly Exposure Report to the local safety and occupational health office.

### 1.5 REGULATORY REQUIREMENTS

In addition to the detailed requirements included in the provisions of this contract, comply with the most recent edition of USACE EM 385-1-1, and the following federal, state, and local laws, ordinances, criteria, rules and regulations. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirements govern.

#### 1.5.1 Subcontractor Safety Requirements

For this contract, neither Contractor nor any subcontractor may enter into contract with any subcontractor that fails to meet the following requirements. The term subcontractor in this and the following paragraphs means any entity holding a contract with the Contractor or with a subcontractor at any tier.

1.5.1.1 Experience Modification Rate (EMR)

Subcontractors on this contract must have an effective EMR less than or equal to 1.10, as computed by the National Council on Compensation Insurance (NCCI) or if not available, as computed by the state agency's rating bureau in the state where the subcontractor is registered, when entering into a subcontract agreement with the Prime Contractor or a subcontractor at any tier. The Prime Contractor may submit a written request for additional consideration to the Contracting Officer where the specified acceptable EMR range cannot be achieved. Relaxation of the EMR range will only be considered for approval on a case-by-case basis for special conditions and must not be anticipated as tacit approval. Contractor's Site Safety and Health Officer (SSHO) must collect and maintain the certified EMR ratings for all subcontractors on the project and make them available to the Government at the Government's request.

1.5.1.2 OSHA Days Away from Work, Restricted Duty, or Job Transfer (DART) Rate

Subcontractors on this contract must have a DART rate, calculated from the most recent, complete calendar year, less than or equal to 3.4 when entering into a subcontract agreement with the Prime Contractor or a subcontractor at any tier. The OSHA Dart Rate is calculated using the following formula:

$$(N/EH) \times 200,000$$

where:

N = number of injuries and illnesses with days away, restricted work, or job transfer

EH = total hours worked by all employees during most recent, complete calendar year

200,000 = base for 100 full-time equivalent workers (working 40 hours per week, 50 weeks per year)

The Prime Contractor may submit a written request for additional consideration to the Contracting Officer where the specified acceptable OSHA Dart rate range cannot be achieved for a particular subcontractor. Relaxation of the OSHA DART rate range will only be considered for approval on a case-by-case basis for special conditions and must not be anticipated as tacit approval. Contractor's Site Safety and Health Officer (SSHO) must collect and maintain self-certified OSHA DART rates for all subcontractors on the project and make them available to the Government at the Government's request.

1.6 SITE QUALIFICATIONS, DUTIES, AND MEETINGS

1.6.1 Personnel Qualifications

1.6.1.1 Site Safety and Health Officer (SSHO)

Provide an SSHO that meets the requirements of EM 385-1-1 Section 1. The SSHO must ensure that the requirements of 29 CFR 1926.16 are met for the project. Provide a Safety oversight team that includes a minimum of one (1) person to function as the Site Safety and Health Officer (SSHO). The SSHO or an equally-qualified Alternate SSHO must be at the work site at

all times to implement and administer the Contractor's safety program and government-accepted Accident Prevention Plan. The SSHO and Alternate SSHO must have the required training, experience, and qualifications in accordance with EM 385-1-1 Section 01.A.17, and all associated sub-paragraphs.

If the SSHO is off-site for a period longer than 24 hours, an equally-qualified alternate SSHO must be provided and must fulfill the same roles and responsibilities as the primary SSHO. When the SSHO is temporarily (up to 24 hours) off-site, a Designated Representative (DR), as identified in the AHA may be used in lieu of an Alternate SSHO, and must be on the project site at all times when work is being performed. Note that the DR is a collateral duty safety position, with safety duties in addition to their full time occupation.

Refer to specification section 01 30 00, subpart 1.7.3 Supervisory Roles.

#### 1.6.1.2 Competent Person Qualifications

Provide Competent Persons in accordance with EM 385-1-1, Appendix Q and herein. Competent Persons for high risk activities include confined space, cranes and rigging, excavation/trenching, fall protection, and electrical work. The CP for these activities must be designated in writing, and meet the requirements for the specific activity (i.e. competent person, fall protection).

The Competent Person identified in the Contractor's Safety and Health Program and accepted Accident Prevention Plan, must be on-site at all times when the work that presents the hazards associated with their professional expertise is being performed. Provide the credentials of the Competent Persons(s) to the the Contracting Officer for information in consultation with the Safety Office.

##### 1.6.1.2.1 Competent Person for Confined Space Entry

Provide a Confined Space (CP) Competent Person who meets the requirements of EM 385-1-1, Appendix Q, and herein. The CP for Confined Space Entry must supervise the entry into each confined space.

##### 1.6.1.2.2 Competent Person for Scaffolding

Provide a Competent Person for Scaffolding who meets the requirements of EM 385-1-1, Section 22.B.02 and herein.

##### 1.6.1.2.3 Competent Person for Fall Protection

Provide a Competent Person for Fall Protection who meets the requirements of EM 385-1-1, Section 21.C.04 and herein.

#### 1.6.1.3 Crane Operators/Riggers

Provide Operators meeting the requirements in EM 385-1-1, Section 15.B for Riggers and Section 16.B for Crane Operators. In addition, for mobile cranes with Original Equipment Manufacturer (OEM) rated capacities of 50,000 pounds or greater, designate crane operators qualified by a source that qualifies crane operators (i.e., union, a government agency, or an organization that tests and qualifies crane operators). Provide proof of current qualification.

### 1.6.2 Personnel Duties

#### 1.6.2.1 Duties of the Site Safety and Health Officer (SSHO)

The SSHO must:

- a. Conduct daily safety and health inspections and maintain a written log which includes area/operation inspected, date of inspection, identified hazards, recommended corrective actions, estimated and actual dates of corrections. Attach safety inspection logs to the Contractors' daily production report.
- b. Conduct mishap investigations and complete required accident reports. Report mishaps and near misses.
- c. Use OSHA's Form 300 to log work-related injuries and illnesses occurring on the project site for Prime Contractors and subcontractors. Post and maintain the Form 300 on the site Safety Bulletin Board.
- d. Maintain applicable safety reference material on the job site.
- e. Attend the pre-construction conference, pre-work meetings including preparatory meetings, and periodic in-progress meetings.
- f. Review the APP and AHAs for compliance with EM 385-1-1, and approve, sign, implement and enforce them.
- g. Establish a Safety and Occupational Health (SOH) Deficiency Tracking System that lists and monitors outstanding deficiencies until resolution.
- h. Ensure subcontractor compliance with safety and health requirements.
- i. Maintain a list of hazardous chemicals on site and their material Safety Data Sheets (SDS).
- j. Maintain a weekly list of high hazard activities involving energy, equipment, excavation, entry into confined space, and elevation, and be prepared to discuss details during QC Meetings.
- k. Provide and keep a record of site safety orientation and indoctrination for Contractor employees, subcontractor employees, and site visitors.

Superintendent, QC Manager, and SSHO are subject to dismissal if the above duties are not being effectively carried out. If Superintendent, QC Manager, or SSHO are dismissed, project work will be stopped and will not be allowed to resume until a suitable replacement is approved and the above duties are again being effectively carried out.

### 1.6.3 Meetings

#### 1.6.3.1 Preconstruction Conference

- a. Contractor representatives who have a responsibility or significant role in accident prevention on the project must attend the preconstruction conference. This includes the project superintendent, Site Safety and Occupational Health officer, quality control manager,

or any other assigned safety and health professionals who participated in the development of the APP (including the Activity Hazard Analyses (AHAs) and special plans, program and procedures associated with it).

- b. Discuss the details of the submitted APP to include incorporated plans, programs, procedures and a listing of anticipated AHAs that will be developed and implemented during the performance of the contract. This list of proposed AHAs will be reviewed at the conference and an agreement will be reached between the Contractor and the Contracting Officer as to which phases will require an analysis. In addition, establish a schedule for the preparation, submittal, and Government review of AHAs to preclude project delays.
- c. Deficiencies in the submitted APP, identified during the Contracting Officer's review, must be corrected, and the APP re-submitted for review prior to the start of construction. Work is not permitted to begin work until an APP is established that is acceptable to the Contracting Officer.

#### 1.6.3.2 Safety Meetings

Conduct safety meetings to review past activities, plan for new or changed operations, review pertinent aspects of appropriate AHA (by trade), establish safe working procedures for anticipated hazards, and provide pertinent Safety and Occupational Health (SOH) training and motivation. Conduct meetings at least once a month for all supervisors on the project location. The SSHO, supervisors, foremen, or CDSOs must conduct meetings at least once a week for the trade workers. Document meeting minutes to include the date, persons in attendance, subjects discussed, and names of individual(s) who conducted the meeting. Maintain documentation on-site and furnish copies to the Contracting Officer on request. Notify the Contracting Officer of all scheduled meetings 7 calendar days in advance.

#### 1.7 ACCIDENT PREVENTION PLAN (APP)

A qualified person must prepare the written site-specific APP. Prepare the APP in accordance with the format and requirements of EM 385-1-1, Appendix A, and as supplemented herein. Cover all paragraph and subparagraph elements in EM 385-1-1, Appendix A. The APP must be job-specific and address any unusual or unique aspects of the project or activity for which it is written. The APP must interface with the Contractor's overall safety and health program referenced in the APP in the applicable APP element, and made site-specific. Describe the methods to evaluate past safety performance of potential subcontractors in the selection process. Also, describe innovative methods used to ensure and monitor safe work practices of subcontractors. The Government considers the Prime Contractor to be the "controlling authority" for all work site safety and health of the subcontractors. Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the contract and the penalties for noncompliance, coordinating the work to prevent one craft from interfering with or creating hazardous working conditions for other crafts, and inspecting subcontractor operations to ensure that accident prevention responsibilities are being carried out. The APP must be signed by an officer of the firm (Prime Contractor senior person), the individual preparing the APP, the on-site superintendent, the designated SSHO, the Contractor Quality Control Manager, and any designated Certified Safety Professional (CSP) or Certified Health Physicist (CIH). The SSHO must provide and maintain the APP and a log of signatures by each subcontractor foreman, attesting that they have read

and understand the APP, and make the APP and log available on-site to the Contracting Officer. If English is not the foreman's primary language, the Prime Contractor must provide an interpreter.

Submit the APP to the Contracting Officer 15 calendar days prior to the date of the preconstruction conference for acceptance. Work cannot proceed without an accepted APP. Once reviewed and accepted by the Contracting Officer, the APP and attachments will be enforced as part of the contract. Disregarding the provisions of this contract or the accepted APP is cause for stopping of work, at the discretion of the Contracting Officer, until the matter has been rectified. Continuously review and amend the APP, as necessary, throughout the life of the contract. Changes to the accepted APP must be made with the knowledge and concurrence of the Contracting Officer, project superintendent, SSHO and Quality Control Manager. Incorporate unusual or high-hazard activities not identified in the original APP as they are discovered. Should any severe hazard exposure ( i.e. imminent danger) become evident, stop work in the area, secure the area, and develop a plan to remove the exposure and control the hazard. Notify the Contracting Officer within 24 hours of discovery. Eliminate and remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public (as defined by ASSE/SAFE A10.34), and the environment.

#### 1.7.1 Names and Qualifications

Provide plans in accordance with the requirements outlined in Appendix A of EM 385-1-1, including the following:

- a. Names and qualifications (resumes including education, training, experience and certifications) of site safety and health personnel designated to perform work on this project to include the designated Site Safety and Health Officer and other competent and qualified personnel to be used. Specify the duties of each position.
- b. Qualifications of competent and of qualified persons. As a minimum, designate and submit qualifications of competent persons for each of the following major areas: excavation; scaffolding; fall protection; hazardous energy; confined space; health hazard recognition, evaluation and control of chemical, physical and biological agents; and personal protective equipment and clothing to include selection, use and maintenance.

#### 1.7.2 Plans

Provide plans in the APP in accordance with the requirements outlined in Appendix A of EM 385-1-1, including the following:

##### 1.7.2.1 Confined Space Entry Plan

Develop a confined or enclosed space entry plan in accordance with EM 385-1-1, applicable OSHA standards 29 CFR 1910, 29 CFR 1915, and 29 CFR 1926, OSHA Directive CPL 2.100, and any other federal, state and local regulatory requirements identified in this contract. Identify the qualified person's name and qualifications, training, and experience. Delineate the qualified person's authority to direct work stoppage in the event of hazardous conditions. Include procedure for rescue by contractor personnel and the coordination with emergency responders. (If there is no confined space work, include a statement that no confined space work

exists and none will be created.)

#### 1.7.2.2 Standard Lift Plan (SLP)

Plan lifts to avoid situations where the operator cannot maintain safe control of the lift. Prepare a written SLP in accordance with EM 385-1-1, Section 16.A.03, using Form 16-2 for every lift or series of lifts (if duty cycle or routine lifts are being performed). The SLP must be developed, reviewed and accepted by all personnel involved in the lift in conjunction with the associated AHA. Signature on the AHA constitutes acceptance of the plan. Maintain the SLP on the LHE for the current lift(s) being made. Maintain historical SLPs for a minimum of 3 months.

#### 1.7.2.3 Critical Lift Plan - Crane or Load Handling Equipment

Provide a Critical Lift Plan as required by EM 385-1-1, Section 16.H.01, using Form 16-3. Critical lifts require detailed planning and additional or unusual safety precautions. Develop and submit a critical lift plan to the Contracting Officer 30 calendar days prior to critical lift. Comply with load testing requirements in accordance with EM 385-1-1, Section 16.F.03.

In addition to the requirements of EM 385-1-1, Section 16.H.02, the critical lift plan must include the following:

- a. For lifts of personnel, demonstrate compliance with the requirements of 29 CFR 1926.1400 and EM 385-1-1, Section 16.T.
- b. Multi-purpose machines, material handling equipment, and construction equipment used to lift loads that are suspended by rigging gear, require proof of authorization from the machine OEM that the machine is capable of making lifts of loads suspended by rigging equipment. Demonstrate that the operator is properly trained and that the equipment is properly configured to make such lifts and is equipped with a load chart.

#### 1.7.2.4 Fall Protection and Prevention (FP&P) Plan

The plan must comply with the requirements of EM 385-1-1, Section 21.D and ASSE/SAFE Z359.2, be site specific, and address all fall hazards in the work place and during different phases of construction. Address how to protect and prevent workers from falling to lower levels when they are exposed to fall hazards above 6 feet. A competent person or qualified person for fall protection must prepare and sign the plan documentation. Include fall protection and prevention systems, equipment and methods employed for every phase of work, roles and responsibilities, assisted rescue, self-rescue and evacuation procedures, training requirements, and monitoring methods. Review and revise, as necessary, the Fall Protection and Prevention Plan documentation as conditions change, but at a minimum every six months, for lengthy projects, reflecting any changes during the course of construction due to changes in personnel, equipment, systems or work habits. Keep and maintain the accepted Fall Protection and Prevention Plan documentation at the job site for the duration of the project. Include the Fall Protection and Prevention Plan documentation in the Accident Prevention Plan (APP).

#### 1.7.2.5 Rescue and Evacuation Plan

Provide a Rescue and Evacuation Plan in accordance with EM 385-1-1 Section

21.N and ASSE/SAFE Z359.2, and include in the FP&P Plan and as part of the APP. Include a detailed discussion of the following: methods of rescue; methods of self-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility.

#### 1.7.2.6 Hazardous Energy Control Program (HECP)

Develop a HECP in accordance with EM 385-1-1 Section 12, 29 CFR 1910.147, 29 CFR 1910.333, 29 CFR 1915.89, ASSE/SAFE Z244.1, and ASSE/SAFE A10.44. Submit this HECP as part of the Accident Prevention Plan (APP). Conduct a preparatory meeting and inspection with all effected personnel to coordinate all HECP activities. Document this meeting and inspection in accordance with EM 385-1-1, Section 12.A.02. Ensure that each employee is familiar with and complies with these procedures.

#### 1.7.2.7 Excavation Plan

Identify the safety and health aspects of excavation, and provide and prepare the plan in accordance with EM 385-1-1, Section 25.A and Section 31 00 00 EARTHWORK.

#### 1.7.2.8 Lead Compliance Plan

Identify the safety and health aspects of lead work, and prepare in accordance with Section 02 83 13.00 20 LEAD IN CONSTRUCTION.

#### 1.7.2.9 Asbestos Hazard Abatement Plan

Identify the safety and health aspects of asbestos work, and prepare in accordance with Section 02 82 16.00 20 ENGINEERING CONTROL OF ASBESTOS CONTAINING MATERIALS.

#### 1.7.2.10 Site Demolition Plan

Identify the safety and health aspects, and prepare in accordance with Section 02 41 00 DEMOLITION AND DECONSTRUCTION and referenced sources. Include engineering survey as applicable.

### 1.8 ACTIVITY HAZARD ANALYSIS (AHA)

Before beginning each activity, task or Definable Feature of Work (DFOW) involving a type of work presenting hazards not experienced in previous project operations, or where a new work crew or subcontractor is to perform the work, the Contractor(s) performing that work activity must prepare an AHA. AHAs must be developed by the Prime Contractor, subcontractor, or supplier performing the work, and provided for Prime Contractor review and approval before submitting to the Contracting Officer. AHAs must be signed by the SSHO, Superintendent, QC Manager and the subcontractor Foreman performing the work. Format the AHA in accordance with EM 385-1-1, Section 1 or as directed by the Contracting Officer. Submit the AHA for review at least 15 working days prior to the start of each activity task, or DFOW. The Government reserves the right to require the Contractor to revise and resubmit the AHA if it fails to effectively identify the work sequences, specific anticipated hazards, site conditions, equipment, materials, personnel and the control measures to be implemented.

AHAs must identify competent persons required for phases involving high

risk activities, including confined entry, crane and rigging, excavations, trenching, electrical work, fall protection, and scaffolding.

#### 1.8.1 AHA Management

Review the AHA list periodically (at least monthly) at the Contractor supervisory safety meeting, and update as necessary when procedures, scheduling, or hazards change. Use the AHA during daily inspections by the SSHO to ensure the implementation and effectiveness of the required safety and health controls for that work activity.

#### 1.8.2 AHA Signature Log

Each employee performing work as part of an activity, task or DFOV must review the AHA for that work and sign a signature log specifically maintained for that AHA prior to starting work on that activity. The SSHO must maintain a signature log on site for every AHA. Provide employees whose primary language is other than English, with an interpreter to ensure a clear understanding of the AHA and its contents.

### 1.9 DISPLAY OF SAFETY INFORMATION

#### 1.9.1 Safety Bulletin Board

Within one calendar day after commencement of work, erect a safety bulletin board at the job site. Where size, duration, or logistics of project do not facilitate a bulletin board, an alternative method, acceptable to the Contracting Officer, that is accessible and includes all mandatory information for employee and visitor review, may be deemed as meeting the requirement for a bulletin board. Include and maintain information on safety bulletin board as required by EM 385-1-1, Section 01.A.06. Additional items required to be posted include:

- a. [Confined space entry permit.](#)
- b. [Hot work permit.](#)

#### 1.9.2 Safety and Occupational Health (SOH) Deficiency Tracking System

Establish a SOH deficiency tracking system that lists and monitors the status of SOH deficiencies in chronological order. Use the tracking system to evaluate the effectiveness of the APP. A monthly evaluation of the data must be discussed in the QC or SOH meeting with everyone on the project. The list must be posted on the project bulletin board and updated daily, and provide the following information:

- a. Date deficiency identified;
- b. Description of deficiency;
- c. Name of person responsible for correcting deficiency;
- d. Projected resolution date;
- e. Date actually resolved.

#### 1.10 SITE SAFETY REFERENCE MATERIALS

Maintain safety-related references applicable to the project, including

those listed in paragraph REFERENCES. Maintain applicable equipment manufacturer's manuals.

#### 1.11 EMERGENCY MEDICAL TREATMENT

Contractors must arrange for their own emergency medical treatment. Government has no responsibility to provide emergency medical treatment.

#### 1.12 NOTIFICATIONS and REPORTS

##### 1.12.1 Mishap Notification

Notify the Contracting Officer as soon as practical, but no more than twenty-four hours, after any mishaps, including recordable accidents, incidents, and near misses, as defined in EM 385-1-1 Appendix Q, any report of injury, illness, load handling equipment (LHE) or rigging mishaps, or any property damage. The Contractor is responsible for obtaining appropriate medical and emergency assistance and for notifying fire, law enforcement, and regulatory agencies. Immediate reporting is required for electrical mishaps, to include Arc Flash; shock; uncontrolled release of hazardous energy (includes electrical and non-electrical); load handling equipment or rigging; fall from height (any level other than same surface); and underwater diving. These mishaps must be investigated in depth to identify all causes and to recommend hazard control measures.

Within notification include Contractor name; contract title; type of contract; name of activity, installation or location where accident occurred; date and time of accident; names of personnel injured; extent of property damage, if any; extent of injury, if known, and brief description of accident (for example, type of construction equipment used and PPE used). Preserve the conditions and evidence on the accident site until the Government investigation team arrives on-site and Government investigation is conducted. Assist and cooperate fully with the Government's investigation(s) of any mishap.

##### 1.12.2 Accident Reports

- a. Conduct an accident investigation for recordable injuries and illnesses, property damage, and near misses as defined in EM 385-1-1, to establish the root cause(s) of the accident. Complete the applicable NAVFAC Contractor Incident Reporting System (CIRS), and electronically submit via the NAVFAC Enterprise Safety Applications Management System (ESAMS). The Contracting Officer will provide copies of any required or special forms.
- b. Near Misses: Complete the applicable documentation in NAVFAC Contractor Incident Reporting System (CIRS), and electronically submit via the NAVFAC Enterprise Safety Applications Management System (ESAMS). Near miss reports are considered positive and proactive Contractor safety management actions.
- c. Conduct an accident investigation for any load handling equipment accident (including rigging gear accidents) to establish the root cause(s) of the accident. Complete the LHE Accident Report (Crane and Rigging Gear) form and provide the report to the Contracting Officer within 30 calendar days of the accident. Do not proceed with crane operations until cause is determined and corrective actions have been implemented to the satisfaction of the Contracting Officer. The Contracting Officer will provide a blank copy of the accident report

form.

### 1.12.3 LHE Inspection Reports

Submit LHE inspection reports required in accordance with EM 385-1-1 and as specified herein with Daily Reports of Inspections.

### 1.12.4 Certificate of Compliance and Pre-lift Plan/Checklist for LHE and Rigging

Provide a FORM 16-1 Certificate of Compliance for LHE entering an activity under this contract and in accordance with EM 385-1-1. Post certifications on the crane.

Develop a Standard Lift Plan (SLP) in accordance with EM 385-1-1, Section 16.H.03 using Form 16-2 Standard Pre-Lift Crane Plan/Checklist for each lift planned. Submit SLP to the Contracting Officer for approval within 15 calendar days in advance of planned lift.

## 1.13 HOT WORK

### 1.13.1 Permit and Personnel Requirements

Submit and obtain a written permit prior to performing "Hot Work" (i.e. welding or cutting) or operating other flame-producing/spark producing devices, from the Fire Division. A permit is required from the Explosives Safety Office for work in and around where explosives are processed, stored, or handled. CONTRACTORS ARE REQUIRED TO MEET ALL CRITERIA BEFORE A PERMIT IS ISSUED. Provide at least two 20 pound 4A:20 BC rated extinguishers for normal "Hot Work". The extinguishers must be current inspection tagged, and contain an approved safety pin and tamper resistant seal. It is also mandatory to have a designated FIRE WATCH for any "Hot Work" done at this activity. The Fire Watch must be trained in accordance with NFPA 51B and remain on-site for a minimum of one hour after completion of the task or as specified on the hot work permit.

When starting work in the facility, require personnel to familiarize themselves with the location of the nearest fire alarm boxes and place in memory the emergency Fire Division phone number. REPORT ANY FIRE, NO MATTER HOW SMALL, TO THE RESPONSIBLE FIRE DIVISION IMMEDIATELY.

## 1.14 RADIATION SAFETY REQUIREMENTS

Submit License Certificates, employee training records, and Leak Test Reports for radiation materials and equipment to the Contracting Officer and Radiation Safety Office (RSO), and Contracting Oversight Technician (COT) for all specialized and licensed material and equipment proposed for use on the construction project. Maintain on-site records whenever licensed radiological materials or ionizing equipment are on government property.

Protect workers from radiation exposure in accordance with 10 CFR 20, ensuring any personnel exposures are maintained As Low As Reasonably Achievable.

### 1.14.1 Radiography Operation Planning Work Sheet

Submit a Radiography Operation Planning Work Sheet to Contracting Officer 14 days prior to commencement of operations involving radioactive

materials or radiation generating devices. The Contracting Officer and COT will review this worksheet and submit questions and comments.

Contractors must use primary dosimeters process by a National Voluntary Laboratory Accreditation Program (NVLAP) accredited laboratory.

#### 1.14.2 Site Access and Security

Coordinate site access and security requirements with the Contracting Officer and COT for all radiological materials and equipment containing ionizing radiation that are proposed for use on a government facility. The Navy COT or authorized representative will meet the Contractor at a designated location, ensure safety of the materials being transported, and will escort the Contractor to the job site and return upon completion of the work.

Provide a copy of all calibration records, and utilization records to the COT for radiological operations performed on the site.

#### 1.14.3 Loss or Release and Unplanned Personnel Exposure

Loss or release of radioactive materials, and unplanned personnel exposures must be reported immediately to the Contracting Officer, RSO, and Base Security Department Emergency Number.

#### 1.14.4 Site Demarcation and Barricade

Properly demark and barricade an area surrounding radiological operations to preclude personnel entrance, in accordance with EM 385-1-1, Nuclear Regulatory Commission, and Applicable State regulations and license requirements, and in accordance with requirements established in the accepted Radiography Operation Planning Work Sheet.

Do not close or obstruct streets, walks, and other facilities occupied and used by the Government without written permission from the Contracting Officer.

#### 1.14.5 Security of Material and Equipment

Properly secure the radiological material and ionizing radiation equipment at all times, including keeping the devices in a properly marked and locked container, and secondarily locking the container to a secure point in the Contractor's vehicle or other approved storage location during transportation and while not in use. While in use, maintain a continuous visual observation on the radiological material and ionizing radiation equipment. In instances where radiography is scheduled near or adjacent to buildings or areas having limited access or one-way doors, make no assumptions as to building occupancy. Where necessary, the Contracting Officer will direct the Contractor to conduct an actual building entry, search, and alert. Where removal of personnel from such a building cannot be accomplished and it is otherwise safe to proceed with the radiography, position a fully instructed employee inside the building or area to prevent exiting while external radiographic operations are in process.

#### 1.14.6 Transportation of Material

Comply with 49 CFR 173 for Transportation of Regulated Amounts of Radioactive Material. Notify Local Fire authorities and the site Radiation Safety officer (RSO) of any Radioactive Material use.

#### 1.14.7 Schedule for Exposure or Unshielding

Actual exposure of the radiographic film or unshielding the source must not be initiated until after 5 p.m. on weekdays.

#### 1.14.8 Transmitter Requirements

Adhere to the base policy concerning the use of transmitters, such as radios and cell phones. Obey Emissions control (EMCON) restrictions.

#### 1.15 CONFINED SPACE ENTRY REQUIREMENTS.

Confined space entry must comply with Section 34 of EM 385-1-1, OSHA 29 CFR 1926, OSHA 29 CFR 1910, OSHA 29 CFR 1910.146, and OSHA Directive CPL 2.100. Any potential for a hazard in the confined space requires a permit system to be used.

##### 1.15.1 Entry Procedures

Prohibit entry into a confined space by personnel for any purpose, including hot work, until the qualified person has conducted appropriate tests to ensure the confined or enclosed space is safe for the work intended and that all potential hazards are controlled or eliminated and documented. Comply with EM 385-1-1, Section 34 for entry procedures. Hazards pertaining to the space must be reviewed with each employee during review of the AHA.

##### 1.15.2 Forced Air Ventilation

Forced air ventilation is required for all confined space entry operations and the minimum air exchange requirements must be maintained to ensure exposure to any hazardous atmosphere is kept below its action level.

##### 1.15.3 Sewer Wet Wells

Sewer wet wells require continuous atmosphere monitoring with audible alarm for toxic gas detection.

##### 1.15.4 Rescue Procedures and Coordination with Local Emergency Responders

Develop and implement an on-site rescue and recovery plan and procedures. The rescue plan must not rely on local emergency responders for rescue from a confined space.

#### 1.16 SEVERE STORM PLAN

In the event of a severe storm warning, the Contractor must:

- a. Secure outside equipment and materials and place materials that could be damaged in protected areas.
- b. Check surrounding area, including roof, for loose material, equipment, debris, and other objects that could be blown away or against existing facilities.
- c. Ensure that temporary erosion controls are adequate.

## PART 2 PRODUCTS

### 2.1 CONFINED SPACE SIGNAGE

Provide permanent signs integral to or securely attached to access covers for new permit-required confined spaces. Signs for confined spaces must comply with NEMA Z535.2. Signs wording: "DANGER--PERMIT-REQUIRED CONFINED SPACE, DO NOT ENTER" in bold letters a minimum of one inch in height and constructed to be clearly legible with all paint removed. The signal word "DANGER" must be red and readable from 5 feet.

## PART 3 EXECUTION

### 3.1 CONSTRUCTION AND OTHER WORK

Comply with EM 385-1-1, NFPA 70, NFPA 70E, NFPA 241, the APP, the AHA, Federal and State OSHA regulations, and other related submittals and activity fire and safety regulations. The most stringent standard prevails.

PPE is governed in all areas by the nature of the work the employee is performing. Use personal hearing protection at all times in designated noise hazardous areas or when performing noise hazardous tasks. Safety glasses must be worn or carried/available on each person. Mandatory PPE includes:

- a. Hard Hat
- b. Long Pants
- c. Appropriate Safety Shoes
- d. Appropriate Class Reflective Vests

#### 3.1.1 Worksite Communication

Employees working alone in a remote location or away from other workers must be provided an effective means of emergency communications (i.e., cellular phone, two-way radios, land-line telephones or other acceptable means). The selected communication must be readily available (easily within the immediate reach) of the employee and must be tested prior to the start of work to verify that it effectively operates in the area/environment. An employee check-in/check-out communication procedure must be developed to ensure employee safety.

#### 3.1.2 Hazardous Material Use

Each hazardous material must receive approval from the Contracting Office or their designated representative prior to being brought onto the job site or prior to any other use in connection with this contract. Allow a minimum of 10 working days for processing of the request for use of a hazardous material.

#### 3.1.3 Hazardous Material Exclusions

Notwithstanding any other hazardous material used in this contract, radioactive materials or instruments capable of producing ionizing/non-ionizing radiation (with the exception of radioactive material and devices used in accordance with EM 385-1-1 such as nuclear

density meters for compaction testing and laboratory equipment with radioactive sources) as well as materials which contain asbestos, mercury or polychlorinated biphenyls, di-isocyanates, lead-based paint, and hexavalent chromium, are prohibited. The Contracting Officer, upon written request by the Contractor, may consider exceptions to the use of any of the above excluded materials. Low mercury lamps used within fluorescent lighting fixtures are allowed as an exception without further Contracting Officer approval. Notify the Radiation Safety Officer (RSO) prior to excepted items of radioactive material and devices being brought on base.

#### 3.1.4 Unforeseen Hazardous Material

Contract documents identify materials such as PCB, lead paint, and friable and non-friable asbestos and other OSHA regulated chemicals (i.e. 29 CFR Part 1910.1000). If material(s) that may be hazardous to human health upon disturbance are encountered during construction operations, stop that portion of work and notify the Contracting Officer immediately. Within 14 calendar days the Government will determine if the material is hazardous. If material is not hazardous or poses no danger, the Government will direct the Contractor to proceed without change. If material is hazardous and handling of the material is necessary to accomplish the work, the Government will issue a modification pursuant to FAR 52.243-4, "Changes" and FAR 52.236-2, "Differing Site Conditions."

#### 3.2 PRE-OUTAGE COORDINATION MEETING

Apply for utility outages at least 15 days in advance. As a minimum, the request must include the location of the outage, utilities being affected, duration of outage and any necessary sketches. Special requirements for electrical outage requests are contained elsewhere in this specification section. Once approved, and prior to beginning work on the utility system requiring shut down, attend a pre-outage coordination meeting with the Contracting Officer to review the scope of work and the lock-out/tag-out procedures for worker protection. No work will be performed on energized electrical circuits unless proof is provided that no other means exist.

#### 3.3 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)

Provide and operate a Hazardous Energy Control Program (HECP) in accordance with EM 385-1-1 Section 12, 29 CFR 1910.333, 29 CFR 1915.89, and paragraph HAZARDOUS ENERGY CONTROL PROGRAM (HECP).

Contracting Officer will, at the Contractor's request, apply lockout/tagout tags and take other actions that, because of experience and knowledge, are known to be necessary to make the particular equipment safe to work on for government owned and operated systems.

No person, regardless of position or authority, shall operate any switch, valve, or equipment that has an official lockout/tagout tag attached to it, nor shall such tag be removed except as provided in this section. No person shall work on any energized equipment including, but not limited to activities such as erecting, installing, constructing, repairing, adjusting, inspecting, un-jamming, setting up, trouble shooting, testing, cleaning, dismantling, servicing and maintaining machines equipment of processes until an evaluation has been conducted identifying the energy source and the procedures which will be taken to ensure the safety of personnel.

When work is to be performed on electrical circuits, only qualified personnel shall perform work on electrical circuits.

A supervisor who is required to enter an area protected by a lockout/tagout tag will be considered a member of the protected group provided he notifies the holder of the tag stub each time he enters and departs from the protected area.

Identification markings on building light and power distribution circuits shall not be relied on for established safe work conditions.

Before clearance will be given on any equipment other than electrical (generally referred to as mechanical apparatus), the apparatus, valves, or systems shall be secured in a passive condition with the appropriate vents, pins, and locks.

Pressurized or vacuum systems shall be vented to relieve differential pressure completely.

Vent valves shall be tagged open during the course of the work. Where dangerous gas or fluid systems are involved, or in areas where the environment may be oxygen deficient, system or areas shall be purged, ventilated, or otherwise made safe prior to entry.

### 3.3.1 Tag Placement

Lockout/tagout tags shall be completed in accordance with the regulations printed on the back thereof and attached to any device which, if operated, could cause an unsafe condition to exist.

If more than one group is to work on any circuit or equipment, the employee in charge of each group shall have a separate set of lockout/tagout tags completed and properly attached.

When it is required that certain equipment be tagged, the Government will review the characteristics of the various systems involved that affect the safety of the operations and the work to be done; take the necessary actions, including voltage and pressure checks, grounding, and venting, to make the system and equipment safe to work on; and apply such lockout/tagout tags to those switches, valves, vents, or other mechanical devices needed to preserve the safety provided. This operation is referred to as "Providing Safety Clearance."

### 3.3.2 Tag Removal

When any individual or group has completed its part of the work and is clear of the circuits or equipment, the supervisor, project leader, or individual for whom the equipment was tagged shall turn in his signed lockout/tagout tag stub to the Contracting Officer. That group's or individual's lockout/tagout tags on equipment may then be removed on authorization by the Contracting Officer.

### 3.4 FALL PROTECTION PROGRAM

Establish a fall protection program, for the protection of all employees exposed to fall hazards. Within the program include company policy, identify roles and responsibilities, education and training requirements, fall hazard identification, prevention and control measures, inspection, storage, care and maintenance of fall protection equipment and rescue and

evacuation procedures in accordance with ASSE/SAFE Z359.2 and EM 385-1-1, Sections 21.A and 21.D.

#### 3.4.1 Training

Institute a fall protection training program. As part of the Fall Protection Program, provide training for each employee who might be exposed to fall hazards. Provide training by a competent person for fall protection in accordance with EM 385-1-1, Section 21.C. Document training and practical application of the competent person in accordance with EM 385-1-1, Section 21.C.04 and ASSE/SAFE Z359.2 in the AHA.

#### 3.4.2 Fall Protection Equipment and Systems

Enforce use of personal fall protection equipment and systems designated (to include fall arrest, restraint, and positioning) for each specific work activity in the Site Specific Fall Protection and Prevention Plan and AHA at all times when an employee is exposed to a fall hazard. Protect employees from fall hazards as specified in EM 385-1-1, Section 21.

Provide personal fall protection equipment, systems, subsystems, and components that comply with EM 385-1-1 Section 21.I, 29 CFR 1926.500 Subpart M, ASSE/SAFE Z359.0, ASSE/SAFE Z359.1, ASSE/SAFE Z359.2, ASSE/SAFE Z359.3, ASSE/SAFE Z359.4, ASSE/SAFE Z359.6, ASSE/SAFE Z359.7, ASSE/SAFE Z359.11, ASSE/SAFE Z359.12, ASSE/SAFE Z359.13, ASSE/SAFE Z359.14, and ASSE/SAFE Z359.15.

##### 3.4.2.1 Additional Personal Fall Protection

In addition to the required fall protection systems, other protection such as safety skiffs, personal floatation devices, and life rings, are required when working above or next to water in accordance with EM 385-1-1, Sections 21.O through 21.O.06. Personal fall protection systems and equipment are required when working from an articulating or extendible boom, swing stages, or suspended platform. In addition, personal fall protection systems are required when operating other equipment such as scissor lifts. The need for tying-off in such equipment is to prevent ejection of the employee from the equipment during raising, lowering, travel, or while performing work.

##### 3.4.2.2 Personal Fall Protection Harnesses

Only a full-body harness with a shock-absorbing lanyard or self-retracting lanyard is an acceptable personal fall arrest body support device. The use of body belts is not acceptable. Harnesses must have a fall arrest attachment affixed to the body support (usually a Dorsal D-ring) and specifically designated for attachment to the rest of the system. Snap hooks and carabiners must be self-closing and self-locking, capable of being opened only by at least two consecutive deliberate actions and have a minimum gate strength of 3,600 lbs in all directions. Use webbing, straps, and ropes made of synthetic fiber. The maximum free fall distance when using fall arrest equipment must not exceed 6 feet, unless the proper energy absorbing lanyard is used. Always take into consideration the total fall distance and any swinging of the worker (pendulum-like motion), that can occur during a fall, when attaching a person to a fall arrest system. All full body harnesses must be equipped with Suspension Trauma Preventers such as stirrups, relief steps, or similar in order to provide short-term relief from the effects of orthostatic intolerance in accordance with EM 385-1-1, Section 21.I.06.

### 3.4.3 Horizontal Lifelines (HLL)

Provide HLL in accordance with EM 385-1-1, Section 21.I.08.d.2. Commercially manufactured horizontal lifelines (HLL) must be designed, installed, certified and used, under the supervision of a qualified person, for fall protection as part of a complete fall arrest system which maintains a safety factor of 2 (29 CFR 1926.500). The competent person for fall protection may (if deemed appropriate by the qualified person) supervise the assembly, disassembly, use and inspection of the HLL system under the direction of the qualified person. Locally manufactured HLLs are not acceptable unless they are custom designed for limited or site specific applications by a Registered Professional Engineer who is qualified in designing HLL systems.

### 3.4.4 Guardrails and Safety Nets

Design, install and use guardrails and safety nets in accordance with EM 385-1-1, Section 21.F.01 and 29 CFR 1926 Subpart M.

### 3.4.5 Rescue and Evacuation Plan and Procedures

When personal fall arrest systems are used, ensure that the mishap victim can self-rescue or can be rescued promptly should a fall occur. Prepare a Rescue and Evacuation Plan and include a detailed discussion of the following: methods of rescue; methods of self-rescue or assisted-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility. Include the Rescue and Evacuation Plan within the Activity Hazard Analysis (AHA) for the phase of work, in the Fall Protection and Prevention (FP&P) Plan, and the Accident Prevention Plan (APP). The plan must comply with the requirements of EM 385-1-1, ASSE/SAFE Z359.2, and ASSE/SAFE Z359.4.

## 3.5 WORK PLATFORMS

### 3.5.1 Scaffolding

Provide employees with a safe means of access to the work area on the scaffold. Climbing of any scaffold braces or supports not specifically designed for access is prohibited. Comply with the following requirements:

- a. Scaffold platforms greater than 20 feet in height must be accessed by use of a scaffold stair system.
- b. Ladders commonly provided by scaffold system manufacturers are prohibited for accessing scaffold platforms greater than 20 feet maximum in height.
- c. An adequate gate is required.
- d. Employees performing scaffold erection and dismantling must be qualified.
- e. Scaffold must be capable of supporting at least four times the maximum intended load or without appropriate fall protection as delineated in the accepted fall protection and prevention plan.
- f. Stationary scaffolds must be attached to structural building

components to safeguard against tipping forward or backward.

- g. Special care must be given to ensure scaffold systems are not overloaded.
- h. Side brackets used to extend scaffold platforms on self-supported scaffold systems for the storage of material are prohibited. The first tie-in must be at the height equal to 4 times the width of the smallest dimension of the scaffold base.
- i. Scaffolding other than suspended types must bear on base plates upon wood mudsills (2 in x 10 in x 8 in minimum) or other adequate firm foundation.
- j. Scaffold or work platform erectors must have fall protection during the erection and dismantling of scaffolding or work platforms that are more than six feet.
- k. Delineate fall protection requirements when working above six feet or above dangerous operations in the Fall Protection and Prevention (FP&P) Plan and Activity Hazard Analysis (AHA) for the phase of work.

### 3.6 EQUIPMENT

#### 3.6.1 Material Handling Equipment (MHE)

- a. Material handling equipment such as forklifts must not be modified with work platform attachments for supporting employees unless specifically delineated in the manufacturer's printed operating instructions. Material handling equipment fitted with personnel work platform attachments are prohibited from traveling or positioning while personnel are working on the platform.
- b. The use of hooks on equipment for lifting of material must be in accordance with manufacturer's printed instructions. Material Handling Equipment Operators must be trained in accordance with OSHA 29 CFR 1910, Subpart N.
- c. Operators of forklifts or power industrial trucks must be licensed in accordance with OSHA.

#### 3.6.2 Load Handling Equipment (LHE)

- a. Equip cranes and derricks as specified in EM 385-1-1, Section 16.
- b. Notify the Contracting Officer 15 working days in advance of any LHE entering the activity, in accordance with EM 385-1-1, Section 16.A.02, so that necessary quality assurance spot checks can be coordinated. Prior to cranes entering federal activities, a Crane Access Permit must be obtained from the Contracting Officer. A copy of the permitting process will be provided at the Preconstruction Conference. Contractor's operator must remain with the crane during the spot check. Rigging gear must comply with OSHA, ASME B30.9 Standards and host country safety standards.
- c. Comply with the LHE manufacturer's specifications and limitations for erection and operation of cranes and hoists used in support of the work. Perform erection under the supervision of a designated person (as defined in ASME B30.5). Perform all testing in accordance with

the manufacturer's recommended procedures.

- d. Comply with ASME B30.5 for mobile and locomotive cranes, ASME B30.22 for articulating boom cranes, ASME B30.3 for construction tower cranes, ASME B30.8 for floating cranes and floating derricks, ASME B30.9 for slings, ASME B30.20 for below the hook lifting devices and ASME B30.26 for rigging hardware.
- e. Under no circumstance must a Contractor make a lift at or above 90 percent of the cranes rated capacity in any configuration.
- f. When operating in the vicinity of overhead transmission lines, operators and riggers must be alert to this special hazard and follow the requirements of EM 385-1-1 Section 11, and ASME B30.5 or ASME B30.22 as applicable.
- g. Do not use crane suspended personnel work platforms (baskets) unless the Contractor proves that using any other access to the work location would provide a greater hazard to the workers or is impossible. Do not lift personnel with a line hoist or friction crane. Additionally, submit a specific AHA for this work to the Contracting Officer. Ensure the activity and AHA are thoroughly reviewed by all involved personnel.
- h. Inspect, maintain, and recharge portable fire extinguishers as specified in NFPA 10, Standard for Portable Fire Extinguishers.
- i. All employees must keep clear of loads about to be lifted and of suspended loads.
- j. Use cribbing when performing lifts on outriggers.
- k. The crane hook/block must be positioned directly over the load. Side loading of the crane is prohibited.
- l. A physical barricade must be positioned to prevent personnel access where accessible areas of the LHE's rotating superstructure poses a risk of striking, pinching or crushing personnel.
- m. Maintain inspection records in accordance by EM 385-1-1, Section 16.D, including shift, monthly, and annual inspections, the signature of the person performing the inspection, and the serial number or other identifier of the LHE that was inspected. Records must be available for review by the Contracting Officer.
- n. Maintain written reports of operational and load testing in accordance with EM 385-1-1, Section 16.F, listing the load test procedures used along with any repairs or alterations performed on the LHE. Reports must be available for review by the Contracting Officer.
- o. Certify that all LHE operators have been trained in proper use of all safety devices (e.g. anti-two block devices).
- p. Take steps to ensure that wind speed does not contribute to loss of control of the load during lifting operations. At wind speeds greater than 20 mph, the operator, rigger and lift supervisor must cease all crane operations, evaluate conditions and determine if the lift may proceed. Base the determination to proceed or not on wind calculations per the manufacturer and a reduction in LHE rated

capacity if applicable. Include this maximum wind speed determination as part of the activity hazard analysis plan for that operation.

### 3.6.3 Machinery and Mechanized Equipment

- a. Proof of qualifications for operator must be kept on the project site for review.
- b. Manufacture specifications or owner's manual for the equipment must be on-site and reviewed for additional safety precautions or requirements that are sometimes not identified by OSHA or USACE EM 385-1-1. Incorporate such additional safety precautions or requirements into the AHAs.

### 3.6.4 USE OF EXPLOSIVES

Explosives must not be used or brought to the project site without prior written approval from the Contracting Officer. Such approval does not relieve the Contractor of responsibility for injury to persons or for damage to property due to blasting operations.

Storage of explosives, when permitted on Government property, must be only where directed and in approved storage facilities. These facilities must be kept locked at all times except for inspection, delivery, and withdrawal of explosives.

### 3.7 EXCAVATIONS

Soil classification must be performed by a competent person in accordance with 29 CFR 1926 and EM 385-1-1.

### 3.8 ELECTRICAL

Perform electrical work in accordance with EM 385-1-1, Appendix A, Sections 11 and 12.

#### 3.8.1 Conduct of Electrical Work

As delineated in EM 385-1-1, electrical work is to be conducted in a de-energized state unless there is no alternative method for accomplishing the work. In those cases obtain an energized work permit from the Contracting Officer. The energized work permit application must be accompanied by the AHA and a summary of why the equipment/circuit needs to be worked energized. Underground electrical spaces must be certified safe for entry before entering to conduct work. Cables that will be cut must be positively identified and de-energized prior to performing each cut. Attach temporary grounds in accordance with ASTM F855 and IEEE 1048. Perform all high voltage cable cutting remotely using hydraulic cutting tool. When racking in or live switching of circuit breakers, no additional person other than the switch operator is allowed in the space during the actual operation. Plan so that work near energized parts is minimized to the fullest extent possible. Use of electrical outages clear of any energized electrical sources is the preferred method.

When working in energized substations, only qualified electrical workers are permitted to enter. When work requires work near energized circuits as defined by NFPA 70, high voltage personnel must use personal protective

equipment that includes, as a minimum, electrical hard hat, safety shoes, insulating gloves and electrical arc flash protection for personnel as required by [NFPA 70E](#). Insulating blankets, hearing protection, and switching suits may also be required, depending on the specific job and as delineated in the Contractor's AHA. Ensure that each employee is familiar with and complies with these procedures and [29 CFR 1910.147](#).

### 3.8.2 Qualifications

Electrical work must be performed by QP personnel with verifiable credentials who are familiar with applicable code requirements. Verifiable credentials consist of State, National and Local Certifications or Licenses that a Master or Journeyman Electrician may hold, depending on work being performed, and must be identified in the appropriate AHA. Journeyman/Apprentice ratio must be in accordance with State, Local and Host Nation requirements applicable to where work is being performed.

### 3.8.3 Arc Flash

Conduct a hazard analysis/arc flash hazard analysis whenever work on or near energized parts greater than 50 volts is necessary, in accordance with [NFPA 70E](#).

