



eProjects Work Order No.: 1362578

Water Distribution Repairs Phase 3

At the

Naval Station Newport, Newport, RI

SPECIFICATION

PREPARED BY:

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(A/E Contract N40085-14-D-8113)

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Date: November 17, 2015

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For Commander, NAVFAC Mid-Atlantic:
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SECTION 00 01 15

LIST OF DRAWINGS

01/07

PART 1 GENERAL

1.1 SUMMARY

This section lists the drawings for the project pursuant to contract clause "DFARS 252.236-7001, Contract Drawings, Maps and Specifications."

1.2 CONTRACT DRAWINGS

Contract drawings are as follows:

DISCIPLINE NO.	NAVFAC NO.	NAVSTA NO.	TITLE
G-001	12706448	43040-398	TITLE SHEET
G-002	12706449	43041-398	GENERAL NOTES, LEGEND & ABBREVIATIONS
G-003	12706450	43042-398	SITE 1 - FORT ADAMS ACCESS PLAN
G-004	12706451	43043-398	SITE 1 - BYPASS PLAN & SHUTDOWN PLAN
G-005	12706452	43044-398	SITES 2, 3, 4, 5, 6 & 7 ACCESS PLAN
G-006	12706453	43045-398	SITE 2 AND SITE 3 - SHUTDOWN PLAN
G-007	12706454	43046-398	SITE 4 AND SITE 5 - SHUTDOWN PLAN
G-008	12706455	43047-398	SITE 6 - SHUTDOWN PLAN
G-009	12706456	43048-398	SITE 7 - BYPASS & SHUTDOWN PLAN
G-010	12706457	43049-398	KEY PLAN - EXISTING CONDITIONS
G-011	12706458	43050-398	KEY PLAN
V-100	12706459	43051-398	SURVEY GENERAL NOTES, LEGEND & ABBREVIATIONS
V-101	12706460	43052-398	SITE 1 - EXISTING CONDITIONS - PART 1 OF 7
V-102	12706461	43053-398	SITE 1 - EXISTING CONDITIONS - PART 2 OF 7
V-103	12706462	43054-398	SITE 1 - EXISTING CONDITIONS - PART 3 OF 7
V-104	12706463	43055-398	SITE 1 - EXISTING CONDITIONS - PART 4 OF 7
V-105	12706464	43056-398	SITE 1 - EXISTING CONDITIONS - PART 5 OF 7
V-106	12706465	43057-398	SITE 1 - EXISTING CONDITIONS - PART 6 OF 7
V-107	12706466	43058-398	SITE 1 - EXISTING CONDITIONS - PART 7 OF 7
V-108	12706467	43059-398	SITE 2 - EXISTING CONDITIONS - PART 1 OF 2
V-109	12706468	43060-398	SITE 2 - EXISTING CONDITIONS - PART 2 OF 2
V-110	12706469	43061-398	SITE 3 - EXISTING CONDITIONS
V-111	12706470	43062-398	SITE 4 - EXISTING CONDITIONS
V-112	12706471	43063-398	SITE 5 - EXISTING CONDITIONS
V-113	12706472	43064-398	SITE 6 - EXISTING CONDITIONS - PART 1 OF 2
V-114	12706473	43065-398	SITE 6 - EXISTING CONDITIONS - PART 2 OF 2
V-115	12706474	43066-398	SITE 7 - EXISTING CONDITIONS -

DISCIPLINE NO.	NAVFAC NO.	NAVSTA NO.	TITLE
V-116	12706475	43067-398	PART 1 OF 2 SITE 7 - EXISTING CONDITIONS - PART 1 OF 2
C-101	12706476	43068-398	SITE 1 - FORT ADAMS PLAN & PROFILE - PART 1 OF 5
C-102	12706477	43069-398	SITE 1 - FORT ADAMS PLAN - PART 2 OF 5
C-103	12706478	43070-398	SITE 1 - FORT ADAMS PROFILE - PART 3 OF 5
C-104	12706479	43071-398	SITE 1 - FORT ADAMS PLAN - PART 4 OF 5
C-105	12706480	43072-398	SITE 1 - FORT ADAMS PROFILE - PART 5 OF 5
C-106	12706481	43073-398	SITE 2 - OFFICER'S CLUB PLAN & PROFILE
C-107	12706482	43074-398	SITE 3 - MEYERKORD AVENUE PLAN & PROFILE
C-108	12706483	43075-398	SITE 4 - EAST INDUSTRIAL ROAD PLAN & PROFILE
C-109	12706484	43076-398	SITE 5 - ANDERSON AVENUE PLAN & PROFILE
C-110	12706485	43077-398	SITE 6 - NUWC PLAN
C-111	12706486	43078-398	SITE 6 - NUWC PROFILE
C-112	12706487	43079-398	SITE 7 - PURITAN DRIVE PLAN
C-113	12706488	43080-398	SITE 7 - PURITAN DRIVE PROFILE
C-401	12706489	43081-398	SITE 2 - OFFICER'S CLUB - PART PLAN
C-501	12706490	43082-398	STANDARD DETAILS - SHEET 1 OF 4
C-502	12706491	43083-398	STANDARD DETAILS - SHEET 2 OF 4
C-503	12706492	43084-398	STANDARD DETAILS - SHEET 3 OF 4
C-504	12706493	43085-398	STANDARD DETAILS - SHEET 4 OF 4

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DOCUMENT 00 41 00

BID SCHEDULES
 01/07

PART 1 GENERAL

1.1 BASIS OF BIDS

1.1.1 Options and Unit Prices

This contract will be awarded with options and unit prices required for specifically selected work. A description of the options and schedule of the unit price work is contained in Standard Form 1442, "Solicitation, Offer and Award." See Contract Clauses, "FAR 52.211-18, Variation in Estimated Quantity" and "FAR 52.236-16, Quantity Surveys." Refer to Solicitation for Bid Schedule.

Unit prices shall apply to each item for actual quantities encountered in the work. Estimated quantities shown are to be considered in the Base Amount and if the actual quantity encountered in the work is different than the quantities listed in the Bid Schedule, the unit prices quoted in the Bid Schedule shall be used for upward and downward adjustments for price.

Options and Unit Prices Form					
eProjects Work Order No.: 1362578					
(i) Item 0001: Base Amount					
Basis of Bid for Item 0001 shall be the Total Amount for Item 0001, but not including the work indicated or specified to be provided under any Option Item.					
Item	Description	Estimated Quantity	Unit	Unit Price	Amount
0001a	All work complete in accordance with the drawings and specifications but not including the work indicated or specified under Items 0001b, 0002a, 0002b, and 0003.	1 Job	LS	\$ _____	\$ _____

Options and Unit Prices Form					
0001b	Removal and disposal of rock and provision of replacement, compacted clean fill.	1090	CY	\$ _____	\$ _____
Total Amount for Item 0001 \$ _____					
(ii) Item 0002: Option 1					
Option may be exercised at the time of award or within 0 calendar days after award by the Contracting Officer. A firm fixed bid price is required for the option. No provision is made for economic price adjustment. Method for evaluation of bids for award purposes is specified below.					
Basis of Bid for Item 0002 shall be the Total Amount for Item 0002, for the addition of the following work: The work includes all new water main work at Anderson Ave. and incidental related work.					
Item	Description	Estimate Quantity	Unit	Unit Price	Amount
0002a	Provision of all work complete in accordance with the drawings and specifications but not including the work indicated or specified under Items 0001, 0002B and 0003.	1 Job	LS	\$ _____	\$ _____
0002b	Removal and disposal of rock and provision of replacement, compacted clean fill.	860	CY	\$ _____	\$ _____
Total Amount for Item 0002 \$ _____					
If Option is exercised, the contract completion date remains 0 calendar days after award of the contract.					

Options and Unit Prices Form					
(iii) Item 0003: Option 2					
Option may be exercised at the time of award or within 0 calendar days after award by the Contracting Officer. A firm fixed bid price is required for the option. No provision is made for economic price adjustment. Method for evaluation of bids for award purposes is specified below.					
Basis of Bid for Item 0003 shall be the Total Amount for Item 0003, for the following work complete: The work includes the installation of a flushing device in Fort Adams and incidental related work.					
Item	Description	Estimate Quantity	Unit	Unit Price	Amount
0003	Provision of all work complete in accordance with the drawings and specifications but not including the work indicated or specified under Items 0001 and 0002.	1 Job	LS	\$ _____	\$ _____
Total Amount for Item 0003 \$ _____					
If Option is exercised, the contract completion date remains 0 calendar days after award of the contract.					
(iv) Item 004: Option 3					
Option may be exercised at the time of award or within 0 calendar days after award by the Contracting Officer. A firm fixed bid price is required for the option. No provision is made for economic price adjustment. Method for evaluation of bids for award purposes is specified below.					
Basis of Bid for Item 0004 shall be the Total Amount for Item 0004, for the following work complete: The work includes the replacement of piping within the meter vault at Greene Lane.					
Item	Description	Estimate Quantity	Unit	Unit Price	Amount

Options and Unit Prices Form					
0004	Provision of all work complete in accordance with the drawings and specifications but not including the work indicated or specified under items 0001, 0002 and 0003.	1 Job	LS	\$ ____	\$ ____
Total Amount for Item 0004 \$ ____					
If Option is exercised, the contract completion date remains 0 calendar days after award of the contract.					
The low bidder for purposes of award will be determined as in Clause "FAR 52.217-4, Evaluation of Options Exercised at Time of Contract Award," of Section 00200, "Instructions to Bidders."					
NOTE: If the option under Items 0002, 0003, and 0004 are exercised, additional bonding and consent of surety will be required. Consequently, the Performance Bond shall reflect 100 percent of the aggregate amount of Items 0001, 0002, 0003, and 0004. Payment Bonds shall reflect 50 percent of the aggregate amount of Items 0001, 0002, 0003, and 0004. See attached Consent of Surety Form.					