All personnel entering the identified arc flash protection boundary must be QPs and properly trained in [NFPA 70E](#) requirements and procedures. Unless permitted by [NFPA 70E](#), no Unqualified Person is permitted to approach nearer than the Limited Approach Boundary of energized conductors and circuit parts. Training must be administered by an electrically qualified source and documented.

### 3.8.4 Grounding

Ground electrical circuits, equipment and enclosures in accordance with [NFPA 70](#) and [IEEE C2](#) to provide a permanent, continuous and effective path to ground unless otherwise noted by [EM 385-1-1](#).

Check grounding circuits to ensure that the circuit between the ground and a grounded power conductor has a resistance low enough to permit sufficient current flow to allow the fuse or circuit breaker to interrupt the current.

### 3.8.5 Testing

Temporary electrical distribution systems and devices must be inspected, tested and found acceptable for Ground-Fault Circuit Interrupter (GFCI) protection, polarity, ground continuity, and ground resistance before initial use, before use after modification and at least monthly. Monthly inspections and tests must be maintained for each temporary electrical distribution system, and signed by the electrical CP or QP.

-- End of Section --

SECTION 01 42 00

SOURCES FOR REFERENCE PUBLICATIONS  
11/14

PART 1 GENERAL

1.1 REFERENCES

Various publications are referenced in other sections of the specifications to establish requirements for the work. These references are identified in each section by document number, date and title. The document number used in the citation is the number assigned by the standards producing organization (e.g. ASTM B564 Standard Specification for Nickel Alloy Forgings). However, when the standards producing organization has not assigned a number to a document, an identifying number has been assigned for reference purposes.

1.2 ORDERING INFORMATION

The addresses of the standards publishing organizations whose documents are referenced in other sections of these specifications are listed below, and if the source of the publications is different from the address of the sponsoring organization, that information is also provided.

AMERICAN BOILER MANUFACTURERS ASSOCIATION (ABMA/BOIL)  
8221 Old Courthouse Road, Suite 202  
Vienna, VA 22182  
Ph: 703-356-7172  
Internet: <http://www.abma.com>

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)  
1330 Kemper Meadow Drive  
Cincinnati, OH 45240  
Ph: 513-742-2020 or 513-742-6163  
Fax: 513-742-3355  
E-mail: [mail@acgih.org](mailto:mail@acgih.org)  
Internet: <http://www.acgih.org>

AMERICAN INDUSTRIAL HYGIENE ASSOCIATION (AIHA)  
3141 Fairview Park Dr, Suite 777  
Falls Church, VA 22042  
Tel: 703-849-8888  
Fax: 703-207-3561  
E-mail: [infonet@aiha.org](mailto:infonet@aiha.org)  
Internet <http://www.aiha.org>

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)  
One East Wacker Drive, Suite 700  
Chicago, IL 60601-1802  
Ph: 312-670-2400  
Fax: 312-670-5403  
Bookstore: 800-644-2400  
E-mail: [aisc@ware-pak.com](mailto:aisc@ware-pak.com)  
Internet: <http://www.aisc.org>

AMERICAN SOCIETY OF SAFETY ENGINEERS (ASSE/SAFE)  
1800 East Oakton Street  
Des Plaines, IL 60018  
Ph: 847-699-2929  
Internet: <http://www.asse.org>

AMERICAN SOCIETY OF SANITARY ENGINEERING (ASSE)  
18927 Hickory Creek Drive, Suite 220  
Mokena, IL 60448  
Ph: 708-995-3019  
Fax: 708-479-6139  
E-mail: [staffengineer@asse-plumbing.org](mailto:staffengineer@asse-plumbing.org)  
Internet: <http://www.asse-plumbing.org>

AMERICAN WATER WORKS ASSOCIATION (AWWA)  
6666 West Quincy Avenue  
Denver, CO 80235-3098  
Ph: 303-794-7711  
E-mail: [distribution@awwa.org](mailto:distribution@awwa.org)  
Internet: <http://www.awwa.org>

AMERICAN WELDING SOCIETY (AWS)  
13301 NW 47 Ave  
Miami, FL 33054

Ph: 888-WELDING, 305-824-1177, 305-826-6192  
Fax: 305-826-6195  
E-mail: [customer.service@awspubs.com](mailto:customer.service@awspubs.com)  
Internet: <http://www.aws.org>

ASME INTERNATIONAL (ASME)  
Two Park Avenue, M/S 10E  
New York, NY 10016-5990  
Ph: 800-843-2763  
Fax: 973-882-1717  
E-mail: [customercare@asme.org](mailto:customercare@asme.org)  
Internet: <http://www.asme.org>

ASTM INTERNATIONAL (ASTM)  
100 Barr Harbor Drive, P.O. Box C700  
West Conshohocken, PA 19428-2959  
Ph: 877-909-2786  
Internet: <http://www.astm.org>

FM GLOBAL (FM)  
270 Central Avenue  
P.O. Box 7500  
Johnston, RI 02919-4923  
Ph: 877-364-6726  
Fax: 401-275-3029  
E-mail: [servicedesk.myrisk@fmglobal.com](mailto:servicedesk.myrisk@fmglobal.com)  
Internet: <http://www.fmglobal.com>

FOUNDATION FOR CROSS-CONNECTION CONTROL AND HYDRAULIC RESEARCH  
(FCCCHR)  
University of South California  
Research Annex 219  
3716 South Hope Street  
Los Angeles, CA 90089-7700

Ph: 213-740-2032 or 866-545-6340  
Fax: 213-740-8399  
E-mail: [fccchr@usc.edu](mailto:fccchr@usc.edu)  
Internet: <http://www.usc.edu/dept/fccchr>

GREEN SEAL (GS)  
1001 Connecticut Avenue, NW  
Suite 827  
Washington, DC 20036-5525  
Ph: 202-872-6400  
Fax: 202-872-4324  
Internet: <http://www.greenseal.org>

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)  
445 and 501 Hoes Lane  
Piscataway, NJ 08854-4141  
Ph: 732-981-0060 or 800-701-4333  
Fax: 732-562-9667  
E-mail: [onlinesupport@ieee.org](mailto:onlinesupport@ieee.org)  
Internet: <http://www.ieee.org>

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS  
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Vienna, VA 22180-4602  
Ph: 703-281-6613  
E-mail: [info@mss-hq.com](mailto:info@mss-hq.com)  
Internet: <http://mss-hq.org/Store/index.cfm>

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Burnaby, BC CANADA V5C 6G7  
Ph: 1-888-674-8937  
Fax: 1-888-211-8708  
E-mail: [info@paintinfo.com](mailto:info@paintinfo.com) or [techservices@mpi.net](mailto:techservices@mpi.net)  
Internet: <http://www.mpi.net/>

MIDWEST INSULATION CONTRACTORS ASSOCIATION (MICA)  
16712 Elm Circle  
Omaha, NE 68130  
Ph: 800-747-6422  
Fax: 402-330-9702  
Internet: <http://www.micainsulation.org>

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)  
1300 North 17th Street, Suite 900  
Arlington, VA 22209  
Ph: 703-841-3200  
Internet: <http://www.nema.org/>

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)  
1 Batterymarch Park  
Quincy, MA 02169-7471  
Ph: 617-770-3000  
Fax: 617-770-0700  
Internet: <http://www.nfpa.org>

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)  
2000 Powell Street, Suite 600

Emeryville, CA 94608  
Ph: 800-326-3228  
E-mail: [info@SCSglobal services.com](mailto:info@SCSglobal services.com)  
Internet: <http://www.scsglobalservices.com/>

SOCIETY FOR PROTECTIVE COATINGS (SSPC)  
40 24th Street, 6th Floor  
Pittsburgh, PA 15222  
Ph: 412-281-2331  
Fax: 412-281-9992  
E-mail: [info@sspc.org](mailto:info@sspc.org)  
Internet: <http://www.sspc.org>

U.S. ARMY CORPS OF ENGINEERS (USACE)  
CRD-C DOCUMENTS available on Internet:  
[http://www.wbdg.org/ccb/browse\\_cat.php?c=68](http://www.wbdg.org/ccb/browse_cat.php?c=68)  
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or  
<http://www.hnc.usace.army.mil/Missions/Engineering/TECHINFO.aspx>

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700 Robbins Avenue  
Philadelphia, PA 19111-5094  
Ph: 215-697-6396 - for account/password issues  
Internet: <http://assist.daps.dla.mil/online/start/>; account  
registration required  
Obtain Unified Facilities Criteria (UFC) from:  
Whole Building Design Guide (WBDG)  
National Institute of Building Sciences (NIBS)  
1090 Vermont Avenue NW, Suite 700  
Washington, CD 20005  
Ph: 202-289-7800  
Fax: 202-289-1092  
Internet: [http://www.wbdg.org/references/docs\\_refs.php](http://www.wbdg.org/references/docs_refs.php)

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)  
Ariel Rios Building  
1200 Pennsylvania Avenue, N.W.  
Washington, DC 20004  
Ph: 202-272-0167  
Internet: <http://www2.epa.gov/libraries>  
--- Some EPA documents are available only from:  
National Technical Information Service (NTIS)  
5301 Shawnee Road  
Alexandria, VA 22312  
Ph: 703-605-6050 or 1-688-584-8332  
Fax: 703-605-6900  
E-mail: [info@ntis.gov](mailto:info@ntis.gov)  
Internet: <http://www.ntis.gov>

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Washington, DC 20591  
Ph: 1-866-835-5322  
Internet: <http://www.faa.gov>

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)  
FHWA, Office of Safety  
1200 New Jersey Ave., SE  
Washington, DC 20590  
Ph: 202-366-4000  
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Internet: <http://www.gpoaccess.gov>

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General Services Administration  
1275 First St. NE  
Washington, DC 20417  
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Internet: <http://www.gsa.library.gsa.gov/ElibMain/home.do>  
Obtain documents from:  
Acquisition Streamlining and Standardization Information System  
(ASSIST)  
Internet: <https://assist.dla.mil/online/start/>; account

registration required

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)  
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Ph: 866-272-6272  
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Ph: 202-512-1800  
Fax: 202-512-2104  
E-mail: [contactcenter@gpo.gov](mailto:contactcenter@gpo.gov)  
Internet: <http://www.gpoaccess.gov>

U.S. NAVAL FACILITIES ENGINEERING COMMAND (NAVFAC)  
1322 Patterson Ave. SE, Suite 1000  
Washington Navy Yard, DC 20374-5065  
Ph: 202-685-9387  
Internet: <http://www.navfac.navy.mil>

UNDERWRITERS LABORATORIES (UL)  
2600 N.W. Lake Road  
Camas, WA 98607-8542  
Ph: 877-854-3577  
E-mail: [CEC.us@us.ul.com](mailto:CEC.us@us.ul.com)  
Internet: <http://www.ul.com/>  
UL Directories available through IHS at <http://www.ihs.com>

PART 2 PRODUCTS

Not used

PART 3 EXECUTION

Not used

-- End of Section --

SECTION 01 45 00.00 20

QUALITY CONTROL

11/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1

(2014) Safety and Health Requirements  
Manual

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES

SD-01 Preconstruction Submittals

Construction Quality Control (QC) Plan; G

Submit a Construction QC Plan prior to start of construction.

List of Employees Trained by the Pipe Manufacturer

1.3 INFORMATION FOR THE CONTRACTING OFFICER

Prior to commencing work on construction, the Contractor can obtain a single copy set of the current report forms from the Contracting Officer. The report forms will consist of the Contractor Production Report, Contractor Production Report (Continuation Sheet), Contractor Quality Control (CQC) Report, CQC Report (Continuation Sheet), Preparatory Phase Checklist, Initial Phase Checklist, Rework Items List, and Testing Plan and Log.

Deliver the following to the Contracting Officer during Construction:

- a. CQC Report: Mail or hand-carry the original (wet signatures) and one copy by 10:00 AM the next working day after each day that work is performed and for every seven consecutive calendar days of no-work.
- b. Contractor Production Report: Mail or hand-carry the original (wet signatures) and one copy by 10:00 AM the next working day after each day that work is performed and for every seven consecutive calendar days of no-work, attached to the CQC Report.
- c. Preparatory Phase Checklist: Original attached to the original CQC Report and one copy attached to each QC Report copy.

- d. Initial Phase Checklist: Original attached to the original CQC Report and one copy attached to each QC Report copy.
- e. Field Test Reports: Mail or hand-carry the original within two working days after the test is performed, attached to the original CQC Report and one copy attached to each QC Report copy.
- f. Monthly Summary Report of Tests: Mail or hand-carry the original attached to the last QC Report of the month.
- g. Testing Plan and Log: Mail or hand-carry the original attached to the last CQC Report of each month and one copy attached to each CQC Report copy. Provide a copy of the final Testing Plan and Log to the OMSI preparer for inclusion into the OMSI documentation.
- h. Rework Items List: Mail or hand-carry the original attached to the last CQC Report of each month and one copy attached to each CQC Report copy.
- i. CQC Meeting Minutes: Mail or hand-carry the original within two working days after the meeting is held, attached to the original CQC Report and one copy attached to each CQC Report copy.
- j. QC Certifications: As required by the paragraph entitled "QC Certifications."

#### 1.4 QC PROGRAM REQUIREMENTS

Establish and maintain a QC program as described in this section. This QC program is a key element in meeting the objectives of NAVFAC Commissioning. The QC program consists of a QC Organization, QC Plan, QC Plan Meeting(s), a Coordination and Mutual Understanding Meeting, QC meetings, three phases of control, submittal review and approval, testing, completion inspections, QC certifications, and documentation necessary to provide materials, equipment, workmanship, fabrication, construction and operations which comply with the requirements of this Contract. The QC program must cover on-site and off-site work and be keyed to the work sequence. No construction work or testing may be performed unless the QC Manager is on the work site. The QC Manager must report to an officer of the firm and not be subordinate to the Project Superintendent or the Project Manager. The QC Manager, Project Superintendent and Project Manager must work together effectively. Although the QC Manager is the primary individual responsible for quality control, all individuals will be held responsible for the quality of work on the job.

##### 1.4.1 Acceptance of the Construction Quality Control (QC) Plan

Acceptance of the QC Plan is required prior to the start of construction. The Contracting Officer reserves the right to require changes in the QC Plan and operations as necessary, including removal of personnel, to ensure the specified quality of work. The Contracting Officer reserves the right to interview any member of the QC organization at any time in order to verify the submitted qualifications. All QC organization personnel are subject to acceptance by the Contracting Officer. The Contracting Officer may require the removal of any individual for non-compliance with quality requirements specified in the Contract.

#### 1.4.2 Preliminary Construction Work Authorized Prior to Acceptance

The only construction work that is authorized to proceed prior to the acceptance of the QC Plan is mobilization of storage and office trailers, temporary utilities, and surveying.

#### 1.4.3 Notification of Changes

Notify the Contracting Officer, in writing, of any proposed changes in the QC Plan or changes to the QC organization personnel, a minimum of 10 work days prior to a proposed change. Proposed changes are subject to acceptance by the Contracting Officer.

### 1.5 QC ORGANIZATION

#### 1.5.1 QC Manager

##### 1.5.1.1 Duties

Provide a **full time** QC Manager at the work site to implement and manage the QC program. Refer to specification section 01 30 00, subpart 1.7.3 **Supervisory Roles**. The QC Manager is required to attend the partnering meetings, QC Plan Meetings, Coordination and Mutual Understanding Meeting, conduct the QC meetings, perform the three phases of control, perform submittal review and approval, ensure testing is performed and provide QC certifications and documentation required in this Contract. The QC Manager is responsible for managing and coordinating the three phases of control and documentation performed by testing laboratory personnel and any other inspection and testing personnel required by this Contract. The QC Manager is the manager of all QC activities.

##### 1.5.1.2 Qualifications

An individual with a minimum of 5 years combined experience in the following positions: Project Superintendent, QC Manager, Project Manager, Project Engineer or Construction Manager on similar size and type construction contracts which included the major trades that are part of this Contract. The individual must have at least two years experience as a QC Manager. The individual must be familiar with the requirements of **EM 385-1-1**, and have experience in the areas of hazard identification, safety compliance, and sustainability.

#### 1.5.2 Construction Quality Management Training

In addition to the above experience and education requirements, the QC Manager must have completed the course entitled "Construction Quality Management (CQM) for Contractors." If the QC Manager does not have a current certification, they must obtain the CQM for Contractors course certification within 90 days of award. This course is periodically offered by the Naval Facilities Engineering Command and the Army Corps of Engineers. Contact the Contracting Officer for information on the next scheduled class.

#### 1.5.3 Alternate QC Manager Duties and Qualifications

Designate an alternate for the QC Manager at the work site to serve in the event of the designated QC Manager's absence. The period of absence may not exceed two weeks at one time, and not more than 30 workdays during a calendar year. The qualification requirements for the Alternate QC

Manager must be the same as for the QC Manager.

## 1.6 QUALITY CONTROL (QC) PLAN

### 1.6.1 Construction Quality Control (QC) Plan

#### 1.6.1.1 Requirements

Provide, for acceptance by the Contracting Officer, a Construction QC Plan submitted in a three-ring binder that includes a table of contents, with major sections identified with tabs, with pages numbered sequentially, and that documents the proposed methods and responsibilities for accomplishing quality control commissioning activities during the construction of the project:

- a. QC ORGANIZATION: A chart showing the QC organizational structure.
- b. NAMES AND QUALIFICATIONS: Names and qualifications, in resume format, for each person in the QC organization. Include the CQM for Contractors course certifications for the QC Manager and Alternate QC Manager as required by the paragraphs entitled "Construction Quality Management Training" and "Alternate QC Manager Duties and Qualifications".
- c. DUTIES, RESPONSIBILITY AND AUTHORITY OF QC PERSONNEL: Duties, responsibilities, and authorities of each person in the QC organization.
- d. OUTSIDE ORGANIZATIONS: A listing of outside organizations, such as architectural and consulting engineering firms, that will be employed by the Contractor and a description of the services these firms will provide.
- e. APPOINTMENT LETTERS: Letters signed by an officer of the firm appointing the QC Manager and Alternate QC Manager and stating that they are responsible for implementing and managing the QC program as described in this Contract. Include in this letter the responsibility of the QC Manager and Alternate QC Manager to implement and manage the three phases of control, and their authority to stop work which is not in compliance with the Contract. Letters of direction are to be issued by the QC Manager to the Assistant QC Manager and all other QC Specialists outlining their duties, authorities, and responsibilities. Include copies of the letters in the QC Plan.
- f. SUBMITTAL PROCEDURES AND INITIAL SUBMITTAL REGISTER: Procedures for reviewing, approving, and managing submittals. Provide the name(s) of the person(s) in the QC organization authorized to review and certify submittals prior to approval. Provide the initial submittal of the Submittal Register as specified in Section 01 33 00 SUBMITTAL PROCEDURES.
- g. TESTING LABORATORY INFORMATION: Testing laboratory information required by the paragraphs entitled "Accreditation Requirements", as applicable.
- h. TESTING PLAN AND LOG: A Testing Plan and Log that includes the tests required, referenced by the specification paragraph number requiring the test, the frequency, and the person responsible for each test. Use Government forms to log and track tests.

- i. PROCEDURES TO COMPLETE REWORK ITEMS: Procedures to identify, record, track, and complete rework items. Use Government forms to record and track rework items.
- j. DOCUMENTATION PROCEDURES: Use Government form.
- k. LIST OF DEFINABLE FEATURES: A Definable Feature of Work (DFOW) is a task that is separate and distinct from other tasks and has control requirements and work crews unique to that task. A DFOW is identified by different trades or disciplines and is an item or activity on the construction schedule. Include in the list of DFOWs, but not be limited to, all critical path activities on the NAS. Include all activities for which this specification requires QC Specialists or specialty inspection personnel. Provide separate DFOWs in the Network Analysis Schedule for each design development stage and submittal package.
- l. PROCEDURES FOR PERFORMING THE THREE PHASES OF CONTROL: Identify procedures used to ensure the three phases of control to manage the quality on this project. For each DFOW, a Preparatory and Initial phase checklist will be filled out during the Preparatory and Initial phase meetings. Conduct the Preparatory and Initial Phases and meetings with a view towards obtaining quality construction by planning ahead and identifying potential problems for each DFOW.
- m. PERSONNEL MATRIX: Not Applicable
- n. PROCEDURES FOR COMPLETION INSPECTION: Not Applicable
- o. TRAINING PROCEDURES AND TRAINING LOG: Not Applicable
- p. ORGANIZATION AND PERSONNEL CERTIFICATIONS LOG: Procedures for coordinating, tracking and documenting all certifications on subcontractors, testing laboratories, suppliers, personnel, etc. QC Manager will ensure that certifications are current, appropriate for the work being performed, and will not lapse during any period of the contract that the work is being performed.

#### 1.7 COORDINATION AND MUTUAL UNDERSTANDING MEETING

After submission of the QC Plan, and prior to Government approval and the start of construction, the QC Manager will meet with the Contracting Officer to present the QC program required by this Contract. When a new QC Manager is appointed, the coordination and mutual understanding meeting must be repeated.

At the discretion of the EFEAD/ROICC and prior to submission of the QC Plan, the QC Manager will meet with the Contracting Officer to discuss the QC Plan requirements of this Contract. The purpose of this meeting is to develop a mutual understanding of the QC Plan requirements prior to plan development and submission and to agree on the Contractor's list of DFOWs

##### 1.7.1 Purpose

The purpose of this meeting is to develop a mutual understanding of the QC details, including documentation, administration for on-site and off-site work, design intent, Cx, environmental requirements and procedures, coordination of activities to be performed, and the coordination of the

Contractor's management, production, and QC personnel. At the meeting, the Contractor will be required to explain in detail how three phases of control will be implemented for each DFOV, as well as how each DFOV will be affected by each management plan or requirement as listed below:

- a. Waste Management Plan.
- b. Procedures for noise and acoustics management.
- c. Environmental Protection Plan.
- d. Environmental regulatory requirements.

#### 1.7.2 Coordination of Activities

Coordinate activities included in various sections to assure efficient and orderly installation of each component. Coordinate operations included under different sections that are dependent on each other for proper installation and operation.

#### 1.7.3 Attendees

As a minimum, the Contractor's personnel required to attend include an officer of the firm, the Project Manager, Project Superintendent, QC Manager, Alternate QC Manager, Environmental Manager, and subcontractor representatives. Each subcontractor who will be assigned QC responsibilities must have a principal of the firm at the meeting. Minutes of the meeting will be prepared by the QC Manager and signed by the Contractor and the Contracting Officer. Provide a copy of the signed minutes to all attendees and include in the QC Plan.

#### 1.8 QC MEETINGS

After the start of construction, conduct QC meetings once every two weeks by the QC Manager at the work site with the Project Superintendent, the CA, and the foremen who are performing the work of the DFOVs. The QC Manager is to prepare the minutes of the meeting and provide a copy to the Contracting Officer within two working days after the meeting. The Contracting Officer may attend these meetings. As a minimum, accomplish the following at each meeting:

- a. Review the minutes of the previous meeting.
- b. Review the schedule and the status of work and rework.
- c. Review the status of submittals.
- d. Review the work to be accomplished in the next two weeks and documentation required.
- e. Resolve QC and production problems (RFI, etc.).
- f. Address items that may require revising the QC Plan.
- g. Review Accident Prevention Plan (APP).
- h. Review environmental requirements and procedures.
- i. Review Waste Management Plan.

- j. Review Environmental Management Plan.
- k. Review the status of training completion.

#### 1.9 THREE PHASES OF CONTROL

Adequately cover both on-site and off-site work with the Three Phases of Control and include the following for each DFOW.

##### 1.9.1 Preparatory Phase

Notify the Contracting Officer at least two work days in advance of each preparatory phase meeting. The meeting will be conducted by the QC Manager and attended by the Project Superintendent, the CA, and the foreman responsible for the DFOW. When the DFOW will be accomplished by a subcontractor, that subcontractor's foreman must attend the preparatory phase meeting. Document the results of the preparatory phase actions in the Preparatory Phase Checklist. Perform the following prior to beginning work on each DFOW:

- a. Review each paragraph of the applicable specification sections.
- b. Review the Contract drawings.
- c. Verify that field measurements are as indicated on construction and/or shop drawings before confirming product orders, in order to minimize waste due to excessive materials.
- d. Verify that appropriate shop drawings and submittals for materials and equipment have been submitted and approved. Verify receipt of approved factory test results, when required.
- e. Review the testing plan and ensure that provisions have been made to provide the required QC testing.
- f. Examine the work area to ensure that the required preliminary work has been completed.
- g. Coordinate the schedule of product delivery to designated prepared areas in order to minimize site storage time and potential damage to stored materials.
- h. Arrange for the return of shipping/packaging materials, such as wood pallets, where economically feasible.
- i. Examine the required materials, equipment and sample work to ensure that they are on hand and conform to the approved shop drawings and submitted data and are properly stored.
- j. Discuss specific controls used and construction methods, construction tolerances, workmanship standards, and the approach that will be used to provide quality construction by planning ahead and identifying potential problems for each DFOW.
- k. Review the APP and appropriate Activity Hazard Analysis (AHA) to ensure that applicable safety requirements are met, and that required Material Safety Data Sheets (MSDS) are submitted.

#### 1.9.2 Initial Phase

Notify the Contracting Officer at least two work days in advance of each initial phase. When construction crews are ready to start work on a DFOW, conduct the initial phase with the Project Superintendent, and the foreman responsible for that DFOW. Observe the initial segment of the DFOW to ensure that the work complies with Contract requirements. Document the results of the initial phase in the Initial Phase Checklist. Repeat the initial phase for each new crew to work on-site, or when acceptable levels of specified quality are not being met. Perform the following for each DFOW:

- a. Establish level of workmanship and verify that it meets the minimum acceptable workmanship standards.
- b. Resolve any workmanship issues.
- c. Ensure that testing is performed by the approved laboratory.
- d. Check work procedures for compliance with the APP and the appropriate AHA to ensure that applicable safety requirements are met.
- e. Review project specific work plans (i.e. Cx, HAZMAT Abatement, Stormwater Management) to ensure all preparatory work items have been completed and documented.

#### 1.9.3 Follow-Up Phase

Perform the following for on-going work daily, or more frequently as necessary, until the completion of each DFOW and document in the daily CQC Report:

- a. Ensure the work is in compliance with Contract requirements.
- b. Maintain the quality of workmanship required.
- c. Ensure that testing is performed by the approved laboratory.
- d. Ensure that rework items are being corrected.
- e. Assure manufacturers representatives have performed necessary inspections if required and perform safety inspections.

#### 1.9.4 Additional Preparatory and Initial Phases

Conduct additional preparatory and initial phases on the same DFOW if the quality of on-going work is unacceptable, if there are changes in the applicable QC organization, if there are changes in the on-site production supervision or work crew, if work on a DFOW is resumed after substantial period of inactivity, or if other problems develop.

#### 1.9.5 Notification of Three Phases of Control for Off-Site Work

Notify the Contracting Officer at least two weeks prior to the start of the preparatory and initial phases.

#### 1.10 SUBMITTAL REVIEW AND APPROVAL

Procedures for submission, review and approval of submittals are described

in Section 01 33 00 SUBMITTAL PROCEDURES.

#### 1.11 TESTING

Except as stated otherwise in the specification sections, perform sampling and testing required under this Contract.

##### 1.11.1 Accreditation Requirements

Construction materials testing laboratories must be accredited by a laboratory accreditation authority and will be required to submit a copy of the Certificate of Accreditation and Scope of Accreditation. The laboratory's scope of accreditation must include the appropriate ASTM standards (E 329, C 1077, D 3666, D 3740, A 880, E 543) listed in the technical sections of the specifications. Laboratories engaged in Hazardous Materials Testing must meet the requirements of OSHA and EPA. The policy applies to the specific laboratory performing the actual testing, not just the Corporate Office.

##### 1.11.2 Laboratory Accreditation Authorities

Laboratory Accreditation Authorities include the National Voluntary Laboratory Accreditation Program (NVLAP) administered by the National Institute of Standards and Technology at <http://ts.nist.gov/ts/htdocs/210/214/214.htm>, the American Association of State Highway and Transportation Officials (AASHTO) program at <http://www.amrl.net/amrlsitefinity/default/aap.aspx>, International Accreditation Services, Inc. (IAS) at <http://www.iasonline.org>, U. S. Army Corps of Engineers Materials Testing Center (MTC) at <http://gsl.erdc.usace.army.mil/SL/MTC/>, the American Association for Laboratory Accreditation (A2LA) program at <http://www.a2la.org/>.

##### 1.11.3 Capability Check

The Contracting Officer retains the right to check laboratory equipment in the proposed laboratory and the laboratory technician's testing procedures, techniques, and other items pertinent to testing, for compliance with the standards set forth in this Contract.

##### 1.11.4 Test Results

Cite applicable Contract requirements, tests or analytical procedures used. Provide actual results and include a statement that the item tested or analyzed conforms or fails to conform to specified requirements. If the item fails to conform, notify the Contracting Officer immediately. Conspicuously stamp the cover sheet for each report in large red letters "CONFORMS" or "DOES NOT CONFORM" to the specification requirements, whichever is applicable. Test results must be signed by a testing laboratory representative authorized to sign certified test reports. Furnish the signed reports, certifications, and other documentation to the Contracting Officer via the QC Manager. Furnish a summary report of field tests at the end of each month, in accordance with paragraph INFORMATION FOR THE CONTRACTING OFFICER.

##### 1.11.5 Test Reports and Monthly Summary Report of Tests

Furnish the signed reports, certifications, and a summary report of field tests at the end of each month to the Contracting Officer. Attach a copy of the summary report to the last daily Contractor Quality Control Report

of each month. Provide a copy of the signed test reports and certifications to the OMSI preparer for inclusion into the OMSI documentation.

## 1.12 QC CERTIFICATIONS

### 1.12.1 CQC Report Certification

Contain the following statement within the CQC Report: "On behalf of the Contractor, I certify that this report is complete and correct and equipment and material used and work performed during this reporting period is in compliance with the contract drawings and specifications to the best of my knowledge, except as noted in this report."

### 1.12.2 Invoice Certification

Furnish a certificate to the Contracting Officer with each payment request, signed by the QC Manager, attesting that as-built drawings are current, coordinated and attesting that the work for which payment is requested, including stored material, is in compliance with Contract requirements.

### 1.12.3 Completion Certification

Upon completion of work under this Contract, the QC Manager must furnish a certificate to the Contracting Officer attesting that "the work has been completed, inspected, tested and is in compliance with the Contract." Provide a copy of this final QC Certification for completion to the OMSI preparer for inclusion into the OMSI documentation.

## 1.13 COMPLETION INSPECTIONS

### 1.13.1 Punch-Out Inspection

Near the completion of all work or any increment thereof, established by a completion time stated in the Contract Clause entitled "Commencement, Prosecution, and Completion of Work," or stated elsewhere in the specifications, the QC Manager and the CA must conduct an inspection of the work and develop a "punch list" of items which do not conform to the approved drawings, specifications and Contract. Include in the punch list any remaining items on the "Rework Items List", which were not corrected prior to the Punch-Out Inspection. Include within the punch list the estimated date by which the deficiencies will be corrected. Provide a copy of the punch list to the Contracting Officer. The QC Manager, or staff, must make follow-on inspections to ascertain that all deficiencies have been corrected. Once this is accomplished, notify the Government that the facility is ready for the Government "Pre-Final Inspection".

### 1.13.2 Pre-Final Inspection

The Government and QCM will perform this inspection to verify that the facility is complete and ready to be occupied. A Government "Pre-Final Punch List" will be documented by the QCM as a result of this inspection. The QC Manager will ensure that all items on this list are corrected prior to notifying the Government that a "Final" inspection with the Client can be scheduled. Any items noted on the "Pre-Final" inspection must be corrected in a timely manner and be accomplished before the contract completion date for the work, or any particular increment thereof, if the project is divided into increments by separate completion dates.

### 1.13.3 Final Acceptance Inspection

Notify the Contracting Officer at least 14 calendar days prior to the date a final acceptance inspection can be held. State within the notice that all items previously identified on the pre-final punch list will be corrected and acceptable, along with any other unfinished Contract work, by the date of the final acceptance inspection. The Contractor must be represented by the QC Manager, the Project Superintendent, the CA, and others deemed necessary. Attendees for the Government will include the Contracting Officer, other FEAD/ROICC personnel, and personnel representing the Client. Failure of the Contractor to have all contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with the Contract Clause entitled "Inspection of Construction."

### 1.14 DOCUMENTATION

Maintain current and complete records of on-site and off-site QC program operations and activities.

#### 1.14.1 Construction Documentation

Reports are required for each day that work is performed and must be attached to the Contractor Quality Control Report prepared for the same day. Maintain current and complete records of on-site and off-site QC program operations and activities. The forms identified under the paragraph "INFORMATION FOR THE CONTRACTING OFFICER" will be used. Reports are required for each day work is performed. Account for each calendar day throughout the life of the Contract. Every space on the forms must be filled in. Use N/A if nothing can be reported in one of the spaces. The Project Superintendent and the QC Manager must prepare and sign the Contractor Production and CQC Reports, respectively. The reporting of work must be identified by terminology consistent with the construction schedule. In the "remarks" sections of the reports, enter pertinent information including directions received, problems encountered during construction, work progress and delays, conflicts or errors in the drawings or specifications, field changes, safety hazards encountered, instructions given and corrective actions taken, delays encountered and a record of visitors to the work site, quality control problem areas, deviations from the QC Plan, construction deficiencies encountered, meetings held. For each entry in the report(s), identify the Schedule Activity No. that is associated with the entered remark.

#### 1.14.2 Quality Control Validation

Establish and maintain the following in a series of three ring binders. Binders must be divided and tabbed as shown below. These binders must be readily available to the Contracting Officer during all business hours.

- a. All completed Preparatory and Initial Phase Checklists, arranged by specification section.
- b. All milestone inspections, arranged by Activity Number.
- c. An up-to-date copy of the Testing Plan and Log with supporting field test reports, arranged by specification section.

- d. Copies of all contract modifications, arranged in numerical order. Also include documentation that modified work was accomplished.
- e. An up-to-date copy of the Rework Items List.
- f. Maintain up-to-date copies of all punch lists issued by the QC staff to the Contractor and Sub-Contractors and all punch lists issued by the Government.

#### 1.14.3 Testing Plan and Log

As tests are performed, the CA and the QC Manager will record on the "Testing Plan and Log" the date the test was performed and the date the test results were forwarded to the Contracting Officer. Attach a copy of the updated "Testing Plan and Log" to the last daily CQC Report of each month, per the paragraph "INFORMATION FOR THE CONTRACTING OFFICER". Provide a copy of the final "Testing Plan and Log" to the OMSI preparer for inclusion into the OMSI documentation.

#### 1.14.4 Rework Items List

The QC Manager must maintain a list of work that does not comply with the Contract, identifying what items need to be reworked, the date the item was originally discovered, the date the item will be corrected by, and the date the item was corrected. There is no requirement to report a rework item that is corrected the same day it is discovered. The Contractor is responsible for including those items identified by the Contracting Officer.

#### 1.14.5 As-Built Drawings

The QC Manager is required to ensure the as-built drawings, required by Section 01 78 00 CLOSEOUT SUBMITTALS are kept current on a daily basis and marked to show deviations which have been made from the Contract drawings. Ensure each deviation has been identified with the appropriate modifying documentation (e.g. PC No., Modification No., Request for Information No., etc.). The QC Manager must initial each revision. Upon completion of work, the QC Manager will furnish a certificate attesting to the accuracy of the as-built drawings prior to submission to the Contracting Officer.

#### 1.15 NOTIFICATION ON NON-COMPLIANCE

The Contracting Officer will notify the Contractor of any detected non-compliance with the Contract. Take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, is deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders will be made the subject of claim for extension of time for excess costs or damages by the Contractor.

#### PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 PREPARATION

Designate receiving/storage areas for incoming material to be delivered according to installation schedule and to be placed convenient to work area in order to minimize waste due to excessive materials handling and misapplication. Store and handle materials in a manner as to prevent loss from weather and other damage. Keep materials, products, and accessories covered and off the ground, and store in a dry, secure area. Prevent contact with material that may cause corrosion, discoloration, or staining. Protect all materials and installations from damage by the activities of other trades.

-- End of Section --

SECTION 01 50 00

TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS  
08/09

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA C511 (2007) Standard for Reduced-Pressure  
Principle Backflow Prevention Assembly

FOUNDATION FOR CROSS-CONNECTION CONTROL AND HYDRAULIC RESEARCH  
(FCCCHR)

FCCCHR List (continuously updated) List of Approved  
Backflow Prevention Assemblies

FCCCHR Manual (10th Edition) Manual of Cross-Connection  
Control

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 241 (2013; Errata 2015) Standard for  
Safeguarding Construction, Alteration, and  
Demolition Operations

NFPA 70 (2014; AMD 1 2013; Errata 1 2013; AMD 2  
2013; Errata 2 2013; AMD 3 2014; Errata  
3-4 2014; AMD 4-6 2014) National  
Electrical Code

U.S. FEDERAL AVIATION ADMINISTRATION (FAA)

FAA AC 70/7460-1 (2007; Rev K) Obstruction Marking and  
Lighting

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)

MUTCD (2009) Manual on Uniform Traffic Control  
Devices

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Site Plan; G  
Traffic Control Plan; G

1.3 CONSTRUCTION SITE PLAN

Prior to the start of work, submit a site plan showing the locations and dimensions of temporary facilities (including layouts and details, equipment and material storage area (onsite and offsite), and access and haul routes, avenues of ingress/egress to the fenced area and details of the fence installation. Identify any areas which may have to be graveled to prevent the tracking of mud. Indicate if the use of a supplemental or other staging area is desired. Show locations of safety and construction fences, site trailers, construction entrances, trash dumpsters, temporary sanitary facilities, and worker parking areas.

PART 2 PRODUCTS

2.1 TEMPORARY SIGNAGE

2.1.1 Bulletin Board

Immediately upon beginning of work, provide a weatherproof glass-covered bulletin board not less than 36 by 48 inches in size for displaying the Equal Employment Opportunity poster, a copy of the wage decision contained in the contract, Wage Rate Information poster, and other information approved by the Contracting Officer.

2.1.2 Project and Safety Signs

The requirements for the signs, their content, and location are as indicated on the drawings. Erect signs within 15 days after receipt of the notice to proceed. Correct the data required by the safety sign daily, with light colored metallic or non-metallic numerals.

2.2 TEMPORARY TRAFFIC CONTROL

2.2.1 Haul Roads

Construct access and haul roads necessary for proper prosecution of the work under this contract. Construct with suitable grades and widths; sharp curves, blind corners, and dangerous cross traffic are to be avoided. Provide necessary lighting, signs, barricades, and distinctive markings for the safe movement of traffic. The method of dust control, although optional, must be adequate to ensure safe operation at all times. Location, grade, width, and alignment of construction and hauling roads are subject to approval by the Contracting Officer. Lighting must be adequate to assure full and clear visibility for full width of haul road and work areas during any night work operations.

2.2.2 Barricades

Erect and maintain temporary barricades to limit public access to hazardous areas. Whenever safe public access to paved areas such as

roads, parking areas or sidewalks is prevented by construction activities or as otherwise necessary to ensure the safety of both pedestrian and vehicular traffic barricades will be required. Securely place barricades clearly visible with adequate illumination to provide sufficient visual warning of the hazard during both day and night.

### 2.2.3 Fencing

Provide fencing along the construction site at all open excavations and tunnels to control access by unauthorized people.

- a. The safety fencing must be a high visibility orange colored, high density polyethylene grid or approved equal, a minimum of **48 inches** high and maximum mesh size of **2 inches**, supported and tightly secured to steel posts located on maximum **10 foot** centers, constructed at the approved location. Install fencing to be able to restrain a force of at least **250 pounds** against it.

### 2.2.4 Temporary Wiring

Provide temporary wiring in accordance with **NFPA 241** and **NFPA 70**. Include frequent inspection of all equipment and apparatus.

### 2.2.5 Backflow Preventers

Reduced pressure principle type conforming to the applicable requirements **AWWA C511**. Provide backflow preventers complete with flanged mounted gate valve and strainer, stainless steel or bronze, internal parts. The particular make, model/design, and size of backflow preventers to be installed must be included in the latest edition of the List of Approved Backflow Prevention Assemblies issued by the **FCCCHR List** and be accompanied by a Certificate of Full Approval from **FCCCHR List**. After installation conduct **Backflow Preventer Tests** and provide test reports verifying that the installation meets the **FCCCHR Manual** Standards.

## PART 3 EXECUTION

### 3.1 EMPLOYEE PARKING

Contractor employees will park privately owned vehicles in an area designated by the Contracting Officer. This area will be within reasonable walking distance of the construction site. Contractor employee parking must not interfere with existing and established parking requirements of the government installation.

### 3.2 TEMPORARY BULLETIN BOARD

Locate the bulletin board at the project site in a conspicuous place easily accessible to all employees, as approved by the Contracting Officer.

### 3.3 AVAILABILITY AND USE OF UTILITY SERVICES

#### 3.3.1 Temporary Utilities

Provide temporary utilities required for construction. Materials may be new or used, must be adequate for the required usage, not create unsafe conditions, and not violate applicable codes and standards.

3.3.2 Payment for Utility Services

- a. The Government will make all reasonably required utilities available to the Contractor from existing outlets and supplies, as specified in the contract. Unless otherwise provided in the contract, the amount of each utility service consumed will be charged to or paid for by the Contractor at prevailing rates charged to the Government or, where the utility is produced by the Government, at reasonable rates determined by the Contracting Officer. Carefully conserve any utilities furnished without charge.
- b. Reasonable amounts of the following utilities will be made available to the Contractor without charge.

Utility Services
Electricity
Potable Water

- c. The point at which the Government will deliver such utilities or services and the quantity available is as indicated. Pay all costs incurred in connecting, converting, and transferring the utilities to the work. Make connections, including providing backflow-preventing devices on connections to domestic water lines; and providing transformers; and make disconnections.

3.3.3 Meters and Temporary Connections

At the Contractors expense and in a manner satisfactory to the Contracting Officer, provide and maintain necessary temporary connections, distribution lines, and meter bases (Government will provide meters) required to measure the amount of each utility used for the purpose of determining charges. Notify the Contracting Officer, in writing, 5 working days before final electrical connection is desired so that a utilities contract can be established. The Government will provide a meter and make the final hot connection after inspection and approval of the Contractor's temporary wiring installation. The Contractor will not make the final electrical connection.

3.3.4 Utilities at Special Locations

- a. Reasonable amounts of utilities will be made available without charge. The Contractor will be responsible for making connections, providing transformers and meters, and making disconnections; and for providing backflow preventer devices on connections to domestic water lines. Under no circumstances will taps to base fire hydrants be allowed for obtaining domestic water.

3.3.5 Sanitation

- a. Provide and maintain within the construction area minimum field-type sanitary facilities approved by the Contracting Officer and periodically empty wastes into a municipal, district, or station sanitary sewage system, or remove waste to a commercial facility. Obtain approval from the system owner prior to discharge into any municipal, district, or commercial sanitary sewer system. Any

penalties and / or fines associated with improper discharge will be the responsibility of the Contractor. Coordinate with the Contracting Officer and follow station regulations and procedures when discharging into the station sanitary sewer system. Maintain these conveniences at all times without nuisance. Include provisions for pest control and elimination of odors. Government toilet facilities will not be available to Contractor's personnel.

### 3.3.6 Telephone

Make arrangements and pay all costs for telephone facilities desired.

### 3.3.7 Obstruction Lighting of Cranes

Provide a minimum of 2 aviation red or high intensity white obstruction lights on temporary structures (including cranes) over 100 feet above ground level. Light construction and installation must comply with FAA AC 70/7460-1. Lights must be operational during periods of reduced visibility, darkness, and as directed by the Contracting Officer.

### 3.3.8 Fire Protection

Provide temporary fire protection equipment for the protection of personnel and property during construction. Remove debris and flammable materials daily to minimize potential hazards.

## 3.4 TRAFFIC PROVISIONS

### 3.4.1 Maintenance of Traffic

- a. Conduct operations in a manner that will not close any thoroughfare or interfere in any way with traffic on railways or highways except with written permission of the Contracting Officer at least 15 calendar days prior to the proposed modification date, and provide a Traffic Control Plan detailing the proposed controls to traffic movement for approval. The plan must be in accordance with State and local regulations and the MUTCD, Part VI. Make all notifications and obtain any permits required for modification to traffic movements outside Station's jurisdiction. Contractor may move oversized and slow-moving vehicles to the worksite provided requirements of the highway authority have been met.
- b. Conduct work so as to minimize obstruction of traffic, and maintain traffic on at least half of the roadway width at all times. Obtain approval from the Contracting Officer prior to starting any activity that will obstruct traffic.
- c. Provide, erect, and maintain, at contractors expense, lights, barriers, signals, passageways, detours, and other items, that may be required by the Life Safety Signage, overhead protection authority having jurisdiction.

### 3.4.2 Protection of Traffic

Maintain and protect traffic on all affected roads during the construction period except as otherwise specifically directed by the Contracting Officer. Measures for the protection and diversion of traffic, including

the provision of watchmen and flagmen, erection of barricades, placing of lights around and in front of equipment the work, and the erection and maintenance of adequate warning, danger, and direction signs, will be as required by the State and local authorities having jurisdiction. Protect the traveling public from damage to person and property. Minimize the interference with public traffic on roads selected for hauling material to and from the site. Investigate the adequacy of existing roads and their allowable load limit. Contractor is responsible for the repair of any damage to roads caused by construction operations.

#### 3.4.3 Rush Hour Restrictions

Do not interfere with the peak traffic flows preceding and during normal operations without notification to and approval by the Contracting Officer.

#### 3.4.4 Dust Control

Dust control methods and procedures must be approved by the Contracting Officer. Treat dust abatement on access roads with applications of calcium chloride, water sprinklers, or similar methods or treatment.

### 3.5 CONTRACTOR'S TEMPORARY FACILITIES

#### 3.5.1 Safety

Protect the integrity of any installed safety systems or personnel safety devices. If entrance into systems serving safety devices is required, the Contractor must obtain prior approval from the Contracting Officer. If it is temporarily necessary to remove or disable personnel safety devices in order to accomplish contract requirements, provide alternative means of protection prior to removing or disabling any permanently installed safety devices or equipment and obtain approval from the Contracting Officer.

#### 3.5.2 Administrative Field Offices

Provide and maintain administrative field office facilities within the construction area at the designated site. Government office and warehouse facilities will not be available to the Contractor's personnel.

#### 3.5.3 Storage Area

Construct a temporary 8 foot high chain link fence around trailers and materials. Include plastic strip inserts, colored brown, so that visibility through the fence is obstructed. Fence posts may be driven, in lieu of concrete bases, where soil conditions permit. Do not place or store Trailers, materials, or equipment outside the fenced area unless such trailers, materials, or equipment are assigned a separate and distinct storage area by the Contracting Officer away from the vicinity of the construction site but within the installation boundaries. Trailers, equipment, or materials must not be open to public view with the exception of those items which are in support of ongoing work on any given day. Do not stockpile materials outside the fence in preparation for the next day's work. Park mobile equipment, such as tractors, wheeled lifting equipment, cranes, trucks, and like equipment within the fenced area at the end of each work day.

#### 3.5.4 Supplemental Storage Area

Upon Contractor's request, the Contracting Officer will designate another

or supplemental area for the Contractor's use and storage of trailers, equipment, and materials. This area may not be in close proximity of the construction site but will be within the installation boundaries. This area will be similar to above, enclosed bay on 8 foot high fence. The Contractor is responsible for cleanliness and orderliness of the area used and for the security of any material or equipment stored in this area. Utilities will not be provided to this area by the Government.

### 3.5.5 Appearance of Trailers

- a. Trailers utilized by the Contractor for administrative or material storage purposes must present a clean and neat exterior appearance and be in a state of good repair. Trailers which, in the opinion of the Contracting Officer, require exterior painting or maintenance will not be allowed on installation property.