In the event there is a difference between a unit price and the the extended total, the unit price will be held to be the intended bid. If the bidder shows only the total price but fails to enter a unit price, the total divided by the estimated quantity will be held to be the intended unit price.

Any bid price for items indicated above which are unbalanced as as to price may be rejected as non-responsive. An unbalanced bid is one which is based on price significantly less than cost for some work and price which is significantly overstated for other work.

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SECTION 01 11 00

SUMMARY OF WORK
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PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. The following shall be submitted in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

1.2 WORK COVERED BY CONTRACT DOCUMENTS

1.2.1 Project Description

The work includes removal and disposal of existing asbestos cement and cast iron water main that supply potable water and the provision of new water mains and services and incidental related work.

1.2.2 Location

The work shall be approximately as indicated. The exact location will be shown by the Contracting Officer.

1.3 EXISTING WORK

In addition to "FAR 52.236-9, Protection of Existing Vegetation, Structures, Equipment, Utilities, and Improvements":

- a. Remove or alter existing work in such a manner as to prevent injury or damage to any portions of the existing work which remain.
- b. Repair or replace portions of existing work which have been altered during construction operations to match existing or adjoining work, as approved by the Contracting Officer. At the completion of operations, existing work shall be in a condition equal to or better than that which existed before new work started.

1.4 LOCATION OF UNDERGROUND UTILITIES

Obtain digging permits prior to start of excavation by contacting the Contracting Officer 15 calendar days in advance. Scan the construction site with Ground Penetrating Radar and electromagnetic or sonic equipment, and mark the surface of the ground or paved surface where existing underground utilities are discovered. Verify the elevations of existing piping, utilities, and any type of underground obstruction not indicated to be specified or removed but indicated or discovered during scanning in locations to be traversed by piping, ducts, and other work to be conducted or installed.

1.4.1 Notification Prior to Excavation

Notify the Contracting Officer at least 48 hours prior to starting excavation work. Contact NAVSTA Public Works Department and DIGSAFE and

obtain approval from both agencies prior to beginning excavation operations on the site. A minimum of 15 working days are required for agency process of DIGSAFE requests.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 14 00

WORK RESTRICTIONS

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PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. The following shall be submitted in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

List of Contact Personnel; G

Personnel List; G

1.2 SPECIAL SCHEDULING REQUIREMENTS

- a. Have materials, equipment, and personnel required to perform the work at the site prior to the commencement of the work. Specific items of work to which this requirement applies include:

Bypass piping materials

Traffic control

Water line installation materials

- b. The Naval Station Newport, Greene Lane Housing and Fort Adams will remain in operation during the entire construction period. The Contractor shall conduct his operations so as to cause the least possible interference with normal operations of the activity.
- c. Permission to interrupt any Activity roads, and/or utility service shall be requested in writing a minimum of 15 calendar days prior to the desired date of interruption. For all work off Base, the Contractor shall provide police details from the municipality having jurisdiction.
- d. The work under this contract requires special attention to the scheduling and conduct of the work in connection with existing operations. Identify on the construction schedule each factor which constitutes a potential interruption to operations.
- e. In locations where by-pass piping is required to maintain domestic and fire protection, work can only be completed between April and October.

1.3 CONTRACTOR ACCESS AND USE OF PREMISES

1.3.1 Activity Regulations

Ensure that Contractor personnel employed on the Activity become familiar with and obey Activity regulations including safety, fire, traffic and security regulations. Keep within the limits of the work and avenues of

ingress and egress. Wear hard hats in designated areas. Do not enter any restricted areas unless required to do so and until cleared for such entry. The Contractor's equipment shall be conspicuously marked for identification.

1.3.1.1 Subcontractors and Personnel Contacts

Furnish a list of contact personnel of the Contractor and subcontractors including addresses and telephone numbers for use in the event of an emergency. As changes occur and additional information becomes available, correct and change the information contained in previous lists.

1.3.1.2 Identification Badges and Installation Access

Application for and use of badges will be as directed. Obtain access to the installation by participating in the Navy Commercial Access Control System (NCACS), or by obtaining passes each day from the Base Pass and Identification Office. Costs for obtaining passes through the NCACS are the responsibility of the Contractor. One-day passes, issued through the Base Pass and Identification Office, will be furnished without charge. Furnish a completed EMPLOYMENT ELIGIBILITY VERIFICATION (DHS FORM I-9) form for all personnel requesting badges. This form is available at <http://www.uscis.gov/portal/site/uscis> by searching or selecting Employment Verification (Form I-9). Immediately report instances of lost or stolen badges to the Contracting Officer.

- a. NCACS Program: NCACS is a voluntary program in which Contractor personnel who enroll, and are approved, are subsequently granted access to the installation for a period up to one year, or the length of the contract, whichever is less, and are not required to obtain a new pass from the Base Pass and Identification Office for each visit. The Government performs background screening and credentialing. Throughout the year the Contractor employee must continue to meet background screening standards. Periodic background screenings are conducted to verify continued NCACS participation and installation access privileges. Under the NCACS program, no commercial vehicle inspection is required, other than for Random Anti-Terrorism Measures (RAM) or in the case of an elevation of Force Protection Conditions (FPCON). Information on costs and requirements to participate and enroll in NCACS is available at <http://www.rapidgate.com> or by calling 1-877-727-4342. Contractors should be aware that the costs incurred to obtain NCACS credentials, or costs related to any means of access to a Navy Installation, are not reimbursable. Any time invested, or price(s) paid, for obtaining NCACS credentials will not be compensated in any way or approved as a direct cost of any contract with the Department of the Navy.
- b. One-Day Passes: Participation in the NCACS is not mandatory, and if the Contractor chooses to not participate, the Contractor's personnel will have to obtain daily passes, be subject to daily mandatory vehicle inspection, and will have limited access to the installation. The Government will not be responsible for any cost or lost time associated with obtaining daily passes or added vehicle inspections incurred by non-participants in the NCACS.

1.3.1.3 NUWC Base Access Requirements

NUWC Division Newport Security Requirements

- (1) All NUWCDIVNPT base access is governed by Homeland Security Presidential Directive 12. Anyone accessing NUWCDIVNPT must be a US citizen and submit a visit request containing the following information: (the latest visit request information can be obtained at <http://www.navsea.navy.mil/nuwc/newport/pages/visitreq.aspx>)

NUWCDIVNPT Security - Visitor Request Information

A visit request is mandatory for all visitors to NUWC Division Newport, government and civilian, regardless of the duration or classification of the visit. Access to NUWC Division Newport will not be granted under any circumstances without a valid visit authorization request on file.

DUE TO THE NUMBER OF VISIT REQUESTS RECEIVED, THREE (3) DAYS PROCESSING TIME IS REQUIRED FOR ALL VISIT REQUESTS WHETHER RECEIVED BY JPAS OR BY FAX.

Use of the Joint Personnel Adjudication System (JPAS) is the preferred method for submitting visit requests. For visits, the Security Management Office (SMO) for visits is 666045.

Include the Contract Number in the space allocated for the POC Phone Number.

Questions on visits may be addressed to the NUWCDIVNPT Division at 401-832-2551.

For companies or agencies that are not on JPAS, a visit request must be FAXED to the NUWCDIVNPT Security Division at 401-832-4396 on COMPANY LETTERHEAD, or sent using AMRDEC Safe Access File Exchange (SAFE) using the following email address: robin.spradling.ctr@navy.mil

The request shall contain the following information:

- (a) Visitor's full name (Last, First, Middle Initial) - Do not use nicknames.
- (b) Company or Organization Name - include complete address, phone number, fax number and CAGE code.
- (c) Visitor's Social Security Number- This information is protected by the Privacy Act of 1974.
- (d) Visitor's Date of Birth
- (e) Visitor's Place of Birth (city/state)
- (f) Citizenship
- (g) Individual's Level of Clearance Access - This information is verified using JPAS.
- (h) Technical Point of Contact (POC at NUWCDIVNPT. (include name and telephone number).
- (i) Specific Date(s) of Visit (include the year)
- (j) Specific Purpose of Visit (to include the classification of the visit\ - All visits to NUWCDIVNPT are assumed to be classified unless otherwise stated.
- (k) Contract number for non-governmental activities
- (l) Name, Title and Signature of the Company Official authorizing the visit (This Company Official may NOT be one of the listed visitors).
- (m) Name, title and signature of a company official authorizing the visit (the company official may not be one of the listed visitors).

Visit requests that do not meet the above requirements will be rejected and the visitors will not be granted access.