### 3.5.6 Maintenance of Storage Area

- a. Keep fencing in a state of good repair and proper alignment. Grassed or unpaved areas, which are not established roadways, will be covered with a layer of gravel as necessary to prevent rutting and the tracking of mud onto paved or established roadways, should the Contractor elect to traverse them with construction equipment or other vehicles; gravel gradation will be at the Contractor's discretion. Mow and maintain grass located within the boundaries of the construction site for the duration of the project. Grass and vegetation along fences, buildings, under trailers, and in areas not accessible to mowers will be edged or trimmed neatly.

### 3.5.7 Security Provisions

Provide adequate outside security lighting at the Contractor's temporary facilities. The Contractor will be responsible for the security of its own equipment; in addition, the Contractor will notify the appropriate law enforcement agency requesting periodic security checks of the temporary project field office.

### 3.5.8 Storage Size and Location

The open site available for storage must be confined to the indicated operations area within 1,000 feet of the operations area.

### 3.5.9 Storage in Existing Buildings

The Contractor will be working in and around existing buildings; the storage of material will not be allowed in the buildings.

### 3.5.10 Weather Protection of Temporary Facilities and Stored Materials

Take necessary precautions to ensure that roof openings and other critical openings in the building are monitored carefully. Take immediate actions required to seal off such openings when rain or other detrimental weather is imminent, and at the end of each workday. Ensure that the openings are completely sealed off to protect materials and equipment in the building from damage.

#### 3.5.10.1 Building and Site Storm Protection

When a warning of gale force winds is issued, take precautions to minimize

danger to persons, and protect the work and nearby Government property. Precautions must include, but are not limited to, closing openings; removing loose materials, tools and equipment from exposed locations; and removing or securing scaffolding and other temporary work. Close openings in the work when storms of lesser intensity pose a threat to the work or any nearby Government property.

### 3.6 GOVERNMENT FIELD OFFICE

#### 3.6.1 Resident Engineer's Office

Provide the Government Resident Engineer with an office, approximately 200 square feet in floor area, located where directed and providing space heat, electric light and power, and toilet facilities consisting of one lavatory and one water closet complete with connections to water and sewer mains. Provide a mail slot in the door or a lockable mail box mounted on the surface of the door. Include a 4 by 8 foot plan table, computer work space a standard size office desk and chair, and telephone. At completion of the project, the office will remain the property of the Contractor and be removed from the site. Utilities will be connected and disconnected in accordance with local codes and to the satisfaction of the Contracting Officer.

#### 3.6.2 Trailer-Type Mobile Office

The Contractor may, at its option, furnish and maintain a trailer-type mobile office acceptable to the Contracting Officer and providing as a minimum the facilities specified above. Securely anchor the trailer to the ground at all four corners to guard against movement during high winds.

### 3.7 PLANT COMMUNICATION

Whenever the Contractor has the individual elements of its plant so located that operation by normal voice between these elements is not satisfactory, the Contractor must install a satisfactory means of communication, such as telephone or other suitable devices and made available for use by Government personnel.

### 3.8 TEMPORARY PROJECT SAFETY FENCING

As soon as practicable, but not later than 15 days after the date established for commencement of work, furnish and erect temporary project safety fencing at the work site. Maintain the safety fencing during the life of the contract and, upon completion and acceptance of the work, will become the property of the Contractor and be removed from the work site.

### 3.9 CLEANUP

Remove construction debris, waste materials, packaging material and the like from the work site daily. Any dirt or mud which is tracked onto paved or surfaced roadways must be cleaned away. Store any salvageable materials resulting from demolition activities within the fenced area described above or at the supplemental storage area. Neatly stack stored materials not in trailers, whether new or salvaged.

### 3.10 RESTORATION OF STORAGE AREA

Upon completion of the project remove the bulletin board, signs, barricades, haul roads, and any other temporary products from the site.

After removal of trailers, materials, and equipment from within the fenced area, remove the fence that will become the property of the Contractor. Restore areas used by the Contractor for the storage of equipment or material, or other use to the original or better condition. Remove gravel used to traverse grassed areas and restore the area to its original condition, including top soil and seeding as necessary.

### 3.11 TEMPORARY TENANT OVERFLOW PARKING

A temporary parking lot shall be constructed for tenant overflow parking during the period of construction. The temporary parking lot shall be constructed in accordance with the contract plans. The Contractor shall restore the site in accordance with the plans and upon confirmation by the Contracting Officer to commence site restoration.

### 3.12 RHODE ISLAND DEPARTMENT OF TRANSPORTATION

Sitework shall be provided to meet or exceed the RIDOT Standard specifications for road and bridge construction included as an appendix to this specification.

-- End of Section --

SECTION 01 57 19.00 20  
TEMPORARY ENVIRONMENTAL CONTROLS  
01/12

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

EPA 530/F-93/004 (1993; Rev O; Updates I, II, IIA, IIB, and III) Test Methods for Evaluating Solid Waste (Vol IA, IB, IC, and II) (SW-846)

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

- 29 CFR 1910 Occupational Safety and Health Standards
- 29 CFR 1910.120 Hazardous Waste Operations and Emergency Response
- 40 CFR 112 Oil Pollution Prevention
- 40 CFR 241 Guidelines for Disposal of Solid Waste
- 40 CFR 243 Guidelines for the Storage and Collection of Residential, Commercial, and Institutional Solid Waste
- 40 CFR 258 Subtitle D Landfill Requirements
- 40 CFR 260 Hazardous Waste Management System: General
- 40 CFR 261 Identification and Listing of Hazardous Waste
- 40 CFR 262 Standards Applicable to Generators of Hazardous Waste
- 40 CFR 263 Standards Applicable to Transporters of Hazardous Waste
- 40 CFR 264 Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
- 40 CFR 265 Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
- 40 CFR 266 Standards for the Management of Specific

Hazardous Wastes and Specific Types of  
Hazardous Waste Management Facilities

40 CFR 268	Land Disposal Restrictions
40 CFR 270	EPA Administered Permit Programs: The Hazardous Waste Permit Program
40 CFR 273	Standards For Universal Waste Management
40 CFR 279	Standards for the Management of Used Oil
40 CFR 300	National Oil and Hazardous Substances Pollution Contingency Plan
40 CFR 355	Emergency Planning and Notification
40 CFR 761	Polychlorinated Biphenyls (PCBs) Manufacturing, Processing, Distribution in Commerce, and Use Prohibitions
40 CFR 82	Protection of Stratospheric Ozone
49 CFR 171	General Information, Regulations, and Definitions
49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 178	Specifications for Packagings

1.2 DEFINITIONS

1.2.1 Sediment

Soil and other debris that have eroded and have been transported by any means.

1.2.2 Solid Waste

Garbage, refuse, debris, sludge, or other discharged material, including solid, liquid, semisolid, or contained gaseous materials resulting from domestic, industrial, commercial, mining, or agricultural operations. Types of solid waste typically generated at construction sites may include:

- a. Green waste: The vegetative matter from landscaping, land clearing and grubbing, including, but not limited to, grass, bushes, scrubs, small trees and saplings, tree stumps and plant roots. Marketable trees, grasses and plants that are indicated to remain, be re-located, or be re-used are not included. Green waste must be recycled by composting or conversion into mulch or other means.
- b. Surplus soil: Existing soil that is in excess of what is required for this work, including aggregates intended, but not used, for on-site

mixing of concrete, mortars and paving. Contaminated soil meeting the definition of hazardous material or hazardous waste is not included.

- c. Debris: Non-hazardous solid material generated during the construction, demolition, or renovation of a structure which exceeds 2.5 inch particle size that is: a manufactured object; plant or animal matter; or natural geologic material (e.g. cobbles and boulders), broken or removed concrete, masonry, and rock asphalt paving; ceramics; roofing paper and shingles. Inert materials may be reinforced with or contain ferrous wire, rods, accessories and weldments.
- d. Wood: Dimension and non-dimension lumber, plywood, chipboard, hardboard. Treated and/or painted wood that meets the definition of lead contaminated or lead based contaminated paint is not included. Wood with lead paint can be recycled at a facility that can separate the paint from the wood (usually accomplished by incineration with emission equipment that will capture lead emissions).
- e. Scrap metal: Scrap and excess ferrous and non-ferrous metals such as reinforcing steel, structural shapes, pipe and wire that are recovered or collected and disposed of as scrap. Scrap metal meeting the definition of hazardous material or hazardous waste is not included.
- f. Paint cans: Metal cans that are empty of paints, solvents, thinners and adhesives. If permitted by the paint can label, a thin dry film may remain in the can.
- g. Recyclables: Materials, equipment and assemblies such as doors, appliances, paint, metal, wall board, plastic, asphalt, brick, concrete, drywall, fixtures, flooring, gravel, green waste, cardboard, pallets, paper, pipe, roofing, sand, shingles, and soil. windows, door and window frames, plumbing fixtures, glazing and mirrors that are recovered that may be sold as recyclable. Metal meeting the definition of lead contaminated or lead based paint contaminated may be included as recyclable if sold to a scrap metal company. Paint cans may be included as recyclable if sold to a scrap metal company. The Navy has an on-site metal scrap yard. To coordinate the recycling of metal scrap, call 841-2464. Scrap metal can be taken to a scrap yard but information must be provided to the Navy on the monthly Waste Report. The Navy can provide a copy of the Waste Report. Call 841-7561. Common C&D wastes that must be recycled include carpet, wood, aggregate, paint, metal, wallboard, and plastic. Other types of C&D recyclable materials are appliances, asphalt, brick, concrete, drywall, fixtures, flooring, gravel, green waste, OCC-cardboard, pallets, paper, pipe, roofing, sand, shingles, and soil.
- h. Hazardous Waste: By definition, to be a hazardous waste a material must first meet the definition of a solid waste. Hazardous waste and hazardous debris are special cases of solid waste. They have additional regulatory controls and must be handled separately. They are thus defined separately in this document.

Material not regulated as solid waste are: nuclear source or byproduct materials regulated under the Federal Atomic Energy Act of 1954 as amended; suspended or dissolved materials in domestic sewage effluent or irrigation return flows, or other regulated point source discharges; regulated air emissions; and fluids or wastes associated with natural gas or crude oil exploration or production.

### 1.2.3 Hazardous Debris

As defined in Solid Waste paragraph, debris that contains listed hazardous waste (either on the debris surface, or in its interstices, such as pore structure) per 40 CFR 261; or debris that exhibits a characteristic of hazardous waste per 40 CFR 261.

### 1.2.4 Chemical Wastes

This includes salts, acids, alkalizes, herbicides, pesticides, and organic chemicals.

### 1.2.5 Garbage

Refuse and scraps resulting from preparation, cooking, dispensing, and consumption of food.

### 1.2.6 Hazardous Waste

Any discarded material, liquid, solid, or gas, which meets the definition of hazardous material or is designated hazardous waste by the Environmental Protection Agency or State Hazardous Control Authority as defined in 40 CFR 260, 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, 40 CFR 268, 40 CFR 270, 40 CFR 271, 40 CFR 272, 40 CFR 273, 40 CFR 279, and 40 CFR 280.

### 1.2.7 Hazardous Materials

Hazardous materials as defined in 49 CFR 171 and listed in 49 CFR 172.

Hazardous material is any material that:

- a. Is regulated as a hazardous material per 49 CFR 173, or
- b. Requires a Material Safety Data Sheet (MSDS) per 29 CFR 1910.120, or
- c. During end use, treatment, handling, packaging, storage, transpiration, or disposal meets or has components that meet or have potential to meet the definition of a hazardous waste as defined by 40 CFR 261 Subparts A, B, C, or D.

Designation of a material by this definition, when separately regulated or controlled by other instructions or directives, does not eliminate the need for adherence to that hazard-specific guidance which takes precedence over this instruction for "control" purposes. Such material include ammunition, weapons, explosive actuated devices, propellants, pyrotechnics, chemical and biological warfare materials, medical and pharmaceutical supplies, medical waste and infectious materials, bulk fuels, radioactive materials, and other materials such as asbestos, mercury, and polychlorinated biphenyls (PCBs). Nonetheless, the exposure may occur incident to manufacture, storage, use and demilitarization of these items.

### 1.2.8 Waste Hazardous Material (WHM)

Any waste material which because of its quantity, concentration, or physical, chemical, or infectious characteristics may pose a substantial hazard to human health or the environment and which has been so

designated. Used oil not containing any hazardous waste, as defined above, falls under this definition.

#### 1.2.9 Oily Waste

Those materials which are, or were, mixed with used oil and have become separated from that used oil. Oily wastes also means materials, including wastewaters, centrifuge solids, filter residues or sludges, bottom sediments, tank bottoms, and sorbents which have come into contact with and have been contaminated by, used oil and may be appropriately tested and discarded in a manner which is in compliance with other State and local requirements.

This definition includes materials such as oily rags, "kitty litter" sorbent clay and organic sorbent material. These materials may be land filled provided that:

- a. It is not prohibited in other State regulations or local ordinances
- b. The amount generated is "de minimis" (a small amount)
- c. It is the result of minor leaks or spills resulting from normal process operations
- d. All free-flowing oil has been removed to the practical extent possible

Large quantities of this material, generated as a result of a major spill or in lieu of proper maintenance of the processing equipment, are a solid waste. As a solid waste, a hazardous waste determination must be performed prior to disposal. As this can be an expensive process, it is recommended that this type of waste be minimized through good housekeeping practices and employee education.

#### 1.2.10 Regulated Waste

Those solid waste that have specific additional Federal, state, or local controls for handling, storage, or disposal.

#### 1.2.11 Class I Ozone Depleting Substance (ODS)

Class I ODS is defined in Section 602(a) of The Clean Air Act and includes the following chemicals:

chlorofluorocarbon-11 (CFC-11)  
chlorofluorocarbon-12 (CFC-12)  
chlorofluorocarbon-13 (CFC-13)  
chlorofluorocarbon-111 (CFC-111)  
chlorofluorocarbon-112 (CFC-112)  
chlorofluorocarbon-113 (CFC-113)  
chlorofluorocarbon-114 (CFC-114) chlorofluorocarbon-115 (CFC-115)  
chlorofluorocarbon-211 (CFC-211)  
chlorofluorocarbon-212 (CFC-212) methyl bromide  
chlorofluorocarbon-213 (CFC-213)  
chlorofluorocarbon-214 (CFC-214)  
chlorofluorocarbon-215 (CFC-215)  
chlorofluorocarbon-216 (CFC-216)  
chlorofluorocarbon-217 (CFC-217)  
chlorofluorocarbon-500 (CFC-500)  
chlorofluorocarbon-502 (CFC-502)

chlorofluorocarbon-503 (CFC-503)  
halon-1211  
halon-1301  
halon-2402  
carbon tetrachloride  
methyl bromide  
methyl chloroform

Class II ODS is defined in Section 602(s) of The Clean Air Act and includes the following chemicals:

hydrochlorofluorocarbon-21 (HCFC-21)  
hydrochlorofluorocarbon-22 (HCFC-22)  
hydrochlorofluorocarbon-31 (HCFC-31)  
hydrochlorofluorocarbon-121 (HCFC-121)  
hydrochlorofluorocarbon-122 (HCFC-122)  
hydrochlorofluorocarbon-123 (HCFC-123)  
hydrochlorofluorocarbon-124 (HCFC-124)  
hydrochlorofluorocarbon-131 (HCFC-131)  
hydrochlorofluorocarbon-132 (HCFC-132)  
hydrochlorofluorocarbon-133 (HCFC-133)  
hydrochlorofluorocarbon-141 (HCFC-141)  
hydrochlorofluorocarbon-142 (HCFC-142)  
hydrochlorofluorocarbon-221 (HCFC-221)  
hydrochlorofluorocarbon-222 (HCFC-222)  
hydrochlorofluorocarbon-223 (HCFC-223)  
hydrochlorofluorocarbon-224 (HCFC-224)  
hydrochlorofluorocarbon-225 (HCFC-225)  
hydrochlorofluorocarbon-226 (HCFC-226)  
hydrochlorofluorocarbon-231 (HCFC-231)  
hydrochlorofluorocarbon-232 (HCFC-232)  
hydrochlorofluorocarbon-233 (HCFC-233)  
hydrochlorofluorocarbon-234 (HCFC-234)  
hydrochlorofluorocarbon-235 (HCFC-235)  
hydrochlorofluorocarbon-241 (HCFC-241)  
hydrochlorofluorocarbon-242 (HCFC-242)  
hydrochlorofluorocarbon-243 (HCFC-243)  
hydrochlorofluorocarbon-244 (HCFC-244)  
hydrochlorofluorocarbon-251 (HCFC-251)  
hydrochlorofluorocarbon-252 (HCFC-252)  
hydrochlorofluorocarbon-253 (HCFC-253)  
hydrochlorofluorocarbon-261 (HCFC-261)  
hydrochlorofluorocarbon-262 (HCFC-262)  
hydrochlorofluorocarbon-271 (HCFC-271)

#### 1.2.11.1 Universal Waste

The universal waste regulations streamline collection requirements for certain hazardous wastes in the following categories: batteries, pesticides, mercury-containing equipment (e.g., thermostats) and lamps (e.g., fluorescent bulbs). The rule is designed to reduce hazardous waste in the municipal solid waste (MSW) stream by making it easier for universal waste handlers to collect these items and send them for recycling or proper disposal. These regulations can be found at 40 CFR 273.

#### 1.2.12 Green Procurement

Purchase of environmentally preferable products and services in accordance with federally mandated 'green' procurement.

### 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. The following shall be submitted in accordance with Section 01 33 00 Submittal Procedures:

#### SD-01 Preconstruction Submittals

- Preconstruction Survey; G
- Environmental Management Plan; G
- Solid Waste Protection Plan; G
- Regulatory Notifications; G
- Contractor Hazardous Material Inventory Log; G
- ECATTS certificate of completion; G
- Environmental Protection Plan

#### SD-06 Test Reports

- Laboratory Analysis
- Disposal Requirements
- Solid Waste Management Report; G

#### SD-11 Closeout Submittals

Some of the records listed below are also required as part of other submittals. For the "Records" submittal, maintain on-site a separate three-ring Environmental Records binder and submit at the completion of the project. Make separate parts to the binder corresponding to each of the applicable sub items listed below.

- Waste Determination Documentation
- Disposal Documentation for Hazardous and Regulated Waste
- Contractor 40 CFR Employee Training Records
- Solid Waste Management Report
- Solid Waste Management Permit
- Contractor Hazardous Material Inventory Log; G
- Hazardous Waste/Debris Management
- Regulatory Notifications

### 1.4 ENVIRONMENTAL PROTECTION REQUIREMENTS

Provide and maintain, during the life of the contract over the active Task Orders, environmental protection as defined. Plan for and provide environmental protective measures to control pollution that develops during normal construction practice. Plan for and provide environmental protective measures required to correct conditions that develop during the construction of permanent or temporary environmental features associated with the project. Comply with Federal, State, and local regulations pertaining to the environment, including water, air, solid waste, recycling, hazardous waste and substances, oily substances, and noise pollution.

The Contractor may be required to promptly conduct tests and procedures for the purpose of assessing whether construction operations are in compliance with Applicable Environmental Laws. Analytical work shall be done by qualified laboratories; and where required by law, the laboratories shall be certified.

#### 1.4.1 Environmental Compliance Assessment Training and Tracking System (ECATTS)

The QC Manager is responsible for environmental compliance on projects unless an Environmental Manager is named. The QC Manager (and alternative QC Manager) or Environmental Manager shall complete ECATTS training prior to starting respective portions of on-site work under this contract. If personnel changes occur for any of these positions after starting work, replacement personnel shall complete ECATTS training within 14 days of assignment to the project.

Submit an ECATTS Certificate of Completion for personnel who have completed the required "Environmental Compliance Assessment Training and Tracking System (ECATTS)" training. This training is web-based and can be accessed from any computer with Internet access using the following instructions.

Register for NAVFAC Environmental Compliance Training and Tracking System, by logging on to <http://navfac.ecatts.com/>. Obtain the password for registration from the Contracting Officer.

This training has been structured to allow contractor personnel to receive credit under this contract and also to carry forward credit to future contracts. Contractors shall ensure that the QC Manager (and alternate QC Manager) or Environmental Manager review their training plans for new modules or updated training requirements prior to beginning work. Some training modules are tailored for specific State regulatory requirements; therefore, Contractors working in multiple states will be required to re-take modules tailored to the state where the contract work is being performed.

ECATTS is available for use by all contractor and subcontractor personnel associated with this project. These other personnel are encouraged (but not required) to take the training and may do so at their discretion.

#### 1.4.2 Conformance with the Environmental Management System

The Contractor shall perform work under this contract consistent with the policy and objectives identified in the installation's Environmental Management System (EMS). The Contractor shall perform work in a manner that conforms to objectives and targets, environmental programs and operational controls identified by the EMS. The Contractor will provide monitoring and measurement information as necessary to address environmental performance relative to environmental, energy, and transportation management goals. In the event an EMS nonconformance or environmental noncompliance associated with the contracted services, tasks, or actions occurs, the Contractor shall take corrective and/or preventative actions. In addition, the Contractor shall ensure that its employees are aware of their roles and responsibilities under the EMS and how these EMS roles and responsibilities affect work performed under the contract.

The Contractor is responsible for ensuring that their employees receive applicable environmental and occupational health and safety training, and keep up to date on regulatory required specific training for the type of work to be conducted onsite. All on-site Contractor personnel, and their subcontractor personnel, performing tasks that have the potential to cause a significant environmental impact shall be competent on the basis of appropriate education, training or experience. Upon contract award, the Contracting Officer's Representative will notify the installation's EMS

coordinator to arrange EMS training. Refer to Section 01 57 19.01 20, SUPPLEMENTAL TEMPORARY ENVIRONMENTAL CONTROLS for additional site specific EMS requirements related to construction. The installation's EMS coordinator shall identify training needs associated with environmental aspects and the EMS, and arrange training or take other action to meet these needs. The Contractor shall provide training documentation to the Contracting Officer. The EMS coordinator shall retain associated records.

#### 1.4.3 Green or Affirmative Procurement

The contractor shall acquire products that are energy-efficient, water-efficient, bio-based, environmentally preferable, non-ozone depleting, contain recycled content, or are non-toxic or less-toxic alternatives. This includes acquiring paper of at least 30 percent post-consumer fiber content (in addition, uncoated printing and writing paper must be used). The contractor shall apply procurement preference for EPEAT-registered electronic products and for electronic equipment, Energy Star and FEMP designated electronic equipment must be procured. The contractor shall reduce or eliminate acquisition and use of toxic chemicals and increase the use of acceptable alternative chemicals and processes. The DOD green procurement information is available at [http://www.p2sustainabilitylibrary.mil/p2\\_documents/don\\_gpp\\_implementationguide020509](http://www.p2sustainabilitylibrary.mil/p2_documents/don_gpp_implementationguide020509). The Green Procurement Program includes:

Recovered Material- aka Comprehensive Procurement Guideline (CPG) program- part of EPA's continuing effort to promote the use of materials recovered from solid waste. (Go to: <http://www.epa.gov/epawaste/consERVE/tools/cpg/index.htm>). EPA has designated products in eight categories; EPA has already designated or is proposing to designate products grouped into the following eight categories: Construction Products; Landscaping Products; Non-paper Office Products; Paper and Paper Products; Park and Recreation Products; Transportation Products; Vehicular Products; and Miscellaneous Products.

Energy Efficient : (FEMP, ENERGY STAR®, EPEAT) Federal agencies are required to procure energy efficient products qualified in the Energy Star® program or designated by Federal Energy Management Program (FEMP). This includes items such as computers, monitors, printers, scanners, fax machines, and copiers; building construction, renovation and maintenance projects; and purchases of appliances. Water-efficiency requirements apply to building construction and renovation projects.

Alternative fuels/alternative fueled vehicles Federal agencies must acquire alternative fueled vehicles (AFVs), alternative fuels (i.e. E85, E100, biodiesel) for those vehicles, and fuel-efficient petroleum powered passenger cars and light trucks.

Biobased Products: Biobased items include, but are not limited to, clothing, bedding, linens; office products; printing products; transportation fleet maintenance products; construction products; and janitorial and landscaping products. USDA maintains the BioPreferred Program website (<http://www.biopreferred.gov>).

Non ozone depleting substances are required for: refrigeration and air conditioning; foam insulation; cleaning solvents; fire suppressants; aerosol solvents and propellants; sterilants; and adhesives, coatings and inks.

Environmentally preferable products; EPPs are any products and services

that are more environmentally friendly than what the activity/installation routinely purchases, but are not already covered by one of the other programs already described.

Green Procurement applies to all DoD and operations, except military tactical vehicles and equipment. Military tactical vehicles and equipment include weapon systems used on the battle ground, portable equipment that supports logistical and combat aircraft, vehicles to transport combat and support personnel during military operations, and other military equipment weapon systems.

## 1.5 QUALITY ASSURANCE

### 1.5.1 Preconstruction Survey

Perform a Preconstruction Survey of the project site with the Contracting Officer, and take photographs showing existing environmental conditions in and adjacent to the site. Submit a report for the record.

### 1.5.2 Regulatory Notifications

The Contractor is responsible for assisting the Navy and ensuring that regulatory requirements have been met. Typically, regulatory notifications must be provided for the following (this listing is not all inclusive): demolition, renovation, RIPDES (Rhode Island Pollution Discharge Elimination System) (i.e. stormwater construction permit that includes, Notice of Intent and Termination, fees, Storm Water Pollution Prevention Plan or SWPPP, and inspections. Information is available at <http://www.dem.ri.gov/programs/benviron/water/permits/swcoord/index.htm> defined site work, remediation of controlled substances (asbestos, hazardous waste, lead paint).

### 1.5.3 Environmental Brief

Attend an environmental brief to be included in the preconstruction meeting. Provide the following information: types, quantities, and use of hazardous materials that will be brought onto the activity; types and quantities of wastes/wastewater that may be generated during the contract. Discuss the results of the Preconstruction Survey at this time.

Prior to initiating any Task Order work on this contract, meet with the Contracting Officer and activity environmental staff to discuss the proposed Environmental Protection Plan. Develop a mutual understanding relative to the details of environmental protection, including measures for protecting natural resources, required reports, required permits, permit requirements, and other measures to be taken.

### 1.5.4 Environmental Manager

Appoint in writing an Environmental Manager for the project site. The Environmental Manager will be directly responsible for coordinating contractor compliance with Federal, State, local, and station requirements. The Environmental Manager will ensure compliance with Hazardous Waste Program requirements (including hazardous waste handling, storage, manifesting, and disposal); implement the Environmental Protection Plan; ensure that all environmental permits are obtained, maintained, and closed out; ensure compliance with Storm Water Program Management requirements; ensure compliance with Hazardous Materials (storage, handling, and reporting) requirements; and coordinate any remediation of

regulated substances (lead, asbestos, PCB transformers). This can be a collateral position; however the person in this position must be trained to adequately accomplish the following duties: ensure waste segregation and storage compatibility requirements are met; inspect and manage Satellite Accumulation areas; ensure only authorized personnel add wastes to containers; ensure all Contractor personnel are trained in 40 CFR requirements in accordance with their position requirements; coordinate removal of waste containers; and maintain the Environmental Records binder and required documentation, including environmental permits compliance and close-out.

#### 1.5.5 Contractor 40 CFR Employee Training Records for Hazardous Waste

Prepare and maintain employee training records throughout the term of the contract meeting applicable 40 CFR requirements. The Contractor will ensure every employee completes a program of classroom instruction or on-the-job training that teaches them to perform their duties in a way that ensures compliance with Federal, State and local regulatory requirements for RCRA Large Quantity Generator. The Contractor will provide a Position Description for each employee, by subcontractor, based on the Davis-Bacon Wage Rate designation or other equivalent method, evaluating the employee's association with hazardous and regulated wastes. This Position Description will include training requirements as defined in 40 CFR 265 for a Large Quantity Generator facility. Submit these training records to the Contracting Officer at the conclusion of the project, unless otherwise directed.

## PART 2 PRODUCTS

Not used.

## PART 3 EXECUTION

### 3.1 ENVIRONMENTAL PROTECTION PLAN

Prior to initiating any Task Order work on the Activity, the Contractor will meet with the Contracting Officer to discuss the proposed Environmental Management Plan and develop a mutual understanding relative to the details of environmental protection, including measures for protecting natural resources, required reports, and other measures to be taken. The Contractor's Environmental Management Plan shall incorporate construction related objectives and targets from the installation's Environmental Management System. The Environmental Management Plan will be submitted in the following format and shall include the elements specified below.

#### a. Description of the Environmental Protection Plan

##### (1) General overview and purpose (for the contract)

(a) A brief description of each specific plan required by environmental permit or elsewhere in this contract. The Permit Record of decision (PROD) form found in UFC 3-201-01 may be used to help accomplish this task.

(b) The duties and level of authority assigned to the person(s) on the job site that oversee environmental compliance.

(c) A copy of any standard or project specific operating

procedures that will be used to effectively manage and protect the environment on the project site.

(d) Communication and training procedures that will be used to convey environmental management requirements to contractor employees and subcontractors.

(e) Emergency contact information (office phone number, cell phone number, and e-mail address).

(2) General site information

(3) A letter signed by an officer of the firm appointing the Environmental Manager and stating that he/she is responsible for managing and implementing the Environmental Program as described in this contract. Include in this letter the Environmental Manager's authority to direct the removal and replacement of non-conforming work.

b. Management of Natural Resources

(1) Land resources

(2) Tree protection

(3) Replacement of damaged landscape features

(4) Temporary construction

(5) Stream crossings

(6) Fish and wildlife resources

(7) Wetland areas

(8) Shoreline and Beaches

(9) Marine Waters

c. Protection of Historical and Archaeological Resources

(1) Objectives

(2) Methods

d. Storm Water Management and Control

(1) Ground cover

(2) Erodible soils

(3) Temporary measures

(a) Mechanical retardation and control of runoff

(b) Vegetation and mulch

(4) Effective selection, implementation and maintenance of Best Management Practices (BMPs).

e. Protection of the Environment from Waste Derived from Contractor Operations

(1) Control and disposal of solid and sanitary waste. If Section 01 74 19.05 20 is included in the contract, submit the plan required by that section as a separate plan.

(2) Control and disposal of hazardous waste (Hazardous Waste Management Section)

This item will consist of the management procedures for all hazardous waste to be generated. The elements of those procedures will coincide with the Activity Hazardous Waste Management Plan. A copy of the Activity Hazardous Waste Management Plan will be provided by the Contracting Officer. As a minimum, include the following:

(a) Procedures to be employed to ensure a written waste determination is made for appropriate wastes which are to be generated;

(b) Sampling/analysis plan;

(c) Methods of hazardous waste accumulation/storage (i.e., in tanks and/or containers);

(d) Management procedures for storage, labeling, transportation, and disposal of waste (treatment of waste is not allowed unless specifically noted);

(e) Management procedures and regulatory documentation ensuring disposal of hazardous waste complies with Land Disposal Restrictions (40 CFR 268);

(f) Management procedures for recyclable hazardous materials such as lead-acid batteries, used oil, and the like;

(g) Used oil management procedures in accordance with 40 CFR 279;

(h) Pollution prevention/hazardous waste minimization procedures;

(i) Plans for the disposal of hazardous waste by permitted facilities;

(j) Procedures to be employed to ensure all required employee training records are maintained.

f. Prevention of Releases to the Environment

(1) Procedures to prevent releases to the environment

(2) Notifications in the event of a release to the environment

g. Regulatory Permits

(1) List what notifications and permit applications must be made. Demonstrate that those permits have been obtained by including copies of all applicable, environmental permits. Be aware that some permits required under the Environmental Management Plan require up to 30 days advance regulator notice before site work may begin.

Provide documents, applications, and fees for permits to the Navy. The Navy will make regulatory notifications and obtain permits. Do not start work until permits are obtained from the Navy.

### 3.1.1 Environmental Management Plan Review

Within thirty days after the Contract award date, submit the proposed [Environmental Protection Plan](#) for further discussion, review, and approval. Commencement of work will not begin until the environmental protection plan has been approved.

### 3.1.2 Licenses and Permits

At this time, no modification to the base Title V air permit will be required due to this project. Coordinate with the Contracting Officer on permitting requirements.

## 3.2 PROTECTION OF NATURAL RESOURCES

Preserve the natural resources within the project boundaries and outside the limits of permanent work. Restore to an equivalent or improved condition upon completion of work. Confine construction activities to within the limits of the work indicated or specified. If the work is near streams, lakes, or other waterways, conform to the national permitting requirements of the Clean Water Act.

Do not disturb fish and wildlife. Do not alter water flows or otherwise significantly disturb the native habitat adjacent to the project and critical to the survival of fish and wildlife, except as indicated or specified.

Except in areas to be cleared, do not remove, cut, deface, injure, or destroy trees or shrubs without the Contracting Officer's permission. Do not fasten or attach ropes, cables, or guys to existing nearby trees for anchorages unless authorized by the Contracting Officer. Where such use of attached ropes, cables, or guys is authorized, the Contractor will be responsible for any resultant damage.

Protect existing trees which are to remain and which may be injured, bruised, defaced, or otherwise damaged by construction operations. Remove displaced rocks from uncleared areas. By approved excavation, remove trees with 30 percent or more of their root systems destroyed. Remove trees and other landscape features scarred or damaged by equipment operations, and replace with equivalent, undamaged trees and landscape features. Obtain Contracting Officer's approval before replacement. [The Contracting Officer's approval is required before any equipment will be permitted to ford live streams.](#) In areas where frequent crossings are required, install temporary culverts or bridges. An IDEM 401 Water Quality Regional General Permit will be required for any work in streams and Waters of the U.S.

Obtain Contracting Officer's approval prior to installation. Remove temporary culverts or bridges upon completion of work, and repair the area to its original condition unless otherwise required by the Contracting Officer.

### 3.2.1 Stormwater Drainage and Construction Dewatering

There will be no discharge of excavation ground water to the sanitary sewer, storm drains, or to the bay without prior specific authorization of the Environmental Division in writing. Discharge of hazardous substances will not be permitted under any circumstances.

Construction site runoff will be prevented from entering any storm drain or the bay directly by the use of straw bales or other method suitable to the Environmental Division. Contractor will provide erosion protection of the surrounding soils.

Construction Dewatering shall not be discharged to the sanitary sewer. If the construction dewatering is noted or suspected of being contaminated, it may only be released to the storm drain system if the discharge is specifically permitted. Authorization for any contaminated groundwater release shall be obtained in advance from the base Environmental Officer. Discharge of hazardous substances will not be permitted under any circumstances.

### 3.3 HISTORICAL AND ARCHAEOLOGICAL RESOURCES

Carefully protect in-place and report immediately to the Contracting Officer historical and archaeological items or human skeletal remains discovered in the course of work. Upon discovery, notify the Contracting Officer. Stop work in the immediate area of the discovery until directed by the Contracting Officer to resume work. The Government retains ownership and control over historical and archaeological resources.

### 3.4 SOLID WASTE

Once awarded, provide to the contracting officer a written estimate of the material quantity of solid waste/debris that is anticipated to be generated by the individual Task Orders. Include in the plan the locations where various types of waste will be disposed or recycled. Include letters of acceptance or as applicable, submit one copy of a State and local solid waste management permit or license showing such agency's approval of the disposal plan before transporting wastes off Government property. Licensed landfills are listed at <http://www.dem.ri.gov/programs/benviron/waste/topicsol.htm>

#### 3.4.1 Waste Report

Monthly, submit a solid waste disposal report, available from the Navy (841-2464), to the Contracting Officer. For each waste that is landfilled or recycled/reused/recovered, the report will state the classification (using the definitions provided in this section), amount, location, and name of the business receiving the solid waste and recycled/reused/recovered material.

The Contractor shall include copies of the waste handling facilities' weight tickets, receipts, bills of sale, and other sales documentation. In lieu of sales documentation, the Contractor may submit a statement indicating the disposal or recycling location for the solid waste which is signed by an officer of the Contractor firm authorized to legally obligate or bind the firm. The sales documentation or Contractor certification will include the receiver's tax identification number and business, EPA or State registration number, along with the receiver's delivery and business

addresses and telephone numbers.

For each solid waste retained by the Contractor for his own use, the Contractor will submit on the solid waste disposal report the information previously described in this paragraph. Prices paid or received will not be reported to the Contracting Officer unless required by other provisions or specifications of this Contract or public law.

#### 3.4.2 Control and Management of Solid Wastes

Pick up solid wastes, and place in covered containers which are regularly emptied. Do not prepare or cook food on the project site. Prevent contamination of the site or other areas when handling and disposing of wastes. At project completion, leave the areas clean. Recycling is required (50% of non-C&D debris must be recycled; 60% of C&D debris must be recycled) and can be coordinated with the Contracting Officer and the activity recycling coordinator. The activity recycling coordinator is available at 401-841-2464.. Remove all solid waste (including non-hazardous debris) from Government property and dispose off-site at an approved landfill. Solid waste disposal off-site must comply with most stringent local, State, and Federal requirements including 40 CFR 241, 40 CFR 243, and 40 CFR 258.

Manage spent hazardous material used in construction, including but not limited to, aerosol cans, waste paint, cleaning solvents, contaminated brushes, and used rags, as per environmental law.

#### 3.5 WASTE DETERMINATION DOCUMENTATION FOR HAZARDOUS WASTE

Complete a Waste Determination form (provided at the pre-construction conference) for all contractor derived wastes to be generated. Base the waste determination upon either a constituent listing from the manufacturer used in conjunction with consideration of the process by which the waste was generated, EPA approved analytical data, or laboratory analysis (Material Safety Data Sheets (MSDS) by themselves are not adequate). Attach all support documentation to the Waste Determination form. As a minimum, a Waste Determination form must be provided for the following wastes (this listing is not all inclusive): oil and latex based painting and caulking products, solvents, adhesives, aerosols, petroleum products, and all containers of the original materials.

#### 3.6 CONTRACTOR HAZARDOUS MATERIAL INVENTORY LOG

For Task Orders that will involve the disposal of Hazardous waste, submit the "Contractor Hazardous Material Inventory Log" (found at: <http://www.wbdg.org/ccb/NAVGRAPH/graphoc.pdf>), which provides information required by (EPCRA Sections 312 and 313) along with corresponding Material Safety Data Sheets (MSDS) to the Contracting Officer at the start and at the end of construction (30 days from final acceptance), and update no later than January 31 of each calendar year during the life of the contract. Documentation for any spills/releases, environmental reports or off-site transfers may be requested by the Contracting Officer.

##### 3.6.1 Disposal Documentation for Hazardous and Regulated Waste

Manifest, pack, ship and dispose of hazardous or toxic waste and universal waste that is generated as a result of construction in accordance with the generating facilities generator status under the Resource Conservation and Recovery Act. Contact the Contracting Officer for the facility RCRA

identification number that is to be used on each manifest.

Submit a copy of the applicable EPA and or State permit(s), manifest(s), or license(s) for transportation, treatment, storage, and disposal of hazardous and regulated waste by permitted facilities. Hazardous or toxic waste manifest must be reviewed, signed, and approved by the Navy before the Contractor may ship waste. To obtain specific disposal instructions the Contractor must coordinate with the Activity environmental office.

### 3.7 POLLUTION PREVENTION/HAZARDOUS WASTE MINIMIZATION

Minimize the use of hazardous materials and the generation of hazardous waste. Include procedures for pollution prevention/ hazardous waste minimization in the Hazardous Waste Management Section of the Environmental Plan. Consult with the activity Environmental Office for suggestions and to obtain a copy of the installation's pollution prevention/hazardous waste minimization plan for reference material when preparing this part of the plan. The plan is available at <http://www.cnic.navy.mil/Newport/OperationsAndManagement/EnvironmentalSupport/Pollutio>  
Describe the types of the hazardous materials expected to be used in the construction when requesting information.

### 3.8 WHM/HW MATERIALS PROHIBITION

No waste hazardous material or hazardous waste shall be disposed of on government property. No hazardous material shall be brought onto government property that does not directly relate to requirements for the performance of this contract. The government is not responsible for disposal of Contractor's waste material brought on the job site and not required in the performance of this contract.

The intent of this provision is to dispose of that waste identified as waste hazardous material/hazardous waste as defined herein that was generated as part of this contract and existed within the boundary of the Contract limits and not brought in from offsite by the Contractor.

Incidental materials used to support the contract including, but not limited to aerosol cans, waste paint, cleaning solvents, contaminated brushes, rags, clothing, etc. are the responsibility of the Contractor. The list is illustrative rather than inclusive.

The Contractor is not authorized to discharge any materials to sanitary sewer, storm drain, or to the river or conduct waste treatment or disposal on government property without written approval of the Contracting Officer.

### 3.9 HAZARDOUS MATERIAL MANAGEMENT

No hazardous material shall be brought onto government property that does not directly relate to requirements for the performance of this contract.

Include hazardous material control procedures in the Safety Plan. Address procedures and proper handling of hazardous materials, including the appropriate transportation requirements. Submit a MSDS and estimated quantities to be used for each hazardous material to the Contracting Officer prior to bringing the material on base.

Typical materials requiring MSDS and quantity reporting include, but are not limited to, oil and latex based painting and caulking products, solvents, adhesives, aerosol, and petroleum products.

At the end of each Task Order, provide the Contracting Officer with the maximum quantity of each material that was present at the site at any one time, the dates the material was present, the amount of each material that was used during the project, and how the material was used.

Ensure that hazardous materials are utilized in a manner that will minimize the amount of hazardous waste that is generated. Ensure that all containers of hazardous materials have NFPA labels or their equivalent. Keep copies of the MSDS for hazardous materials on site at all times and provide them to the Contracting Officer at the end of the project. Certify that all hazardous materials removed from the site are hazardous materials and do not meet the definition of hazardous waste per 40 CFR 261.

### 3.10 PETROLEUM PRODUCTS AND REFUELING

Conduct the fueling and lubricating of equipment and motor vehicles in a manner that protects against spills and evaporation. Manage all used oil generated on site in accordance with 40 CFR 279. Determine if any used oil generated while on-site exhibits a characteristic of hazardous waste. Used oil containing 1000 parts per million of solvents will be considered a hazardous waste and disposed of at Contractor's expense. Used oil mixed with a hazardous waste will also be considered a hazardous waste.

#### 3.10.1 Oily and Hazardous Substances

Prevent oil or hazardous substances from entering the ground, drainage areas, or navigable waters. In accordance with 40 CFR 112, surround all temporary fuel oil or petroleum storage tanks with a temporary berm or containment of sufficient size and strength to contain the contents of the tanks, plus 10 percent freeboard for precipitation. The berm will be impervious to oil for 72 hours and be constructed so that any discharge will not permeate, drain, infiltrate, or otherwise escape before cleanup occurs.

#### 3.10.2 Inadvertent Discovery of Petroleum Contaminated Soil or Hazardous Wastes

If petroleum contaminated soil or suspected hazardous waste is found during construction that was not identified in the contract documents, the contractor shall immediately notify the contracting officer. The contractor shall not disturb this material until authorized by the contracting officer.

### 3.11 RELEASES/SPILLS OF OIL AND HAZARDOUS SUBSTANCES

Exercise due diligence to prevent, contain, and respond to spills of hazardous material, hazardous substances, hazardous waste, sewage, regulated gas, petroleum, lubrication oil, and other substances regulated by environmental law. Maintain spill cleanup equipment and materials at the work site. In the event of a spill, take prompt, effective action to stop, contain, curtail, or otherwise limit the amount, duration, and severity of the spill/release.

In the event of any releases of oil and hazardous substances, chemicals, or gases; immediately (within 15 minutes) notify the Base or Activity Fire Department, the activity's Command Duty Officer, and the Contracting Officer. If the contractor's response is inadequate, the Navy may respond. If this should occur, the contractor will be required to

reimburse the government for spill response assistance and analysis.

The Contractor is responsible for verbal and written notifications as required by the federal 40 CFR 355, State, local regulations and Navy Instructions. Spill response will be in accordance with 40 CFR 300 and applicable State and local regulations. Contain and clean up these spills without cost to the Government. If Government assistance is requested or required, the Contractor will reimburse the Government for such assistance. Provide copies of the written notification and documentation that a verbal notification was made within 20 days.

Maintain spill cleanup equipment and materials at the work site. Clean up all hazardous and non-hazardous (WHM) waste spills. The Contractor shall reimburse the government for all material, equipment, and clothing generated during any spill cleanup.

The Contractor shall reimburse the government for all costs incurred including sample analysis materials, equipment, and labor if the government must initiate its own spill cleanup procedures, for Contractor responsible spills, when:

- a. The Contractor has not begun spill cleanup procedure within one hour of spill discovery/occurrence, or
- b. If, in the government's judgment, the Contractor's spill cleanup is not adequately abating life threatening situation and/or is a threat to any body of water or environmentally sensitive areas.

### 3.12 CONTROL AND MANAGEMENT OF HAZARDOUS WASTES

#### 3.12.1 Hazardous Waste/Debris Management

Identify all construction activities which will generate hazardous waste/debris. Provide a documented waste determination for all resultant waste streams. Hazardous waste/debris will be identified, labeled, handled, stored, and disposed of in accordance with all Federal, State, and local regulations including 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, and 40 CFR 268.

Hazardous waste will also be managed in accordance with the approved Hazardous Waste Management Section of the Environmental Management Plan. Store hazardous wastes in approved containers in accordance with 49 CFR 173 and 49 CFR 178. Hazardous waste generated within the confines of Government facilities will be identified as being generated by the Government.

Prior to removal of any hazardous waste from Government property, all hazardous waste manifests must be signed by activity personnel from the Station Environmental Office. No hazardous waste will be brought onto Government property. Provide to the Contracting Officer a copy of waste determination documentation for any solid waste streams that have any potential to be hazardous waste or contain any chemical constituents listed in 40 CFR 372-SUBPART D. For hazardous wastes spills, verbally notify the Contracting Officer immediately.

##### 3.12.1.1 Regulated Waste Storage/Satellite Accumulation/90 Day Storage Areas

If the work requires the temporary storage/collection of regulated or

hazardous wastes, the Contractor will request the establishment of a Regulated Waste Storage Area, a Satellite Accumulation Area, or a 90 Day Storage Area at the point of generation. The Contractor must submit a request in writing to the Contracting Officer providing the following information:

Contract Number	_____	Contractor	_____
Haz/Waste or Regulated Waste POC	_____	Phone Number	_____
Type of Waste	_____	Source of Waste	_____
Emergency POC	_____	Phone Number	_____

Location of the Site: \_\_\_\_\_  
(Attach Site Plan to the Request)

Attach a waste determination form. Allow ten working days for processing this request. The designated area where waste is being stored shall be barricaded and a sign identifying as follows: "DANGER - UNAUTHORIZED PERSONNEL KEEP OUT"

### 3.12.1.2 Sampling and Analysis of HW

#### a. Waste Sampling

Sample waste in accordance with EPA 530/F-93/004. Each sampled drum or container will be clearly marked with the Contractor's identification number and cross referenced to the chemical analysis performed.

#### b. Laboratory Analysis

Follow the analytical procedure and methods in accordance with the 40 CFR 261. The Contractor will provide all analytical results and reports performed to the Contracting Officer

#### c. Analysis Type

Identify waste hazardous material/hazardous waste by analyzing for the following properties as a minimum: ignitability, corrosiveness, total chlorides, BTU value, PCBs, TCLP for heavy metals, and cyanide.

### 3.12.1.3 Asbestos Certification

Items, components, or materials disturbed by or included in Task Order work under this contract MAY involve asbestos. Other materials in the general area around where work will be performed may contain asbestos. All thermal insulation, in all work areas, should be considered to be asbestos unless positively identified by conspicuous tags or previous laboratory analysis certifying them as asbestos free.

Inadvertent discovery of non-disclosed asbestos that will result in an abatement action requires a change in scope before proceeding. Upon discovery of asbestos containing material not identified in the contract documents, the Contractor shall immediately stop all work that would generate further damage to the material, evacuate the asbestos exposed area, and notify the Contracting Officer for resolution of the situation

prior to resuming normal work activities in the affected area.