PRIVACY ACT NOTICE: PRINCIPAL PURPOSE: INFORMATION IS OBTAINED UNDER E011652 TO IDENTIFY PERSONNEL WORKING AT ACTIVITIES WHERE UNESCORTED ACCESS TO THE FACILITY MAY BE REQUIRED. ROUTINE USE: INFORMATION, INCLUDING THE SOCIAL SECURITY NUMBER, DATE AND PLACE OF BIRTH, IS USED IN VERIFYING THE INDIVIDUAL'S IDENTITY AND SECURITY CLEARANCE LEVEL. THE INFORMATION MAY BE DISCLOSED TO THOSE AT THE ACTIVITY RESPONSIBLE FOR VERIFYING CLEARANCE AND UNESCORTED ACCESS AUTHORIZATION. DISCLOSURE: COMPLETION OF A VISIT REQUEST AUTHORIZATION, INCLUDING THE DISCLOSURE OF YOUR SOCIAL SECURITY NUMBER AND DATE/PLACE OF BIRTH IS VOLUNTARY. FAILURE TO PROVIDE ALL INFORMATION, INCLUDING THE SOCIAL SECURITY NUMBER AND DATE/PLACE OF BIRTH, MAY SERVE AS A BASIS TO DENY ACCESS TO THE FACILITY.

- (2) If the visitor has a Facility Access Determination (FAD) with NUWC DIVNPT they may receive an unescorted unclassified access status. If the visitor does not have a FAD they may apply for one at NUWC security by submitting Standard Form 85.

Upon receipt of the FAD request, Security personnel will interview and fingerprint the applicant and conduct a background check FAD. This process takes 6 to 8 weeks.

Alternatively, if an individual's company has enrolled in the Navy Contractor Access Control System (NCACS) through Naval Station, and the individual has completed the background investigation through NCACS, unescorted access may also be granted. The NCACS process is under the control of Naval Station, Newport and takes approximately 2 to 4 weeks.

If an individual has a Transportation Worker Identification Card (TWIC) through the Department of Homeland Security, unescorted access may also be granted.

- (3) Without a FAD a visitor is required to have an escort which is a Government employee or contractor with a picture badge. (It will be the requirement of the prime contractor to ensure a sufficient number of their personnel acquire a picture badge in order to escort contract personnel while at the activity, if an escort is required.
- (4) A picture badge may be obtained if the visitor meets the NUWC criteria. Application is made for this badge (after submitting a visit request) by submitting the following forms available at the NUWC security office:

Standard Form 85, or an NCACS or TWIC card.

Photo 10 Application NUWC DIVNPT 5512/14 (REV4-05).

Upon receipt of the Photo ID request, if submitting the SF-85, Security personnel will interview and fingerprint the applicant and conduct a background check FAD. This process takes 6 to 8 weeks.

- (5) All personnel, and personnel vehicles, are subject to search. Passes for personal vehicles are required and may be obtained from NUWC DIVNPT Security if the individual has an approved

visit request, has a completed background investigation and provides a valid vehicle registration and proof of insurance. Individuals without a completed background investigation shall have their vehicles inspected at gate 17 before a vehicle pass will be issued.

- (6) Commercial vehicles will be inspected at gate 23 prior to being granted access to NUWC DIVNPT. Drivers must have valid visit requests.

1.3.1.4 No Smoking Policy

Smoking is prohibited within and outside of all buildings on installations under the cognizance of NAVFAC except in designated smoking areas. This applies to existing buildings, buildings under construction and buildings under renovation. Discarding tobacco materials other than into designated tobacco receptacles is considered littering and is subject to fines. The Contracting Officer will identify designated smoking areas.

1.3.2 Entry to Radiologically Controlled Areas

Contractor personnel shall not, under any circumstances, enter a radiologically controlled area or cross any posted radiological boundary. This paragraph applies to all phases of contract work. Radiation areas are posted with signs consistent with OSHA requirements. Ensure that employees are familiar with the radiation signs and symbols. All personnel entering the shipyard for the first time are required to receive radiological indoctrination training.

Should contract workers encounter radiological postings and/or boundaries which appear to limit their ability to access or carry out their intended work, they shall notify their contract administrator for resolution of the problem.

1.3.2.1 Radioactive Materials and Equipment

All testing equipment, containing a radioactive source, shall be operated in accordance with an approved radioactive equipment plan. This plan shall be submitted to the Contracting Officer and approved by the Radiation Officer (Code 105.5), prior to bringing the equipment into the shipyard. This plan shall include:

- a. The name and type of equipment.
- b. The type and size of radiation source.
- c. The dates and locations of the equipment's usage.
- d. The radiological controls that the Contractor will use while operating the equipment.

A different radioactive equipment plan will be required for each different type of equipment, type of radioactive source, or size of radioactive source. A data sheet of for each piece of new radioactive equipment shall be submitted to the Contracting Officer to forward to the shipyard's Radiation Safety Officer. The data sheet shall contain the following information:

- a. Name of equipment.

- b. Name and address of equipment manufacturer.
- c. Type and size of radiation source.
- d. The location of the installed radioactive equipment (i.e. building no., floor, code/shop area).