The Contractor will not remove or perform work on any asbestos containing materials without the prior approval of the Contracting Officer. The Contractor will not engage in any activity, which would remove or damage such materials or cause the generation of fibers from such materials.

Asbestos containing waste shall be managed and disposed of in accordance with applicable environmental law. Asbestos containing waste shall be manifested and the manifest provided to the Contracting Officer.

#### 3.12.1.4 Hazardous Waste Disposal

No hazardous, toxic, or universal waste shall be disposed or hazardous material abandoned on government property. And unless otherwise otherwise noted in this contract, the government is not responsible for disposal of Contractor generated waste material.

The disposal of incidental materials used to accomplish the work including, but not limited to aerosol cans, waste paint, cleaning solvents, contaminated brushes, rags, clothing, etc. are the responsibility of the Contractor. The list is illustrative rather than inclusive.

The Contractor is not authorized to discharge any materials to sanitary sewer, storm drain, or water way or conduct waste treatment or disposal on government property without written approval of the Contracting Officer.

Control of stored waste, packaging, sampling, analysis, and disposal will be determined by the details in the contract. The requirements for jobs in the following paragraphs will be used as the guidelines for disposal of any hazardous waste generated.

##### a. Responsibilities for Contractor's Disposal

Contractor responsibilities include any generation of WHM/HW requiring Contractor disposal of solid waste or liquid.

(1) The Contractor agrees to provide all service necessary for the final treatment/disposal of the hazardous material/waste in accordance with all local, State and Federal laws and regulations, and the terms and conditions of the contract within sixty (60) days after the materials have been generated. These services will include all necessary personnel, labor, transportation, packaging, detailed analysis (if required for disposal, and/or transportation, including manifesting or completing waste profile sheets, equipment, and the compilation of all documentation is required).

(2) Contain all waste in accordance with 40 CFR 260, 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, 40 CFR 268, 40 CFR 270, 40 CFR 272, 40 CFR 273, 40 CFR 279, 40 CFR 280, and 40 CFR 761.

(3) Obtaining a representative sample of the material generated for each job done to provide waste stream determination.

(4) Analyzing for each sample taken and providing analytical results to the Contracting Officer. Provide two copies of the results.

- (5) Determine the DOT proper shipping names for all waste (each container requiring disposal) and will demonstrate how this determination is developed and supported by the sampling and analysis requirements contained herein to the Contracting Officer.

#### Contractor Disposal Turn-In Requirements

For any waste hazardous materials or hazardous waste generated which requires the Contractor to dispose of, the following conditions must be complied with in order to be acceptable for disposal:

- (1) Drums compatible with waste contents and drums meet DOT requirements for 49 CFR 173 for transportation of materials.
- (2) Drums banded to wooden pallets. No more than three (3) 55 gallon drums to a pallet, or two (2) 85 gallon over packs.
- (3) Band using 1-1/4 inch minimum band on upper third of drum.
- (4) Recovery materials label located in middle of drum, filled out to indicate actual volume of material, name of material manufacturer, other vendor information as available.
- (5) Always have three to five inches of empty space above volume of material. This space is called 'outage'.

#### 3.12.2 Class I and II ODS Prohibition

Class I and II ODS as defined and identified herein will not be used in the performance of this contract, nor be provided as part of the equipment. This prohibition will be considered to prevail over any other provision, specification, drawing, or referenced documents. Regulations related to the protection of stratosphere ozone may be found in [40 CFR 82](#).

Air conditioning technicians must be certified through an EPA-approved program. [A copy of all technicians performing work must be submitted to Naval Station Newport Environmental before planned work begins. All work for equipment containing refrigerant must be documented on refrigerant forms provided by the Navy. This includes servicing, installing and disposing of equipment and refrigerant. If refrigerant forms are not provided please call 401-841-7672.](#) Copies of certifications shall be maintained at the employees' place of business and be carried as a wallet card by the technician, as provided by environmental law. Accidental venting of a refrigerant is a release and shall be reported to the Contracting Officer.

#### 3.12.3 Universal Waste/e-Waste Management

Universal waste including but not limited to some mercury containing building products such florescent lamps, mercury vapor lamps, high pressure sodium lamps, CRTs, batteries, electrical equipment, and consumed electronic devices, shall be managed in accordance with applicable environmental law and installation instructions.

#### 3.13 DUST CONTROL

Keep dust down at all times, including during nonworking periods. Sprinkle [with water](#), the soil at the site, haul roads, and other areas disturbed by operations. Dry power brooming will not be permitted.

Instead, use vacuuming, wet mopping, wet sweeping, or wet power brooming. Air blowing will be permitted only for cleaning nonparticulate debris. Only wet cutting will be permitted for cutting concrete blocks, concrete, and bituminous concrete. Do not unnecessarily shake bags of cement, concrete mortar, or plaster.

### 3.13.1 Dirt and Dust Control Plan

Submit truck and material haul routes along with a plan for controlling dirt, debris, and dust on base roadways. As a minimum, identify in the plan the subcontractor and equipment for cleaning along the haul route and measures to reduce dirt, dust, and debris from roadways.

### 3.14 ABRASIVE BLASTING

#### 3.14.1 Blasting Operations

The use of silica sand is prohibited in sandblasting.

Provide tarpaulin drop cloths and windscreens to enclose abrasive blasting operations to confine and collect dust, abrasive, agent, paint chips, and other debris in accordance with the requirements specified in the individual Task Orders. Perform work involving removal of hazardous material in accordance with [29 CFR 1910](#).

#### 3.14.2 Disposal Requirements

Submit analytical results of the debris generated from abrasive blasting operations per paragraph entitled Laboratory Analysis of this section. Hazardous waste generated from blasting operations will be managed in accordance with paragraph entitled "Hazardous Waste\Debris Management" of this section and with the approved HWMP. Disposal of non-hazardous abrasive blasting debris will be in accordance with paragraph entitled, "Control and Disposal of Solid Wastes".

### 3.15 NOISE

Make the maximum use of low-noise emission products, as certified by the EPA. Blasting or use of explosives will not be permitted without written permission from the Contracting Officer, and then only during the designated times. Confine pile-driving operations to the period between 8 a.m. and 4 p.m., Monday through Friday, exclusive of holidays, unless otherwise specified.

### 3.16 MERCURY MATERIALS

Mercury is prohibited in the construction of this facility, unless specified otherwise, and with the exception of mercury vapor lamps and fluorescent lamps. Dumping of mercury-containing materials and devices such as mercury vapor lamps, fluorescent lamps, and mercury switches, in rubbish containers is prohibited. Remove without breaking, pack to prevent breakage, and transport out of the activity in an unbroken condition for disposal as directed. Immediately report to the Environmental Office and the Contracting Officer instances of breakage or mercury spillage. Clean mercury spill area to the satisfaction of the Contracting Officer.

Cleanup of a mercury spill shall not be recycled and shall be managed as a hazardous waste for disposal.

BOILER PLANT 7CC - BOILER NO. 1 OVERHAUL  
NAVAL STATION NEWPORT, RI

28 OCTOBER 2016  
EPROJECTS W.O. NO.: 1470866

-- End of Section --

SECTION 01 74 19

CONSTRUCTION AND DEMOLITION WASTE MANAGEMENT  
01/07

PART 1 GENERAL

1.1 GOVERNMENT POLICY

Government policy is to apply sound environmental principles in the design, construction and use of facilities. As part of the implementation of that policy: (1) practice efficient waste management when sizing, cutting, and installing products and materials and (2) use all reasonable means to divert construction and demolition waste from landfills and incinerators and to facilitate their recycling or reuse. Divert a minimum of 50 percent by weight of total project solid waste from the landfill.

1.2 MANAGEMENT

Develop and implement a waste management program. Take a pro-active, responsible role in the management of construction and demolition waste and require all subcontractors, vendors, and suppliers to participate in the effort. Construction and demolition waste includes products of demolition or removal, excess or unusable construction materials, packaging materials for construction products, and other materials generated during the construction process but not incorporated into the work. In the management of waste, consider the availability of viable markets, the condition of the material, the ability to provide the material in suitable condition and in a quantity acceptable to available markets, and time constraints imposed by internal project completion mandates. **The Contractor is responsible for implementation of** any special programs involving rebates or similar incentives related to recycling of waste. Revenues or other savings obtained for salvage, or recycling accrue to the Contractor. Appropriately permit firms and facilities used for recycling, reuse, and disposal for the intended use to the extent required by federal, state, and local regulations. Also, provide on-site instruction of appropriate separation, handling, recycling, salvage, reuse, and return methods to be used by all parties at the appropriate stages of the project.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Waste Management Plan; G

SD-11 Closeout Submittals

Records

#### 1.4 MEETINGS

Conduct Construction Waste Management meetings. After award of the Contract and prior to commencement of work, schedule and conduct a meeting with the Contracting Officer to discuss the proposed Waste Management Plan and to develop a mutual understanding relative to the details of waste management. The requirements for this meeting may be fulfilled during the coordination and mutual understanding meeting outlined in Section 01 45 00.00 20 QUALITY CONTROL. At a minimum, discuss environmental and waste management goals and issues at the following additional meetings:

- a. Pre-bid meeting.
- b. Preconstruction meeting.
- c. Regular site meetings.
- d. Work safety meetings.

#### 1.5 WASTE MANAGEMENT PLAN

Submit a waste management plan within 15 days after contract award and not less than 10 days before the preconstruction meeting. The plan demonstrates how to meet the the project waste diversion goal. Also, include the following in the plan:

- a. Name of individuals on the Contractor's staff responsible for waste prevention and management.
- b. Actions that will be taken to reduce solid waste generation, including coordination with subcontractors to ensure awareness and participation.
- c. Description of the regular meetings to be held to address waste management.
- d. Description of the specific approaches to be used in recycling/reuse of the various materials generated, including the areas on site and equipment to be used for processing, sorting, and temporary storage of wastes.
- e. Characterization, including estimated types and quantities, of the waste to be generated.
- f. Name of landfill and/or incinerator to be used and the estimated costs for use, assuming that there would be no salvage or recycling on the project.
- g. Identification of local and regional reuse programs, including non-profit organizations such as schools, local housing agencies, and organizations that accept used materials such as materials exchange networks and Habitat for Humanity. Include the name, location, and phone number for each reuse facility to be used, and provide a copy of the permit or license for each facility.
- h. List of specific waste materials that will be salvaged for resale, salvaged and reused on the current project, salvaged and stored for reuse on a future project, or recycled. Identify the recycling facilities by name, location, and phone number, including a copy of the permit or license for each facility.

- i. Identification of materials that cannot be recycled/reused with an explanation or justification, to be approved by the Contracting Officer.
- j. Description of the means by which any waste materials identified in item (h) above will be protected from contamination.
- k. Description of the means of transportation of the recyclable materials (whether materials will be site-separated and self-hauled to designated centers, or whether mixed materials will be collected by a waste hauler and removed from the site).
- l. Anticipated net cost savings determined by subtracting Contractor program management costs and the cost of disposal from the revenue generated by sale of the materials and the incineration and/or landfill cost avoidance.

Revise and resubmit Plan as required by the Contracting Officer. Approval of Contractor's Plan will not relieve the Contractor of responsibility for compliance with applicable environmental regulations or meeting project cumulative waste diversion requirement. Distribute copies of the Waste Management Plan to each subcontractor, the Quality Control Manager, and the Contracting Officer.

#### 1.6 RECORDS

Maintain records to document the quantity of waste generated; the quantity of waste diverted through sale, reuse, or recycling; and the quantity of waste disposed by landfill or incineration. Quantities may be measured by weight or by volume, but must be consistent throughout. List each type of waste separately noting the disposal or diversion date. Identify the landfill, recycling center, waste processor, or other organization used to process or receive the solid waste. Provide explanations for any waste not recycled or reused. With each application for payment, submit updated documentation for solid waste disposal and diversion, and submit manifests, weight tickets, receipts, and invoices specifically identifying the project and waste material. Make the records available to the Contracting Officer during construction, and deliver to the Contracting Officer upon completion of the construction a copy of the records.

#### 1.7 COLLECTION

Separate, store, protect, and handle at the site identified recyclable and salvageable waste products in a manner that maximizes recyclability and salvagability of identified materials. Provide the necessary containers, bins and storage areas to facilitate effective waste management and clearly and appropriately identify them. Provide materials for barriers and enclosures around recyclable material storage areas which are nonhazardous and recyclable or reusable. Locate out of the way of construction traffic. Provide adequate space for pick-up and delivery and convenience to subcontractors. Recycling and waste bin areas are to be kept neat and clean, and handle recyclable materials to prevent contamination of materials from incompatible products and materials. Clean contaminated materials prior to placing in collection containers. Use cleaning materials that are nonhazardous and biodegradable. Handle hazardous waste and hazardous materials in accordance with applicable regulations. Separate materials by one of the following methods:

1.7.1 Source Separated Method.

Separate waste products and materials that are recyclable from trash and sorted as described below into appropriately marked separate containers and then transported to the respective recycling facility for further processing. Deliver materials in accordance with recycling or reuse facility requirements (e.g., free of dirt, adhesives, solvents, petroleum contamination, and other substances deleterious to the recycling process). Separate materials into the following category types as appropriate to the project waste and to the available recycling and reuse programs in the project area:

- a. Land clearing debris.
- b. Asphalt.
- c. Concrete and masonry.
- d. Metal (e.g. banding, stud trim, ductwork, piping, rebar, roofing, other trim, steel, iron, galvanized, stainless steel, aluminum, copper, zinc, lead brass, bronze).
  - (1) Ferrous.
  - (2) Non-ferrous.
- e. Wood (nails and staples allowed).
- f. Debris.
- g. Glass (colored glass allowed).
- h. Paper.
  - (1) Bond.
  - (2) Newsprint.
  - (3) Cardboard and paper packaging materials.
- i. Plastic.

Type	
1	Polyethylene Terephthalate (PET, PETE)
2	High Density Polyethylene (HDPE)
3	Vinyl (Polyvinyl Chloride or PVC)
4	Low Density Polyethylene (LDPE)
5	Polypropylene (PP)
6	Polystyrene (PS)

Type	
7	Other. Use of this code indicates that the package in question is made with a resin other than the six listed above, or is made of more than one resin listed above, and used in a multi-layer combination.

- j. Gypsum.
- k. Non-hazardous paint and paint cans.
- l. Carpet.
- m. Ceiling tiles.
- n. Insulation.
- o. Beverage containers.

#### 1.7.2 Co-Mingled Method.

Place waste products and recyclable materials into a single container and then transport to a recycling facility where the recyclable materials are sorted and processed.

#### 1.7.3 Other Methods.

Other proposed methods may be used when approved by the Contracting Officer.

### 1.8 DISPOSAL

Control accumulation of waste materials and trash. Recycle or dispose of collected materials off-site at intervals approved by the Contracting Officer and in compliance with waste management procedures. Except as otherwise specified in other sections of the specifications, dispose of in accordance with the following:

#### 1.8.1 Reuse.

Give first consideration to salvage for reuse since little or no re-processing is necessary for this method, and less pollution is created when items are reused in their original form. Coordinate reuse with the Contracting Officer. Consider sale or donation of waste suitable for reuse.

#### 1.8.2 Recycle.

Recycle waste materials not suitable for reuse, but having value as being recyclable. Recycle all fluorescent lamps, HID lamps, and mercury-containing thermostats removed from the site. Arrange for timely pickups from the site or deliveries to recycling facilities in order to prevent contamination of recyclable materials.

#### 1.8.3 Waste.

Dispose of materials with no practical use or economic benefit to waste-to-energy plants where available. As the last choice, dispose of

materials at a landfill or incinerator.

1.8.4 Return

Set aside and protect misdelivered and substandard products and materials and return to supplier for credit.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.           -- End of Section --

SECTION 01 78 00

CLOSEOUT SUBMITTALS  
08/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM E1971 (2005; R 2011) Stewardship for the Cleaning of Commercial and Institutional Buildings

GREEN SEAL (GS)

GS-37 (2012) Cleaning Products for Industrial and Institutional Use

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Warranty Management Plan  
Warranty Tags  
Final Cleaning  
Spare Parts Data

SD-08 Manufacturer's Instructions

Preventative Maintenance  
Condition Monitoring (Predictive Testing)  
Inspection  
Posted Instructions

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G

SD-11 Closeout Submittals

Record Drawings; G  
As-Built Record of Equipment and Materials

1.3 SPARE PARTS DATA

Submit two copies of the Spare Parts Data list.

- a. Indicate manufacturer's name, part number, nomenclature, and stock level required for maintenance and repair. List those items that may be standard to the normal maintenance of the system.

#### 1.4 PREVENTATIVE MAINTENANCE

Submit Preventative Maintenance, Condition Monitoring (Predictive Testing) and Inspection schedules with instructions that state when systems should be retested.

- a. Define the anticipated length of each test, test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability requirements within the schedule. Provide a signoff blank for the Contractor and Contracting Officer for each test feature; e.g., gpm, rpm, psi. Include a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments, cleaning, or special system notes. Delineate procedures for preventative maintenance, inspection, adjustment, lubrication and cleaning necessary to minimize corrective maintenance and repair.
- b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

#### 1.5 WARRANTY MANAGEMENT

##### 1.5.1 Warranty Management Plan

Develop a warranty management plan which contains information relevant to the clause Warranty of Construction in **each section**. At least 30 days before the planned pre-warranty conference, submit one set of the warranty management plan. Include within the warranty management plan all required actions and documents to assure that the Government receives all warranties to which it is entitled. The plan must be in narrative form and contain sufficient detail to render it suitable for use by future maintenance and repair personnel, whether tradesmen, or of engineering background, not necessarily familiar with this contract. The term "status" as indicated below must include due date and whether item has been submitted or was accomplished. Warranty information made available during the construction phase must be submitted to the Contracting Officer for approval prior to each monthly pay estimate. Assemble approved information in a binder and turn over to the Government upon acceptance of the work. The construction warranty period will begin on the date of project acceptance and continue for the full product warranty period. A joint 4 month and 9 month warranty inspection will be conducted, measured from time of acceptance, by the Contractor, Contracting Officer and the Customer Representative. Include within the warranty management plan , but not limited to, the following:

- a. Roles and responsibilities of all personnel associated with the warranty process, including points of contact and telephone numbers within the organizations of the Contractors, subcontractors, manufacturers or suppliers involved.
- b. Furnish with each warranty the name, address, and telephone number of

each of the guarantor's representatives nearest to the project location.

- c. Listing and status of delivery of all Certificates of Warranty for extended warranty items, to include roofs, HVAC balancing, pumps, motors, transformers, and for all commissioned systems such as fire protection and alarm systems, sprinkler systems, lightning protection systems, etc.
- d. A list for each warranted equipment, item, feature of construction or system indicating:
  - (1) Name of item.
  - (2) Model and serial numbers.
  - (3) Location where installed.
  - (4) Name and phone numbers of manufacturers or suppliers.
  - (5) Names, addresses and telephone numbers of sources of spare parts.
  - (6) Warranties and terms of warranty. Include one-year overall warranty of construction, including the starting date of warranty of construction. Items which have extended warranties must be indicated with separate warranty expiration dates.
  - (7) Cross-reference to warranty certificates as applicable.
  - (8) Starting point and duration of warranty period.
  - (9) Summary of maintenance procedures required to continue the warranty in force.
  - (10) Cross-reference to specific pertinent Operation and Maintenance manuals.
  - (11) Organization, names and phone numbers of persons to call for warranty service.
  - (12) Typical response time and repair time expected for various warranted equipment.
- e. The plans for attendance at the 4 and 9 month post-construction warranty inspections conducted by the Government.
- f. Procedure and status of tagging of all equipment covered by extended warranties.
- g. Copies of [instructions](#) to be posted near selected pieces of equipment where operation is critical for warranty and/or safety reasons.

#### 1.5.2 Performance Bond

The Performance Bond must remain effective throughout the construction period.

- a. In the event the Contractor fails to commence and diligently pursue any construction warranty work required, the Contracting Officer will have the work performed by others, and after completion of the work, will charge the remaining construction warranty funds of expenses incurred by the Government while performing the work, including, but not limited to administrative expenses.
- b. In the event sufficient funds are not available to cover the construction warranty work performed by the Government at the Contractor's expense, the Contracting Officer will have the right to recoup expenses from the bonding company.
- c. Following oral or written notification of required construction

warranty repair work, respond in a timely manner. Written verification will follow oral instructions. Failure to respond will be cause for the Contracting Officer to proceed against the Contractor.

1.5.3 Pre-Warranty Conference

Prior to contract completion, and at a time designated by the Contracting Officer, meet with the Contracting Officer to develop a mutual understanding with respect to the requirements of this section. Communication procedures for Contractor notification of construction warranty defects, priorities with respect to the type of defect, reasonable time required for Contractor response, and other details deemed necessary by the Contracting Officer for the execution of the construction warranty will be established/reviewed at this meeting. In connection with these requirements and at the time of the Contractor's quality control completion inspection, furnish the name, telephone number and address of a licensed and bonded company which is authorized to initiate and pursue construction warranty work action on behalf of the Contractor. This point of contact will be located within the local service area of the warranted construction, be continuously available, and be responsive to Government inquiry on warranty work action and status. This requirement does not relieve the Contractor of any of its responsibilities in connection with other portions of this provision.

1.5.4 Warranty Tags

At the time of installation, tag each warranted item with a durable, oil and water resistant tag approved by the Contracting Officer. Attach each tag with a copper wire and spray with a silicone waterproof coating. Also, submit two record copies of the warranty tags showing the layout and design. The date of acceptance and the QC signature must remain blank until the project is accepted for beneficial occupancy. Show the following information on the tag.

Type of product/material	
Model number	
Serial number	
Contract number	
Warranty period from/to	
Inspector's signature	
Construction Contractor	
Address	
Telephone number	

Warranty contact	
Address	
Telephone number	
Warranty response time priority code	
WARNING - PROJECT PERSONNEL TO PERFORM ONLY OPERATIONAL MAINTENANCE DURING THE WARRANTY PERIOD.	

## PART 2 PRODUCTS

### 2.1 CERTIFICATION OF EPA DESIGNATED ITEMS

Submit the [Certification of EPA Designated Items](#) as required by FAR 52.223-9, "Certification and Estimate of Percentage of Recovered Material Content for EPA Designated Items". Include on the certification form the following information: project name, project number, Contractor name, license number, Contractor address, and certification. The certification will read as follows and be signed and dated by the Contractor. "I hereby certify the information provided herein is accurate and that the requisition/procurement of all materials listed on this form comply with current EPA standards for recycled/recovered materials content. The following exemptions may apply to the non-procurement of recycled/recovered content materials:

- 1) The product does not meet appropriate performance standards;
- 2) The product is not available within a reasonable time frame;
- 3) The product is not available competitively (from two or more sources);
- 4) The product is only available at an unreasonable price (compared with a comparable non-recycled content product)."

Record each product used in the project that has a requirement or option of containing recycled or biobased content in accordance with SECTION 01 62 35 RECYCLED/RECOVERED/BIOBASED MATERIALS, noting total price, total value of post-industrial recycled content, total value of post-consumer recycled content, total value of biobased content, exemptions (1, 2, 3, or 4, as indicated), and comments. Recycled and biobased content values may be determined by weight or volume percent, but must be consistent throughout.

## PART 3 EXECUTION

### 3.1 PROJECT RECORD DOCUMENTS

#### 3.1.1 Record Drawings

Drawings showing final as-built conditions of the project. This paragraph covers record drawings complete, as a requirement of the contract. The terms "drawings," "contract drawings," "drawing files," "working record drawings" and "final record drawings" refer to contract drawings which are revised to be used for final record drawings showing as-built conditions.

The manually prepared drawings must consist of approved marked working

as-built prints.

As built shall indicate at a minimum, location and elevation profile for all new piping and peripherals; location and elevation of all encountered obstacles and utilities. As-built drawings shall be prepared by the contractor on site as "red-lined" drawings.

#### 3.1.1.1 Government Furnished Materials

One set of electronic files in pdf format revised to reflect all bid amendments will be provided by the Government at the preconstruction conference.

#### 3.1.1.2 Working Record and Final Record Drawings

Revise 3 sets of paper drawings by red-line process to show the as-built conditions during the prosecution of the project. Keep these working as-built marked drawings current on a weekly basis and at least one set available on the jobsite at all times. Changes from the contract plans which are made in the work or additional information which might be uncovered in the course of construction must be accurately and neatly recorded as they occur by means of details and notes. Prepare final record (as-built) drawings after the completion of each definable feature of work as listed in the Contractor Quality Control Plan (Foundations, Utilities, Structural Steel, etc., as appropriate for the project). The working as-built marked prints and final record (as-built) drawings will be jointly reviewed for accuracy and completeness by the Contracting Officer and the Contractor prior to submission of each monthly pay estimate. If the Contractor fails to maintain the working and final record drawings as specified herein, the Contracting Officer will deduct from the monthly progress payment an amount representing the estimated cost of maintaining the record drawings. This monthly deduction will continue until an agreement can be reached between the Contracting Officer and the Contractor regarding the accuracy and completeness of updated drawings. Show on the working and final record drawings, but not limited to, the following information:

- a. The actual location, kinds and sizes of all sub-surface utility lines. In order that the location of these lines and appurtenances may be determined in the event the surface openings or indicators become covered over or obscured, show by offset dimensions to two permanently fixed surface features the end of each run including each change in direction on the record drawings. Locate valves, splice boxes and similar appurtenances by dimensioning along the utility run from a reference point. Also record the average depth below the surface of each run.
- b. The location and dimensions of any changes within the building structure.
- c. Correct grade, elevations, cross section, or alignment of roads, earthwork, structures or utilities if any changes were made from contract plans.
- d. Changes in details of design or additional information obtained from working drawings specified to be prepared and/or furnished by the Contractor; including but not limited to fabrication, erection, installation plans and placing details, pipe sizes, insulation material, dimensions of equipment foundations, etc.

- e. The topography, invert elevations and grades of drainage installed or affected as part of the project construction.
- f. Changes or modifications which result from the final inspection.
- g. Where contract drawings or specifications present options, show only the option selected for construction on the final as-built prints.
- h. If borrow material for this project is from sources on Government property, or if Government property is used as a spoil area, furnish a contour map of the final borrow pit/spoil area elevations.
- i. Systems designed or enhanced by the Contractor, such as HVAC controls, fire alarm, fire sprinkler, and irrigation systems.
- j. Modifications (include within change order price the cost to change working and final record drawings to reflect modifications) and compliance with the following procedures.
  - (1) Follow directions in the modification for posting descriptive changes.
  - (2) Place a Modification Delta at the location of each deletion.
  - (3) For new details or sections which are added to a drawing, place a Modification Delta by the detail or section title.
  - (4) For minor changes, place a Modification Delta by the area changed on the drawing (each location).
  - (5) For major changes to a drawing, place a Modification Delta by the title of the affected plan, section, or detail at each location.
  - (6) For changes to schedules or drawings, place a Modification Delta either by the schedule heading or by the change in the schedule.
  - (7) The Modification Delta size shall be 1/2 inch diameter unless the area where the circle is to be placed is crowded. Smaller size circle shall be used for crowded areas.

#### 3.1.1.3 Drawing Preparation

Modify the record drawings as may be necessary to correctly show the features of the project as it has been constructed by bringing the contract set into agreement with approved working as-built prints, and adding such additional drawings as may be necessary. These working as-built marked prints must be neat, legible and accurate. These drawings are part of the permanent records of this project and must be returned to the Contracting Officer after approval by the Government. Any drawings damaged or lost by the Contractor must be satisfactorily replaced by the Contractor at no expense to the Government.

#### 3.1.1.4 Manually Prepared Drawings

Employ only personnel proficient in the preparation of manually prepared drawings to modify the original contract drawing or prepare additional new drawings. Additions and corrections to the contract drawings must be neat, clean and legible, shall be done to the same level of detail, and

match the adjacent existing line work, and lettering being annotated in type, density, size and style. Drafting work must be done using the same medium (pencil, plastic lead or ink) that was employed on the original contract drawings and with graphite lead on paper base material. The Contracting Officer will review record drawings for accuracy and conformance to the above specified drafting standards. Corrections, changes, additions, and deletions required must meet these standards. The title block to be used for any new record drawings must be similar to that used on the original drawings.

- a. When final revisions have been completed, Letter or stamp each drawing with the words "RECORD DRAWINGS / AS-BUILT CONDITIONS" followed by the name of the Contractor in letters at least 3/16 inch high. Mark original contract drawings either "Record" drawings denoting no revisions on the sheet or "Revised Record" denoting one or more revisions Date all original contract drawings in the revision block.
- b. Within 10 days for contracts less than \$5 million after Government approval of all of the working record drawings for a phase of work, prepare the final record drawings for that phase of work and submit two sets of blue-line prints of these drawings for Government review and approval. The Government will promptly return one set of prints annotated with any necessary corrections. Within 7 days for contracts less than \$5 million revise the drawings accordingly at no additional cost and submit one set of final prints for the completed phase of work to the Government. Within 10 days for contracts less than \$5 million of substantial completion of all phases of work, submit the final record drawing package for the entire project. Submit two blue-line prints of these drawings and the return of the approved marked record prints, complete in all details. Paper prints and reproducible drawings will become the property of the Government upon final approval. Failure to submit final record drawings and marked prints, as required herein, will be cause for withholding any payment due the Contractor under this contract. Approval and acceptance of final record drawings must be accomplished before final payment is made to the Contractor.

#### 3.1.1.5 Payment

No separate payment will be made for record drawings required under this contract, and all costs accrued in connection with such drawings are considered a subsidiary obligation of the Contractor.

#### 3.1.2 As-Built Record of Equipment and Materials

Furnish 3 copies of preliminary record of equipment and materials used on the project 15 days prior to final inspection. This preliminary submittal will be reviewed and returned 2 days after final inspection with Government comments. Submit 3 sets of final record of equipment and materials 10 days after final inspection. Key the designations to the related area depicted on the contract drawings. List the following data:

RECORD OF DESIGNATED EQUIPMENT AND MATERIALS DATA				
Description	Specification Section	Manufacturer and Catalog. Model and Serial Number	Composition and Size	Where Used

3.1.3 Final Approved Shop Drawings

Furnish final approved project shop drawings 30 days after transfer of the completed facility.

3.1.4 Construction Contract Specifications

Furnish final record (as-built) construction contract specifications, including modifications thereto, 30 days after transfer of the completed facility.

3.1.5 Real Property Equipment

Furnish a list of installed equipment furnished under this contract. Include all information usually listed on manufacturer's name plate. In the "EQUIPMENT-IN-PLACE LIST" include, as applicable, the following for each piece of equipment installed: description of item, location (by room number), model number, serial number, capacity, name and address of manufacturer, name and address of equipment supplier, condition, spare parts list, manufacturer's catalog, and warranty. Furnish a draft list at time of transfer. Furnish the final list 30 days after transfer of the completed facility.

3.2 OPERATION AND MAINTENANCE MANUALS

Submit 6 copies of the project operation and maintenance manuals 30 calendar days prior to testing the system involved. Update and resubmit data for final approval no later than 30 calendar days prior to contract completion.

3.2.1 Configuration

Operation and Maintenance Manuals must be consistent with the manufacturer's standard brochures, schematics, printed instructions, general operating procedures, and safety precautions. Bind information in manual format and grouped by technical sections. Test data must be legible and of good quality. Light-sensitive reproduction techniques are acceptable provided finished pages are clear, legible, and not subject to fading. Pages for vendor data and manuals must have 0.3937-inch holes and be bound in 3-ring, loose-leaf binders. Organize data by separate index and tabbed sheets, in a loose-leaf binder. Binder must lie flat with printed sheets that are easy to read. Caution and warning indications must be clearly labeled.

3.2.2 Training and Instruction

Submit classroom and field instructions in the operation and maintenance of systems equipment where required by the technical provisions. These services must be directed by the Contractor, using the manufacturer's factory-trained personnel or qualified representatives. Contracting

Officer will be given 7 calendar days written notice of scheduled instructional services. Instructional materials belonging to the manufacturer or vendor, such as lists, static exhibits, and visual aids, must be made available to the Contracting Officer.

### 3.3 CLEANUP

Provide final cleaning in accordance with ASTM E1971 and submit two copies of the listing of completed final clean-up items. Leave premises "broom clean." Comply with GS-37 for general purpose cleaning and bathroom cleaning. Use only nonhazardous cleaning materials, including natural cleaning materials, in the final cleanup. Clean equipment and fixtures to a sanitary condition. Clean filters of operating equipment and comply with the Indoor Air Quality (IAQ) Management Plan. Clean debris from roofs, gutters, downspouts and drainage systems. Sweep paved areas and rake clean landscaped areas. Remove waste and surplus materials, rubbish and construction facilities from the site. Recycle, salvage, and return construction and demolition waste from project in accordance with Waste Management Plan. Do not burn, bury or otherwise dispose of trash on the project site.

-- End of Section --

SECTION 01 78 23

OPERATION AND MAINTENANCE DATA

08/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM E1971

(2005; R 2011) Stewardship for the  
Cleaning of Commercial and Institutional  
Buildings

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-10 Operation and Maintenance Data

O&M Database ; G

1.3 OPERATION AND MAINTENANCE DATA

Submit Operation and Maintenance (O&M) Data for the provided equipment, product, or system, defining the importance of system interactions, troubleshooting, and long-term preventive operation and maintenance. Compile, prepare, and aggregate O&M data to include clarifying and updating the original sequences of operation to as-built conditions. Organize and present information in sufficient detail to clearly explain O&M requirements at the system, equipment, component, and subassembly level. Include an index preceding each submittal. Submit in accordance with this section and Section 01 33 00 SUBMITTAL PROCEDURES.

1.3.1 Package Quality

Documents must be fully legible. Operation and Maintenance data must be consistent with the manufacturer's standard brochures, schematics, printed instructions, general operating procedures, and safety precautions.

1.3.2 Package Content

Provide data package content in accordance with paragraph SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES. Comply with the data package requirements specified in the individual technical sections, including the content of the packages and addressing each product, component, and system designated for data package submission, except as follows.

### 1.3.3 Changes to Submittals

Provide manufacturer-originated changes or revisions to submitted data if a component of an item is so affected subsequent to acceptance of the O&M Data. Submit changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data within 30 calendar days of the notification of this change requirement.

### 1.4 O&M DATABASE

Develop an editable, electronic spreadsheet based on the equipment in the Operation and Maintenance Manuals that contains the information required to start a preventive maintenance program. As a minimum, provide list of system equipment, location installed, warranty expiration date, manufacturer, model, and serial number.

### 1.5 OPERATION AND MAINTENANCE MANUAL FILE FORMAT

Assemble data packages into electronic Operation and Maintenance Manuals. Assemble each manual into a composite electronically indexed file using the most current version of Adobe Acrobat or similar software capable of producing PDF file format. Provide compact disks (CD) or data digital versatile disk (DVD) as appropriate, so that each one contains operation, maintenance and record files, project record documents, and training videos. Include a complete electronically linked operation and maintenance directory.

#### 1.5.1 Organization

Bookmark Product and Drawing Information documents using the current version of CSI Masterformat numbering system, and arrange submittals using the specification sections as a structure. Use CSI Masterformat and UFGS numbers along with descriptive bookmarked titles that explain the content of the information that is being bookmarked.

#### 1.5.2 CD or DVD Label and Disk Holder or Case

Provide the following information on the disk label and disk holder or case:

- a. Building Number
- b. Project Title
- c. Activity and Location
- d. Construction Contract Number
- e. Prepared For: (Contracting Agency)
- f. Prepared By: (Name, title, phone number and email address)
- g. Include the disk content on the disk label
- h. Date
- i. Virus scanning program used

## 1.6 TYPES OF INFORMATION REQUIRED IN O&M DATA PACKAGES

The following are a detailed description of the data package items listed in paragraph SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES.

### 1.6.1 Operating Instructions

Provide specific instructions, procedures, and illustrations for the following phases of operation for the installed model and features of each system:

#### 1.6.1.1 Safety Precautions and Hazards

List personnel hazards and equipment or product safety precautions for operating conditions.

#### 1.6.1.2 Operator Prestart

Provide procedures required to install, set up, and prepare each system for use.

#### 1.6.1.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown and Post-shutdown operating procedures including the control sequence for each procedure.

#### 1.6.1.4 Normal Operations

Provide Control Diagrams with data to explain operation and control of systems and specific equipment. Provide narrative description of Normal Operating Procedures.

#### 1.6.1.5 Emergency Operations

Provide Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shut down the equipment to prevent further damage to systems and equipment. Provide Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of utility systems including required valve positions, valve locations and zones or portions of systems controlled.

#### 1.6.1.6 Operator Service Requirements

Provide instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gauge readings.

#### 1.6.1.7 Environmental Conditions

Provide a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component or system. Describe conditions under which the item equipment should not be allowed to run.

### 1.6.2 Preventive Maintenance

Provide the following information for preventive and scheduled maintenance to minimize repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of

recommended maintenance procedures and materials.

#### 1.6.2.1 Lubrication Data

Include the following preventive maintenance lubrication data, in addition to instructions for lubrication required under paragraph OPERATOR SERVICE REQUIREMENTS:

- a. A table showing recommended lubricants for specific temperature ranges and applications.
- b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities.
- c. A Lubrication Schedule showing service interval frequency.

#### 1.6.2.2 Preventive Maintenance Plan, Schedule, and Procedures

Provide manufacturer's schedule for routine preventive maintenance, inspections, condition monitoring (predictive tests) and adjustments required to ensure proper and economical operation and to minimize repairs. Provide instructions stating when the systems should be retested. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including craft requirements by type of craft. For periodic calibrations, provide manufacturer's specified frequency and procedures for each separate operation.

- a. Define the anticipated time required to perform each of each test (work-hours), test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability requirements within the schedule. Provide a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments, cleaning, or special system notes. Delineate procedures for preventive maintenance, inspection, adjustment, lubrication and cleaning necessary to minimize repairs.
- b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

#### 1.6.2.3 Cleaning Recommendations

Provide environmentally preferable cleaning recommendations in accordance with ASTM E1971.

#### 1.6.3 Repair

Provide manufacturer's recommended procedures and instructions for correcting problems and making repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials.

#### 1.6.3.1 Troubleshooting Guides and Diagnostic Techniques

Provide step-by-step procedures to promptly isolate the cause of typical malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

#### 1.6.3.2 Wiring Diagrams and Control Diagrams

Provide point-to-point drawings of wiring and control circuits including factory-field interfaces. Provide a complete and accurate depiction of the actual job specific wiring and control work. On diagrams, number electrical and electronic wiring and pneumatic control tubing and the terminals for each type, identically to actual installation configuration and numbering.

#### 1.6.3.3 Repair Procedures

Provide instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards.

#### 1.6.3.4 Removal and Replacement Instructions

Provide step-by-step procedures and a list of required tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings and adjustments required. Use a combination of text and illustrations.

#### 1.6.3.5 Spare Parts and Supply Lists

Provide lists of spare parts and supplies required for repair to ensure continued service or operation without unreasonable delays. Special consideration is required for facilities at remote locations. List spare parts and supplies that have a long lead-time to obtain.

#### 1.6.3.6 Repair Work-Hours

Provide manufacturer's projection of repair work-hours including requirements by type of craft. Identify, and tabulate separately, repair that requires the equipment manufacturer to complete or to participate.

#### 1.6.4 Appendices

Provide information required below and information not specified in the preceding paragraphs but pertinent to the maintenance or operation of the product or equipment. Include the following:

##### 1.6.4.1 Product Submittal Data

Provide a copy of SD-03 Product Data submittals documented with the required approval.

##### 1.6.4.2 Manufacturer's Instructions

Provide a copy of SD-08 Manufacturer's Instructions submittals documented with the required approval.

#### 1.6.4.3 O&M Submittal Data

Provide a copy of SD-10 Operation and Maintenance Data submittals documented with the required approval.

#### 1.6.4.4 Parts Identification

Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model, serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing must show the index, reference, or key number that will cross-reference the illustrated part to the listed part. Group the parts shown in the listings by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master parts catalog.

#### 1.6.4.5 Warranty Information

List and explain the various warranties and clearly identify the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Include warranty information for primary components of the system. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

#### 1.6.4.6 Extended Warranty Information

List all warranties for products, equipment, components, and sub-components whose duration exceeds one year. For each warranty listed, indicate the applicable specification section, duration, start date, end date, and the point of contact for warranty fulfillment. Also, list or reference the specific operation and maintenance procedures that must be performed to keep the warranty valid. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

#### 1.6.4.7 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

#### 1.6.4.8 Testing Equipment and Special Tool Information

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

#### 1.6.4.9 Testing and Performance Data

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

#### 1.6.4.10 Field Test Reports

Provide a copy of Field Test Reports (SD-06) submittals documented with the required approval.

#### 1.6.4.11 Contractor Information

Provide a list that includes the name, address, and telephone number of the General Contractor and each Subcontractor who installed the product or equipment, or system. For each item, also provide the name address and telephone number of the manufacturer's representative and service organization that can provide replacements most convenient to the project site. Provide the name, address, and telephone number of the product, equipment, and system manufacturers.

### 1.7 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Provide the O&M data packages specified in individual technical sections. The information required in each type of data package follows:

#### 1.7.1 Data Package 1

- a. Safety precautions and hazards
- b. Cleaning recommendations
- c. Maintenance and repair procedures
- d. Warranty information
- e. Extended warranty information
- f. Contractor information
- g. Spare parts and supply list

#### 1.7.2 Data Package 2

- a. Safety precautions and hazards
- b. Normal operations
- c. Environmental conditions
- d. Lubrication data
- e. Preventive maintenance plan, schedule, and procedures
- f. Cleaning recommendations
- g. Maintenance and repair procedures
- h. Removal and replacement instructions
- i. Spare parts and supply list
- j. Parts identification
- k. Warranty information

- l. Extended warranty information
  - m. Contractor information
- 1.7.3 Data Package 3
- a. Safety precautions and hazards
  - b. Operator prestart
  - c. Startup, shutdown, and post-shutdown procedures
  - d. Normal operations
  - e. Emergency operations
  - f. Environmental conditions
  - g. Operating log
  - h. Lubrication data
  - i. Preventive maintenance plan, schedule, and procedures
  - j. Cleaning recommendations
  - k. Troubleshooting guides and diagnostic techniques
  - l. Wiring diagrams and control diagrams
  - m. Maintenance and repair procedures
  - n. Removal and replacement instructions
  - o. Spare parts and supply list
  - p. Product submittal data
  - q. O&M submittal data
  - r. Parts identification
  - s. Warranty information
  - t. Extended warranty information
  - u. Testing equipment and special tool information
  - v. Testing and performance data
  - w. Contractor information
  - x. Field test reports
- 1.7.4 Data Package 4
- a. Safety precautions and hazards

- b. Operator prestart
  - c. Startup, shutdown, and post-shutdown procedures
  - d. Normal operations
  - e. Emergency operations
  - f. Operator service requirements
  - g. Environmental conditions
  - h. Operating log
  - i. Lubrication data
  - j. Preventive maintenance plan, schedule, and procedures
  - k. Cleaning recommendations
  - l. Troubleshooting guides and diagnostic techniques
  - m. Wiring diagrams and control diagrams
  - n. Repair procedures
  - o. Removal and replacement instructions
  - p. Spare parts and supply list
  - q. Repair work-hours
  - r. Product submittal data
  - s. O&M submittal data
  - t. Parts identification
  - u. Warranty information
  - v. Extended warranty information
  - w. Personnel training requirements
  - x. Testing equipment and special tool information
  - y. Testing and performance data
  - z. Contractor information
  - aa. Field test reports
- 1.7.5 Data Package 5
- a. Safety precautions and hazards
  - b. Operator prestart
  - c. Start-up, shutdown, and post-shutdown procedures

- d. Normal operations
- e. Environmental conditions
- f. Preventive maintenance plan, schedule, and procedures
- g. Troubleshooting guides and diagnostic techniques
- h. Wiring and control diagrams
- i. Maintenance and repair procedures
- j. Removal and replacement instructions
- k. Spare parts and supply list
- l. Product submittal data
- m. Manufacturer's instructions
- n. O&M submittal data
- o. Parts identification
- p. Testing equipment and special tool information
- q. Warranty information
- r. Extended warranty information
- s. Testing and performance data
- t. Contractor information
- u. Field test reports

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 02 41 00

DEMOLITION  
05/10

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SAFETY ENGINEERS (ASSE/SAFE)

ASSE/SAFE A10.6 (2006) Safety Requirements for Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2014) Safety and Health Requirements Manual

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 61 National Emission Standards for Hazardous Air Pollutants

1.2 PROJECT DESCRIPTION

1.2.1 Demolition/Deconstruction Plan

Prepare a [Demolition Plan](#) and submit proposed demolition, and removal procedures for approval before work is started. Include in the plan procedures for careful removal and disposition of materials specified to be salvaged, coordination with other work in progress, a disconnection schedule of utility services, a detailed description of methods and equipment to be used for each operation and of the sequence of operations. [Identify components and materials to be salvaged for reuse or recycling with reference to paragraph Existing Facilities to be Removed. Append tracking forms for all removed materials indicating type, quantities, condition, destination, and end use.](#) Coordinate with Waste Management Plan. Provide procedures for safe conduct of the work in accordance with [EM 385-1-1](#). Plan shall be approved by Contracting Officer prior to work beginning.

1.2.2 General Requirements

Do not begin demolition or deconstruction until authorization is received from the Contracting Officer. [The work of this section is to be performed in a manner that maximizes the value derived from the salvage and recycling of materials.](#) Remove rubbish and debris from the project site; do not allow accumulations inside or outside the buildings. In the interest of occupational safety and health, perform the work in accordance with [EM 385-1-1](#), Section 23, Demolition, and other applicable Sections.

### 1.3 ITEMS TO REMAIN IN PLACE

Take necessary precautions to avoid damage to existing items to remain in place, to be reused, or to remain the property of the Government. Repair or replace damaged items as approved by the Contracting Officer. Coordinate the work of this section with all other work indicated. Construct and maintain shoring, bracing, and supports as required. Ensure that structural elements are not overloaded. Increase structural supports or add new supports as may be required as a result of any cutting, removal, deconstruction, or demolition work performed under this contract. Do not overload structural elements. Provide new supports and reinforcement for existing construction weakened by demolition, deconstruction, or removal work. Repairs, reinforcement, or structural replacement require approval by the Contracting Officer prior to performing such work.

#### 1.3.1 Existing Construction Limits and Protection

Do not disturb existing construction beyond the extent indicated or necessary for installation of new construction. Provide temporary shoring and bracing for support of building components to prevent settlement or other movement. Provide protective measures to control accumulation and migration of dust and dirt in all work areas. Remove snow, dust, dirt, and debris from work areas daily.

#### 1.3.2 Weather Protection

For portions of the building to remain, protect building interior and materials and equipment from the weather at all times. Where removal of existing roofing is necessary to accomplish work, have materials and workmen ready to provide adequate and temporary covering of exposed areas.

#### 1.3.3 Trees

Protect trees within the project site which might be damaged during demolition or deconstruction, and which are indicated to be left in place, by a 6 foot high fence. Erect and secure fence a minimum of 5 feet from the trunk of individual trees or follow the outer perimeter of branches or clumps of trees. Replace any tree designated to remain that is damaged during the work under this contract with like-kind or as approved by the Contracting Officer.

#### 1.3.4 Utility Service

Maintain existing utilities indicated to stay in service and protect against damage during demolition and deconstruction operations. Prior to start of work, utilities serving each area of alteration or removal will be shut off by the Government and disconnected and sealed by the Contractor

#### 1.3.5 Facilities

Protect electrical and mechanical services and utilities. Where removal of existing utilities and pavement is specified or indicated, provide approved barricades, temporary covering of exposed areas, and temporary services or connections for electrical and mechanical utilities. Floors, roofs, walls, columns, pilasters, and other structural components that are designed and constructed to stand without lateral support or shoring, and are determined to be in stable condition, must remain standing without

additional bracing, shoring, or lateral support until demolished or deconstructed, unless directed otherwise by the Contracting Officer. Ensure that no elements determined to be unstable are left unsupported and place and secure bracing, shoring, or lateral supports as may be required as a result of any cutting, removal, deconstruction, or demolition work performed under this contract.

#### 1.4 BURNING

The use of burning at the project site for the disposal of refuse and debris will not be permitted .

#### 1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00  
SUBMITTAL PROCEDURES:

##### SD-01 Preconstruction Submittals

Demolition Plan; G

Existing Conditions

##### SD-07 Certificates

Notification; G

##### SD-11 Closeout Submittals

Receipts

#### 1.6 QUALITY ASSURANCE

Submit timely notification of demolition projects to Federal, State, regional, and local authorities in accordance with 40 CFR 61, Subpart M. Notify the State's environmental protection agency and the Contracting Officer in writing 10 working days prior to the commencement of work in accordance with 40 CFR 61, Subpart M. Comply with federal, state, and local hauling and disposal regulations. In addition to the requirements of the "Contract Clauses," conform to the safety requirements contained in ASSE/SAFE A10.6. Comply with the Environmental Protection Agency requirements specified. Use of explosives will not be permitted.

##### 1.6.1 Dust and Debris Control

Prevent the spread of dust and debris and avoid the creation of a nuisance in the surrounding area. Do not use water if it results in hazardous or objectionable conditions such as, but not limited to, ice, flooding, or pollution.

#### 1.7 PROTECTION

##### 1.7.1 Traffic Control Signs

a. Where pedestrian and driver safety is endangered in the area of removal work, use traffic barricades with flashing lights. Notify

the Contracting Officer prior to beginning such work.

#### 1.7.2 Protection of Personnel

Before, during and after the demolition work continuously evaluate the condition of the structure being demolished and take immediate action to protect all personnel working in and around the project site. No area, section, or component of floors, roofs, walls, columns, pilasters, or other structural element will be allowed to be left standing without sufficient bracing, shoring, or lateral support to prevent collapse or failure while workmen remove debris or perform other work in the immediate area.

#### 1.8 FOREIGN OBJECT DAMAGE (FOD)

Aircraft and aircraft engines are subject to FOD from debris and waste material lying on airfield pavements. Remove all such materials that may appear on operational aircraft pavements due to the Contractor's operations. If necessary, the Contracting Officer may require the Contractor to install a temporary barricade at the Contractor's expense to control the spread of FOD potential debris. The barricade shall include a fence covered with a fabric designed to stop the spread of debris. Anchor the fence and fabric to prevent displacement by winds or jet/prop blasts. Remove barricade when no longer required.

#### 1.9 RELOCATIONS

Perform the removal and reinstallation of relocated items as indicated with workmen skilled in the trades involved. Repair or replace items to be relocated which are damaged by the Contractor with new undamaged items as approved by the Contracting Officer.

#### 1.10 EXISTING CONDITIONS

Before beginning any demolition or deconstruction work, survey the site and examine the drawings and specifications to determine the extent of the work. Record existing conditions in the presence of the Contracting Officer showing the condition of structures and other facilities adjacent to areas of alteration or removal. Photographs sized 4 inch will be acceptable as a record of existing conditions. Include in the record the elevation of the top of foundation walls, finish floor elevations, possible conflicting electrical conduits, plumbing lines, alarms systems, the location and extent of existing cracks and other damage and description of surface conditions that exist prior to before starting work. It is the Contractor's responsibility to verify and document all required outages which will be required during the course of work, and to note these outages on the record document. Submit survey results.

### PART 2 PRODUCTS

#### 2.1 FILL MATERIAL

- a. Comply with excavating, backfilling, and compacting procedures for soils used as backfill material to fill basements, voids, depressions or excavations resulting from demolition or deconstruction of structures. Fill material shall be waste products from demolition or deconstruction until all waste appropriate for this purpose is consumed.

PART 3 EXECUTION

3.1 EXISTING FACILITIES TO BE REMOVED

Inspect and evaluate existing structures onsite for reuse. Existing construction scheduled to be removed for reuse shall be disassembled. Dismantled and removed materials are to be separated, set aside, and prepared as specified, and stored or delivered to a collection point for reuse, remanufacture, recycling, or other disposal, as specified. Materials shall be designated for reuse onsite whenever possible.

3.1.1 Structures

- a. Remove existing structures indicated to be removed.
- b. Demolish structures in a systematic manner from the top of the structure to the ground. Complete demolition work above each tier or floor before the supporting members on the lower level are disturbed. Demolish concrete and masonry walls in small sections. Remove structural framing members and lower to ground by means of derricks, platforms hoists, or other suitable methods as approved by the Contracting Officer.
- c. Locate demolition and deconstruction equipment throughout the structure and remove materials so as to not impose excessive loads to supporting walls, floors, or framing.
- d.

3.1.2 Utilities and Related Equipment

3.1.2.1 General Requirements

Do not interrupt existing utilities serving occupied or used facilities, except when authorized in writing by the Contracting Officer. Do not interrupt existing utilities serving facilities occupied and used by the Government except when approved in writing and then only after temporary utility services have been approved and provided. Do not begin demolition or deconstruction work until all utility disconnections have been made. Shut off and cap utilities for future use, as indicated.

3.1.2.2 Disconnecting Existing Utilities

Remove existing utilities , as indicated and terminate in a manner conforming to the nationally recognized code covering the specific utility and approved by the Contracting Officer. When utility lines are encountered but are not indicated on the drawings, notify the Contracting Officer prior to further work in that area. Remove meters and related equipment and deliver to a location in accordance with instructions of the Contracting Officer.

3.1.3 Structural Steel

Dismantle structural steel at field connections and in a manner that will prevent bending or damage. Salvage for recycle structural steel, steel joists, girders, angles, plates, columns and shapes. Flame-cutting torches are permitted when other methods of dismantling are not practical. Transport steel joists and girders as whole units and not dismantled. Transport structural steel shapes to a designated area as directed by the

Contracting Officer, stacked according to size, type of member and length, and stored off the ground, protected from the weather.

#### 3.1.4 Miscellaneous Metal

Salvage shop-fabricated items such as access doors and frames, steel gratings, metal ladders, wire mesh partitions, metal railings, metal windows and similar items as whole units. Salvage light-gage and cold-formed metal framing, such as steel studs, steel trusses, metal gutters, roofing and siding, metal toilet partitions, toilet accessories and similar items. Scrap metal shall become the Contractor's property. Recycle scrap metal as part of demolition and deconstruction operations. Provide separate containers to collect scrap metal and transport to a scrap metal collection or recycling facility, in accordance with the Waste Management Plan.

#### 3.1.5 Patching

Where removals leave holes and damaged surfaces exposed in the finished work, patch and repair these holes and damaged surfaces to match adjacent finished surfaces, using on-site materials when available. Where new work is to be applied to existing surfaces, perform removals and patching in a manner to produce surfaces suitable for receiving new work. Finished surfaces of patched area shall be flush with the adjacent existing surface and shall match the existing adjacent surface as closely as possible as to texture and finish.

#### 3.1.6 Mechanical Equipment and Fixtures

Disconnect mechanical hardware at the nearest connection to existing services to remain, unless otherwise noted. Disconnect mechanical equipment and fixtures at fittings. Remove service valves attached to the unit. Salvage each item of equipment and fixtures as a whole unit; listed, indexed, tagged, and stored. Salvage each unit with its normal operating auxiliary equipment. Transport salvaged equipment and fixtures, including motors and machines, to a designated storage area as directed by the Contracting Officer.

##### 3.1.6.1 Preparation for Storage

Remove water, dirt, dust, and foreign matter from units; tanks, piping and fixtures shall be drained; interiors, if previously used to store flammable, explosive, or other dangerous liquids, shall be steam cleaned. Seal openings with caps, plates, or plugs. Secure motors attached by flexible connections to the unit. Change lubricating systems with the proper oil or grease.

##### 3.1.6.2 Piping

Disconnect piping at unions, flanges and valves, and fittings as required to reduce the pipe into straight lengths for practical storage. Store salvaged piping according to size and type. If the piping that remains can become pressurized due to upstream valve failure, end caps, blind flanges, or other types of plugs or fittings with a pressure gage and bleed valve shall be attached to the open end of the pipe to ensure positive leak control. Carefully dismantle piping that previously

contained gas, gasoline, oil, or other dangerous fluids, with precautions taken to prevent injury to persons and property. Store piping outdoors until all fumes and residues are removed. Box prefabricated supports, hangers, plates, valves, and specialty items according to size and type. Wrap sprinkler heads individually in plastic bags before boxing. Classify piping not designated for salvage, or not reusable, as scrap metal.

### 3.1.6.3 Ducts

Classify removed duct work as scrap metal.

### 3.1.7 Electrical Equipment and Fixtures

Salvage motors, motor controllers, and operating and control equipment that are attached to the driven equipment. Salvage wiring systems and components. Box loose items and tag for identification. Disconnect primary, secondary, control, communication, and signal circuits at the point of attachment to their distribution system.

### 3.1.8 Boiler Floor Rubble

Remove and dispose of existing rubble and ash located on boiler interior floor. Conduct test for contaminants to determine if any of the following containments are exceeded as per federal and state hazardous waste regulations, 40 CFR 261-268 and RIDEM RI Hazardous Waste Regulations.

TAL Target Analyte List for metals and cyanide

TPH - DRO SW8015 (for diesel range organic)

VOC - Volatile Organic Compounds

SVOC - Semi Volatile Organic Compounds

PAH - Polycyclic Aromatic Hydrocarbons

If any of the tests exceed regulations, rubble and ash shall be disposed of as hazardous waste, otherwise rubble and ash shall be disposed of as solid waste.

## 3.2 DISPOSITION OF MATERIAL

### 3.2.1 Title to Materials

Except for salvaged items specified in related Sections, and for materials or equipment scheduled for salvage, all materials and equipment removed and not reused or salvaged, shall become the property of the Contractor and shall be removed from Government property. Title to materials resulting from demolition and deconstruction, and materials and equipment to be removed, is vested in the Contractor upon approval by the Contracting Officer of the Contractor's demolition, deconstruction, and removal procedures, and authorization by the Contracting Officer to begin demolition and deconstruction. The Government will not be responsible for the condition or loss of, or damage to, such property after contract award. Showing for sale or selling materials and equipment on site is prohibited.

### 3.3 CLEANUP

Remove debris and rubbish from basement and similar excavations. Remove and transport the debris in a manner that prevents spillage on streets or adjacent areas. Apply local regulations regarding hauling and disposal.

-- End of Section --

SECTION 02 82 16.00 20

ENGINEERING CONTROL OF ASBESTOS CONTAINING MATERIALS  
**08/11**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN INDUSTRIAL HYGIENE ASSOCIATION (AIHA)

**AIHA Z88.6** (2006) Respiratory Protection - Respirator Use-Physical Qualifications for Personnel

AMERICAN SOCIETY OF SANITARY ENGINEERING (ASSE)

**ASSE Z9.2** (2012) Fundamentals Governing the Design and Operation of Local Exhaust Ventilation Systems

ASTM INTERNATIONAL (ASTM)

**ASTM C732** (2006; R 2012) Aging Effects of Artificial Weathering on Latex Sealants

**ASTM D2794** (1993; R 2010) Resistance of Organic Coatings to the Effects of Rapid Deformation (Impact)

**ASTM D522/D522M** (2014) Mandrel Bend Test of Attached Organic Coatings

**ASTM E119** (2016) Standard Test Methods for Fire Tests of Building Construction and Materials

**ASTM E1368** (2014) Visual Inspection of Asbestos Abatement Projects

**ASTM E736** (2000; R 2011) Cohesion/Adhesion of Sprayed Fire-Resistive Materials Applied to Structural Members

**ASTM E84** (2015b) Standard Test Method for Surface Burning Characteristics of Building Materials

**ASTM E96/E96M** (2016) Standard Test Methods for Water Vapor Transmission of Materials

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

**EPA 560/5-85-024** (1985) Guidance for Controlling Asbestos-Containing Materials in Buildings

(Purple Book)

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1926.103	Respiratory Protection
29 CFR 1926.1101	Asbestos
29 CFR 1926.200	Accident Prevention Signs and Tags
29 CFR 1926.51	Sanitation
29 CFR 1926.59	Hazard Communication
40 CFR 61-SUBPART A	General Provisions
40 CFR 61-SUBPART M	National Emission Standard for Asbestos
40 CFR 763	Asbestos

U.S. NAVAL FACILITIES ENGINEERING COMMAND (NAVFAC)

ND OPNAVINST 5100.23	(2005; Rev G) Navy Occupational Safety and Health (NAVOSH) Program Manual
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UNDERWRITERS LABORATORIES (UL)

UL 586	(2009; Reprint Sep 2014) Standard for High-Efficiency Particulate, Air Filter Units
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1.2 DEFINITIONS

1.2.1 ACM

Asbestos Containing Materials.

1.2.2 Amended Water

Water containing a wetting agent or surfactant with a maximum surface tension of 0.00042 psi.

1.2.3 Area Sampling

Sampling of asbestos fiber concentrations which approximates the concentrations of asbestos in the theoretical breathing zone but is not actually collected in the breathing zone of an employee.

1.2.4 Asbestos

The term asbestos includes chrysotile, amosite, crocidolite, tremolite asbestos, anthophyllite asbestos, and actinolite asbestos and any of these minerals that has been chemically treated or altered. Materials are considered to contain asbestos if the asbestos content of the material is determined to be at least one percent.

1.2.5 Asbestos Control Area

That area where asbestos removal operations are performed which is

isolated by physical boundaries which assist in the prevention of the uncontrolled release of asbestos dust, fibers, or debris.

#### 1.2.6 Asbestos Fibers

Those fibers having an aspect ratio of at least 3:1 and longer than 5 micrometers as determined by National Institute for Occupational Safety and Health (NIOSH) Method 7400.

#### 1.2.7 Asbestos Permissible Exposure Limit

0.1 fibers per cubic centimeter of air as an 8-hour time weighted average measured in the breathing zone as defined by 29 CFR 1926.1101 or other Federal legislation having legal jurisdiction for the protection of workers health.

#### 1.2.8 Background

The ambient airborne asbestos concentration in an uncontaminated area as measured prior to any asbestos hazard abatement efforts. Background concentrations for other (contaminated) areas are measured in similar but asbestos free locations.

#### 1.2.9 Contractor

The Contractor is that individual, or entity under contract to the Navy to perform the herein listed work.

#### 1.2.10 Competent Person

A person meeting the requirements for competent person as specified in 29 CFR 1926.1101 including a person capable of identifying existing asbestos hazards in the workplace and selecting the appropriate control strategy for asbestos exposure, who has the authority to take prompt corrective measures to eliminate them, and is specifically trained in a training course which meet the criteria of EPA's Model Accreditation Plan ( 40 CFR 763) for project designer or supervisor, or its equivalent. The competent person shall have a current State of Rhode Islandsupervisors license.

#### 1.2.11 Encapsulation

The abatement of an asbestos hazard through the appropriate use of chemical encapsulants.

#### 1.2.12 Encapsulants

Specific materials in various forms used to chemically or physically entrap asbestos fibers in various configurations to prevent these fibers from becoming airborne. There are four types of encapsulants as follows which must comply with performance requirements as specified herein.

- a. Removal Encapsulant (can be used as a wetting agent)
- b. Bridging Encapsulant (used to provide a tough, durable surface coating to asbestos containing material)
- c. Penetrating Encapsulant (used to penetrate the asbestos containing material encapsulating all asbestos fibers and preventing fiber

release due to routine mechanical damage)

- d. Lock-Down Encapsulant (used to seal off or "lock-down" minute asbestos fibers left on surfaces from which asbestos containing material has been removed).

#### 1.2.13 Friable Asbestos Material

One percent asbestos containing material that can be crumbled, pulverized, or reduced to powder by hand pressure when dry.

#### 1.2.14 Glovebag Technique

Those asbestos removal and control techniques put forth in [29 CFR 1926.1101](#) Appendix G.

#### 1.2.15 HEPA Filter Equipment

High efficiency particulate air (HEPA) filtered vacuum and/or exhaust ventilation equipment with a filter system capable of collecting and retaining asbestos fibers. Filters shall retain 99.97 percent of particles 0.3 microns or larger as indicated in [UL 586](#).

#### 1.2.16 Navy Consultant (NC)

That qualified person employed directly by the Government to monitor, sample, inspect the work or in some other way advise the Contracting Officer. The NC is normally a private consultant, but can be an employee of the Government.

#### 1.2.17 Negative Pressure Enclosure (NPE)

That engineering control technique described as a negative pressure enclosure in [29 CFR 1926.1101](#).

#### 1.2.18 Nonfriable Asbestos Material

Material that contains asbestos in which the fibers have been immobilized by a bonding agent, coating, binder, or other material so that the asbestos is well bound and will not normally release asbestos fibers during any appropriate use, handling, storage or transportation. It is understood that asbestos fibers may be released under other conditions such as demolition, removal, or mishap.

#### 1.2.19 Personal Sampling

Air sampling which is performed to determine asbestos fiber concentrations within the breathing zone of a specific employee, as performed in accordance with [29 CFR 1926.1101](#).

#### 1.2.20 Private Qualified Person (PQP)

That qualified person hired by the Contractor to perform the herein listed tasks.

#### 1.2.21 Qualified Person (QP)

A Registered Architect, Professional Engineer, Certified Industrial Hygienist, consultant or other qualified person who has successfully

completed training and is therefore accredited under a legitimate State Model Accreditation Plan as described in 40 CFR 763 as a Building Inspector, Contractor/Supervisor Abatement Worker, and Asbestos Project Designer; and has successfully completed the National Institute of Occupational Safety and Health (NIOSH) 582 course "Sampling and Evaluating Airborne Asbestos Dust" or equivalent. The QP must be qualified to perform visual inspections as indicated in ASTM E1368. The QP shall be appropriately licensed in the State of Rhode Island.

#### 1.2.22 TEM

Refers to Transmission Electron Microscopy.

#### 1.2.23 Time Weighted Average (TWA)

The TWA is an 8-hour time weighted average airborne concentration of asbestos fibers.

#### 1.2.24 Wetting Agent

A chemical added to water to reduce the water's surface tension thereby increasing the water's ability to soak into the material to which it is applied. An equivalent wetting agent must have a surface tension of at most 0.00042 psi.

### 1.3 REQUIREMENTS

#### 1.3.1 Description of Work

The work covered by this section includes the handling and control of asbestos containing materials and describes some of the resultant procedures and equipment required to protect workers, the environment and occupants of the building or area, or both, from contact with airborne asbestos fibers. The work also includes the disposal of any asbestos containing materials generated by the work. More specific operational procedures shall be outlined in the Asbestos Hazard Abatement Plan called for elsewhere in this specification. The asbestos work includes the demolition and removal of asbestos rope in the boiler expansion joints which is governed by 40 CFR 763. Under normal conditions non-friable or chemically bound materials containing asbestos would not be considered hazardous; however, this material may release airborne asbestos fibers during demolition and removal and therefore must be handled in accordance with the removal and disposal procedures as specified herein. Provide negative pressure enclosure for the removal materials in occupied spaces and use techniques as outlined in this specification. The Navy will evacuate the work area during the asbestos abatement work. All asbestos removal work shall be supervised by a competent person as specified herein.

#### 1.3.2 Medical Requirements

Provide medical requirements including but not limited to medical surveillance and medical record keeping as listed in 29 CFR 1926.1101.

##### 1.3.2.1 Medical Examinations

Before exposure to airborne asbestos fibers, provide workers with a comprehensive medical examination as required by 29 CFR 1926.1101 or other pertinent State or local directives. This requirement must have been satisfied within the 12 months prior to the start of work on this

contract. The same medical examination shall be given on an annual basis to employees engaged in an occupation involving asbestos and within 30 calendar days before or after the termination of employment in such occupation. Specifically identify x-ray films of asbestos workers to the consulting radiologist and mark medical record jackets with the word "ASBESTOS."

#### 1.3.2.2 Medical Records

Maintain complete and accurate records of employees' medical examinations, medical records, and exposure data for a period of 50 years after termination of employment and make records of the required medical examinations and exposure data available for inspection and copying to: The Assistant Secretary of Labor for Occupational Safety and Health (OSHA), or authorized representatives of them, and an employee's physician upon the request of the employee or former employee.

#### 1.3.3 Employee Training

Submit certificates, prior to the start of work but after the main abatement submittal, signed by each employee indicating that the employee has received training in the proper handling of materials and wastes that contain asbestos in accordance with 40 CFR 763; understands the health implications and risks involved, including the illnesses possible from exposure to airborne asbestos fibers; understands the use and limits of the respiratory equipment to be used; and understands the results of monitoring of airborne quantities of asbestos as related to health and respiratory equipment as indicated in 29 CFR 1926.1101 on an initial and annual basis. Certificates shall be organized by individual worker, not grouped by type of certification. Post appropriate evidence of compliance with the training requirements of 40 CFR 763. Train all personnel involved in the asbestos control work in accordance with United States Environmental Protection Agency (USEPA) Asbestos Hazard Emergency Response Act (AHERA) training criteria or State training criteria whichever is more stringent. The Contractor shall document the training by providing: dates of training, training entity, course outline, names of instructors, and qualifications of instructors upon request by the Contracting Officer. Furnish each employee with respirator training and fit testing administered by the PQP as required by 29 CFR 1926.1101. Fully cover engineering and other hazard control techniques and procedures. All asbestos workers shall have a current State of Rhode Island.

#### 1.3.4 Permits , Licenses, and Notifications

Obtain necessary permits and licenses in conjunction with asbestos removal, encapsulation, hauling, and disposition, and furnish notification of such actions required by Federal, State, regional, and local authorities prior to the start of work. Notify the Regional Office of the United States Environmental Protection Agency (USEPA) State's environmental protection agency local air pollution control district/agency and the Contracting Officer in writing 20 working days prior to commencement of work in accordance with 40 CFR 61-SUBPART M. Notify the Contracting Officer and other appropriate Government agencies in writing 20 working days prior to the start of asbestos work as indicated in applicable laws, ordinances, criteria, rules, and regulations. Submit copies of all Notifications to the Contracting Officer.

### 1.3.5 Environment, Safety and Health Compliance

In addition to detailed requirements of this specification, comply with those applicable laws, ordinances, criteria, rules, and regulations of Federal, State, regional, and local authorities regarding handling, storing, transporting, and disposing of asbestos waste materials. Comply with the applicable requirements of the current issue of [29 CFR 1926.1101](#), [40 CFR 61-SUBPART A](#), [40 CFR 61-SUBPART M](#), and [ND OPNAVINST 5100.23](#). Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting the work. Where the requirements of this specification, applicable laws, rules, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirement as defined by the Government shall apply. The following laws, ordinances, criteria, rules and regulations regarding removal, handling, storing, transporting and disposing of asbestos materials apply:

- a. [R23-24.5-ASB](#).

### 1.3.6 Respiratory Protection Program

Establish and implement a respirator program as required by [AIHA Z88.6](#), [29 CFR 1926.1101](#), and [29 CFR 1926.103](#). Submit a written description of the program to the Contracting Officer. Submit a written program manual or operating procedure including methods of compliance with regulatory statutes.

#### 1.3.6.1 Respirator Program Records

Submit records of the respirator program as required by [AIHA Z88.6](#), [29 CFR 1926.103](#), and [29 CFR 1926.1101](#).

### 1.3.7 Asbestos Hazard Control Supervisor

The Contractor shall be represented on site by a supervisor, trained using the model Contractor accreditation plan as indicated in the Federal statutes for all portions of the herein listed work.

### 1.3.8 Hazard Communication

Adhere to all parts of [29 CFR 1926.59](#) and provide the Contracting Officer with a copy of the [Material Safety Data Sheets \(MSDS\)](#) for all materials brought to the site.

### 1.3.9 Asbestos Hazard Abatement Plan

Submit a detailed plan of the safety precautions such as lockout, tagout, tryout, fall protection, and confined space entry procedures and equipment and work procedures to be used in the removal and demolition of materials containing asbestos. The plan, not to be combined with other hazard abatement plans, shall be prepared, signed, and sealed by the PQP. Provide a Table of Contents for each abatement submittal, which shall follow the sequence of requirements in the contract. Such plan shall include but not be limited to the precise personal protective equipment to be used including, but not limited to, respiratory protection, type of whole-body protection and if reusable coveralls are to be employed decontamination methods (operations and quality control plan), the location of asbestos control areas including clean and dirty areas, buffer zones, showers, storage areas, change rooms, removal method, interface of trades involved in the construction, sequencing of asbestos related work,

disposal plan, type of wetting agent and asbestos sealer to be used, locations of local exhaust equipment, planned air monitoring strategies, and a detailed description of the method to be employed in order to control environmental pollution. The plan shall also include (both fire and medical emergency) response plans. The Asbestos Hazard Abatement Plan must be approved in writing prior to starting any asbestos work. The Contractor, Asbestos Hazard Control Supervisor, and PQP shall meet with the Contracting Officer prior to beginning work, to discuss in detail the Asbestos Hazard Abatement Plan, including work procedures and safety precautions. Once approved by the Contracting Officer, the plan will be enforced as if an addition to the specification. Any changes required in the specification as a result of the plan shall be identified specifically in the plan to allow for free discussion and approval by the Contracting Officer prior to starting work.

#### 1.3.10 Testing Laboratory

Submit the name, address, and telephone number of each testing laboratory selected for the analysis, and reporting of airborne concentrations of asbestos fibers along with evidence that each laboratory selected holds the appropriate State license and/or permits and certification that each laboratory is American Industrial Hygiene Association (AIHA) accredited and that persons counting the samples have been judged proficient by current inclusion on the AIHA Asbestos Analysis Registry (AAR) and successful participation of the laboratory in the Proficiency Analytical Testing (PAT) Program. Where analysis to determine asbestos content in bulk materials or transmission electron microscopy is required, submit evidence that the laboratory is accredited by the National Institute of Science and Technology (NIST) under National Voluntary Laboratory Accreditation Program (NVLAP) for asbestos analysis. The testing laboratory firm shall be independent of the asbestos contractor and shall have no employee or employer relationship which could constitute a conflict of interest.

#### 1.3.11 Landfill Approval

Submit written evidence that the landfill is for asbestos disposal by the U.S. Environmental Protection Agency, Region 3, Air Enforcement Section (38W12), and local regulatory agencies. Within 3 working days after delivery, submit detailed [delivery tickets](#), prepared, signed, and dated by an agent of the landfill, certifying the amount of asbestos materials delivered to the landfill. Submit a copy of the [waste shipment records](#) within 1 day of the shipment leaving the project site.

#### 1.3.12 Medical Certification

Provide a written certification for each worker and supervisor, signed by a licensed physician indicating that the worker and supervisor has met or exceeded all of the medical prerequisites listed herein and in [29 CFR 1926.1101](#) and [29 CFR 1926.103](#) as prescribed by law. Submit certificates prior to the start of work but after the main abatement submittal.

#### 1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section [01 33 00](#)  
SUBMITTAL PROCEDURES:

#### SD-03 Product Data

Local Exhaust Equipment; G  
Vacuums; G  
Respirators; G  
Pressure Differential Automatic Recording Instrument; G  
Amended Water; G  
Material Safety Data Sheets (Msds) for all materials proposed for transport to the project site; G  
Encapsulants; G

#### SD-06 Test Reports

Air Sampling Results; G  
Pressure Differential Recordings For Local Exhaust System; G  
Asbestos Disposal Quantity Report; G  
Clearance Sampling; G

#### SD-07 Certificates

Asbestos Hazard Abatement Plan; G  
Testing Laboratory; G  
Private Qualified Person Documentation; G  
Contractor's License; G  
Competent Person documentation; G  
Worker's License; G  
Landfill Approval; G  
Employee Training; G  
Medical Certification requirements; G  
Waste Shipment Records and if applicable exemption report; G  
Respiratory Protection Program; G  
Delivery Tickets; G  
Vacuums; G  
Water Filtration Equipment; G  
Ventilation Systems; G  
Other Equipment Used To Contain Airborne Asbestos Fibers; G  
Chemical Encapsulants Sealers; G  
Notifications

Show compliance with ASSE Z9.2 by providing manufacturers' certifications.

#### SD-11 Closeout Submittals

Notifications; G  
Rental Equipment; G  
Respirator Program Records; G  
Permits and Licenses; G

### 1.5 QUALITY ASSURANCE

#### 1.5.1 Private Qualified Person Documentation

Submit the name, address, and telephone number of the Private Qualified Person (PQP) selected to prepare the Asbestos Hazard Abatement Plan, direct monitoring and training, and documented evidence that the PQP has successfully completed training in and is accredited and where required is certified as, a Building Inspector, Contractor/Supervisor Abatement

Worker, and Asbestos Project Designer as described by 40 CFR 763 and has successfully completed the National Institute of Occupational Safety and Health (NIOSH) 582 course "Sampling and Evaluating Airborne Asbestos Dust" or equivalent. The PQP shall be appropriately licensed in the State of Rhode Island. The PQP and the asbestos contractor shall not have an employee/employer relationship or financial relationship which could constitute a conflict of interest. The PQP shall be a first tier subcontractor.

#### 1.5.2 Competent Person Documentation

Submit training certification and a current State of Rhode Island Asbestos Contractor's and Supervisor's License.

#### 1.5.3 Worker's License

Submit documentation that requires all workers have a current State of Rhode Island Asbestos Workers License.

#### 1.5.4 Contractor's License

Contractor shall have current Rhode Island asbestos contractor's license. Submit a copy of the asbestos contractor's license issued by the State of Rhode Island.

#### 1.5.5 Air Sampling Results

Complete fiber counting and provide results to the PQP and NC for review within 16 hours of the "time off" of the sample pump. Notify the Contracting Officer immediately of any airborne levels of asbestos fibers in excess of the acceptable limits. Submit sampling results to the Contracting Officer and the affected Contractor employees where required by law within 3 working days, signed by the testing laboratory employee performing air sampling, the employee that analyzed the sample, and the PQP and NC. Notify the Contractor and the Contracting Officer immediately of any variance in the pressure differential which could cause adjacent unsealed areas to have asbestos fiber concentrations in excess of 0.01 fibers per cubic centimeter or background whichever is higher. In no circumstance shall levels exceed 0.1 fibers per cubic centimeter.

#### 1.5.6 Pressure Differential Recordings for Local Exhaust System

Provide a local exhaust system that creates a negative pressure of at least 0.02 inches of water relative to the pressure external to the enclosure and operate it continuously, 24 hours a day, until the temporary enclosure of the asbestos control area is removed. Submit pressure differential recordings for each work day to the PQP and NC for review and to the Contracting Officer within 24 hours from the end of each work day.

### 1.6 EQUIPMENT

#### 1.6.1 Rental Equipment

Provide a copy of the written notification to the rental company concerning the intended use of the equipment and the possibility of asbestos contamination of the equipment.

PART 2 PRODUCTS

2.1 **ENCAPSULANTS**

Shall conform to current USEPA requirements, shall contain no toxic or hazardous substances as defined in 29 CFR 1926.59, and shall conform to the following performance requirements.

2.1.1 Removal Encapsulants

<u>Requirement</u>	<u>Test Standard</u>
Flame Spread - 25, Smoke Emission - 50	ASTM E84
Life Expectancy - 20 years	ASTM C732 Accelerated Aging Test
Permeability - Minimum 0.4 perms	ASTM E96/E96M
Fire Resistance - Negligible affect on fire resistance rating over 3 hour test (Classified by UL for use over fibrous and cementitious sprayed fireproofing)	ASTM E119
Impact Resistance - Minimum 43 in/lb	ASTM D2794 Gardner Impact Test
Flexibility - no rupture or cracking	ASTM D522/D522M Mandrel Bend Test

2.1.2 Bridging Encapsulant

<u>Requirement</u>	<u>Test Standard</u>
Flame Spread - 25, Smoke Emission - 50	ASTM E84
Life Expectancy - 20 years	ASTM C732 Accelerated Aging Test
Permeability - Minimum 0.4 perms	ASTM E96/E96M
Fire Resistance - Negligible affect on fire resistance rating over 3 hour test (Classified by UL for use over fibrous and cementitious sprayed fireproofing)	ASTM E119
Impact Resistance - Minimum 43 in/lb	ASTM D2794 Gardner Impact Test

<u>Requirement</u>	<u>Test Standard</u>
Flexibility - no rupture or cracking	ASTM D522/D522M Mandrel Bend Test

2.1.3 Penetrating Encapsulant

<u>Requirement</u>	<u>Test Standard</u>
Flame Spread - 25, Smoke Emission - 50	ASTM E84
Life Expectancy - 20 years	ASTM C732 Accelerated Aging Test
Permeability - Minimum 0.4 perms	ASTM E96/E96M
Cohesion/Adhesion Test - 50 pounds of force/foot	ASTM E119
Fire Resistance - Negligible affect on fire resistance rating over 3 hour test (Classified by UL for use over fibrous and cementitious sprayed fireproofing)	ASTM E119
Impact Resistance - Minimum 43 in/lb	ASTM D2794 Gardner Impact Test
Flexibility - no rupture or cracking	ASTM D522/D522M Mandrel Bend Test

2.1.4 Lock-down Encapsulant

<u>Requirement</u>	<u>Test Standard</u>
Flame Spread - 25, Smoke Emission - 50	ASTM E84
Life Expectancy - 20 years	ASTM C732 Accelerated Aging Test
Permeability - Minimum 0.4 perms	ASTM E96/E96M
Fire Resistance - Negligible affect on fire resistance rating over 3 hour test (Tested with fireproofing over encapsulant applied directly to steel member)	ASTM E119

Requirement	Test Standard
Bond Strength: 100 pounds of force/foot	ASTM E736
(Tests compatibility with cementitious and fibrous fireproofing)	

PART 3 EXECUTION

3.1 EQUIPMENT

At all times, provide the Contracting Officer or the Contracting Officer's Representative, with at least one complete sets of personal protective equipment including decontaminating reusable coveralls as required for entry to and inspection of the asbestos control area. Provide equivalent training to the Contracting Officer or a designated representative as provided to Contractor employees in the use of the required personal protective equipment. Provide manufacturer's certificate of compliance for all equipment used to contain airborne asbestos fibers.

3.1.1 Respirators

Select respirators from those approved by the National Institute for Occupational Safety and Health (NIOSH), Department of Health and Human Services.

3.1.1.1 Respirators for Handling Asbestos

Provide personnel engaged in pre-cleaning, cleanup, handling, removal and demolition of asbestos materials with respiratory protection as indicated in 29 CFR 1926.1101 and 29 CFR 1926.103.

3.1.2 Exterior Whole Body Protection

3.1.2.1 Outer Protective Clothing

Provide personnel exposed to asbestos with disposable "non-breathable," whole body outer protective clothing, head coverings, gloves, and foot coverings. Provide disposable plastic or rubber gloves to protect hands. Cloth gloves may be worn inside the plastic or rubber gloves for comfort, but shall not be used alone. Make sleeves secure at the wrists, make foot coverings secure at the ankles, and make clothing secure at the neck by the use of tape.

3.1.2.2 Work Clothing

Provide cloth work clothes for wear under the outer protective clothing and foot coverings and either dispose of or properly decontaminate them as recommended by the NC and PQP after each use.

3.1.2.3 Personal Decontamination Unit

Provide a temporary, negative pressure unit with a separate decontamination locker room and clean locker room with a shower that complies with 29 CFR 1926.51(f)(4)(ii) through (V) in between for personnel required to wear whole body protective clothing. Provide two

separate lockers for each asbestos worker, one in each locker room. Keep street clothing and street shoes in the clean locker. HEPA vacuum and remove asbestos contaminated disposable protective clothing while still wearing respirators at the boundary of the asbestos work area and seal in impermeable bags or containers for disposal. Do not wear work clothing between home and work. Locate showers between the decontamination locker room and the clean locker room and require that all employees shower before changing into street clothes. Collect used shower water and filter with approved [water filtration equipment](#) to remove asbestos contamination. Dispose of filters and residue as asbestos waste. Discharge clean water to the sanitary system. Dispose of asbestos contaminated work clothing as asbestos contaminated waste . Decontamination units shall be physically attached to the asbestos control area. Build both a personnel decontamination unit and an equipment decontamination unit onto and integral with each asbestos control area.

### 3.1.2.4 Eye Protection

Provide goggles to personnel engaged in asbestos abatement operations when the use of a full face respirator is not required.

### 3.1.3 Warning Signs and Labels

Provide bilingual warning signs printed in English and [Spanish](#) at all approaches to asbestos control areas. Locate signs at such a distance that personnel may read the sign and take the necessary protective steps required before entering the area. Provide labels and affix to all asbestos materials, scrap, waste, debris, and other products contaminated with asbestos.

#### 3.1.3.1 Warning Sign

Provide vertical format conforming to [29 CFR 1926.200](#), and [29 CFR 1926.1101](#) minimum [20 by 14 inches](#) displaying the following legend in the lower panel:

<u>Legend</u>	<u>Notation</u>
Danger	<a href="#">one inch</a> Sans Serif Gothic or Block
Asbestos	<a href="#">one inch</a> Sans Serif Gothic or Block
Cancer and Lung Disease Hazard	<a href="#">1/4 inch</a> Sans Serif Gothic or Block
Authorized Personnel Only	<a href="#">1/4 inch</a> Sans Serif Gothic or Block
Respirators and Protective Clothing are Required in this Area	<a href="#">1/4 inch</a> Sans Serif Gothic or Block

Spacing between lines shall be at least equal to the height of the upper of any two lines.

### 3.1.3.2 Warning Labels

Provide labels conforming to 29 CFR 1926.1101 of sufficient size to be clearly legible, displaying the following legend:

DANGER
CONTAINS ASBESTOS FIBERS
AVOID CREATING DUST
CANCER AND LUNG DISEASE HAZARD
BREATHING ASBESTOS DUST MAY CAUSE SERIOUS BODILY HARM

### 3.1.4 Local Exhaust System

Provide a local exhaust system in the asbestos control area in accordance with ASSE Z9.2 and 29 CFR 1926.1101 that will provide at least four air changes per hour inside of the negative pressure enclosure. Local exhaust equipment shall be operated 24 hours per day, until the asbestos control area is removed and shall be leak proof to the filter and equipped with HEPA filters. Maintain a minimum pressure differential in the control area of minus 0.02 inch of water column relative to adjacent, unsealed areas. Provide continuous 24-hour per day monitoring of the pressure differential with a pressure differential automatic recording instrument. In no case shall the building ventilation system be used as the local exhaust system for the asbestos control area. Filters on exhaust equipment shall conform to ASSE Z9.2 and UL 586. The local exhaust system shall terminate out of doors and remote from any public access or ventilation system intakes.

### 3.1.5 Tools

Vacuums shall be leak proof to the filter and equipped with HEPA filters. Filters on vacuums shall conform to ASSE Z9.2 and UL 586. Do not use power tools to remove asbestos containing materials unless the tool is equipped with effective, integral HEPA filtered exhaust ventilation systems. Remove all residual asbestos from reusable tools prior to storage or reuse.

### 3.1.6 Rental Equipment

If rental equipment is to be used, furnish written notification to the rental agency concerning the intended use of the equipment and the possibility of asbestos contamination of the equipment.

## 3.2 WORK PROCEDURE

Perform asbestos related work in accordance with 29 CFR 1926.1101, 40 CFR 61-SUBPART M, and as specified herein. Use wet removal procedures and negative pressure enclosure techniques. Personnel shall wear and utilize protective clothing and equipment as specified herein. Eating, smoking, drinking, chewing gum, tobacco, or applying cosmetics shall not be permitted in the asbestos work or control areas. Personnel of other trades not engaged in the removal and demolition of asbestos containing material shall not be exposed at any time to airborne concentrations of

asbestos unless all the personnel protection and training provisions of this specification are complied with by the trade personnel. Shut down the building heating, ventilating, and air conditioning system, cap the openings to the system, prior to the commencement of asbestos work. Disconnect electrical service when wet removal is performed and provide temporary electrical service with verifiable ground fault circuit interrupter (GFCI) protection prior to the use of any water or encapsulant.

If an asbestos fiber release or spill occurs, stop work immediately, correct the condition to the satisfaction of the Contracting Officer including clearance sampling, prior to resumption of work.

### 3.2.1 Protection of Existing Work to Remain

Perform work without damage or contamination of adjacent work. Where such work is damaged or contaminated as verified by the Contracting Officer using visual inspection or sample analysis, it shall be restored to its original condition or decontaminated by the Contractor at no expense to the Government as deemed appropriate by the Contracting Officer. This includes inadvertent spill of dirt, dust, or debris in which it is reasonable to conclude that asbestos may exist. When these spills occur, stop work immediately. Then clean up the spill. When satisfactory visual inspection and air sampling results are obtained from the PQP and NC work may proceed at the discretion of the Contracting Officer.

### 3.2.2 Precleaning

Wet wipe and HEPA vacuum all surfaces potentially contaminated with asbestos prior to establishment of an enclosure.

### 3.2.3 Asbestos Control Area Requirements

#### 3.2.3.1 Negative Pressure Enclosure

Block and seal openings in areas where the release of airborne asbestos fibers can be expected. Establish an asbestos negative pressure enclosure with the use of curtains, portable partitions, or other enclosures in order to prevent the escape of asbestos fibers from the contaminated asbestos work area. Negative pressure enclosure development shall include protective covering of uncontaminated walls, and ceilings with a continuous membrane of two layers of minimum 6-mil plastic sheet sealed with tape to prevent water or other damage. Provide two layers of 6-mil plastic sheet over floors and extend a minimum of 12 inches up walls. Seal all joints with tape. Provide local exhaust system in the asbestos control area. Openings will be allowed in enclosures of asbestos control areas for personnel and equipment entry and exit, the supply and exhaust of air for the local exhaust system and the removal of properly containerized asbestos containing materials. Replace local exhaust system filters as required to maintain the efficiency of the system.

### 3.2.4 Removal Procedures

Wet asbestos material with a fine spray of amended water during removal, cutting, or other handling so as to reduce the emission of airborne fibers. Remove material and immediately place in 6 mil plastic disposal bags. Remove asbestos containing material in a gradual manner, with continuous application of the amended water or wetting agent in such a manner that no asbestos material is disturbed prior to being adequately wetted. Where unusual circumstances prohibit the use of 6 mil plastic bags, submit an alternate proposal for containment of asbestos fibers to

the Contracting Officer for approval. For example, in the case where both piping and insulation are to be removed, the Contractor may elect to wet the insulation, wrap the pipes and insulation in plastic and remove the pipe by sections. Asbestos containing material shall be containerized while wet. At no time shall asbestos material be allowed to accumulate or become dry. Lower and otherwise handle asbestos containing material as indicated in 40 CFR 61-SUBPART M.

#### 3.2.4.1 Sealing Contaminated Items Designated for Disposal

Remove contaminated architectural, mechanical, and electrical appurtenances such as venetian blinds, full-height partitions, carpeting, duct work, pipes and fittings, radiators, light fixtures, conduit, panels, and other contaminated items designated for removal by completely coating the items with an asbestos lock-down encapsulant at the demolition site before removing the items from the asbestos control area. These items need not be vacuumed. The asbestos lock-down encapsulant shall be tinted a contrasting color. It shall be spray-applied by airless method. Thoroughness of sealing operation shall be visually gauged by the extent of colored coating on exposed surfaces. Lock-down encapsulants shall comply with the performance requirements specified herein.

#### 3.2.4.2 Exposed Pipe Insulation Edges

Contain edges of asbestos insulation to remain that are exposed by a removal operation. Wet and cut the rough ends true and square with sharp tools and then encapsulate the edges with a 1/4 inch thick layer of non-asbestos containing insulating cement troweled to a smooth hard finish. When cement is dry, lag the end with a layer of non-asbestos lagging cloth, overlapping the existing ends by at least 4 inches. When insulating cement and cloth is an impractical method of sealing a raw edge of asbestos, take appropriate steps to seal the raw edges as approved by the Contracting Officer.

#### 3.2.5 Air Sampling

Sampling of airborne concentrations of asbestos fibers shall be performed in accordance with 29 CFR 1926.1101 and as specified herein. Sampling performed in accordance with 29 CFR 1926.1101 shall be performed by the PQP. Sampling performed for environmental and quality control reasons shall be performed by the PQP. Unless otherwise specified, use NIOSH Method 7400 for sampling and analysis. Monitoring may be duplicated by the Government at the discretion of the Contracting Officer. If the air sampling results obtained by the Government differ from those results obtained by the Contractor, the Government will determine which results predominate.

##### 3.2.5.1 Sampling Prior to Asbestos Work

Provide area air sampling and establish the baseline one day prior to the masking and sealing operations for each removal site. Establish the background by performing area sampling in similar but uncontaminated sites in the building.

##### 3.2.5.2 Sampling During Asbestos Work

The PQP shall provide personal and area sampling as indicated in 29 CFR 1926.1101 and governing environmental regulations. In addition, provided the same type of work is being performed, provide area sampling

at least once every work shift close to the work inside the enclosure, outside the clean room entrance to the enclosure, and at the exhaust opening of the local exhaust system. If sampling outside the enclosure shows airborne levels have exceeded background or 0.01 fibers per cubic centimeter, whichever is greater, stop all work, correct the condition(s) causing the increase, and notify the Contracting Officer immediately.

The PQP shall provide personal sampling as indicated in [29 CFR 1926.1101](#). At the same time the NC will provide area sampling close to the work inside the enclosure, outside the clean room entrance to the enclosure, and at the exhaust opening of the local exhaust system. In addition, provided the same type of work is being performed, the NC will provide area sampling once every work shift close to the work inside the enclosure, outside the clean room entrance to the enclosure, and at the exhaust opening of the local exhaust system. If sampling outside the enclosure shows airborne levels have exceeded background or 0.01 fibers per cubic centimeter, whichever is greater, stop all work, correct the condition(s) causing the increase, and notify the Contracting Officer immediately.

### 3.2.5.3 Sampling After Final Clean-Up ([Clearance Sampling](#))

Provide area sampling of asbestos fibers using aggressive air sampling techniques as defined in the [EPA 560/5-85-024](#) and establish an airborne asbestos concentration of less than 0.01 fibers per cubic centimeter after final clean-up but before removal of the enclosure or the asbestos work control area. After final cleanup and the asbestos control area is dry but prior to clearance sampling, the PQP and NC shall perform a visual inspection in accordance with [ASTM E1368](#) to ensure that the asbestos control and work area is free of any accumulations of dirt, dust, or debris. Prepare a written report signed and dated by the PQP documenting that the asbestos control area is free of dust, dirt, and debris and all waste has been removed. The asbestos fiber counts from these samples shall be less than 0.01 fibers per cubic centimeter or be not greater than the background, whichever is greater. Should any of the final samples indicate a higher value, the Contractor shall take appropriate actions to re-clean the area and shall repeat the sampling and analysis at the Contractor's expense.

### 3.2.6 Lock-Down

Prior to removal of plastic barriers and after pre-clearance clean up of gross contamination, the PQP shall conduct a visual inspection of all areas affected by the removal in accordance with [ASTM E1368](#). Inspect for any visible fibers

### 3.2.7 Site Inspection

While performing asbestos engineering control work, the Contractor shall be subject to on-site inspection by the Contracting Officer who may be assisted by or represented by safety or industrial hygiene personnel. If the work is found to be in violation of this specification, the Contracting Officer or his representative will issue a stop work order to be in effect immediately and until the violation is resolved. All related costs including standby time required to resolve the violation shall be at the Contractor's expense.