1.3.3 Working Hours

Regular working hours shall consist of an 8 1/2 hour period established by the Contractor Officer, between 7 a.m. and 6:00 p.m., Monday through Friday, excluding Government holidays.

1.3.4 Work Outside Regular Hours

Work outside regular working hours requires Contracting Officer approval. Make application 15 calendar days prior to such work to allow arrangements to be made by the Government for inspecting the work in progress, giving the specific dates, hours, location, type of work to be performed, contract number and project title. Based on the justification provided, the Contracting Officer may approve work outside regular hours. During periods of darkness, the different parts of the work shall be lighted in a manner approved by the Contracting Officer. Make utility cutovers after normal working hours or on Saturdays, Sundays, and Government holidays unless directed otherwise.

1.3.5 Utility Cutovers and Interruptions

- a. Make utility cutovers and interruptions after normal business hours or on Saturdays, Sundays, and Government holidays. In residential areas make utility cutovers or interruptions between 9am and 3pm Monday through Friday. Conform to procedures required in the paragraph "Work Outside Regular Hours."
- b. Ensure that new utility lines are complete, except for the connection, before interrupting existing service.
- c. Interruption to water, sanitary sewer, storm sewer, telephone service, electric service, air conditioning, heating, fire alarm, and compressed air shall be considered utility cutovers pursuant to the paragraph entitled "Work Outside Regular Hours."

1.4 SECURITY REQUIREMENTS

Contract Clause "FAR 52.204-2, Security Requirements and Alternate II," "FAC 5252.236-9301, Special Working Conditions and Entry to Work Area," and the following apply:

1.4.1 Personnel List

Submit for approval, at least 15 days prior to the desired date of entry, an original alphabetical list of personnel who require entry into Government property to perform work on the project. Furnish for each person:

- a. Name
- b. Date and place of birth

c. Citizenship

d. Home address

1.4.1.1 Citizenship Requirements

Work under this contract is restricted to U.S. citizens.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

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SECTION 01 20 00.00 20

PRICE AND PAYMENT PROCEDURES

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PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EP-1110-1-8 (2009) Construction Equipment Ownership
and Operating Expense Schedule

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. The following shall be submitted in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Schedule of Prices

1.3 SCHEDULE OF PRICES

1.3.1 Data Required

Within 15 calendar days of notice of award, prepare and deliver to the Contracting Officer a Schedule of Prices (construction contract) as directed by the Contracting Officer. Provide a detailed breakdown of the contract price, giving quantities for each of the various kinds of work, unit prices, and extended prices. Costs shall be summarized and totals provided for each construction category.

1.3.2 Schedule Instructions

Payments will not be made until the Schedule of Prices has been submitted to and accepted by the Contracting Officer. Identify the cost for site work, and include incidental work to the 5 ft line. Identify costs for the building(s), and include work out to the 5 ft line. Work out to the 5 ft line shall include construction encompassed within a theoretical line 5 ft from the face of exterior walls and shall include attendant construction, such as pad mounted HVAC cooling equipment, cooling towers, and transformers placed beyond the 5 ft line.

1.4 CONTRACT MODIFICATIONS

In conjunction with the Contract Clause "DFARS 252.236-7000, Modification Proposals-Price Breakdown," and where actual ownership and operating costs of construction equipment cannot be determined from Contractor accounting records, equipment use rates shall be based upon the applicable provisions of the EP-1110-1-8.

1.5 CONTRACTOR'S INVOICE AND CONTRACT PERFORMANCE STATEMENT

1.5.1 Content of Invoice

Requests for payment will be processed in accordance with the Contract Clause FAR 52.232-27, Prompt Payment Construction Contracts and FAR 52.232-5, Payments Under Fixed-Price Construction Contracts. The requests for payment shall include the documents listed below.

- a. The Contractor's invoice, on NAVFAC Form 7300/30 furnished by the Government, showing in summary form, the basis for arriving at the amount of the invoice. Form 7300/30 shall include certification by Quality Control (QC) Manager as required by the contract.
- b. The Estimate for Voucher/ Contract Performance Statement on NAVFAC Form 7300/31 furnished by the Government, showing in detail: the estimated cost, percentage of completion, and value of completed performance. Use NAVFAC LANT Form 4-330/110 (New 7/84) on NAVFAC LANT contracts when a Monthly Estimate for Voucher is required.
- c. Updated Project Schedule and reports required by the contract.
- d. Contractor Safety Self Evaluation Checklist.
- e. Other supporting documents as requested.
- f. Updated copy of submittal register.
- g. Invoices not completed in accordance with contract requirements will be returned to the Contractor for correction of the deficiencies.
- h. Waste management report
- i. Up to date as-builts
- j. Up to date payrolls
- k. Up to date daily reports

1.5.2 Submission of Invoices

If NFAS Clause 5252.232-9301 is included in the contract, the documents listed in paragraph "CONTENT OF INVOICE" shall be provided in their entirety as attachments in Wide Area Work Flow (WAWF) for each invoice submitted. The maximum size of each WAWF attachment is two megabytes, but there are no limits on the number of attachments. If a document cannot be attached in WAWF due to system or size restriction it shall be provided as instructed by the Contracting Officer.

1.5.3 Final Invoice

- a. A final invoice shall be accompanied by the certification required by DFARS 252.247.7023 TRANSPORTATION OF SUPPLIES BY SEA, and the Contractor's Final Release. If the Contractor is incorporated, the Final Release shall contain the corporate seal. An officer of the corporation shall sign and the corporate secretary shall certify the Final Release.

- b. For final invoices being submitted via WAWF, the original Contractor's Final Release Form and required certification of Transportation of Supplies by Sea must be provided directly to the respective Contracting Officer prior to submission of the final invoice. Once receipt of the original Final Release Form and required certification of Transportation of Supplies by Sea has been confirmed by the Contracting Officer, the Contractor shall then submit final invoice and attach a copy of the Final Release Form and required certification of Transportation of Supplies by Sea in WAWF.
- c. Final invoices not accompanied by the Contractor's Final Release and required certification of Transportation of Supplies by Sea will be considered incomplete and will be returned to the Contractor.

1.6 PAYMENTS TO THE CONTRACTOR

Payments will be made on submission of itemized requests by the Contractor which comply with the requirements of this section, and will be subject to reduction for overpayments or increase for underpayments made on previous payments to the Contractor.

1.6.1 Obligation of Government Payments

The obligation of the Government to make payments required under the provisions of this contract will, at the discretion of the Contracting Officer, be subject to reductions and/or suspensions permitted under the FAR and agency regulations including the following in accordance with "FAR 32.503-6:

- a. Reasonable deductions due to defects in material or workmanship;
- b. Claims which the Government may have against the Contractor under or in connection with this contract;
- c. Unless otherwise adjusted, repayment to the Government upon demand for overpayments made to the Contractor; and
- d. Failure to provide up to date record drawings not current as stated in Contract Clause "FAC 5252.236-9310, Record Drawings."

1.6.2 Payment for Onsite and Offsite Materials

Progress payments may be made to the contractor for materials delivered on the site, for materials stored off construction sites, or materials that are in transit to the construction sites under the following conditions:

- a. FAR 52.232-5(b) Payments Under Fixed Price Construction Contracts.
- b. Materials delivered on the site but not installed, including completed preparatory work, and off-site materials to be considered for progress payment shall be major high cost, long lead, special order, or specialty items, not susceptible to deterioration or physical damage in storage or in transit to the construction site. Examples of materials acceptable for payment consideration include, but are not limited to, structural steel, non-magnetic steel, non-magnetic aggregate, equipment, machinery, large pipe and fittings, precast/prestressed concrete products, plastic lumber (e.g., fender piles/curbs), and high-voltage electrical cable. Materials not acceptable for payment include consumable materials such as nails,

fasteners, conduits, gypsum board, glass, insulation, and wall coverings.

- c. Materials to be considered for progress payment prior to installation shall be specifically and separately identified in the Contractor's estimates of work submitted for the Contracting Officer's approval in accordance with Schedule of Prices requirement of this contract. Requests for progress payment consideration for such items shall be supported by documents establishing their value and that the title requirements of the clause at FAR 52.232-5 have been met.
- d. Materials are adequately insured and protected from theft and exposure.
- e. Provide a written consent from the surety company with each payment request for offsite materials.
- f. Materials to be considered for progress payments prior to installation shall be stored either in Hawaii, Guam, Puerto Rico, or the Continental United States. Other locations are subject to written approval by the Contracting Officer.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 30 00

ADMINISTRATIVE REQUIREMENTS

11/11

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. The following shall be submitted in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Progress and completion pictures; G

1.2 PROGRESS AND COMPLETION PICTURES

Photographically document site conditions prior to start of construction operations. Provide monthly, and within one month of the completion of work, digital photographs, 1600x1200x24 bit true color in JPEG file format showing the sequence and progress of work. Take a minimum of 20 digital photographs each week throughout the entire project from a minimum of ten views from points located by the Contracting Officer. Submit a view location sketch indicating points of view. Submit with the monthly invoice. Cross reference submittals in the appropriate daily report. Photographs shall be provided for unrestricted use by the Government.

1.3 MINIMUM INSURANCE REQUIREMENTS

Procure and maintain during the entire period of performance under this contract the following minimum insurance coverage:

- a. Comprehensive general liability: \$500,000 per occurrence
- b. Automobile liability: \$200,000 per person, \$500,000 per occurrence for bodily injury, \$20,000 per occurrence for property damage
- c. Workmen's compensation as required by Federal and State workers' compensation and occupational disease laws.
- d. Employer's liability coverage of \$100,000, except in States where workers compensation may not be written by private carriers,
- e. Others as required by State law.