### 3.3 CLEAN-UP AND DISPOSAL

#### 3.3.1 Housekeeping

Essential parts of asbestos dust control are housekeeping and clean-up procedures. Maintain surfaces of the asbestos control area free of accumulations of asbestos fibers. Give meticulous attention to restricting the spread of dust and debris; keep waste from being distributed over the general area. Use HEPA filtered vacuum cleaners. DO NOT BLOW DOWN THE SPACE WITH COMPRESSED AIR. When asbestos removal is complete, all asbestos waste is removed from the work-site, and final clean-up is completed, the Contracting Officer will attest that the area is safe before the signs can be removed. After final clean-up and acceptable airborne concentrations are attained but before the HEPA unit is turned off and the enclosure removed, remove all pre-filters on the building HVAC system and provide new pre-filters. Dispose of filters as asbestos contaminated materials. Reestablish HVAC mechanical, and electrical systems in proper working order. The Contracting Officer will visually inspect all surfaces within the enclosure for residual material or accumulated dust or debris. The Contractor shall re-clean all areas showing dust or residual materials. If re-cleaning is required, air sample and establish an acceptable asbestos airborne concentration after re-cleaning. The Contracting Officer must agree that the area is safe in writing before unrestricted entry will be permitted. The Government shall have the option to perform monitoring to determine if the areas are safe before entry is permitted.

#### 3.3.2 Title to Materials

All waste materials, except as specified otherwise, shall become the property of the Contractor and shall be disposed of as specified in applicable local, State, and Federal regulations and herein.

#### 3.3.3 Disposal of Asbestos

##### 3.3.3.1 Procedure for Disposal

Collect asbestos waste, asbestos contaminated water, scrap, debris, bags, containers, equipment, and asbestos contaminated clothing which may produce airborne concentrations of asbestos fibers and place in sealed fiber-proof, waterproof, non-returnable containers (e.g. double plastic bags 6 mils thick, cartons, drums or cans). Wastes within the containers must be adequately wet in accordance with 40 CFR 61-SUBPART M. Affix a warning and Department of Transportation (DOT) label to each container including the bags or use at least 6 mils thick bags with the approved warnings and DOT labeling preprinted on the bag. The name of the waste generator and the location at which the waste was generated shall be clearly indicated on the outside of each container. Prevent contamination of the transport vehicle (especially if the transport vehicle is a rented truck likely to be used in the future for non-asbestos purposes). These precautions include lining the vehicle cargo area with plastic sheeting (similar to work area enclosure) and thorough cleaning of the cargo area after transport and unloading of asbestos debris is complete. Dispose of waste asbestos material at an Environmental Protection Agency (EPA) or State-approved asbestos landfill off Government property. For temporary storage, store sealed impermeable bags in asbestos waste drums or skids. An area for interim storage of asbestos waste-containing drums or skids will be assigned by the Contracting Officer or his authorized representative. Procedure for hauling and disposal shall comply with

40 CFR 61-SUBPART M, State, regional, and local standards. Sealed plastic bags may be dumped from drums into the burial site unless the bags have been broken or damaged. Damaged bags shall remain in the drum and the entire contaminated drum shall be buried. Uncontaminated drums may be recycled. Workers unloading the sealed drums shall wear appropriate respirators and personal protective equipment when handling asbestos materials at the disposal site.

### 3.3.3.2 Asbestos Disposal Quantity Report

Direct the PQP to record and report, to the Contracting Officer, the amount of asbestos containing material removed and released for disposal. Deliver the report for the previous day at the beginning of each day shift with amounts of material removed during the previous day reported in linear feet or square feet as described initially in this specification and in cubic feet for the amount of asbestos containing material released for disposal.

Allow the NC to inspect, record and report the amount of asbestos containing material removed and released for disposal on a daily basis.

-- End of Section --

SECTION 02 83 13.00 20

LEAD IN CONSTRUCTION  
**08/11**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN INDUSTRIAL HYGIENE ASSOCIATION (AIHA)

AIHA Z88.6 (2006) Respiratory Protection - Respirator Use-Physical Qualifications for Personnel

STATE OF VIRGINIA ADMINISTRATIVE CODE (VAC)

18 VAC 15-30 Title 18, Agency 15, Chapter 30: Virginia Lead-Based Paint Activities Regulations

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1926.103 Respiratory Protection

29 CFR 1926.21 Safety Training and Education

29 CFR 1926.33 Access to Employee Exposure and Medical Records

29 CFR 1926.55 Gases, Vapors, Fumes, Dusts, and Mists

29 CFR 1926.59 Hazard Communication

29 CFR 1926.62 Lead

29 CFR 1926.65 Hazardous Waste Operations and Emergency Response

40 CFR 260 Hazardous Waste Management System: General

40 CFR 261 Identification and Listing of Hazardous Waste

40 CFR 262 Standards Applicable to Generators of Hazardous Waste

40 CFR 263 Standards Applicable to Transporters of Hazardous Waste

40 CFR 264 Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities

40 CFR 265 Interim Status Standards for Owners and Operators of Hazardous Waste Treatment,

Storage, and Disposal Facilities

40 CFR 268

Land Disposal Restrictions

40 CFR 745

Lead-Based Paint Poisoning Prevention in  
Certain Residential Structures

49 CFR 172

Hazardous Materials Table, Special  
Provisions, Hazardous Materials  
Communications, Emergency Response  
Information, and Training Requirements

49 CFR 178

Specifications for Packagings

UNDERWRITERS LABORATORIES (UL)

UL 586

(2009; Reprint Sep 2014) Standard for  
High-Efficiency Particulate, Air Filter  
Units

1.2 DEFINITIONS

1.2.1 Action Level

Employee exposure, without regard to use of respirators, to an airborne concentration of lead of 30 micrograms per cubic meter of air averaged over an 8 hour period.

1.2.2 Area Sampling

Sampling of lead concentrations within the lead control area and inside the physical boundaries which is representative of the airborne lead concentrations but is not collected in the breathing zone of personnel (approximately 5 to 6 feet above the floor).

1.2.3 Competent Person (CP)

As used in this section, refers to a person employed by the Contractor who is trained in the recognition and control of lead hazards in accordance with current federal, State, and local regulations and has the authority to take prompt corrective actions to control the lead hazard. A Certified Industrial Hygienist (CIH) certified by the American Board of Industrial Hygiene or a Certified Safety Professional (CSP) certified by the Board of Certified Safety Professionals is the best choice.

1.2.4 Contaminated Room

Refers to a room for removal of contaminated personal protective equipment (PPE).

1.2.5 Decontamination Shower Facility

That facility that encompasses a clean clothing storage room, and a contaminated clothing storage and disposal rooms, with a shower facility in between.

1.2.6 High Efficiency Particulate Arrestor (HEPA) Filter Equipment

HEPA filtered vacuuming equipment with a UL 586 filter system capable of

collecting and retaining lead-contaminated particulate. A high efficiency particulate filter demonstrates at least 99.97 percent efficiency against 0.3 micron or larger size particles.

#### 1.2.7 Lead

Metallic lead, inorganic lead compounds, and organic lead soaps. Excludes other forms of organic lead compounds.

#### 1.2.8 Lead Control Area

A system of control methods to prevent the spread of lead dust, paint chips or debris to adjacent areas that may include temporary containment, floor or ground cover protection, physical boundaries, and warning signs to prevent unauthorized entry of personnel. HEPA filtered local exhaust equipment may be used as engineering controls to further reduce personnel exposures or building/outdoor environmental contamination.

#### 1.2.9 Lead Permissible Exposure Limit (PEL)

Fifty micrograms per cubic meter of air as an 8 hour time weighted average as determined by 29 CFR 1926.62. If an employee is exposed for more than eight hours in a work day, the PEL shall be determined by the following formula:

$$\text{PEL (micrograms/cubic meter of air)} = 400/\text{No. hrs worked per day}$$

#### 1.2.10 Material Containing Lead/Paint with Lead (MCL/PWL)

Any material, including paint, which contains lead as determined by the testing laboratory using a valid test method. The requirements of this section does not apply if no detectable levels of lead are found using a quantitative method for analyzing paint or MCL using laboratory instruments with specified limits of detection (usually 0.01 percent). An X-Ray Fluorescence (XRF) instrument is not considered a valid test method.

#### 1.2.11 Personal Sampling

Sampling of airborne lead concentrations within the breathing zone of an employee to determine the 8 hour time weighted average concentration in accordance with 29 CFR 1926.62. Samples shall be representative of the employees' work tasks. Breathing zone shall be considered an area within a hemisphere, forward of the shoulders, with a radius of 6 to 9 inches and centered at the nose or mouth of an employee.

#### 1.2.12 Physical Boundary

Area physically roped or partitioned off around lead control area to limit unauthorized entry of personnel.

### 1.3 DESCRIPTION

#### 1.3.1 Description of Work

Construction activities impacting PWL or material containing lead which are covered by this specification include the demolition and/or removal of material containing lead in poor condition, located painted railings, structural steel on the ground floor, I-beams on the basement level, and as indicated on the drawings.

### 1.3.2 Coordination with Other Work

The contractor shall coordinate with work being performed in adjacent areas. Coordination procedures shall be explained in the Plan and shall describe how the Contractor will prevent lead exposure to other contractors and/or Government personnel performing work unrelated to lead activities.

### 1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submittals with an "S" are for inclusion in the Sustainability Notebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

#### SD-01 Preconstruction Submittals

Lead Compliance Plan Including CP Approval  
(signature, date, and certification number); G

Competent Person Qualifications; G  
Training Certification of Workers and Supervisors; G  
Lead Waste Management Plan; G  
Written Evidence That Tsd Is Approved For Lead Disposal; G  
Certification of Medical Examinations; G

#### SD-06 Test Reports

Sampling Results; G  
Occupational and Environmental Assessment Data Report; G

#### SD-07 Certificates

Testing Laboratory Qualifications; G  
Clearance Certification; G]

#### SD-11 Closeout Submittals

Completed and signed hazardous waste manifest from treatment or disposal facility; G

### 1.5 QUALITY ASSURANCE

#### 1.5.1 Qualifications

##### 1.5.1.1 Competent Person (CP)

Submit name, address, and telephone number of the CP selected to perform responsibilities specified in paragraph entitled "Competent Person (CP) Responsibilities." Provide documented construction project-related experience with implementation of OSHA's Lead in Construction standard (29 CFR 1926.62) which shows ability to assess occupational and environmental exposure to lead, experience with the use of respirators, personal protective equipment and other exposure reduction methods to protect employee health. Submit proper documentation that the CP is

trained certified in accordance with federal, State (18 VAC 15-30) and local laws.

#### 1.5.1.2 Training Certification

Submit a certificate for each worker and supervisor, signed and dated by the training provider, stating that the employee has received the required lead training specified in 29 CFR 1926.62(1)

#### 1.5.1.3 Testing Laboratory

Submit the name, address, and telephone number of the testing laboratory selected to perform the air analysis, testing, and reporting of airborne concentrations of lead. Use a laboratory participating in the EPA National Lead Laboratory Accreditation Program (NLLAP) by being accredited by either the American Association for Laboratory Accreditation (A2LA) or the American Industrial Hygiene Association (AIHA) and that is successfully participating in the Environmental Lead Proficiency Analytical Testing (ELPAT) program to perform sample analysis. Laboratories selected to perform blood lead analysis shall be OSHA approved.

### 1.5.2 Requirements

#### 1.5.2.1 Competent Person (CP) Responsibilities

- a. Verify training meets all federal, State, and local requirements.
- b. Review and approve Lead Compliance Plan for conformance to the applicable referenced standards.
- c. Continuously inspect PWL or MCL work for conformance with the approved plan.
- d. Perform (or oversee performance of) air sampling. Recommend upgrades or downgrades (whichever is appropriate based on exposure) on the use of PPE (respirators included) and engineering controls.
- e. Ensure work is performed in strict accordance with specifications at all times.
- f. Control work to prevent hazardous exposure to human beings and to the environment at all times.
- g. Supervise final cleaning of the lead control area, take clearance wipe samples if necessary; review clearance sample results and make recommendations for further cleaning.
- h. Certify the conditions of the work as called for elsewhere in this specification.

#### 1.5.2.2 Lead Compliance Plan

Submit a detailed job-specific plan of the work procedures to be used in the disturbance of PWL or MCL. The plan shall include a sketch showing the location, size, and details of lead control areas, critical barriers, physical boundaries, location and details of decontamination facilities, viewing ports, and mechanical ventilation system. Include a description of

equipment and materials, work practices, controls and job responsibilities for each activity from which lead is emitted. Include in the plan, eating, drinking, smoking, hygiene facilities and sanitary procedures, interface of trades, sequencing of lead related work, collected waste water and dust containing lead and debris, air sampling, respirators, personal protective equipment, and a detailed description of the method of containment of the operation to ensure that lead is not released outside of the lead control area. Include site preparation, cleanup and clearance procedures. Include occupational and environmental sampling, training and strategy, sampling and analysis strategy and methodology, frequency of sampling, duration of sampling, and qualifications of sampling personnel in the air sampling portion of the plan. Include a description of arrangements made among contractors on multicontractor worksites to inform affected employees and to clarify responsibilities to control exposures.

#### 1.5.2.3 Occupational and Environmental Assessment Data Report

If initial monitoring is necessary, submit occupational and environmental [sampling results](#) to the Contracting Officer within three working days of collection, signed by the testing laboratory employee performing the analysis, the employee that performed the sampling, and the CP.

- a. The initial monitoring shall represent each job classification, or if working conditions are similar to previous jobs by the same employer, provide previously collected exposure data that can be used to estimate worker exposures per [29 CFR 1926.62](#). The data shall represent the worker's regular daily exposure to lead for stated work.
- b. Submit worker exposure data gathered during the task based trigger operations of [29 CFR 1926.62](#) with a complete process description. This includes manual demolition, manual scraping, manual sanding, heat gun, power tool cleaning, rivet busting, cleanup of dry expendable abrasives, abrasive blast enclosure removal, abrasive blasting, welding, cutting and torch burning where lead containing coatings are present.
- c. The initial assessment shall determine the requirement for further monitoring and the need to fully implement the control and protective requirements including the lead compliance plan per [29 CFR 1926.62](#).

#### 1.5.2.4 Medical Examinations

Initial medical surveillance as required by [29 CFR 1926.62](#) shall be made available to all employees exposed to lead at any time (1 day) above the action level. Full medical surveillance shall be made available to all employees on an annual basis who are or may be exposed to lead in excess of the action level for more than 30 days a year or as required by [29 CFR 1926.62](#). Adequate records shall show that employees meet the medical surveillance requirements of [29 CFR 1926.33](#), [29 CFR 1926.62](#) and [29 CFR 1926.103](#). Provide medical surveillance to all personnel exposed to lead as indicated in [29 CFR 1926.62](#). Maintain complete and accurate medical records of employees for the duration of employment plus 30 years.

#### 1.5.2.5 Training

Train each employee performing work that disturbs lead, who performs MCL/PWL disposal, and air sampling operations prior to the time of initial

job assignment and annually thereafter, in accordance with 29 CFR 1926.21, 29 CFR 1926.62, and State and local regulations where appropriate.

#### 1.5.2.6 Respiratory Protection Program

- a. Provide each employee required to wear a respirator a respirator fit test at the time of initial fitting and at least annually thereafter as required by 29 CFR 1926.62.
- b. Establish and implement a respiratory protection program as required by AIHA Z88.6, 29 CFR 1926.103, 29 CFR 1926.62, and 29 CFR 1926.55.

#### 1.5.2.7 Hazard Communication Program

Establish and implement a Hazard Communication Program as required by 29 CFR 1926.59.

#### 1.5.2.8 Lead Waste Management

The Lead Waste Management Plan shall comply with applicable requirements of federal, State, and local hazardous waste regulations. and address:

- a. Identification and classification of wastes associated with the work.
- b. Estimated quantities of wastes to be generated and disposed of.
- c. Names and qualifications of each contractor that will be transporting, storing, treating, and disposing of the wastes. Include the facility location and a 24-hour point of contact. Furnish two copies of State hazardous waste.
- d. Names and qualifications (experience and training) of personnel who will be working on-site with hazardous wastes.
- e. List of waste handling equipment to be used in performing the work, to include cleaning, volume reduction, and transport equipment.
- f. Spill prevention, containment, and cleanup contingency measures including a health and safety plan to be implemented in accordance with 29 CFR 1926.65.
- g. Work plan and schedule for waste containment, removal and disposal. Proper containment of the waste includes using acceptable waste containers (e.g., 55-gallon drums) as well as proper marking/labeling of the containers. Wastes shall be cleaned up and containerized daily.
- h. Include any process that may alter or treat waste rendering a hazardous waste non hazardous.
- i. Unit cost for hazardous waste disposal according to this plan.

#### 1.5.2.9 Environmental, Safety and Health Compliance

In addition to the detailed requirements of this specification, comply with laws, ordinances, rules, and regulations of federal, State, and local authorities regarding lead. Comply with the applicable requirements of the current issue of 29 CFR 1926.62. Submit matters regarding interpretation of standards to the Contracting Officer for resolution before starting work. Where specification requirements and the referenced documents vary,

the most stringent requirement shall apply.

#### 1.5.3 Pre-Construction Conference

Along with the CP, meet with the Contracting Officer to discuss in detail the Lead Waste Management Plan and the Lead Compliance Plan, including procedures and precautions for the work.

### 1.6 EQUIPMENT

#### 1.6.1 Respirators

Furnish appropriate respirators approved by the National Institute for Occupational Safety and Health (NIOSH), Department of Health and Human Services, for use in atmospheres containing lead dust, fume and mist. Respirators shall comply with the requirements of 29 CFR 1926.62.

#### 1.6.2 Special Protective Clothing

Furnish personnel who will be exposed to lead-contaminated dust with proper disposable protective whole body clothing, head covering, gloves, eye, and foot coverings as required by 29 CFR 1926.62. Furnish proper disposable plastic or rubber gloves to protect hands. Reduce the level of protection only after obtaining approval from the CP.

#### 1.6.3 Rental Equipment Notification

If rental equipment is to be used during PWL or MCL handling and disposal, notify the rental agency in writing concerning the intended use of the equipment.

#### 1.6.4 Vacuum Filters

UL 586 labeled HEPA filters.

#### 1.6.5 Equipment for Government Personnel

Furnish the Contracting Officer with one complete sets of personal protective equipment (PPE) daily, as required herein, for entry into and inspection of the lead removal work within the lead controlled area. Personal protective equipment shall include disposable whole body covering, including appropriate foot, head, eye, and hand protection. PPE shall remain the property of the Contractor. The Government will provide respiratory protection for the Contracting Officer.

### 1.7 PROJECT/SITE CONDITIONS

#### 1.7.1 Protection of Existing Work to Remain

Perform work without damage or contamination of adjacent areas. Where existing work is damaged or contaminated, restore work to its original condition or better as determined by the Contracting Officer.

## PART 2 PRODUCTS

Not used.

### PART 3 EXECUTION

#### 3.1 PREPARATION

##### 3.1.1 Protection

###### 3.1.1.1 Notification

- a. Notify the Contracting Officer 20 days prior to the start of any lead work.

###### 3.1.1.2 Lead Control Area

- a. Physical Boundary - Provide physical boundaries around the lead control area by roping off the area designated in the work plan or providing curtains, portable partitions or other enclosures to ensure that lead will not escape outside of the lead control area.
- b. Warning Signs - Provide warning signs at approaches to lead control areas. Locate signs at such a distance that personnel may read the sign and take the necessary precautions before entering the area. Signs shall comply with the requirements of 29 CFR 1926.62.

###### 3.1.1.3 Eye Wash Station

Where eyes may be exposed to injurious corrosive materials, suitable facilities for quick drenching or flushing of the eyes shall be provided within the work area.

###### 3.1.1.4 Mechanical Ventilation System

- a. To the extent feasible, use local exhaust ventilation or other collection systems, approved by the CP. Local exhaust ventilation systems shall be evaluated and maintained in accordance with 29 CFR 1926.62.
- b. Vent local exhaust outside the building and away from building ventilation intakes or ensure system is connected to HEPA filters.
- c. Use locally exhausted, power actuated tools or manual hand tools.

###### 3.1.1.5 Personnel Protection

Personnel shall wear and use protective clothing and equipment as specified herein. Eating, smoking, or drinking or application of cosmetics is not permitted in the lead control area. No one will be permitted in the lead control area unless they have been appropriately trained and provided with protective equipment.

#### 3.2 ERECTION

##### 3.2.1 Lead Control Area Requirements

Establish a lead control area by completely establishing barriers and physical boundaries around the area or structure where PWL or MCL removal operations will be performed.

### 3.3 APPLICATION

#### 3.3.1 Lead Work

Perform lead work in accordance with approved Lead Compliance Plan. Use procedures and equipment required to limit occupational exposure and environmental contamination with lead when the work is performed in accordance with 29 CFR 1926.62 or 40 CFR 745, and as specified herein. Dispose of all PWL or MCL and associated waste in compliance with federal, State, and local requirements.

#### 3.3.2 Paint with Lead or Material Containing Lead Removal

Manual or power sanding or grinding of lead surfaces or materials is not permitted unless tools are equipped with HEPA attachments or wet methods. The dry sanding or grinding of surfaces that contain lead is prohibited. Provide methodology for removing lead in the Lead Compliance Plan. Select lead removal processes to minimize contamination of work areas outside the control area with lead-contaminated dust or other lead-contaminated debris or waste and to ensure that unprotected personnel are not exposed to hazardous concentrations of lead. Describe this removal process in the Lead Compliance Plan.

##### 3.3.2.1 Paint with Lead or Material Containing Lead - Outdoor Removal

Perform outdoor removal as indicated in federal, State, and local regulations and in the Lead Compliance Plan. The worksite preparation (barriers or containments) shall be job dependent and presented in the Lead Compliance Plan.

#### 3.3.3 Personnel Exiting Procedures

Whenever personnel exit the lead-controlled area, they shall perform the following procedures and shall not leave the work place wearing any clothing or equipment worn in the control area:

- a. Vacuum all clothing before entering the contaminated change room.
- b. Remove protective clothing in the contaminated change room, and place them in an approved impermeable disposal bag.
- c. Change to clean clothes prior to leaving the clean clothes storage area.

### 3.4 FIELD QUALITY CONTROL

#### 3.4.1 Tests

##### 3.4.1.1 Air and Wipe Sampling

Conduct sampling for lead in accordance with 29 CFR 1926.62 and as specified herein. Air and wipe sampling shall be directed or performed by the CP.

- a. The CP shall be on the job site directing the air and wipe sampling and inspecting the PWL or MCL removal work to ensure that the requirements of the contract have been satisfied during the entire PWL or MCL operation.
- b. Collect personal air samples on employees who are anticipated to have

the greatest risk of exposure as determined by the CP. In addition, collect air samples on at least twenty-five percent of the work crew or a minimum of two employees, whichever is greater, during each work shift.

- c. Submit results of air samples, signed by the CP, within 72 hours after the air samples are taken.
- d. Conduct area air sampling daily, on each shift in which lead-based paint removal operations are performed, in areas immediately adjacent to the lead control area. Sufficient area monitoring shall be conducted to ensure unprotected personnel are not exposed at or above 30 micrograms per cubic meter of air. If 30 micrograms per cubic meter of air is reached or exceeded, stop work, correct the condition(s) causing the increased levels. Notify the Contracting Officer immediately. Determine if condition(s) require any further change in work methods. Removal work shall resume only after the CP and the Contracting Officer give approval.

### 3.5 CLEANING AND DISPOSAL

#### 3.5.1 Cleanup

Maintain surfaces of the lead control area free of accumulations of dust and debris. Restrict the spread of dust and debris; keep waste from being distributed over the work area. Do not dry sweep or use pressurized air to clean up the area. At the end of each shift and when the lead operation has been completed, clean the controlled area of visible contamination by vacuuming with a HEPA filtered vacuum cleaner, wet mopping the area and wet wiping the area as indicated by the Lead Compliance Plan. Reclean areas showing dust or debris. After visible dust and debris is removed, wet wipe and HEPA vacuum all surfaces in the controlled area. If adjacent areas become contaminated at any time during the work, clean, visually inspect, and then wipe sample all contaminated areas. The CP shall then certify in writing that the area has been cleaned of lead contamination before clearance testing.

##### 3.5.1.1 Clearance Certification

The CP shall certify in writing that air samples collected outside the lead control area during paint removal operations are less than 30 micrograms per cubic meter of air; the respiratory protection used for the employees was adequate; the work procedures were performed in accordance with [29 CFR 1926.62](#); and that there were no visible accumulations of material and dust containing lead left in the work site. Do not remove the lead control area or roped off boundary and warning signs prior to the Contracting Officer's acknowledgement of receipt of the CP certification.

Clear the lead control area in industrial facilities of all visible dust and debris.

#### 3.5.2 Disposal

- a. All material, whether hazardous or non-hazardous shall be disposed in accordance with all laws and provisions and all federal, State or local regulations. Ensure all waste is properly characterized. The result of each waste characterization (TCLP for RCRA materials) will dictate disposal requirements.

- b. Contractor is responsible for segregation of waste. Collect lead-contaminated waste, scrap, debris, bags, containers, equipment, and lead-contaminated clothing that may produce airborne concentrations of lead particles. Label the containers in accordance with 29 CFR 1926.62 and 40 CFR 261.
- c. Dispose of lead-contaminated material classified as hazardous waste at an EPA or State approved hazardous waste treatment, storage, or disposal facility off Government property.
- d. Store waste materials in U.S. Department of Transportation (49 CFR 178) approved 55 gallon drums. Properly label each drum to identify the type of waste (49 CFR 172) and the date the drum was filled. For hazardous waste, the collection drum requires marking/labeling in accordance with 40 CFR 262 during the accumulation/collection timeframe. The Contracting Officer or an authorized representative will assign an area for interim storage of waste-containing drums. Do not store hazardous waste drums in interim storage longer than 90 calendar days from the date affixed to each drum.
- e. Handle, store, transport, and dispose lead or lead-contaminated waste in accordance with 40 CFR 260, 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, and 40 CFR 265. Comply with land disposal restriction notification requirements as required by 40 CFR 268.

#### 3.5.2.1 Disposal Documentation

Submit written evidence to demonstrate the hazardous waste treatment, storage, or disposal facility (TSD) is approved for lead disposal by the EPA, State or local regulatory agencies. Submit one copy of the completed hazardous waste manifest, signed and dated by the initial transporter in accordance with 40 CFR 262. Contractor shall provide a certificate that the waste was accepted by the disposal facility.

#### 3.5.2.2 Payment for Hazardous Waste

Payment for disposal of hazardous and non-hazardous waste will not be made until a signed copy of the manifest from the treatment or disposal facility certifying the amount of lead-containing materials or non-hazardous waste delivered is returned and a copy is furnished to the Government.

-- End of Section --

SECTION 05 12 00

STRUCTURAL STEEL  
**05/14**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC 201	(2006) AISC Certification Program for Structural Steel Fabricators
AISC 325	(2011) Steel Construction Manual
AISC 326	(2009) Detailing for Steel Construction
AISC 341	(2010) Seismic Provisions for Structural Steel Buildings
AISC 360	(2010) Specification for Structural Steel Buildings

AMERICAN WELDING SOCIETY (AWS)

AWS A2.4	(2012) Standard Symbols for Welding, Brazing and Nondestructive Examination
AWS D1.1/D1.1M	(2015; Errata 2015) Structural Welding Code - Steel

ASTM INTERNATIONAL (ASTM)

ASTM A307	(2014) Standard Specification for Carbon Steel Bolts and Studs, 60 000 PSI Tensile Strength
ASTM A325	(2014) Standard Specification for Structural Bolts, Steel, Heat Treated, 120/105 ksi Minimum Tensile Strength
ASTM A36/A36M	(2014) Standard Specification for Carbon Structural Steel
ASTM A490	(2014a) Standard Specification for Structural Bolts, Alloy Steel, Heat Treated, 150 ksi Minimum Tensile Strength
ASTM A500/A500M	(2013) Standard Specification for Cold-Formed Welded and Seamless Carbon Steel Structural Tubing in Rounds and Shapes

ASTM A53/A53M	(2012) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A563	(2015) Standard Specification for Carbon and Alloy Steel Nuts
ASTM A563M	(2007; R 2013) Standard Specification for Carbon and Alloy Steel Nuts (Metric)
ASTM A780/A780M	(2009; R 2015) Standard Practice for Repair of Damaged and Uncoated Areas of Hot-Dip Galvanized Coatings
ASTM A992/A992M	(2011) Standard Specification for Structural Steel Shapes
ASTM F436	(2011) Hardened Steel Washers
ASTM F844	(2007a; R 2013) Washers, Steel, Plain (Flat), Unhardened for General Use

SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC PA 1	(2000; E 2004) Shop, Field, and Maintenance Painting of Steel
SSPC Paint 20	(2002; E 2004) Zinc-Rich Primers (Type I, Inorganic, and Type II, Organic)
SSPC Paint 29	(2002; E 2004) Zinc Dust Sacrificial Primer, Performance-Based
SSPC SP 3	(1982; E 2004) Power Tool Cleaning
SSPC SP 6/NACE No.3	(2007) Commercial Blast Cleaning

U.S. DEPARTMENT OF DEFENSE (DOD)

UFC 3-301-01	(2013; with Change 1) Structural Engineering
UFC 3-310-04	(2013) Seismic Design for Buildings

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submittals with an "S" are for inclusion in the Sustainability Notebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Fabrication Drawings Including Description of Connections; G

SD-03 Product Data

Shop Primer  
Welding Electrodes and Rods

SD-06 Test Reports

Class B Coating  
Bolts, Nuts, and Washers  
Bolt Testing Reports

SD-07 Certificates

Steel  
Bolts, Nuts, and Washers  
Welding Procedures and Qualifications

Welding Electrodes and Rods

1.3 QUALITY ASSURANCE

1.3.1 Preconstruction Submittals

1.3.2 Fabrication Drawing Requirements

Submit [fabrication drawings](#) for approval prior to fabrication. Prepare in accordance with [AISC 326](#) and [AISC 325](#). Fabrication drawings must not be reproductions of contract drawings. Include complete information for the fabrication and erection of the structure's components, including the location, type, and size of bolts, welds, member sizes and lengths, connection details, blocks, copes, and cuts. Use [AWS A2.4](#) standard welding symbols. Any deviations from the details shown on the contract drawings must be clearly highlighted on the fabrication drawings. Explain the reasons for any deviations from the contract drawings.

1.3.3 Certifications

1.3.3.1 [Welding Procedures and Qualifications](#)

Prior to welding, submit certification for each welder stating the type of welding and positions qualified for, the code and procedure qualified under, date qualified, and the firm and individual certifying the qualification tests. If the qualification date of the welding operator is more than one-year old, the welding operator's qualification certificate must be accompanied by a current certificate by the welder attesting to the fact that he has been engaged in welding since the date of certification, with no break in welding service greater than 6 months.

Conform to all requirements specified in [AWS D1.1/D1.1M](#).

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

Provide the structural steel system, including shop primer , complete and ready for use. Structural steel systems including design, materials, installation, workmanship, fabrication, assembly, erection, inspection, quality control, and testing must be provided in accordance with [AISC 360](#), [AISC 341](#), [UFC 3-301-01](#) and [UFC 3-310-04](#) except as modified in this contract.

## 2.2 STEEL

### 2.2.1 Structural Steel

Wide flange and WT shapes, [ASTM A992/A992M](#). Angles, Channels and Plates, [ASTM A36/A36M](#).

### 2.2.2 Structural Steel Tubing

[ASTM A500/A500M](#), Grade C .

### 2.2.3 Steel Pipe

[ASTM A53/A53M](#), Type E or S, Grade B, weight class STD (Standard) .

## 2.3 BOLTS, NUTS, AND WASHERS

Submit the certified manufacturer's mill reports which clearly show the applicable ASTM mechanical and chemical requirements together with the actual test results for the supplied fasteners.

### 2.3.1 Common Grade Bolts

#### 2.3.1.1 Bolts

[ASTM A307](#), Grade A. The bolt heads and the nuts of the supplied fasteners must be marked with the manufacturer's identification mark, the strength grade and type specified by ASTM specifications.

#### 2.3.1.2 Nuts

[ASTM A563M](#), Grade A, heavy hex style.

#### 2.3.1.3 Washers

[ASTM F844](#).

### 2.3.2 High-Strength Bolts

#### 2.3.2.1 Bolts

[ASTM A325](#), Type 1 [ASTM A490](#), Type 1 or 2.

#### 2.3.2.2 Nuts

[ASTM A563](#), Grade and Style as specified in the applicable ASTM bolt standard.

#### 2.3.2.3 Washers

[ASTM F436](#), plain carbon steel.

## 2.4 STRUCTURAL STEEL ACCESSORIES

### 2.4.1 Welding Electrodes and Rods

[AWS D1.1/D1.1M](#).

## 2.5 FABRICATION

Fabrication must be in accordance with the applicable provisions of [AISC 325](#). Fabrication and assembly must be done in the shop to the greatest extent possible. Punch, subpunch and ream, or drill bolt holes perpendicular to the surface of the member.

### 2.5.1 Markings

Prior to erection, members must be identified by a painted erection mark. Connecting parts assembled in the shop for reaming holes in field connections must be match marked with scratch and notch marks. Do not locate erection markings on areas to be welded. Do not locate match markings in areas that will decrease member strength or cause stress concentrations.

### 2.5.2 Shop Primer

[SSPC Paint 20](#) or [SSPC Paint 29](#), (zinc rich primer). Shop prime structural steel, except as modified herein, in accordance with [SSPC PA 1](#). If flash rusting occurs, re-clean the surface prior to application of primer. Apply primer in accordance with endorsement "P1" of [AISC 201](#) to a minimum dry film thickness of 2.0 mil.

Slip critical surfaces must be primed with a [Class B coating](#) in accordance with [AISC 325](#). Submit test report for Class B coating.

Prior to assembly, prime surfaces which will be concealed or inaccessible after assembly. Do not apply primer in foggy or rainy weather; when the ambient temperature is below 45 degrees F or over 95 degrees F; or when the primer may be exposed to temperatures below 40 degrees F within 48 hours after application, unless approved otherwise by the Contracting Officer. Repair damaged primed surfaces with an additional coat of primer.

#### 2.5.2.1 Cleaning

[SSPC SP 6/NACE No.3](#), except steel exposed in spaces above ceilings, attic spaces, furred spaces, and chases that will be hidden to view in finished construction may be cleaned to [SSPC SP 3](#) when recommended by the shop primer manufacturer. Maintain steel surfaces free from rust, dirt, oil, grease, and other contaminants through final assembly.

## PART 3 EXECUTION

### 3.1 ERECTION

- a. Erection of structural steel, except as indicated in item b. below, must be in accordance with the applicable provisions of [AISC 325](#).

### 3.1.1 STORAGE

Material must be stored out of contact with the ground in such manner and location as will minimize deterioration.

### 3.2 CONNECTIONS

Except as modified in this section, connections not detailed must be designed in accordance with **AISC 360**. Build connections into existing work. Do not tighten anchor bolts set in concrete with impact torque wrenches. Holes must not be cut or enlarged by burning. Bolts, nuts, and washers must be clean of dirt and rust, and lubricated immediately prior to installation.

#### 3.2.1 Common Grade Bolts

**ASTM A307** bolts must be tightened to a "snug tight" fit. "Snug tight" is the tightness that exists when plies in a joint are in firm contact. If firm contact of joint plies cannot be obtained with a few impacts of an impact wrench, or the full effort of a man using a spud wrench, contact the Contracting Officer for further instructions.

#### 3.2.2 High-Strength Bolts

Provide direct tension indicator washers in all **ASTM A325** and **ASTM A490** bolted connections. Bolts must be installed in connection holes and initially brought to a snug tight fit. After the initial tightening procedure, bolts must then be fully tensioned, progressing from the most rigid part of a connection to the free edges.

### 3.3 WELDING

Welding must be in accordance with **AWS D1.1/D1.1M**. Grind exposed welds smooth as indicated. Provide **AWS D1.1/D1.1M** qualified welders, welding operators, and tackers.

Develop and submit the Welding Procedure Specifications (WPS) for all welding, including welding done using prequalified procedures. Prequalified procedures may be submitted for information only; however, procedures that are not prequalified must be submitted for approval.

### 3.4 SHOP PRIMER REPAIR

Repair shop primer in accordance with the paint manufacturer's recommendation for surfaces damaged by handling, transporting, cutting, welding, or bolting.

### 3.5 GALVANIZING REPAIR

Repair damage to galvanized coatings using **ASTM A780/A780M** zinc rich paint for galvanizing damaged by handling, transporting, cutting, welding, or bolting. Do not heat surfaces to which repair paint has been applied.

### 3.6 FIELD QUALITY CONTROL

Perform field tests, and provide labor, equipment, and incidentals required for testing. The Contracting Officer must be notified in writing of defective welds, bolts, nuts, and washers within 7 working days of the date of the inspection.

### 3.6.1 Welds

#### 3.6.1.1 Visual Inspection

**AWS D1.1/D1.1M.** Furnish the services of AWS-certified welding inspectors for fabrication and erection inspection and testing and verification inspections.

Inspection by the Government will include proper preparation, size, gaging location, and acceptability of welds; identification marking; operation and current characteristics of welding sets in use.

Inspect proper preparation, size, gaging location, and acceptability of welds; identification marking; operation and current characteristics of welding sets in use.

### 3.6.2 High-Strength Bolts

#### 3.6.2.1 Testing Bolt, Nut, and Washer Assemblies

Test a minimum of 3 bolt, nut, and washer assemblies from each mill certificate batch in a tension measuring device at the job site prior to the beginning of bolting start-up. Demonstrate that the bolts and nuts, when used together, can develop tension not less than the provisions specified in **AISC 360**, depending on bolt size and grade. The bolt tension must be developed by tightening the nut. A representative of the manufacturer or supplier must be present to ensure that the fasteners are properly used, and to demonstrate that the fastener assemblies supplied satisfy the specified requirements. Submit [bolt testing reports](#).

#### 3.6.2.2 Inspection

Inspection procedures must be in accordance with **AISC 360**. Confirm and report to the Contracting Officer that the materials meet the project specification and that they are properly stored. Confirm that the faying surfaces have been properly prepared before the connections are assembled. Observe the specified job site testing and calibration, and confirm that the procedure to be used provides the required tension. Monitor the work to ensure the testing procedures are routinely followed on joints that are specified to be fully tensioned.

Inspection by the Government will include calibration of torque wrenches for high-strength bolts.

Inspect calibration of torque wrenches for high-strength bolts.

#### 3.6.2.3 Testing

The Government has the option to perform nondestructive tests on 5 percent of the installed bolts to verify compliance with pre-load bolt tension requirements. Provide the required access for the Government to perform the tests. The nondestructive testing will be done in-place using an ultrasonic measuring device or any other device capable of determining in-place pre-load bolt tension. The test locations must be selected by the Contracting Officer. If more than 10 percent of the bolts tested contain defects identified by testing, then all bolts used from the batch from which the tested bolts were taken, must be tested at the Contractor's

expense. Retest new bolts after installation at the Contractor's expense.

-- End of Section --

SECTION 09 90 00

PAINTS AND COATINGS

05/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)

ACGIH 0100 (2015) Documentation of the Threshold  
Limit Values and Biological Exposure  
Indices

ASME INTERNATIONAL (ASME)

ASME A13.1 (2015) Scheme for the Identification of  
Piping Systems

ASTM INTERNATIONAL (ASTM)

ASTM D235 (2002; R 2012) Mineral Spirits (Petroleum  
Spirits) (Hydrocarbon Dry Cleaning Solvent)

ASTM D4214 (2007; R 2015) Standard Test Method for  
Evaluating the Degree of Chalking of  
Exterior Paint Films

ASTM D523 (2014) Standard Test Method for Specular  
Gloss

MASTER PAINTERS INSTITUTE (MPI)

MPI 107 (Oct 2009) Rust Inhibitive Primer  
(Water-Based)

MPI 19 (Oct 2009) Inorganic Zinc Rich Primer

MPI 2 (Oct 2009) Aluminum Heat Resistant Enamel  
(up to 427 C and 800 F)

MPI 21 (Oct 2009) Heat Resistant Enamel, Gloss  
(up to 205 degrees C and 400 degrees F),  
MPI Gloss Level 6

MPI 22 (Oct 2009) Aluminum Paint, High Heat (up  
to 590 degrees C and 1100 degrees F.

MPI 47 (Oct 2009) Interior Alkyd, Semi-Gloss, MPI  
Gloss Level 5

MPI 48 (Oct 2009) Interior Alkyd, Gloss, MPI  
Gloss Level 6

- MPI 49 (Oct 2009) Interior Alkyd, Flat, MPI Gloss Level 1
- MPI 51 (Oct 2009) Interior Alkyd, Eggshell, MPI Gloss Level 2
- MPI 79 (Oct 2009) Alkyd Anti-Corrosive Metal Primer
- MPI 95 (Oct 2009) Quick Drying Primer for Aluminum

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)

- SCS SP-01 (2000) Environmentally Preferable Product Specification for Architectural and Anti-Corrosive Paints

SOCIETY FOR PROTECTIVE COATINGS (SSPC)

- SSPC PA 1 (2000; E 2004) Shop, Field, and Maintenance Painting of Steel
- SSPC PA Guide 3 (1982; E 1995) A Guide to Safety in Paint Application
- SSPC SP 1 (2015) Solvent Cleaning
- SSPC SP 10/NACE No. 2 (2007) Near-White Blast Cleaning
- SSPC SP 12/NACE No.5 (2002) Surface Preparation and Cleaning of Metals by Waterjetting Prior to Recoating
- SSPC SP 2 (1982; E 2000; E 2004) Hand Tool Cleaning
- SSPC SP 3 (1982; E 2004) Power Tool Cleaning
- SSPC SP 6/NACE No.3 (2007) Commercial Blast Cleaning
- SSPC VIS 3 (2004) Guide and Reference Photographs for Steel Surfaces Prepared by Hand and Power Tool Cleaning

U.S. ARMY CORPS OF ENGINEERS (USACE)

- EM 385-1-1 (2014) Safety and Health Requirements Manual

U.S. DEPARTMENT OF DEFENSE (DOD)

- MIL-STD-101 (2014; Rev C) Color Code for Pipelines and for Compressed Gas Cylinders

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

- FED-STD-313 (2014; Rev E) Material Safety Data, Transportation Data and Disposal Data for Hazardous Materials Furnished to Government Activities

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.1000 Air Contaminants

29 CFR 1910.1025 Lead

29 CFR 1926.62 Lead

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submittals with an "S" are for inclusion in the Sustainability Notebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

The current MPI, "Approved Product List" which lists paint by brand, label, product name and product code as of the date of contract award, will be used to determine compliance with the submittal requirements of this specification. The Contractor may choose to use a subsequent MPI "Approved Product List", however, only one list may be used for the entire contract and each coating system is to be from a single manufacturer. All coats on a particular substrate must be from a single manufacturer. No variation from the MPI Approved Products List is acceptable.

Samples of specified materials may be taken and tested for compliance with specification requirements.

In keeping with the intent of Executive Order 13101, "Greening the Government through Waste Prevention, Recycling, and Federal Acquisition", products certified by SCS as meeting SCS SP-01 shall be given preferential consideration over registered products. Products that are registered shall be given preferential consideration over products not carrying any EPP designation.

SD-02 Shop Drawings

Piping identification

Submit color stencil codes

SD-03 Product Data

Materials

Submit documentation indicating percentage of post-industrial and post-consumer recycled content per unit of product. Indicate relative dollar value of recycled content products to total dollar value of products included in project.

Coating; G

Manufacturer's Technical Data Sheets

Indicate VOC content.

#### SD-04 Samples

##### Coatings Colors; G

Submit manufacturer's samples of paint colors. Cross reference color samples to color scheme as indicated.

#### SD-07 Certificates

##### Applicator's qualifications

#### SD-08 Manufacturer's Instructions

##### Application instructions Mixing

Detailed mixing instructions, minimum and maximum application temperature and humidity, potlife, and curing and drying times between coats.

##### Manufacturer's Material Safety Data Sheets

Submit manufacturer's Material Safety Data Sheets for coatings, solvents, and other potentially hazardous materials, as defined in **FED-STD-313**.

#### SD-10 Operation and Maintenance Data

##### Coatings; G

Preprinted cleaning and maintenance instructions for all coating systems shall be provided.

### 1.3 APPLICATOR'S QUALIFICATIONS

#### 1.3.1 Contractor Qualification

Submit the name, address, telephone number, FAX number, and e-mail address of the contractor that will be performing all surface preparation and coating application. Submit evidence that key personnel have successfully performed surface preparation and application on a minimum of three similar projects within the past three years.

### 1.4 REGULATORY REQUIREMENTS

#### 1.4.1 Environmental Protection

In addition to requirements specified elsewhere for environmental protection, provide coating materials that conform to the restrictions of the local Air Pollution Control District and regional jurisdiction. Notify Contracting Officer of any paint specified herein which fails to conform.

1.4.2 Lead Content

Do not use coatings having a lead content over 0.06 percent by weight of nonvolatile content.

1.4.3 Chromate Content

Do not use coatings containing zinc-chromate or strontium-chromate.

1.4.4 Asbestos Content

Materials shall not contain asbestos.

1.4.5 Mercury Content

Materials shall not contain mercury or mercury compounds.

1.4.6 Silica

Abrasive blast media shall not contain free crystalline silica.

1.4.7 Human Carcinogens

Materials shall not contain **ACGIH 0100** confirmed human carcinogens (A1) or suspected human carcinogens (A2).

1.5 PACKAGING, LABELING, AND STORAGE

Paints shall be in sealed containers that legibly show the contract specification number, designation name, formula or specification number, batch number, color, quantity, date of manufacture, manufacturer's formulation number, manufacturer's directions including any warnings and special precautions, and name and address of manufacturer. Pigmented paints shall be furnished in containers not larger than **5 gallons**. Paints and thinners shall be stored in accordance with the manufacturer's written directions, and as a minimum, stored off the ground, under cover, with sufficient ventilation to prevent the buildup of flammable vapors, and at temperatures between **40 to 95 degrees F**.

1.6 SAFETY AND HEALTH

Apply coating materials using safety methods and equipment in accordance with the following:

Work shall comply with applicable Federal, State, and local laws and regulations, and with the ACCIDENT PREVENTION PLAN, including the Activity Hazard Analysis as specified in Section **01 35 26** GOVERNMENT SAFETY REQUIREMENTS and in Appendix A of **EM 385-1-1**. The Activity Hazard Analysis shall include analyses of the potential impact of painting operations on painting personnel and on others involved in and adjacent to the work zone.

1.6.1 Safety Methods Used During Coating Application

Comply with the requirements of **SSPC PA Guide 3**.

1.6.2 Toxic Materials

To protect personnel from overexposure to toxic materials, conform to the

most stringent guidance of:

- a. The applicable [manufacturer's Material Safety Data Sheets](#) (MSDS) or local regulation.
  - b. [29 CFR 1910.1000](#).
  - c. [ACGIH 0100](#), threshold limit values.
  - d. The appropriate OSHA standard in [29 CFR 1910.1025](#) and [29 CFR 1926.62](#) for surface preparation on painted surfaces containing lead. Removal and disposal of coatings which contain lead is specified.
- 1.7 ENVIRONMENTAL CONDITIONS

Comply, at minimum, with manufacturer recommendations for space ventilation during and after installation.

#### 1.7.1 Coatings

Do not apply coating when air or substrate conditions are:

- a. Less than [5 degrees F](#) above dew point;
- b. Below [50 degrees F](#) or over [95 degrees F](#), unless specifically pre-approved by the Contracting Officer and the product manufacturer. Under no circumstances shall application conditions exceed manufacturer recommendations.

#### 1.7.2 Post-Application

Vacate space for as long as possible after application. Wait a minimum of 48 hours before occupying freshly painted rooms. Maintain one of the following ventilation conditions during the curing period, or for 72 hours after application:

- a. Supply 100 percent outside air 24 hours a day.
- b. Supply airflow at a rate of 6 air changes per hour, when outside temperatures are between [55 degrees F](#) and [85 degrees F](#) and humidity is between 30 percent and 60 percent.
- c. Supply airflow at a rate of 1.5 air changes per hour, when outside air conditions are not within the range stipulated above.

#### 1.8 SCHEDULING

Allow paint, polyurethane, varnish, and wood stain installations to cure prior to the installation of materials that adsorb VOCs.

#### 1.9 LOCATION AND SURFACE TYPE TO BE PAINTED

##### 1.9.1 Painting Included

Where a space or surface is indicated to be painted, include the following unless indicated otherwise.

- a. Surfaces behind portable objects and surface mounted articles readily detachable by removal of fasteners, such as screws and bolts.

- b. New factory finished surfaces that require identification or color coding and factory finished surfaces that are damaged during performance of the work.
- c. Existing coated surfaces that are damaged during performance of the work.

#### 1.9.1.1 Interior Painting

Includes new surfaces, existing uncoated surfaces, and existing coated surfaces and appurtenances as indicated and existing coated surfaces made bare by cleaning operations. Where a space or surface is indicated to be painted, include the following items, unless indicated otherwise.

- a. Exposed columns, girders, beams, joists, and metal deck; and
- b. Other contiguous surfaces.

#### 1.9.2 Painting Excluded

Do not paint the following unless indicated otherwise.

- a. Surfaces concealed and made inaccessible by panelboards, fixed ductwork, machinery, and equipment fixed in place.
- b. Surfaces in concealed spaces. Concealed spaces are defined as enclosed spaces above suspended ceilings, furred spaces, attic spaces, crawl spaces, elevator shafts and chases.
- c. Steel to be embedded in concrete.
- d. Copper, stainless steel, aluminum, brass, and lead except existing coated surfaces.
- e. Hardware, fittings, and other factory finished items.

#### 1.9.3 Mechanical and Electrical Painting

Includes field coating of interior new and existing surfaces.

- a. Where a space or surface is indicated to be painted, include the following items unless indicated otherwise.
  - (1) Exposed piping, conduit, and ductwork;
  - (2) Supports, hangers, air grilles, and registers;
  - (3) Miscellaneous metalwork and insulation coverings.
- b. Do not paint the following, unless indicated otherwise:
  - (1) New zinc-coated, aluminum, and copper surfaces under insulation
  - (2) New aluminum jacket on piping
  - (3) New interior ferrous piping under insulation.

#### 1.9.4 Definitions and Abbreviations

##### 1.9.4.1 Qualification Testing

Qualification testing is the performance of all test requirements listed in the product specification. This testing is accomplished by MPI to qualify each product for the MPI Approved Product List, and may also be accomplished by Contractor's third party testing lab if an alternative to Batch Quality Conformance Testing by MPI is desired.

##### 1.9.4.2 Batch Quality Conformance Testing

Batch quality conformance testing determines that the product provided is the same as the product qualified to the appropriate product specification. This testing shall only be accomplished by MPI testing lab.

##### 1.9.4.3 Coating

A film or thin layer applied to a base material called a substrate. A coating may be a metal, alloy, paint, or solid/liquid suspensions on various substrates (metals, plastics, wood, paper, leather, cloth, etc.). They may be applied by electrolysis, vapor deposition, vacuum, or mechanical means such as brushing, spraying, calendaring, and roller coating. A coating may be applied for aesthetic or protective purposes or both. The term "coating" as used herein includes emulsions, enamels, stains, varnishes, sealers, epoxies, and other coatings, whether used as primer, intermediate, or finish coat. The terms paint and coating are used interchangeably.

##### 1.9.4.4 DFT or dft

Dry film thickness, the film thickness of the fully cured, dry paint or coating.

##### 1.9.4.5 DSD

Degree of Surface Degradation, the MPI system of defining degree of surface degradation. Five (5) levels are generically defined under the Assessment sections in the MPI Maintenance Repainting Manual.

##### 1.9.4.6 EPP

Environmentally Preferred Products, a standard for determining environmental preferability in support of Executive Order 13101.

##### 1.9.4.7 EXT

MPI short term designation for an exterior coating system.

##### 1.9.4.8 INT

MPI short term designation for an interior coating system.

##### 1.9.4.9 micron / microns

The metric measurement for 0.001 mm or one/one-thousandth of a millimeter.

1.9.4.10 mil / mils

The English measurement for 0.001 in or one/one-thousandth of an inch, equal to 25.4 microns or 0.0254 mm.

1.9.4.11 mm

The metric measurement for millimeter, 0.001 meter or one/one-thousandth of a meter.

1.9.4.12 MPI Gloss Levels

MPI system of defining gloss. Seven (7) gloss levels (G1 to G7) are generically defined under the Evaluation sections of the MPI Manuals. Traditionally, Flat refers to G1/G2, Eggshell refers to G3, Semigloss refers to G5, and Gloss refers to G6.

Gloss levels are defined by MPI as follows:

Gloss Level	Description	Units at 60 degrees	Units at 85 degrees
G1	Matte or Flat	0 to 5	10 max
G2	Velvet	0 to 10	10 to 35
G3	Eggshell	10 to 25	10 to 35
G4	Satin	20 to 35	35 min
G5	Semi-Gloss	35 to 70	
G6	Gloss	70 to 85	
G7	High Gloss		

Gloss is tested in accordance with [ASTM D523](#). Historically, the Government has used Flat (G1 / G2), Eggshell (G3), Semi-Gloss (G5), and Gloss (G6).

1.9.4.13 MPI System Number

The MPI coating system number in each Division found in either the MPI Architectural Painting Specification Manual or the Maintenance Repainting Manual and defined as an exterior (EXT/REX) or interior system (INT/RIN). The Division number follows the CSI Master Format.

1.9.4.14 Paint

See Coating definition.

1.9.4.15 REX

MPI short term designation for an exterior coating system used in repainting projects or over existing coating systems.

1.9.4.16 RIN

MPI short term designation for an interior coating system used in repainting projects or over existing coating systems.

PART 2 PRODUCTS

2.1 MATERIALS

Conform to the coating specifications and standards referenced in PART 3. Submit [manufacturer's technical data sheets](#) for specified [coatings](#) and solvents. Comply with applicable regulations regarding toxic and hazardous materials.

PART 3 EXECUTION

3.1 PROTECTION OF AREAS AND SPACES NOT TO BE PAINTED

Prior to surface preparation and coating applications, remove, mask, or otherwise protect, hardware, hardware accessories, machined surfaces, radiator covers, plates, lighting fixtures, public and private property, and other such items not to be coated that are in contact with surfaces to be coated. Following completion of painting, workmen skilled in the trades involved shall reinstall removed items. Restore surfaces contaminated by coating materials, to original condition and repair damaged items.

3.2 SURFACE PREPARATION

Remove dirt, splinters, loose particles, grease, oil, and other foreign matter and substances deleterious to coating performance as specified for each substrate before application of paint or surface treatments. Oil and grease shall be removed prior to mechanical cleaning. Cleaning shall be programmed so that dust and other contaminants will not fall on wet, newly painted surfaces. Exposed ferrous metals such as nail heads on or in contact with surfaces to be painted with water-thinned paints, shall be spot-primed with a suitable corrosion-inhibitive primer capable of preventing flash rusting and compatible with the coating specified for the adjacent areas.

3.2.1 Additional Requirements for Preparation of Surfaces With Existing Coatings

Before application of coatings, perform the following on surfaces covered by soundly-adhered coatings, defined as those which cannot be removed with a putty knife:

- a. Test existing finishes for lead before sanding, scraping, or removing. If lead is present, refer to paragraph Toxic Materials.
- b. Wipe previously painted surfaces to receive solvent-based coatings, except stucco and similarly rough surfaces clean with a clean, dry cloth saturated with mineral spirits, [ASTM D235](#). Allow surface to dry. Wiping shall immediately precede the application of the first coat of any coating, unless specified otherwise.
- c. Sand existing glossy surfaces to be painted to reduce gloss. Brush, and wipe clean with a damp cloth to remove dust.
- d. The requirements specified are minimum. Comply also with the [application instructions](#) of the paint manufacturer.
- e. Previously painted surfaces specified to be repainted shall be

thoroughly cleaned of all grease, dirt, dust or other foreign matter.

- f. Blistering, cracking, flaking and peeling or other deteriorated coatings shall be removed.
- g. Chalk shall be removed so that when tested in accordance with [ASTM D4214](#), the chalk resistance rating is no less than 8.
- h. Slick surfaces shall be roughened. Damaged areas such as, but not limited to, nail holes, cracks, chips, and spalls shall be repaired with suitable material to match adjacent undamaged areas.
- i. Edges of chipped paint shall be feather edged and sanded smooth.
- j. Rusty metal surfaces shall be cleaned as per SSPC requirements. Solvent, mechanical, or chemical cleaning methods shall be used to provide surfaces suitable for painting.
- k. New, proposed coatings shall be compatible with existing coatings.

### 3.2.2 Existing Coated Surfaces with Minor Defects

Remove chalking by sanding so that when tested in accordance with [ASTM D4214](#), the chalk rating is not less than 8.

## 3.3 PREPARATION OF METAL SURFACES

### 3.3.1 Existing and New Ferrous Surfaces

- a. Ferrous Surfaces including Shop-coated Surfaces and Small Areas That Contain Rust, Mill Scale and Other Foreign Substances: Solvent clean in accordance with [SSPC SP 1](#) to remove oil and grease. Where shop coat is missing or damaged, clean according to [SSPC SP 2](#), , , or . ; Shop-coated ferrous surfaces shall be protected from corrosion by treating and touching up corroded areas immediately upon detection.
- b. Surfaces With More Than 20 Percent Rust, Mill Scale, and Other Foreign Substances: Clean entire surface in accordance with [SSPC SP 6/NACE No.3/SSPC SP 12/NACE No.5](#) WJ-3.

### 3.3.2 Final Ferrous Surface Condition:

For tool cleaned surfaces, the requirements are stated in [SSPC SP 2](#) and [SSPC SP 3](#). As a visual reference, cleaned surfaces shall be similar to photographs in [SSPC VIS 3](#).

## 3.4 APPLICATION

### 3.4.1 Coating Application

Painting practices shall comply with applicable federal, state and local laws enacted to insure compliance with Federal Clean Air Standards. Apply coating materials in accordance with [SSPC PA 1](#). [SSPC PA 1](#) methods are applicable to all substrates, except as modified herein.

At the time of application, paint shall show no signs of deterioration. Uniform suspension of pigments shall be maintained during application.

Unless otherwise specified or recommended by the paint manufacturer, paint may be applied by brush, roller, or spray. Use trigger operated spray nozzles for water hoses. Rollers for applying paints and enamels shall be of a type designed for the coating to be applied and the surface to be coated. Wear protective clothing and respirators when applying oil-based paints or using spray equipment with any paints.

Paints, except water-thinned types, shall be applied only to surfaces that are completely free of moisture as determined by sight or touch.

Thoroughly work coating materials into joints, crevices, and open spaces. Special attention shall be given to insure that all edges, corners, crevices, welds, and rivets receive a film thickness equal to that of adjacent painted surfaces.

Each coat of paint shall be applied so dry film shall be of uniform thickness and free from runs, drops, ridges, waves, pinholes or other voids, laps, brush marks, and variations in color, texture, and finish. Hiding shall be complete.

Touch up damaged coatings before applying subsequent coats. Interior areas shall be broom clean and dust free before and during the application of coating material.

- a. **Drying Time:** Allow time between coats, as recommended by the coating manufacturer, to permit thorough drying, but not to present topcoat adhesion problems. Provide each coat in specified condition to receive next coat.
- b. **Primers, and Intermediate Coats:** Do not allow primers or intermediate coats to dry more than 30 days, or longer than recommended by manufacturer, before applying subsequent coats. Follow manufacturer's recommendations for surface preparation if primers or intermediate coats are allowed to dry longer than recommended by manufacturers of subsequent coatings. Each coat shall cover surface of preceding coat or surface completely, and there shall be a visually perceptible difference in shades of successive coats.
- c. **Finished Surfaces:** Provide finished surfaces free from runs, drops, ridges, waves, laps, brush marks, and variations in colors.
- d. **Thermosetting Paints:** Topcoats over thermosetting paints (epoxies and urethanes) should be applied within the overcoating window recommended by the manufacturer.

#### 3.4.2 **Mixing** and Thinning of Paints

Reduce paints to proper consistency by adding fresh paint, except when thinning is mandatory to suit surface, temperature, weather conditions, application methods, or for the type of paint being used. Obtain written permission from the Contracting Officer to use thinners. The written permission shall include quantities and types of thinners to use.

When thinning is allowed, paints shall be thinned immediately prior to application with not more than 1 pint of suitable thinner per gallon. The use of thinner shall not relieve the Contractor from obtaining complete hiding, full film thickness, or required gloss. Thinning shall not cause the paint to exceed limits on volatile organic compounds. Paints of different manufacturers shall not be mixed.

### 3.4.3 Coating Systems

- a. Systems by Substrates: Apply coatings that conform to the respective specifications listed in the following Tables:

Table

Division 3. Interior Concrete Paint Table

Division 4. Interior Concrete Masonry Units Paint Table  
Division 5. Interior Metal, Ferrous and Non-Ferrous Paint Table  
Division 6. Interior Wood Paint Table  
Division 9: Interior Plaster, Gypsum Board, Textured Surfaces  
Paint Table

- b. Minimum Dry Film Thickness (DFT): Apply paints, primers, varnishes, enamels, undercoats, and other coatings to a minimum dry film thickness of 1.5 mil each coat unless specified otherwise in the Tables. Coating thickness where specified, refers to the minimum dry film thickness.
- c. Coatings for Surfaces Not Specified Otherwise: Coat surfaces which have not been specified, the same as surfaces having similar conditions of exposure.
- d. Existing Surfaces Damaged During Performance of the Work, Including New Patches In Existing Surfaces: Coat surfaces with the following:
- (1) One coat of primer.
  - (2) One coat of undercoat or intermediate coat.
  - (3) One topcoat to match adjacent surfaces.
- e. Existing Coated Surfaces To Be Painted: Apply coatings conforming to the respective specifications listed in the Tables herein, except that pretreatments, sealers and fillers need not be provided on surfaces where existing coatings are soundly adhered and in good condition. Do not omit undercoats or primers.

### 3.5 COATING SYSTEMS FOR METAL

Apply coatings of Tables in Division 5 for Exterior and Interior.

- a. Apply specified ferrous metal primer on the same day that surface is cleaned, to surfaces that meet all specified surface preparation requirements at time of application.
- b. Inaccessible Surfaces: Prior to erection, use one coat of specified primer on metal surfaces that will be inaccessible after erection.
- c. Shop-primed Surfaces: Touch up exposed substrates and damaged coatings to protect from rusting prior to applying field primer.
- d. Surface Previously Coated with Epoxy or Urethane: Apply MPI 101, 1.5 mils DFT immediately prior to application of epoxy or urethane coatings.



INTERIOR STEEL / FERROUS SURFACES

MPI 79 MPI 49 MPI 49  
System DFT: 5.25 mils

MPI INT 5.1E-G3 (Eggshell)  
Primer: Intermediate: Topcoat:  
MPI 79 MPI 51 MPI 51  
System DFT: 5.25 mils

MPI INT 5.1E-G5 (Semigloss)  
Primer: Intermediate: Topcoat:  
MPI 79 MPI 47 MPI 47  
System DFT: 5.25 mils

B. Miscellaneous non-ferrous metal items not otherwise specified except floors, hot metal surfaces, and new prefinished equipment. Match surrounding finish:

1. Alkyd

MPI INT 5.4J-G2 (Flat)  
Primer: Intermediate: Topcoat:  
MPI 95 MPI 49 MPI 49  
System DFT: 5 mils

MPI INT 5.4J-G3 (Eggshell)  
Primer: Intermediate: Topcoat:  
MPI 95 MPI 51 MPI 51  
System DFT: 5 mils

MPI INT 5.4J-G5 (Semigloss)  
Primer: Intermediate: Topcoat:  
MPI 95 MPI 47 MPI 47  
System DFT: 5 mils

MPI INT 5.4J-G6 (Gloss)  
Primer: Intermediate: Topcoat:  
MPI 95 MPI 48 MPI 48  
System DFT: 5 mils

C. Hot metal surfaces subject to temperatures up to 400 degrees F:

1. Heat Resistant Enamel

MPI INT 5.2A  
Primer: Intermediate: Topcoat:  
MPI 21 Surface preparation and number of coats per  
manufacturer's instructions.  
System DFT: Per Manufacturer

D. Ferrous metal subject to high temperature, up to 750 degrees F:

1. Inorganic Zinc Rich Coating

MPI INT 5.2C  
Primer: Intermediate: Topcoat:  
MPI 19 Surface preparation and number of coats per  
manufacturer's instructions.

INTERIOR STEEL / FERROUS SURFACES  
System DFT: Per Manufacturer

2. Heat Resistant Aluminum Paint  
MPI INT 5.2B (Aluminum Finish)  
Primer: Intermediate: Topcoat:  
MPI 2 Surface preparation and number of coats per  
manufacturer's instructions.  
System DFT: Per Manufacturer

E. New surfaces and Existing surfaces made bare cleaning to  
SSPC SP 10/NACE No. 2  
subject to temperatures up to 593 degrees C (1100 degrees F):

1. High Heat Resistant Coating  
MPI INT 5.2D  
Primer: Intermediate: Topcoat:  
MPI 22 Surface preparation and number of coats per  
manufacturer's instructions.  
System DFT: Per Manufacturer

-- End of Section --

SECTION 23 03 00.00 20

BASIC MECHANICAL MATERIALS AND METHODS

08/10

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM B117 (2011) Standard Practice for Operating Salt Spray (Fog) Apparatus

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C2 (2012; Errata 1 2012; INT 1-4 2012; Errata 2 2013; INT 5-7 2013; INT 8-10 2014; INT 11 2015) National Electrical Safety Code

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2014; AMD 1 2013; Errata 1 2013; AMD 2 2013; Errata 2 2013; AMD 3 2014; Errata 3-4 2014; AMD 4-6 2014) National Electrical Code

1.2 QUALITY ASSURANCE

1.2.1 Material and Equipment Qualifications

Provide materials and equipment that are standard products of manufacturers regularly engaged in the manufacture of such products, which are of a similar material, design and workmanship. Standard products must have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year use must include applications of equipment and materials under similar circumstances and of similar size. The product must have been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2 year period.

1.2.2 Alternative Qualifications

Products having less than a two-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturer's factory or laboratory tests, can be shown.

1.2.3 Service Support

The equipment items must be supported by service organizations. Submit a certified list of qualified permanent service organizations for support of the equipment which includes their addresses and qualifications. These service organizations must be reasonably convenient to the equipment installation and able to render satisfactory service to the equipment on a

regular and emergency basis during the warranty period of the contract.

#### 1.2.4 Manufacturer's Nameplate

For each item of equipment, provide a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

#### 1.2.5 Modification of References

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction", or words of similar meaning, to mean the Contracting Officer.

##### 1.2.5.1 Definitions

For the International Code Council (ICC) Codes referenced in the contract documents, advisory provisions must be considered mandatory, the word "should" is interpreted as "must." Reference to the "code official" must be interpreted to mean the "Contracting Officer." For Navy owned property, references to the "owner" must be interpreted to mean the "Contracting Officer." For leased facilities, references to the "owner" must be interpreted to mean the "lessor." References to the "permit holder" must be interpreted to mean the "Contractor."

##### 1.2.5.2 Administrative Interpretations

For ICC Codes referenced in the contract documents, the provisions of Chapter 1, "Administrator," do not apply. These administrative requirements are covered by the applicable Federal Acquisition Regulations (FAR) included in this contract and by the authority granted to the Officer in Charge of Construction to administer the construction of this project. References in the ICC Codes to sections of Chapter 1, must be applied appropriately by the Contracting Officer as authorized by his administrative cognizance and the FAR.

#### 1.3 DELIVERY, STORAGE, AND HANDLING

Handle, store, and protect equipment and materials to prevent damage before and during installation in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer. Replace damaged or defective items.

#### 1.4 ELECTRICAL INSTALLATION REQUIREMENTS

Electrical installations must conform to IEEE C2, NFPA 70, and requirements specified herein.

##### 1.4.1 Modifications to Existing Systems

Where existing mechanical systems and motor-operated equipment require modifications, provide electrical components under Division 26.

Welding work lead connections should be placed as close to the weld as

practical. Do not use the metal structure of the building as a common connection for welding work leads. Electrically isolate and/or temporarily remove all digital control equipment in the welding vicinity. Properly ground welding equipment per ANSI/NFPA 70.

#### 1.5 INSTRUCTION TO GOVERNMENT PERSONNEL

When specified in other sections, furnish the services of competent instructors to give full instruction to the designated Government personnel in the adjustment, operation, and maintenance, including pertinent safety requirements, of the specified equipment or system. Instructors must be thoroughly familiar with all parts of the installation and must be trained in operating theory as well as practical operation and maintenance work.

Instruction must be given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction furnished must be as specified in the individual section. When more than 4 man-days of instruction are specified, use approximately half of the time for classroom instruction. Use other time for instruction with the equipment or system.

When significant changes or modifications in the equipment or system are made under the terms of the contract, provide additional instruction to acquaint the operating personnel with the changes or modifications.

#### 1.6 ACCESSIBILITY

Install all work so that parts requiring periodic inspection, operation, maintenance, and repair are readily accessible. Install concealed valves, expansion joints, controls, dampers, and equipment requiring access, in locations freely accessible through access doors.

### PART 2 PRODUCTS

#### 2.1 PRODUCT SUSTAINABILITY CRITERIA

For products in this section, where applicable and to extent allowed by performance criteria, provide and document the following:

### PART 3 EXECUTION

#### 3.1 PAINTING OF NEW EQUIPMENT

New equipment painting must be factory applied or shop applied, and must be as specified herein, and provided under each individual section.

##### 3.1.1 Factory Painting Systems

Manufacturer's standard factory painting systems may be provided subject to certification that the factory painting system applied will withstand 125 hours in a salt-spray fog test, except that equipment located outdoors must withstand 500 hours in a salt-spray fog test. Salt-spray fog test must be in accordance with ASTM B117, and for that test the acceptance criteria must be as follows: immediately after completion of the test, the paint must show no signs of blistering, wrinkling, or cracking, and no loss of adhesion; and the specimen must show no signs of rust creepage

beyond 0.125 inch on either side of the scratch mark.

The film thickness of the factory painting system applied on the equipment must not be less than the film thickness used on the test specimen. If manufacturer's standard factory painting system is being proposed for use on surfaces subject to temperatures above 120 degrees F, the factory painting system must be designed for the temperature service.

### 3.1.2 Shop Painting Systems for Metal Surfaces

Clean, pretreat, prime and paint metal surfaces; except aluminum surfaces need not be painted. Apply coatings to clean dry surfaces. Clean the surfaces to remove dust, dirt, rust, oil and grease by wire brushing and solvent degreasing prior to application of paint, except metal surfaces subject to temperatures in excess of 120 degrees F must be cleaned to bare metal.

-- End of Section --

SECTION 23 07 00

THERMAL INSULATION FOR MECHANICAL SYSTEMS  
02/13

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only. At the discretion of the Government, the manufacturer of any material supplied will be required to furnish test reports pertaining to any of the tests necessary to assure compliance with the standard or standards referenced in this specification.

ASTM INTERNATIONAL (ASTM)

ASTM A167	(2011) Standard Specification for Stainless and Heat-Resisting Chromium-Nickel Steel Plate, Sheet, and Strip
ASTM A580/A580M	(2015) Standard Specification for Stainless Steel Wire
ASTM B209	(2014) Standard Specification for Aluminum and Aluminum-Alloy Sheet and Plate
ASTM C1710	(2011) Standard Guide for Installation of Flexible Closed Cell Preformed Insulation in Tube and Sheet Form
ASTM C195	(2007; R 2013) Standard Specification for Mineral Fiber Thermal Insulating Cement
ASTM C450	(2008) Standard Practice for Fabrication of Thermal Insulating Fitting Covers for NPS Piping, and Vessel Lagging
ASTM C795	(2008; R 2013) Standard Specification for Thermal Insulation for Use in Contact with Austenitic Stainless Steel
ASTM C920	(2014a) Standard Specification for Elastomeric Joint Sealants
ASTM C921	(2010) Standard Practice for Determining the Properties of Jacketing Materials for Thermal Insulation
ASTM D5590	(2000; R 2010; E 2012) Standard Test Method for Determining the Resistance of Paint Films and Related Coatings to Fungal Defacement by Accelerated Four-Week Agar Plate Assay

ASTM E2231	(2015) Specimen Preparation and Mounting of Pipe and Duct Insulation Materials to Assess Surface Burning Characteristics
ASTM E84	(2015b) Standard Test Method for Surface Burning Characteristics of Building Materials
ASTM E96/E96M	(2016) Standard Test Methods for Water Vapor Transmission of Materials
FM GLOBAL (FM)	
FM APP GUIDE	(updated on-line) Approval Guide <a href="http://www.approvalguide.com/">http://www.approvalguide.com/</a>
MIDWEST INSULATION CONTRACTORS ASSOCIATION (MICA)	
MICA Insulation Stds	(1999) National Commercial & Industrial Insulation Standards
NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)	
NFPA 90A	(2015) Standard for the Installation of Air Conditioning and Ventilating Systems
NFPA 90B	(2015) Standard for the Installation of Warm Air Heating and Air Conditioning Systems
U.S. DEPARTMENT OF DEFENSE (DOD)	
MIL-A-3316	(1987; Rev C; Am 2 1990) Adhesives, Fire-Resistant, Thermal Insulation
UNDERWRITERS LABORATORIES (UL)	
UL 723	(2008; Reprint Aug 2013) Test for Surface Burning Characteristics of Building Materials
UL 94	(2013; Reprint Jan 2016) Standard for Tests for Flammability of Plastic Materials for Parts in Devices and Appliances

## 1.2 SYSTEM DESCRIPTION

### 1.2.1 General

Provide field-applied insulation and accessories on mechanical systems as specified herein; factory-applied insulation is specified under the piping, duct or equipment to be insulated. Field applied insulation materials required for use on Government-furnished items as listed in the SPECIAL CONTRACT REQUIREMENTS shall be furnished and installed by the Contractor.

### 1.2.2 Recycled Materials

Provide thermal insulation containing recycled materials to the extent practicable, provided that the materials meet all other requirements of this section. The minimum recycled material content of the following insulation are:

Rock Wool	75 percent slag of weight
Fiberglass	20-25 percent glass cullet by weight
Rigid Foam	9 percent recovered material

### 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submittals with an "S" are for inclusion in the Sustainability Notebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Submit the three SD types, SD-02 Shop Drawings, SD-03 Product Data, and SD-08 Manufacturer's Instructions at the same time for each system.

#### SD-02 Shop Drawings

Pipe Insulation Systems and Associated Accessories  
Duct Insulation Systems and Associated Accessories

#### SD-03 Product Data

Pipe Insulation Systems; G  
Duct Insulation Systems; G

#### SD-04 Samples

Thermal Insulation; G

#### SD-08 Manufacturer's Instructions

Pipe Insulation Systems; G  
Duct Insulation Systems; G

#### SD-11 Closeout Submittals

Reduce Volatile Organic Compounds (VOC) for Caulking, Sealant and Adhesive Materials; S  
Recycled Content for Pipe and Ductwork Insulation Materials; S

#### 1.4 QUALITY ASSURANCE

##### 1.4.1 Installer Qualification

Qualified installers shall have successfully completed three or more similar type jobs within the last 5 years.

#### 1.5 DELIVERY, STORAGE, AND HANDLING

Materials shall be delivered in the manufacturer's unopened containers. Materials delivered and placed in storage shall be provided with protection from weather, humidity, dirt, dust and other contaminants. The Contracting Officer may reject insulation material and supplies that become dirty, dusty, wet, or contaminated by some other means. Packages or standard containers of insulation, jacket material, cements, adhesives, and coatings delivered for use, and samples required for approval shall have manufacturer's stamp or label attached giving the name of the manufacturer and brand, and a description of the material, date codes, and approximate shelf life (if applicable). Insulation packages and containers shall be asbestos free.

### PART 2 PRODUCTS

#### 2.1 PRODUCT SUSTAINABILITY CRITERIA

For products in this section, where applicable and to extent allowed by performance criteria, provide and document the following:

##### 2.1.1 Reduce Volatile Organic Compounds (VOC) for Caulking, Sealant and Adhesive Materials

For interior applications, provide caulking, sealant and adhesive materials meeting the reduced VOC requirements as stated within Section 01 33 29 SUSTAINABILITY REPORTING paragraph REDUCE VOLATILE ORGANIC COMPOUNDS (VOC).

##### 2.1.2 Recycled Content for Pipe and Ductwork Insulation Materials

Provide documentation in conformance with Section 01 33 29 SUSTAINABILITY REPORTING that the following products meet the recycled content requirements as outlined in this section:

- a. Pipe Insulation Systems
- b. Duct Insulation Systems

#### 2.2 STANDARD PRODUCTS

Provide materials which are the standard products of manufacturers regularly engaged in the manufacture of such products and that essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Submit a complete list of materials, including manufacturer's descriptive technical literature, performance data, catalog cuts, and installation instructions. The product number, k-value, thickness and furnished accessories including adhesives, sealants and jackets for each mechanical system requiring insulation shall be included. The product data must be copyrighted, have an identifying or publication number, and shall have been published prior to the issuance date of this solicitation. Materials furnished under this section shall be submitted together in a booklet.

### 2.2.1 Insulation System

Provide insulation systems in accordance with the approved MICA National Insulation Standards plates as supplemented by this specification. Provide field-applied insulation for heating, ventilating, and cooling (HVAC) air distribution systems and piping systems that are located within, on, under, and adjacent to buildings; and for plumbing systems. Provide CFC and HCFC free insulation.

### 2.2.2 Surface Burning Characteristics

Unless otherwise specified, insulation must have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with [ASTM E84](#). Flame spread, and smoke developed indexes, shall be determined by [ASTM E84](#) or [UL 723](#). Test insulation in the same density and installed thickness as the material to be used in the actual construction. Prepare and mount test specimens according to [ASTM E2231](#).

## 2.3 MATERIALS

Provide insulation that meets or exceed the requirements of [ASHRAE 90.1 - IP](#) and [ASHRAE 90.2](#). Insulation exterior shall be cleanable, grease resistant, non-flaking and non-peeling. Materials shall be compatible and shall not contribute to corrosion, soften, or otherwise attack surfaces to which applied in either wet or dry state. Materials to be used on stainless steel surfaces shall meet [ASTM C795](#) requirements. Calcium silicate shall not be used on chilled or cold water systems. Materials shall be asbestos free. Provide product recognized under [UL 94](#) (if containing plastic) and listed in [FM APP GUIDE](#).

### 2.3.1 Adhesives

#### 2.3.1.1 Mineral Fiber Insulation Cement

Cement shall be in accordance with [ASTM C195](#).

#### 2.3.1.2 Lagging Adhesive

Lagging is the material used for [thermal insulation](#), especially around a cylindrical object. This may include the insulation as well as the cloth/material covering the insulation. To resist mold/mildew, lagging adhesive shall meet [ASTM D5590](#) with 0 growth rating. Lagging adhesives shall be nonflammable and fire-resistant and shall have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with [ASTM E84](#). Adhesive shall be [MIL-A-3316](#), Class 1, pigmented white and be suitable for bonding fibrous glass cloth to faced and unfaced fibrous glass insulation board; for bonding cotton brattice cloth to faced and unfaced fibrous glass insulation board; for sealing edges of and bonding glass tape to joints of fibrous glass board; for bonding lagging cloth to thermal insulation; or Class 2 for attaching fibrous glass insulation to metal surfaces. Lagging adhesives shall be applied in strict accordance with the manufacturer's recommendations for pipe and duct insulation.

### 2.3.2 Caulking

[ASTM C920](#), Type S, Grade NS, Class 25, Use A.

### 2.3.3 Corner Angles

Nominal 0.016 inch aluminum 1 by 1 inch with factory applied kraft backing. Aluminum shall be ASTM B209, Alloy 3003, 3105, or 5005.

### 2.3.4 Fittings

Fabricated Fittings are the prefabricated fittings for flexible elastomeric pipe insulation systems in accordance with ASTM C1710. Together with the flexible elastomeric tubes, they provide complete system integrity for retarding heat gain and controlling condensation drip from chilled-water and refrigeration systems. Flexible elastomeric, fabricated fittings provide thermal protection (0.25 k) and condensation resistance (0.05 Water Vapor Transmission factor). For satisfactory performance, properly installed protective vapor retarder/barriers and vapor stops shall be used on high relative humidity and below ambient temperature applications to reduce movement of moisture through or around the insulation to the colder interior surface.

### 2.3.5 Finishing Cement

ASTM C450: Mineral fiber hydraulic-setting thermal insulating and finishing cement. All cements that may come in contact with Austenitic stainless steel must comply with ASTM C795.

### 2.3.6 Fibrous Glass Cloth and Glass Tape

Fibrous glass cloth, with 20X20 maximum mesh size, and glass tape shall have maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Tape shall be 4 inch wide rolls. Class 3 tape shall be 4.5 ounces/square yard. Elastomeric Foam Tape: Black vapor-retarder foam tape with acrylic adhesive containing an anti-microbial additive.

### 2.3.7 Staples

Outward clinching type ASTM A167, Type 304 or 316 stainless steel.

### 2.3.8 Jackets

#### 2.3.8.1 Aluminum Jackets

Aluminum jackets shall be corrugated, embossed or smooth sheet, 0.016 inch nominal thickness; ASTM B209, Temper H14, Temper H16, Alloy 3003, 5005, or 3105. Corrugated aluminum jacket shall not be used outdoors. Aluminum jacket circumferential seam bands shall be 2 by 0.016 inch aluminum matching jacket material. Bands for insulation below ground shall be 3/4 by 0.020 inch thick stainless steel, or fiberglass reinforced tape. The jacket may, at the option of the Contractor, be provided with a factory fabricated Pittsburgh or "Z" type longitudinal joint. When the "Z" joint is used, the bands at the circumferential joints shall be designed by the manufacturer to seal the joints and hold the jacket in place.

### 2.3.9 Vapor Retarder Not Required

ASTM C921, Type II, Class D, minimum puncture resistance 50 Beach units on all surfaces except ductwork, where Type IV, maximum moisture vapor

transmission 0.10, a minimum puncture resistance of 25 Beach units is acceptable. Jacket shall have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with [ASTM E84](#).

#### 2.3.10 Wire

Soft annealed [ASTM A580/A580M](#) Type 302, 304 or 316 stainless steel, 16 or 18 gauge.

#### 2.3.11 Insulation Bands

Insulation bands shall be  $1/2$  inch wide; 26 gauge stainless steel.

#### 2.3.12 Sealants

Sealants shall be chosen from the butyl polymer type, the styrene-butadiene rubber type, or the butyl type of sealants. Sealants shall have a maximum permeance of 0.02 perms based on Procedure B for [ASTM E96/E96M](#), and a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with [ASTM E84](#).

### PART 3 EXECUTION

#### 3.1 APPLICATION - GENERAL

Insulation shall only be applied to unheated and uncooled piping and equipment. Flexible elastomeric cellular insulation shall not be compressed at joists, studs, columns, ducts, hangers, etc. The insulation shall not pull apart after a one hour period; any insulation found to pull apart after one hour, shall be replaced.

##### 3.1.1 Installation

Except as otherwise specified, material shall be installed in accordance with the manufacturer's written instructions. Insulation materials shall not be applied until tests specified in other sections of this specification are completed. Material such as rust, scale, dirt and moisture shall be removed from surfaces to receive insulation. Insulation shall be kept clean and dry. Insulation shall not be removed from its shipping containers until the day it is ready to use and shall be returned to like containers or equally protected from dirt and moisture at the end of each workday. Insulation that becomes dirty shall be thoroughly cleaned prior to use. If insulation becomes wet or if cleaning does not restore the surfaces to like new condition, the insulation will be rejected, and shall be immediately removed from the jobsite. Joints shall be staggered on multi layer insulation. Mineral fiber thermal insulating cement shall be mixed with demineralized water when used on stainless steel surfaces. Insulation, jacketing and accessories shall be installed in accordance with [MICA Insulation Stds](#) plates except where modified herein or on the drawings.

##### 3.1.2 Firestopping

Where and pass through fire walls, fire partitions, above grade floors, and fire rated chase walls, the penetration shall be sealed with fire stopping materials as specified in Section [07 84 00 FIRESTOPPING](#). The protection of ducts at point of passage through firewalls must be in accordance with [NFPA 90A](#) and/or [NFPA 90B](#). All other penetrations, such as piping, conduit, and wiring, through firewalls must be protected with a

material or system of the same hourly rating that is listed by UL, FM, or a NRTL.

3.1.3 Painting and Finishing

Painting shall be as specified in Section 09 90 00 PAINTS AND COATINGS.

3.1.4 Welding

No welding shall be done on or without written approval of the Contracting Officer. .

3.1.5 That Require Insulation

Insulation is required on all or except for omitted items as specified.

-- End of Section --

SECTION 23 52 33.03 20

WATER-TUBE BOILERS, OIL/GAS OR OIL  
11/08

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN BOILER MANUFACTURERS ASSOCIATION (ABMA/BOIL)

ABMA Boiler 103 (2001) Selected Codes and Standards of the Boiler Industry

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA C651 (2014) Standard for Disinfecting Water Mains

ASME INTERNATIONAL (ASME)

ASME A13.1 (2015) Scheme for the Identification of Piping Systems

ASME B16.11 (2011) Forged Fittings, Socket-Welding and Threaded

ASME B16.18 (2012) Cast Copper Alloy Solder Joint Pressure Fittings

ASME B16.21 (2011) Nonmetallic Flat Gaskets for Pipe Flanges

ASME B16.22 (2013) Standard for Wrought Copper and Copper Alloy Solder Joint Pressure Fittings

ASME B16.26 (2013) Standard for Cast Copper Alloy Fittings for Flared Copper Tubes

ASME B16.3 (2011) Malleable Iron Threaded Fittings, Classes 150 and 300

ASME B16.34 (2013) Valves - Flanged, Threaded and Welding End

ASME B16.39 (2014) Standard for Malleable Iron Threaded Pipe Unions; Classes 150, 250, and 300

ASME B16.5 (2013) Pipe Flanges and Flanged Fittings: NPS 1/2 Through NPS 24 Metric/Inch Standard

ASME B16.9 (2012) Standard for Factory-Made Wrought Steel Buttwelding Fittings

ASME B31.1 (2014; INT 1-47) Power Piping

ASME BPVC SEC I (2015) BPVC Section I-Rules for Construction of Power Boilers

ASME BPVC SEC VII (2010) BPVC Section VII-Recommended Guidelines for the Care of Power Boilers

ASME PCC-2 (2015) Repair of Pressure Equipment and Piping

ASME PTC 4 (2013) Fired Steam Generators

ASTM INTERNATIONAL (ASTM)

ASTM A106/A106M (2014) Standard Specification for Seamless Carbon Steel Pipe for High-Temperature Service

ASTM A193/A193M (2015a) Standard Specification for Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service and Other Special Purpose Applications

ASTM A194/A194M (2015a) Standard Specification for Carbon and Alloy Steel Nuts for Bolts for High-Pressure or High-Temperature Service, or Both

ASTM A312/A312M (2016) Standard Specification for Seamless, Welded, and Heavily Cold Worked Austenitic Stainless Steel Pipes

ASTM A53/A53M (2012) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless

ASTM D1047 (2011) Poly(Vinyl Chloride) Jacket for Wire and Cable

FM GLOBAL (FM)

FM DS 12-17 (2001) Watertube Boilers

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-69 (2003; Notice 2012) Pipe Hangers and Supports - Selection and Application (ANSI Approved American National Standard)

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-STD-101 (2014; Rev C) Color Code for Pipelines and for Compressed Gas Cylinders

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910-SUBPART Q

Welding, Cutting, and Brazing

U.S. NAVAL FACILITIES ENGINEERING COMMAND (NAVFAC)

NAVFAC MO 324

(1992) Inspection and Certification of  
Boilers and Unfired Pressure Vessels

## 1.2 SYSTEM DESCRIPTION

### 1.2.1 Design Requirements

Section includes the following repairs to existing Boiler No. 1 at NS Newport RI. Refer to Drawings for identification of scope items required, including the following:

- a. Boiler Tubes.
- b. Headers (Bid Option).
- c. Boiler Casing.
- d. Boiler Refractory and Insulation.

For reference, the following is a general description of existing Boiler No. 1:

- a. Manufacturer: Riley Stoker Corporation.
- b. Serial Number: 3367.
- c. Year Built: 1959.
- d. Capacity: 75,000 lb/hr continuous, 82,500 lb/hr peak for four hours.

#### 1.2.1.1 Boiler Design and Service Conditions

- a. Design pressure: 950 gage psig
- b. Operating pressure: 165 gage psig
- c. Steam temperature: 825 degrees F
- d. Feedwater temperature: 220 degrees F
- e. Site elevation: 27.15 feet at operating floor elevation.
- f. Ambient air temperature:  
Minimum: 5 degrees F  
Maximum: 87 degrees F
- g. Maximum continuous output (steam): 75,000 lb/hr
- h. Excess air leaving the boiler: 15 percent
- i. Gas temperature leaving boiler: 530 degrees F

- j. Gas temperature leaving air heater: 380 degrees F.
- k. Temperature of air leaving heater: 260 degrees F.
- l. Draft loss through boiler and superheater: 0.75 inches.
- m. Nitrogen Oxide (NOx) conc. in flue gas: 1.2 lbs per million BTU input (natural gas).
- n. Boiler thermal efficiency: 85.5 percent.

Unless otherwise indicated, above operating conditions are based upon manufacturer's predicted operation at full capacity on No. 4 fuel oil at 18,000 BTU per lb. Unit also operates on natural gas.

### 1.2.2 Detail Drawings

#### 1.2.2.1 Boiler

Show arrangement and details of modifications to wall sections, refractory/insulation anchoring system, tubing details, and tubing/header/drum connection details. Submit descriptive information with the drawings on each item of the drawings.

#### 1.2.2.2 Burners

If the burner throat is replaced submit drawings showing the following:

- a. General arrangement
- b. Throat tile and setting details

#### 1.2.2.3 Reproducible Drawings

Submit one reproducible mylar shop drawing of each approved drawing sheet to the Contracting Officer for the following items:

- a. Boiler and tubing details
- b. Burner throat details.

### 1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Submittals required by this section require the approval of the Contracting Officer. Within 60 days after award of the contract, shop drawings accompanied with complete manufacturer's descriptive information shall be submitted for approval as specified in Section 23 03 00.00 20 BASIC MECHANICAL MATERIALS AND METHODS. Drawing size shall be 34 by 22 inches.

SD-02 Shop Drawings

Boiler wall, roof, and tubing details; G  
Burner Throat and Setting; G  
Reproducible Drawings; G

SD-03 Product Data

Refractory/Insulation/Wall Anchoring System; provide description clearly identifying method of installation. G  
Boiler Tubing; G  
Waterwall Headers; G

SD-05 Design Data

Engineering Calculations; G  
Experience Requirements; G

SD-06 Test Reports

Boiler Performance Test Procedure; G  
Hydrostatic and Leak Tightness Tests; G  
Preliminary Operation; G  
Boilers and Auxiliaries Tests and Inspections; G

Submit for tests and inspections as specified in the paragraph FIELD QUALITY CONTROL. Submit a detailed written record of test conditions, test procedures, field data, and startup and operational performance of entire heating plant to the Contracting Officer before the Contractor's operational and test personnel leave the site.

SD-07 Certificates

Compatibility of Boiler Components and Equipment; G  
System And Equipment Installation

SD-10 Operation and Maintenance Data

Boiler, Data Package 3; G

Include the following supplemental information in addition to the requirements of Section 23 03 00.00 20 BASIC MECHANICAL MATERIALS AND METHODS.

- a. Illustrations, catalog information, shop drawings, and certified drawings of each item of equipment
- b. Tests and Test Results
- c. Adjustments and final setpoints
- d. Inspection and repair methods and requirements
- e. List of Special Tools Required
- f. New Air-Fuel Curves on both Fuel Oil and Natural Gas, Minimum ten (10) Points.

SD-11 Closeout Submittals

Record Drawings (As-Builts); G

1.4 QUALITY ASSURANCE

1.4.1 Experience

1.4.1.1 Experience Requirements

The Contractor shall be regularly employed in fabricating, erecting, testing and start-up of boilers and similar equipment. Contractor shall possess all necessary stamps for the performance of work, and shall have minimum of five (5) years' experience and three (3) boiler overhauls of similar size and complexity as this project.

1.4.2 Responsibility of the Contractor

Contractor shall ensure that the manufacturers of boiler components and auxiliaries provide equipment compatible with the boiler. Equipment includes but is not limited to the following: Boiler tubes, waterwall manifolds, refractory, and insulation.

1.4.3 Standard Commercial Product

Equipment and materials shall be manufactured in accordance with the requirements of this specification and shall be the manufacturer's standard commercial product. Additional or higher quality features which are not specifically prohibited by this specification, but which are a part of the manufacturers' standard commercial product, shall be included in the equipment being provided. A standard commercial product is a product which has been sold or is being currently offered for sale on the commercial market through advertisements or manufacturer's catalogs, or brochures, and represents the latest production model.

1.4.4 Certificates

1.4.4.1 Compatibility of Boiler Components and Equipment

Contractor shall submit certifications from the component manufacturer stating that boiler components are compatible with the boiler.

1.4.4.2 System and Equipment Installation

Contractor shall submit written certification from each material supplier that the installation is in accordance with the system supplier's and equipment manufacturer's instructions and recommendations, and the equipment or system is ready for final testing. Certificates shall be submitted before boiler curing, boil-out, and final acceptance test.

1.4.4.3 Identical Equipment

Contractor shall submit evidence from the equipment manufacturer to show that substantially identical equipment produced by the manufacturer and of comparable operating parameters (within plus or minus 20 percent) has been successfully installed and operated in not less than three installations under comparable operating conditions for a period of not less than two years.

#### 1.4.4.4 Calculations and Performance Submittals

Provide [Engineering Calculations](#) and [Boiler Performance Test Procedure](#) data for approval. Data shall be signed by a Professional Engineer.

#### 1.5 DELIVERY, STORAGE, AND HANDLING

Each assembly of components packaged as a unit shall be of a size that can be transported by common carrier without disassembly insofar as shipping clearances are concerned.

#### 1.6 ENVIRONMENTAL REQUIREMENTS

##### 1.6.1 Emission Requirements

Boiler shall be tuned by qualified Contractor to optimum efficiency and emission performance.

### PART 2 PRODUCTS

#### 2.1 MATERIALS

Provide materials free of defects which could adversely affect the performance or maintainability of individual components or of the overall assembly. Materials not specified herein shall be of the same quality used for the intended purpose in commercial practice. Unless specified otherwise herein, equipment, material, and articles incorporated in the work covered by this specification shall be new.

#### 2.2 BOILER MATERIALS

##### 2.2.1 Tubes

Information regarding the materials provided by the original manufacturer is contained in the attached APPENDIX ( ). Boiler, furnace and other required tubes and materials shall be as follows:

###### 2.2.1.1 Tubes, General

Boiler generator tubes shall be 2-1/2 inch outside diameter, 0.135 inch minimum thickness, electric resistance welded carbon steel in accordance with ASME SEC II-A SA-178/SA-178M

Front waterwall tubes shall be 3-1/4 inch outside diameter, 0.200 inch minimum thickness, electric resistance welded carbon steel in accordance with ASME SEC II-A SA-178/SA-178M.

Side waterwall tubes shall be 3-1/4 inch outside diameter, 0.180 inch minimum thickness, electric resistance welded carbon steel in accordance with ASME SEC II-A SA-178/SA-178M.

Rear waterwall tubes shall be 3-1/4 inch outside diameter, 0.200 inch minimum thickness, electric resistance welded carbon steel in accordance with ASME SEC II-A SA-178/SA-178M.

Roof Releaser tubes shall be 3-1/4 inch outside diameter, 0.200 inch minimum thickness, electric resistance welded carbon steel in accordance with ASME SEC II-A SA-178/SA-178M.

Superheater tubes shall be 2 inch outside diameter, 0.150 inch minimum thickness, seamless carbon steel in accordance with ASME SEC II-A SA-192/SA-192M.

#### 2.2.2 Waterwall Headers (Bid Option Item)

Information regarding the materials provided by the original manufacturer is contained in the attached APPENDIX .

- a. Under the base bid, the existing waterwall headers shall be retained and re-used with new tubes. Existing handholes shall be machined to provide positive seal in operation.
- b. As a bid option, provide new waterwall headers per below. Headers shall be shop fabricated by the manufacturer of the boiler tubes, and shall be provided with stub connections of sufficient length to permit field welding of tubes to headers. Provide front and lower sidewall connectors.
- c. Lower side waterwall headers shall be 12-3/4" outside diameter, minimum 1.125" thick, SA-106 Grade C carbon steel. Provide 3-1/4" outside diameter, 0.180" thick SA178A waterwall tube stubs; 6-5/8" outside diameter, 0.432" thick SA-106 Grade B carbon steel frontwall connector nozzle. Provide endplates and minimum two (2) handholes for inspection. Headers shall be post-weld heat treated per the requirements of ASME Section I PW-39.
- d. Upper side waterwall headers shall be 10-3/4" outside diameter, minimum 1.125" thick, SA-106 Grade C carbon steel. Provide 3-1/4" outside diameter, 0.180" thick SA178A waterwall tube stubs; 3-1/4" outside diameter, 0.200" thick SA178A releaser tube stubs. Provide endplates and minimum two (2) handholes for inspection. Headers shall be post-weld heat treated per the requirements of ASME Section I PW-39.
- e. Front wall header shall be 10-3/4" outside diameter, minimum 1.000" thick, SA-106 Grade C carbon steel. Provide 3-1/4" outside diameter, 0.180" thick SA178A waterwall tube stubs; 6-5/8" outside diameter, 0.432" thick SA-106 Grade B carbon steel frontwall connector nozzles. Provide endplates and minimum two (2) handholes for inspection. Headers shall be post-weld heat treated per the requirements of ASME Section I PW-39.

#### 2.2.3 Refractory

Refer to plans and Appendix for information regarding refractory size and thickness requirements.

##### 2.2.3.1 Interlocking Tile Firebrick

Firebrick at a minimum shall meet or exceed the quality of the existing firebrick. It shall be non-spall, first quality with a minimum temperature rating of 2,500 degrees F. Firebrick shall have a bulk density between 128 and 150 pounds per cubic foot in accordance with ASTM C20, cold crushing strength between 2,000 and 3,000 PSI in accordance with ASTM C133, and modulus of rupture between 550 and 750 PSI in accordance with ASTM C133. Spalling loss shall be less than 4 percent at

2,900 degrees F. Chemical analysis shall be as follows:

- a. Silica: 53-58 percent
- b. Alumina: 37-42.1 percent
- c. Iron Oxide: 1-2.5 percent
- d. Lime: 0.1-0.6 percent
- e. Magnesia: 0.1-0.6 percent
- f. Titania: 1.0-2.0 percent
- g. Alkalis: 0.5-1.5 percent

#### 2.2.3.2 Block Insulation

Firebrick at a minimum shall meet or exceed the quality of the existing firebrick. It shall be manufactured from vermiculite granules and high temperature bonding materials with a minimum temperature rating of 1,900 degrees F. Firebrick shall have a bulk density of 33 pounds per cubic foot at 1,900 degrees F, minimum compressive strength of 350 PSI (dried) per ASTM C 165. Chemical analysis shall be as follows:

- a. Silica: 40 percent
- b. Alumina: 9.1 percent
- c. Calcium Oxide: 15 percent
- d. Ferric Oxide: 6.8 percent
- e. Titanium Oxide: 1.2 percent
- f. Magnesium Oxide: 13 percent
- g. Alkalis: 2.6 percent
- h. Sulfur Trioxide: 0.4 percent
- i. Loss on Ignition: 10 percent

#### 2.2.3.3 Castable Refractory

Castable refractory shall be high strength castable of first quality with a service temperature of 2,500 degrees F. Strength shall be between 3,000 and 4,500 PSI, and modulus of rupture shall be between 850 and 1,100 PSI at 2,500 degrees F. Linear change at 2,500 degrees F shall be -0.2 percent. Thermal conductivity at 2,000 degrees F shall not exceed 6.4 BTU-in/sq ft-hr-degrees F. Chemical analysis shall be as follows:

- a. Silica: 39 - 42 percent
- b. Alumina: 40 - 46 percent
- c. Iron Oxide: 1.5 - 5 percent
- d. Lime: 8.9 - 11.5 percent
- e. Magnesia: 0.2 - 1.0 percent
- f. Titania: 1.5 - 2.5 percent
- g. Alkalis: 0.2 - 1.5 percent

#### 2.2.3.4 Plastic Refractory

Plastic refractory shall be high alumina super duty type with service temperature of 3,000 degrees F. Modulus of rupture shall be in the range of 600 to 800 PSI at 2,000 degrees F, spalling shall not exceed 2 percent at 3,000 degrees F and thermal conductivity shall not exceed 9 BTU-in/sq ft-hr-degrees F. Chemical analysis shall be as follows:

- a. Silica: 24 - 46 percent
- b. Alumina: 49 - 71 percent
- c. Iron Oxide: 1.4 - 2.5 percent

- d. Lime: 0.1 - 0.6 percent
- e. Magnesia: 0.1 - 1.6 percent
- f. Titania: 1.5 - 2.6 percent
- g. Alkalis: 0.5 - 1.3 percent

#### 2.2.4 Blanket Insulation

Blanket insulation shall at a minimum meet or exceed the quality of the existing insulation. Blanket insulation shall be spun from ceramic aluminosilicate refractory fiber with recommended operating temperature of 2,150 degrees F. Specific heat shall be 0.27 BTU/lb-degree F at 2,000 degrees F. Thickness shall be as indicated on plans.

#### 2.2.5 Braided Ceramic Packing Rope

Braided ceramic packing rope shall at a minimum meet or exceed the quality and performance of the existing materials. It shall be an alumino-silicate based refractory fiber, with wire reinforcement and recommended operating temperature of up to 2300 degrees F, 1800 degrees F continuous. Fiber shall have chemical composition with silica and alumina of over 97 percent, with Iron oxide less than 1.1 percent. Thermal conductivity at 1800 degrees F shall be 1.19 BTU-in/sq ft-hr-degrees F. It shall be available in sizes or 1/4-inch through 3-inch.

#### 2.2.6 Casing

Existing casing shall be re-used to extent as practical. Section(s) as necessary may be carefully removed, stored and replaced. NOTE: THE RESULTS OF A HAZARDOUS MATERIAL SURVEY INDICATES THE PRESENCE OF LEAD IN THE EXISTING CASING PAINT. SEE ATTACHED REPORT IN APPENDIX FOR FURTHER INFORMATION AND CARE IN THE REMOVAL AND HANDLING OF MATERIALS.

#### 2.2.7 Access and Observation Doors

The existing boiler is currently provided with a sufficient number of access doors and observation doors. If, during the course of work an existing access or observation door is damaged, it shall be replaced with a new similar size and configuration door in the same location as the former door. Doors shall be designed for minimum 20 inch WC furnace pressure, with door liner refractory with minimum thermal resistance equal to boiler wall performance, 1 inch positive knife seal, and 1-inch by 1-inch gasket. Where required to provide similar performance, provide observation ports or other ports or fittings.

#### 2.3 BURNER AND WINDBOX PACKAGES

The existing No. 4 fuel oil/natural gas-fired burner shall remain for re-use in its entirety, including all burner parts, burner throat and setting, accessories, piping, controls, safeguards and electrical components. Provide complete protection for all components from damage, carefully remove/protect/store selected components for re-installation by this Contractor.

## 2.4 FANS

### 2.4.1 Forced Draft Fan

Existing forced draft fan, along with existing mechanical and electrical accessories, shall be re-used.

### 2.4.2 Induced Draft Fan

Existing induced draft fan, along with existing mechanical and electrical accessories, shall be re-used.

## 2.5 COMBUSTION AIR DUCT, BREECHING, EXPANSION JOINTS, STACKS, DAMPERS

### 2.5.1 Combustion air duct

The existing combustion air duct shall be re-used. Section(s) of combustion air duct may be carefully removed, stored and replaced to permit access for re-tube of boiler. Any damaged portion(s) shall be repaired or replaced to match existing. Paint sections removed to match existing adjacent duct.

### 2.5.2 Breeching

Breeching shall remain.

### 2.5.3 Expansion Joints

Existing expansion joints shall remain and shall be protected from damage. Any expansion joint damaged during construction shall be replaced with new joint of new non-asbestos materials having equal or higher quality.

### 2.5.4 Stack

Existing stack shall remain.

### 2.5.5 Dampers

Existing dampers shall remain.

## 2.6 MISCELLANEOUS EQUIPMENT

### 2.6.1 Chemical Feed Systems

Existing chemical feed system shall be re-used. Protect all chemical feed equipment and materials from damage during construction.

### 2.6.2 Boiler Boil-out Chemicals

Provide all boiler boil-out chemicals necessary for cleaning of boiler for start-up. Chemicals shall be compatible with plant chemical treatment system, and shall be used and discarded in strict accordance with the manufacturer's recommendations. Boil-out shall be performed under the supervision of the Boiler Plant Chemical Treatment Company.

### 2.6.3 Continuous Blowdown System

Continuous blowdown system shall remain. Protect existing system from

damage during construction.

## 2.7 PIPING

Piping work shall include the provision of piping systems, including valving and specialty items, for the steam plant and related external auxiliary equipment as necessary for the performance of the boiler re-tube work. Existing pipe that is temporarily removed to permit boiler work may be re-used if undamaged; all damaged pipe shall be replaced per specifications below. Piping materials, design, and fabrication shall be in accordance with ASME B31.1 except as modified below or indicated otherwise. The requirements of ASME B31.1 apply to all steam piping. Provide piping materials suitable for the maximum pressure at the maximum temperature at which the equipment must operate. Compute expansion of pipe with operating temperatures above zero degrees F in lieu 70 degrees F specified in ASME B31.1.

### 2.7.1 Piping Materials

Requirements for piping materials:

- a. Atomizing Steam Pipe: Pipe Black, ASTM A53/A53M or ASTM A106/A106M seamless steel pipe, Grade A or B. Wall thickness not less than Schedule 40.
- b. Chemical Feed Pipe: ASTM A312/A312M austenitic stainless steel.
- c. Fuel Oil Pipe: ASTM A53/A53M or ASTM A106/A106M, seamless black steel pipe, Grade A or B.
- d. Gas Pipe and Compressed Air Pipe: ASTM A53/A53M welded or seamless pipe up to a maximum pressure of 250 psig or ASTM A106/A106M, Grade A or B.
- e. Instrument Air Pipe: ASTM B88/ASTM B88M hard copper tubing, Type K or L; except in a corrosive atmosphere or outside pipe shall be copper tubing, Type K or L, with ASTM D1047 PVC jacketing.
- f. Steam Service Pipe: ASTM A106 Grade B. Wall thickness for pipe 1/2" to 2" shall be Schedule 80, wall thickness for pipe over 2" shall be Schedule 40.
- g. Boiler Feedwater Pipe: ASTM A106 Grade B. Wall thickness shall be Schedule 80 for all sizes

### 2.7.2 Fittings

#### 2.7.2.1 Fittings for Steel Pipe

Provide as follows:

- a. Sizes 1/8 to 2 inches: ASME B16.3 malleable iron, screwed end fittings, for working pressures not greater than 300 psig at temperatures not greater than 450 degrees F or ASME B16.11 forged steel.
- b. Sizes 1/8 to 2 inches: ASME B16.11 steel, socket welded end fittings.

c. Sizes 1/8 to 2 1/2 inches: ASME B16.9 steel, butt welding fittings.

d. Sizes 2 1/2 to 24 inches: ASME B16.5 forged steel, flanged fittings.

#### 2.7.2.2 Welded Outlets and Welding Saddles

Make branch connections of 45 and 90 degrees either with ASME B16.9 forged steel welded outlet fittings or welding saddles. Welding outlets and saddles shall not be smaller than two pipe sizes less than the main pipe sizes.

#### 2.7.2.3 Fittings for Copper Tubing

ASME B16.18 cast bronze solder joint or ASME B16.22 wrought copper solder joint. For instrument air, fittings may be ASME B16.26 compression joint type.

#### 2.7.2.4 Unions

Provide as follows:

a. Unions For Steel Pipe: ASME B16.11, ASME B16.39 threaded. Unions for zinc coated pipe shall be zinc coated.

b. Unions For Copper Tubing: ASME B16.22. For instrument air, unions may be compression joint type.

#### 2.7.3 Flanges

ASME B16.5, forged steel, welding type. Remove the raised faces on flanges when used with flanges having a flat face. Except as specified otherwise, pressure and temperature limitations shall be as specified in ASME B16.5 for the proper class and service, and the type face specified.

#### 2.7.4 Valves

##### 2.7.4.1 Low Pressure

Valves for maximum working pressure of 150 psig saturated steam or 225 psig W.O.G. (Water, Oil, Gas) at 200 degrees F, non-shock service. For working pressures not exceeding 125 psig saturated steam or 200 psig water at 93 degrees C 200 degrees F non-shock service, Class 125 may be used in lieu of Class 150 or Class 250.

a. Valve Sizes 2 Inches and Smaller:

(1) Non-Throttling Valves: Gate valves, bronze, wedge disc, rising stem, Class 150, MSS SP-80 or ball valves, bronze, double stem seals, stainless steel ball and shaft, tight shutoff.

(2) Globe Valves and Angle Valves: Bronze, Class 150, MSS SP-80.

(3) Check Valves: Bronze, Type IV, swing check, Class 150, MSS SP-80.

b. Valve sizes 2 1/2 inches and larger.

(1) Gate Valves: Flanged, cast iron, Class 250, MSS SP-70 or

steel, Class 150, ASME B16.34. Valves shall have wedge disc, outside screw and yoke (OS&Y), rising stem; valves 8 inches and larger shall have globe valved bypass.

(2) Globe Valves and Angle Valves: Flanged, cast iron, Class 250, MSS SP-85 or steel, Class 150, ASME B16.34.

(3) Check Valves: Flanged, cast iron, Class 250 or steel, Class 150, swing check, ASME B16.34.

#### 2.7.4.2 Medium Pressure

Valves for maximum working pressure of 250 psig steam at a maximum temperature of 450 degrees F or 500 psig W.O.G. at 200 degrees F (non-shock).

Valve sizes 2 1/2 inches and larger:

a. Gate Valves: Flanged or butt welded, cast iron, Class 250, MSS SP-70 (maximum size 12 inches) or steel, Class 300, ASME B16.34. Valves shall have wedge disc, OS&Y, rising stem; each valve 200 mm 8 inches and larger shall have globe valved bypass.

b. Globe Valves and Angle Valves: Flanged or butt welded, cast iron, Class 250, MSS SP-85 or steel, Class 300, ASME B16.34.

#### 2.7.4.3 Ball Valves

ASME B16.5 and API Std 607 double stem seal type for bubble tight shutoff. Seats and seals shall be TFE material. Ball and shaft shall be stainless steel. Provide mechanical stops to prevent cycling valve in wrong direction and self-aligning stem seal.

#### 2.7.4.4 Valve Accessories

ASME B16.34 valve operating mechanisms including chain wheels and gear operators. Provide accessories as follows and as indicated.

a. Provide chain wheels with chain and guides on valves with handwheel centerline higher than 7 feet above the floor or platform except where specified otherwise. Chains shall extend from valve to within 3 feet above floor. Provide impact chain wheels on steam headers and other locations where valve has a tendency to stick.

b. Provide gear operators on ball valves larger than 3 inches and on gate valves 8 inches and larger.

#### 2.7.5 Bolts and Nuts

Provide the following:

a. Bolts: ASTM A193/A193M, Grade B8. Lengths of bolts shall be such that not less than two full threads will extend beyond the nut with the bolts tightened to required tensions and washers seated.

b. Nuts: ASTM A194/A194M, Grade 8.

#### 2.7.6 Gaskets

ASME B31.1 and as specified below, except provide spiral wound metal covered non-asbestos gaskets in lieu of compressed sheet non-asbestos. Gaskets shall be as thin as the finish of surfaces will permit. Do not use paper, vegetable fiber, rubber, or rubber inserted gaskets for temperatures greater than 250 degrees F. Provide metal or metal jacketed non-asbestos gaskets with small male and female and small tongue-and-groove flanges and flanged fittings; they may be used with steel flanges with lapped, large male and female, large tongue-and-groove, and raised facings. Provide full-face gaskets with flat-faced flanges. Raised face cast iron flanges, lapped steel flanges, and raised faced steel flanges shall have ring gaskets with an outside diameter extending to the inside of the bolt holes. Widths of gaskets for small male and female and for tongue-and-groove joints shall be equal to the widths of the male face and tongue. Gaskets shall have an inside diameter equal to or larger than the port opening. Dimensions for nonmetallic gaskets shall be in accordance with ASME B16.21. Materials for flanged gaskets shall be as listed below for service specified:

- a. Steam: Spiral wound metal composition or copper.
- b. Hot Water, (above 100 degrees F): Spiral wound metal non-asbestos.
- c. Cold Water: Red rubber or neoprene rubber.
- d. Fuel Oil (No. 4): ASME B16.21 metallic.
- e. Compressed Air: Spiral wound metal non-asbestos.

#### 2.7.7 Pipe Hangers and Supports

MSS SP-58 and MSS SP-69 of the adjustable type, except as specified or indicated otherwise. Suspended steam and condensate piping shall have pipe hangers with insulation protection saddles. Provide insulated piping, except steam and condensate piping, with insulation protection shields Type 40. Provide bronze or copper plated collars on uninsulated copper piping. Support rods shall be steel. Rods, hangers and supports shall be zinc plated, except for uninsulated copper piping which shall be copper plated; cast iron rollers, bases and saddles may be painted with two coats of heat resisting aluminum paint in lieu of zinc plating. Axles for cast iron rollers shall be stainless steel. Size hanger rods with a 150 percent safety factor for a seismic design.

#### 2.7.8 Instrumentation

Instrumentation shall be re-used. Instrumentation shall be temporarily removed as necessary to permit re-tube of boiler. Instrumentation shall be carefully protected and stored, and re-installed upon completion of boiler work. Prior to removal a pre-disassemble operational test (condition assessment) shall be performed with Government Inspector present to verify operational state of instrument components and systems. Any re-used instrumentation found to be defective upon reinstallation shall be replaced with new modern instrumentation of equal or higher

quality to that removed.

### 2.7.9 Insulation Types and Installation Procedures

Materials and application shall be as specified in Section 23 07 00  
THERMAL INSULATION FOR MECHANICAL SYSTEMS.

### 2.7.10 Piping Identification

Conform to MIL-STD-101 and place in clearly visible locations; except that piping in the boiler room shall be painted the primary color of the color code. Labels and tapes conforming to ASME A13.1 shall be used in lieu of band painting or stenciling. Labels shall be outdoor grade acrylic plastic. Markings on the labels shall indicate the direction of flow, flowing media, and media design pressure and temperature. Spacing of identification marking shall not exceed 10 feet. Provide two copies of the complete color and stencil codes used. Frame codes under glass and install where directed.

## 2.8 WELDING MATERIALS

Comply with ASME BPVC SEC II-C. Welding equipment, electrodes, welding wire, and fluxes shall be capable of producing satisfactory welds when used by a qualified welder or welding operator using qualified welding procedures.

## PART 3 EXECUTION

### 3.1 INSTALLATION

Install materials and equipment as indicated and in accordance with manufacturer's recommendations. All work within Boiler shall be in accordance with ASME BPVC SEC I.

#### 3.1.1 Equipment Installation

Install piping in such a manner that it will not impart a stress on equipment. Flanged joints shall not be bolted tight unless they match adequately. Expansion bends shall be adequately extended before installation. Support, grade, anchor, and guide all piping so that there are no low pockets, which could accumulate fluids, along the piping run.

#### 3.1.2 Piping

Unless specified otherwise, erection, welding, brazing, testing and inspection of piping shall be in accordance with ASME B31.1.

##### 3.1.2.1 Fittings

Provide long radius elbows on welded piping to reduce pressure drops. Do not miter pipe to form elbows, notch straight runs to form full sized tees, or use similar construction. Make branch connections with welding tees, except factory made forged welding branch outlets or nozzles having integral reinforcements conforming to ASME B31.1 may be used.

##### 3.1.2.2 Grading of Pipe Lines

Unless indicated otherwise, install horizontal lines of steam and return piping to grade down in the direction of flow with a pitch of not less than

one inch in 30 feet, except in loop mains and main headers where flow may be either direction. Pitch air lines to the source of supply, and make provisions for draining off condensate. Install water lines to drain to a shutoff valve.

### 3.1.2.3 Anchoring, Guiding, and Supporting Piping

Anchor and support piping in a manner such that expansion and contraction will take place in the direction desired, prevent vibration by use of vibration dampeners, and prevent undue strains on boilers and equipment served. Fabricate hangers used for support of piping of 2 inch nominal pipe size and larger to permit adequate adjustment after erection while still supporting the load. Provide wall brackets where pipes are adjacent to walls or other vertical surfaces which may be used for supports. Provide supports to carry weight of lines and maintain proper alignment. Provide inserts and sleeves for supports in concrete where necessary and place in new construction before pouring concrete. Provide insulated piping with a pipe covering protection saddle at each support. Provide pipe guides and anchors of approved type at points where necessary to keep pipes in accurate alignment, to direct expansion movement, and to prevent buckling and swaying and undue strain. Provide pipe guides for alignment of pipe connected to free unanchored end of each expansion joint. Support pipe rollers in concrete conduits and trenches by extra strong steel pipe with ends inserted in slots provided in concrete walls. Set pipe supports for rollers at correct elevations either by metal shims or by cutting away of concrete and after placing pipe lines in alignment, grout ends of pipe supports and fix in place. Space pipe supports to provide adequate support for pipes. Pipe shall not have pockets formed in the span due to sagging of pipe between supports, caused by weight of pipe, medium in pipe, insulation, valves, and fittings. Maximum spacing for pipe supports for steel pipe shall be in accordance with ASME B31.1; maximum spacing for supports for copper tubing shall be in accordance with MSS SP-69.

### 3.1.2.4 Copper Tubing

Copper tubing shall have solder joints with solder suitable for the pressure-temperature ratings of the piping system. Tubing 3/4 inch and smaller for instrument air may be compression joint in lieu of soldered joint. Tin-antimony (95/5) solder is suitable for saturated steam up to 15 psig but tin-lead (50/50) solder is not acceptable for steam service. Flux shall be non-corrosive. Wipe excess solder from the joints.

### 3.1.2.5 Screwed Joints in Piping

Provide teflon tape or suitable pipe joint compound applied to male threads only for making up screwed joints. Piping shall be free from fins and burrs. Ream or file out pipe ends to size of bore, and remove chips.

### 3.1.2.6 Welds and Welded Joints

Weld joints in piping by the metal-arc or gas welding processes in accordance with ASME B31.1 and ASME BPVC SEC I. Weld points on boiler tubes or other pressure parts within boiler boundary by metal-arc or gas welding processes shall be in accordance with ASME Boiler and Pressure Vessel Code, Section I. Number or mark each weld to identify the work done by each welder on welds which stress relieving or radiographic inspection is required.

a. Recertification: The Contracting Officer reserves the right to

require the Contractor to provide re-examination and recertification of welders.

- b. Radiographic testing of circumferential butt welded joints of boiler tubes or pipe shall be required on one-hundred percent of the joints.
- c. Equipment and Protection: Items of equipment for welding shall be so designed and manufactured, and be in such condition as to enable qualified operators to follow procedures and to attain the results specified. Protect welders and gas cutters from the light of the arc and flame by approved goggles, shields, helmets, and gloves. Replace cover glasses in helmets and shields when they become sufficiently marred to impair the operator's vision. Take care to avoid risk of explosion and fire when welding and gas cutting near explosive or flammable materials. Ventilate welding and gas cutting operations in accordance with paragraph 29 CFR 1910-SUBPART Q.
- d. Surface Conditions: Do not weld when atmospheric temperature is less than zero degrees F, when surfaces are wet, when rain or snow is falling or moisture is condensing on surfaces to be welded, nor during periods of high wind, unless the welder and work are protected properly. At temperatures between 32 degrees F and zero degrees F heat with a torch the surface for an area within 3 inches of the joint to be welded to a temperature warm to the hand before welding. Free surfaces to be welded from loose scale, slag, rust, paint, oil, and other foreign material. Joint surfaces shall be smooth, uniform and free from fins, tears, and other defects which might affect proper welding. Remove slag from flame-cut edges to be welded by grinding, but temper color need not be removed. Thoroughly clean each layer of weld metal by wire brushing prior to inspection or deposition of additional weld metal.

#### 3.1.2.7 Cleaning of Piping, Cleanliness of Boiler Tubes

Before installing pipe, thoroughly clean it of sand, mill scale and other foreign material. After erection but before final connections are made to apparatus thoroughly clean the interior of piping. Flush with water piping except air and fuel lines, in addition, blow out steam lines with intermittent high pressure steam blows to promote shedding of internal scale. Blow compressed air and fuel oil lines clean with 80 to 100 psig air dried to a 35 degree F dew point at 80 psig. Sterilize potable water piping by means of liquid chlorine or hypochlorite in accordance with AWWA C651 before placing water system in service. Take care during fabrication and installation, to keep piping, valves, fittings and specialties free of loose welding metal chips of metal or slag, welding rods and other foreign matter. Blowing or flushing shall in no case be channeled through equipment, pump, control valve, regulating valve, instrument gage or specialty in the system. Provide temporary screens, strainers, connections, spool pieces and bypasses consisting of piping or hoses, pumps and other required equipment temporarily installed for the purpose of cleaning and flushing piping. Drain flushing water and test water to the sanitary sewer system. Boiler tubes shall be provided with protective caps at tube fabricator; caps shall remain in place until installation on boiler, and Contractor shall make all efforts to prevent foreign material entry into boiler tube or other boiler component.

#### 3.1.2.8 Reduction in Pipe Size

Provide reducing fittings for changes in pipe size; the use of bushings

will not be permitted. In horizontal steam lines, reducing fittings shall be the eccentric type to maintain the bottom of the lines in the same plane. In horizontal water mains, reducers shall be set to maintain the top of the lines in the same plane.

#### 3.1.2.9 Expansion Control

Provide bends, loops, and offsets wherever practical to relieve overstressed piping systems due to thermal expansion and to provide adequate flexibility. Cold spring piping system as indicated but not more than 50 percent of the total linear expansion.

#### 3.1.2.10 Connection to Equipment

Provide unions or flanges where necessary to permit easy disconnection of piping and apparatus. Provide unions and gate valves at each connection to threaded end control valves, strainers and equipment.

#### 3.1.2.11 Valve Installation

Install valves in positions accessible for operation and repair. Install stems in a vertical position with handwheels or operators on top or in a horizontal position. Do not install handwheels on stop valves below the valve. When centerline of valve is more than 7 feet above floor or platform, provide valve with a chain-operated handwheel.

- a. Gate Valves: Arrange back outlet gate valves for turbine exhaust for hand operation and provide with a floor stand.
- b. Globe Valves: Pressure shall be below the disc. Install globe valves with the stems horizontal on steam and exhaust lines, when better drainage is required or desired.
- c. Valve Tags and Charts: Permanently tag each valve with a black and white engraved laminated plastic tag showing valve number, valve function and piping system and whether another valve must be opened or closed in conjunction with this valve. Provide a typed chart which will show the required valve tagging plus the location of each valve. Frame valve charts under glass and install as directed.

#### 3.1.2.12 Strainer Locations

Provide strainers with meshes suitable for the services upstream of each control valve and where dirt might interfere with the proper operation of valve parts, orifices, or moving parts of equipment.

#### 3.1.2.13 Dissimilar Piping Materials

Provide dielectric unions or flanges between ferrous and nonferrous piping, equipment, and fittings, except that bronze valves and fittings may be used without dielectric couplings for ferrous-to-ferrous or nonferrous-to-nonferrous connections. Dielectric fittings shall utilize a nonmetallic filler which will prevent current flow from exceeding one percent of the short circuit current. Spacer shall be suitable for the pressure and temperature of the service. Fittings shall otherwise be as specified in this section.

### 3.1.3 Painting

#### 3.1.3.1 Mechanical and Electrical Equipment

Equipment shall be factory finished to withstand the intended end use environment in accordance with the specifications for particular end item. Factory finished equipment on which the finish has been damaged shall have damaged areas retouched and then be given a complete finish coat to restore the finish to its original condition. Finish coat shall be suitable for exposure in the intended end use environment.

#### 3.1.3.2 Piping, Fittings, and Other Items

Unless specified otherwise, pipe hangers, structural supports, pipe and pipe fittings, conduit and conduit fittings, air grilles, pipe coverings, insulation, and metal surfaces associated with mechanical and electrical equipment including zinc-coated steel ducts shall be painted utilizing the painting systems as specified in Section 09 90 00 PAINTS AND COATINGS. Zinc-coated steel duct in unpainted areas shall not be painted. Except zinc-coated and copper pipe, give piping to be insulated, a protective coating prior to installing insulation.

#### 3.1.3.3 Boilers

After re-assembling and testing boilers, clean exposed surfaces of the boiler normally painted in commercial practice to remove grease, coal dust, flyash and other foreign matter and finish with one coat of aluminum heat resisting paint applied to minimum dry film thickness of one mil. Final paint finish shall match existing.

#### 3.1.3.4 Surfaces Not to be Painted

Unless specified otherwise, do not paint equipment having factory applied permanent finish, switchplates and nameplates, motor starters, and concrete foundations.

### 3.1.4 Insulation

Insulate mechanical equipment, systems and piping as specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

## 3.2 FIELD QUALITY CONTROL

Provide labor, equipment, test apparatus and materials required for preparation and performance of tests and inspections specified to demonstrate that the boilers and auxiliary equipment as installed are in compliance with contract requirements. During startup and during tests, factory trained engineers or technicians employed by the boiler manufacturer and system suppliers or manufacturers of such components as the boiler, burner, forced draft fan, feedwater treatment equipment, and other auxiliary equipment shall be present, to ensure the proper functioning, adjustment, and testing of the individual components and systems. The Government will furnish, when available, water, electricity and fuel for the tests, except fuel required for retesting. The Contractor shall rectify defects disclosed by the tests and retest the equipment. The Contractor's boiler plant personnel shall be experienced in starting up and operating boiler plants.

### 3.2.1 Tests and Inspections (Piping)

#### 3.2.1.1 General Requirements

Examine, inspect, and test piping in accordance with ASME B31.1 except as modified below. The Contractor shall rectify defects disclosed by the tests. Necessary subsequent tests required to prove system tight after additional work by the Contractor shall be provided by the Contractor. Make tests under the direction of and subject to the prior approval of the Contracting Officer.

#### 3.2.1.2 Hydrostatic and Leak Tightness Tests

- a. Test piping systems attached to the boilers and included under the jurisdiction of the ASME BPVC SEC I in accordance with the requirement of that Code. Piping bearing ASME Code symbol stamp will be accepted only as indicating compliance with the design and material requirements of the code.

#### 3.2.2 Preliminary Operation

The Contractor under the direction of the respective manufacturer's representative shall perform the work of placing into operation equipment provided except as specifically noted otherwise. Make adjustments to equipment that are necessary to ensure proper operation as instructed by the manufacturer of the equipment.

#### 3.2.3 Boilers and Auxiliaries Tests and Inspections

After the, tubing, refractory, and casing work is completed, the Contractor shall inform the Contracting Officer and shall schedule the Boiler Inspector to inspect the work. The Boiler Inspector may want to witness the hydrostatic test and casing test. Review the work with the authorized inspector prior to performing the work to ensure all inspection requirements are incorporated. The Contractor shall have his R stamp on the boilers and present the documentation to the Boiler Inspector.

The Contractor, with qualified personnel provided by the Contractor, shall make tests and inspections at the site under direction of and subject to approval of the Contracting Officer. The respective manufacturer's representatives and consultants shall direct the Contractor's boiler plant personnel in the operation of each boiler and appurtenances through the entire testing period and shall ensure that necessary adjustments have been made. The Contractor shall notify the Contracting Officer in writing, at least 7 days in advance, indicating that equipment is ready for testing. The Contractor shall provide testing equipment, including gages, thermometers, calorimeter, flue gas analyzers, thermocouple pyrometers, fuel flow meters, water meters and other test apparatus and calibrate instruments prior to the test. Draft, fuel pressure and steam flow may be measured by permanent gages and meters installed under the contract. The Contractor is responsible for providing an analysis of the fuel being used for the tests. Control of noise levels developed by exhaust steam shall be as directed by the Contracting Officer to satisfy environmental conditions of the surrounding area. The Contractor shall perform the following tests in the sequence as listed when feasible:

- a. Strength and tightness tests

- b. Standards compliance tests
- c. Preliminary operational tests (steady state combustion test and variable load combustion test)
- d. Tests of auxiliary equipment
- e. Feedwater equipment test
- f. Capacity and efficiency tests

#### 3.2.3.1 Strength and Leak Tightness Tests

Subject boiler to the following strength and tightness tests:

- a. Watersides Including Fitting and Accessories: Hydrostatically test watersides in accordance with the requirements of the **ASME BPVC SEC I**. Coordinate final test pressure with Contracting Officer
- b. Boiler Casing, Air Casing, and Ducts: Test air casing and ducts exterior to the furnace pneumatically at the maximum working pressure. Use the soap bubble method to verify tightness. Test gas sides of boilers normally operated under pressure for tightness at one and one half times the predicted operating pressure in the furnace at maximum continuous output. For this test, tightly seal the boiler with a suitable means to blank off openings. Admit air to the boiler until the test pressure is reached, and then hold. If in a 10 minute period the pressure drop does not exceed **5 inches water gage**, the casing shall be regarded as tight and accepted.
- c. Boiler Boil-out: Dry out, boil out, and operate firing rate of boiler in the presence of boiler room operating personnel and under supervision of plant boiler chemical treatment company. Follow manufacturer's recommended boil-out procedures for recommended water level to be maintained, valve closures, firing rate, operating pressure, draining and cooling unit, etc. Isolate water columns, level switches, and gage glasses as required, before and during boil-out process.

Provide required chemicals. Provide all materials as recommended by boiler plant chemical treatment consultant.

Allow sufficient time for boiling out process to ensure interior surfaces are clean. This time shall be at least 24 continuous hours and generally not more than 36 hours; boil out shall continue until water is clear. Boil out, cleaning, and starting procedures shall be in accordance with requirements of ASME BPVC SEC VII and FM DS 12-17. Open the water drums to flush out and remove all foreign material. Inspect for cleanliness and prepare equipment for initial operation. Provide new gaskets on all manholes. Then, drain and refill boiler with feedwater. Dilute drain water with fresh city water to adhere to state and local codes.

#### 3.2.3.2 Boiler Inspection

A minimum of two (2) boiler inspectors are required for the completion of the work. One shall be the Contractor's Authorized Inspector (AI), who shall be responsible for review and certification of all tests including radiographic and hydrostatic, and shall be responsible for approval and

certification of all welding and completion of R1 documentation. The other inspector will be the Government Inspector ("the Boiler Inspector"), who shall be notified of all tests and shall be the Authority Having Jurisdiction for final acceptance of all work including proper installation and operation of all safety and operating devices.

The Boiler Inspector shall be on hand to witness the appropriate tests which need to be observed in order to certify the safety of the boiler. The inspection shall include the requirements of NAVFAC MO 324 Inspection and Certification of Boilers and Unfired Pressure Vessels. The Boiler Inspector shall complete NAVFAC form 9-11014/40, Data Record Sheet; NAVFAC form 9-11014/41, Inspection Report; NAVFAC 9-11014/32 Inspection Certificate for each boiler after boiler has been inspected and found to be safe. No boiler may be fired until it has passed the inspection of the Boiler Inspector. Boiler inspection forms shall be submitted through the Contractor to the Contracting Officer. Place Inspection Certificate under framed glass, mounted on or near the boiler in a conspicuous location.

### 3.2.3.3 Boiler Preliminary Operational Tests

Government will operate boilers at the direction of the Contractor.

The purpose of the preliminary tests is to ensure that the boiler is in working order; properly adjusted and in complete readiness for the acceptance test. Complete preliminary tests on boiler before starting acceptance test. The following personnel shall be present for the full duration of each test:

- a. Contractor and Consultant/Technician
- b. Contracting Officer and Engineer representing Government
- c. Base Operations Boiler Plant Operator and Controls Technician

Complete preliminary tests on boiler before starting acceptance test. This includes a preliminary test with natural gas and fuel oil. Approval for satisfactory performance of the preliminary tests, in accordance with the specifications, will be made by the Contracting Officer.

Due to the preliminary nature of the tests, interruptions to readjust or recheck equipment are expected and several starts or stops may be necessary before the continuous full load can be obtained.

Government will operate boiler at the direction of the Contractor to demonstrate control and operational conformance to specified requirements including ability to respond to load swings from the specified capacity to minimum turndown. Boiler will operate for a minimum of two (2) continuous hours at its maximum continuous load. Conduct operational test under the supervision of a registered professional engineer or a licensed power plant operator and demonstrate operation of safeties, controls, maintenance of stable combustion at low loads, proper flame lengths and patterns to avoid flame impingement on the tubes for oil or gas firing, and proper mechanical and electrical functioning of systems. This test shall include items mentioned in this specification as well as items mentioned in the specification of the particular pieces of equipment. Conduct tests with factory trained combustion equipment engineers as previously specified. Test and record steam quality, steam flowrates, flue gas temperature, percentages of carbon dioxide, carbon monoxide, oxygen and nitrogen in the flue gas and percent excess air for each boiler

at tested load and graphically present test data.

The preliminary test shall be considered satisfactory when the equipment has performed properly and the readings for 2 continuous hours indicate highest obtainable operating efficiencies. Note: The boiler performance will be compared against the original submittal data and boiler performance data, attached in the Appendix of these specifications. These documents are provided for reference only and will serve as a general basis of acceptability.

Conduct tests in accordance with ASME Power Test Code PTC 4.1 using their bound-in Test Form for Abbreviated Efficiency. Use the heat loss method to determine the Actual Gross Efficiency. Approximate Line 69 of the Test Form, "Heat Loss Due to Radiation", by use of the ABMA Standard Radiation Loss Chart, also bound-in PTC 4.1. Assume Line 70 of the Test Form, "Unmeasured Losses", to be 1.0 percent.

Test Code Modifications; Adhere to the ASME Power Test Code, with only such minor modifications as field conditions require, and only as agreed upon between the Owner's Representative, and the Company Field Advisor, before commencing such tests.

Provide completed Performance Data Sheet for operation at 25%, 50%, 75% and 100% Maximum Continuous Rating for each fuel.

#### 3.2.3.4 General Controls Operational Tests

Conduct operational tests, performance tests, and demonstration tests with boiler controls functional and on line. No bypassing, use of jumpers, or other disablement of control systems will be allowed unless specified elsewhere.

#### 3.2.3.5 Capacity and Efficiency Tests

Perform capacity and efficiency tests after satisfactorily completing operating tests and after operating boiler continuously for at least 14 days with no nuisance shutdowns and without the necessity for frequent or difficult adjustments. Perform these tests on each boiler. Conduct tests using each specified fuel. Test procedures shall be in accordance with the heat loss method and the input-output method of ASME PTC 4, abbreviated form. Before tests are performed, the Contracting Officer and the Contractor shall reach agreement on those items identified in ASME PTC 4, Section 3, paragraph 3.01 "Items on Which Agreement Shall be Reached." A test run shall not start until boiler and accessories have reached an equilibrium and stabilization condition for at least one hour in duration and two hour test at 25%, 50%, 75% and 100% on each fuel.

#### 3.2.3.6 Test Runs

Accomplish maximum output testing by means of a single 2 hour run at 110 percent load on the boiler under test. Calculate boiler efficiency, using both input-output and heat loss methods, from the consistent readings taken during the runs. Make runs at four different loads 25, 50, 75, and 100 percent of boiler rating on each fuel during which take both heat loss and input-output data. Predict unmeasured losses used in conjunction with heat loss calculations and include with equipment data when submitted for approval. Subsequent tests required because of failure of equipment to perform adequately during specified capacity and efficiency tests shall be financial responsibility of the Contractor, including fuel cost.

### 3.2.3.7 Fuel Analysis

When analysis of fuel being burned during performance tests vary from that specified as the performance fuel the guarantees shall be adjusted in accordance with accepted engineering practice to determine compliance. Carbon loss shall be determined in accordance with ABMA Boiler 103, American Boiler Manufacturers Association curves for carbon loss.

### 3.2.3.8 Temporary Waste Steam Connection

When necessary to obtain sufficient load for these tests, provide a temporary steam line at a point outside of the building. Provide necessary pipe, fittings, supports, anchors and appurtenances including a field fabricated silencer as directed by the Contracting Officer. Remove temporary piping and silencer after tests have been satisfactorily completed.

### 3.2.3.9 Air Fuel Curves

The Contractor's tuning process shall provide a new air-fuel curve for Plant controls use. The curve shall provide a minimum of ten (10) points, evenly spaced through the full operating range of the boiler upon completion.

## 3.2.4 Manufacturer's Field Services

### 3.2.4.1 Erection/Installation Supervisors and Service Engineers

### 3.2.4.2 Boiler Representatives

- a. Furnish factory trained engineers or technicians who are representatives of the boiler manufacturer and system suppliers to supervise testing of the boilers and auxiliary equipment.
- b. Furnish the services of a Boiler Inspector who is qualified and certified as such by the National Board of Boiler and Pressure Vessel Inspectors and who is presently employed full time by a firm, such as Hartford Steam Boiler Inspection and Insurance Company, which has a business of inspecting boilers.

## 3.3 CLOSEOUT SUBMITTALS

Provide [Record Drawings \(As-Builts\)](#) to the Contracting Officer, as well as all Operation and Maintenance submittals listed in PART 1.

-- End of Section --

SECTION 26 00 00.00 20

BASIC ELECTRICAL MATERIALS AND METHODS

07/06

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D709 (2013) Laminated Thermosetting Materials

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 100 (2000; Archived) The Authoritative Dictionary of IEEE Standards Terms

IEEE C2 (2012; Errata 1 2012; INT 1-4 2012; Errata 2 2013; INT 5-7 2013; INT 8-10 2014; INT 11 2015) National Electrical Safety Code

IEEE C57.12.28 (2014) Standard for Pad-Mounted Equipment - Enclosure Integrity

IEEE C57.12.29 (2014) Standard for Pad-Mounted Equipment - Enclosure Integrity for Coastal Environments

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250 (2014) Enclosures for Electrical Equipment (1000 Volts Maximum)

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2014; AMD 1 2013; Errata 1 2013; AMD 2 2013; Errata 2 2013; AMD 3 2014; Errata 3-4 2014; AMD 4-6 2014) National Electrical Code

1.2 DEFINITIONS

- a. Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, shall be as defined in IEEE 100.
- b. The technical sections referred to herein are those specification sections that describe products, installation procedures, and equipment operations and that refer to this section for detailed description of submittal types.
- c. The technical paragraphs referred to herein are those paragraphs in PART 2 - PRODUCTS and PART 3 - EXECUTION of the technical sections

that describe products, systems, installation procedures, equipment, and test methods.

### 1.3 ELECTRICAL CHARACTERISTICS

Electrical characteristics for this project shall be 480 kV primary, three phase, four wire, 60 Hz, . Final connections to the power distribution system at the existing motor control center shall be made by the Contractor as directed by the Contracting Officer .

### 1.4 ADDITIONAL SUBMITTALS INFORMATION

Submittals required in other sections that refer to this section must conform to the following additional requirements as applicable.

#### 1.4.1 Shop Drawings (SD-02)

Include wiring diagrams and installation details of equipment indicating proposed location, layout and arrangement, control panels, accessories, piping, ductwork, and other items that must be shown to ensure a coordinated installation. Wiring diagrams shall identify circuit terminals and indicate the internal wiring for each item of equipment and the interconnection between each item of equipment. Drawings shall indicate adequate clearance for operation, maintenance, and replacement of operating equipment devices.

#### 1.4.2 Product Data (SD-03)

Submittal shall include performance and characteristic curves.

### 1.5 QUALITY ASSURANCE

#### 1.5.1 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Equipment, materials, installation, and workmanship shall be in accordance with the mandatory and advisory provisions of NFPA 70 unless more stringent requirements are specified or indicated.

#### 1.5.2 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Products shall have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year period shall include applications of equipment and materials under similar circumstances and of similar size. The product shall have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period. Where two or more items of the same class of equipment are required, these items shall be products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in the technical section.

#### 1.5.2.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

#### 1.5.2.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site shall not be used, unless specified otherwise.

#### 1.6 WARRANTY

The equipment items shall be supported by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

#### 1.7 POSTED OPERATING INSTRUCTIONS

Provide for each system and principal item of equipment as specified in the technical sections for use by operation and maintenance personnel. The operating instructions shall include the following:

- a. Wiring diagrams, control diagrams, and control sequence for each principal system and item of equipment.
- b. Start up, proper adjustment, operating, lubrication, and shutdown procedures.
- c. Safety precautions.
- d. The procedure in the event of equipment failure.
- e. Other items of instruction as recommended by the manufacturer of each system or item of equipment.

Print or engrave operating instructions and frame under glass or in approved laminated plastic. Post instructions where directed. For operating instructions exposed to the weather, provide weather-resistant materials or weatherproof enclosures. Operating instructions shall not fade when exposed to sunlight and shall be secured to prevent easy removal or peeling.

#### 1.8 MANUFACTURER'S NAMEPLATE

Each item of equipment shall have a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

#### 1.9 FIELD FABRICATED NAMEPLATES

**ASTM D709.** Provide laminated plastic nameplates for each equipment enclosure, relay, switch, and device; as specified in the technical sections or as indicated on the drawings. Each nameplate inscription shall identify the function and, when applicable, the position. Nameplates shall be melamine plastic, **0.125 inch** thick, white with black

center core. Surface shall be matte finish. Corners shall be square. Accurately align lettering and engrave into the core. Minimum size of nameplates shall be **one by 2.5 inches**. Lettering shall be a minimum of **0.25 inch** high normal block style.

#### 1.10 WARNING SIGNS

Provide warning signs for the enclosures of electrical equipment including substations, pad-mounted transformers, pad-mounted switches, generators, and switchgear having a nominal rating exceeding 600 volts.

- a. When the enclosure integrity of such equipment is specified to be in accordance with **IEEE C57.12.28** or **IEEE C57.12.29**, such as for pad-mounted transformers.

#### 1.11 ELECTRICAL REQUIREMENTS

Electrical installations shall conform to **IEEE C2**, **NFPA 70**, and requirements specified herein.

#### 1.12 INSTRUCTION TO GOVERNMENT PERSONNEL

Where specified in the technical sections, furnish the services of competent instructors to give full instruction to designated Government personnel in the adjustment, operation, and maintenance of the specified systems and equipment, including pertinent safety requirements as required. Instructors shall be thoroughly familiar with all parts of the installation and shall be trained in operating theory as well as practical operation and maintenance work. Instruction shall be given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction furnished shall be as specified in the individual section.

### PART 2 PRODUCTS

#### 2.1 FACTORY APPLIED FINISH

Electrical equipment shall have factory-applied painting systems which shall, as a minimum, meet the requirements of **NEMA 250** corrosion-resistance test.

### PART 3 EXECUTION

#### 3.1 FIELD APPLIED PAINTING

Paint electrical equipment as required to match finish of adjacent surfaces or to meet the indicated or specified safety criteria.

#### 3.2 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets.

#### 3.3 WARNING SIGN MOUNTING

Provide the number of signs required to be readable from each accessible side, but space the signs a maximum of **30 feet** apart.

BOILER PLANT 7CC - BOILER NO. 1 OVERHAUL  
NAVAL STATION NEWPORT, RI

28 OCTOBER 2016  
EPROJECTS W.O. NO.: 1470866

-- End of Section --