1.4 CONTRACTOR SPECIAL REQUIREMENTS

1.5 SUPERVISION

Have at least one qualified supervisor capable of reading, writing, and conversing fluently in the English language on the job site during working hours. In addition, if a Quality Control (QC) representative is required on the contract, then that individual shall also have fluent English communication skills.

1.6 PRECONSTRUCTION CONFERENCE

After award of the contract but prior to commencement of any work at the site, meet with the Contracting Officer to discuss and develop a mutual understanding relative to the administration of the value engineering and safety program, preparation of the schedule of prices or earned value report, shop drawings, and other submittals, scheduling programming, prosecution of the work, and clear expectations of the "Interim DD Form 1354" Submittal. Major subcontractors who will engage in the work shall also attend.

1.7 FACILITY TURNOVER PLANNING MEETINGS (NAVFAC Red Zone - NRZ)

Key personnel will meet to identify strategies to ensure the project is carried to expeditious closure and turnover to the Client. Start the turnover process at the Pre Construction Conference meeting and convene at the Facility Turnover Meetings once the project has reached approximately 75 percent completion or three to six months prior to Beneficial Occupancy Date (BOD), whichever comes first. The Contracting Officer's Representative will lead the meetings and guide discussions based on an agenda provided by the Government. The facility Turnover effort shall include the following:

- a. Pre Construction Meeting - Contracting Officer's Technical Representative (COTR) will provide the NRZ Checklist and the Contractor, Client, and NAVFAC Representatives will compare Contractor's schedule to NRZ Checklist items.
- b. Facility Turnover Meetings
 1. Fill in the NRZ Checklist including Contractor, Client, and NAVFAC Checklist Items and assign a person responsible for each item and a due date. The Contractor's Representative will facilitate the assignment of responsibilities, fill out the NRZ Checklist, and discuss "Interim DD Form 1354" requirements.
 2. Review the Contractor's updated schedule. The Contractor shall develop a POAM for the completion of all Contractor, Client, and NAVFAC Checklist items.
 3. Confirm that all NRZ Checklist items will be completed on time for the scheduled Facility Turnover.

1.8 PARTNERING

To most effectively accomplish this contract, the Government requires the formation of a cohesive partnership within the Project Team whose members are from the Government, the Contractor and their Subcontractors. Key personnel from the Supported Command, the End User (who will occupy the facility), NAVFAC (Echelon III and IV), the Navy Region/Installation, the Contractor and Subcontractors, and the Designer of Record will be invited to participate in the Partnering process. The Partnership will draw on the strength of each organization in an effort to achieve a project that is without any safety mishaps, conforms to the Contract, and stays within budget and on schedule.

The Contracting Officer will provide Information on the Partnering Process and a list of key and optional personnel who should attend the Partnering meeting.

1.8.1 Informal Partnering

The Contracting Officer will organize the Partnering Sessions with key personnel of the project team, including Contractor personnel and Government personnel.

The Initial Partnering session should be a part of the Pre-Construction Meeting. Partnering sessions will be held at a location agreed to by the Contracting Officer and the Contractor (typically a conference room provided by the PWD FEAD/ROICC office or the Contractor).

The Partners will determine the frequency of the follow-on sessions.

1.9 AVAILABILITY OF CADD DRAWING FILES

After award and upon request, the electronic "Computer-Aided Drafting and Design (CADD)" drawing files will only be made available to the Contractor for use in preparation of construction data related to the referenced contract subject to the following terms and conditions.

Data contained on these electronic files shall not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse shall be at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor shall make no claim and waives to the fullest extent permitted by law, any claim or cause of action of any nature against the Government, its agents or sub consultants that may arise out of or in connection with the use of these electronic files. The Contractor shall, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic CADD drawing files are not construction documents. Differences may exist between the CADD files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic CADD files, nor does it make representation to the compatibility of these files with the Contractors hardware or software. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished CADD files, the signed and sealed construction documents shall govern. The Contractor is responsible for determining if any conflict exists. Use of these CADD files does not relieve the Contractor of duty to fully comply with the contract documents, including and without limitation, the need to check, confirm and coordinate the work of all contractors for the project.

If the Contractor uses, duplicates and/or modifies these electronic CADD files for use in producing construction drawings and data related to this contract, all previous indicia of ownership (seals, logos, signatures, initials and dates) shall be removed.

1.10 ELECTRONIC MAIL (E-MAIL) ADDRESS

The Contractor shall establish and maintain electronic mail (e-mail) capability along with the capability to open various electronic attachments in Microsoft, Adobe Acrobat, and other similar formats. Within 10 days after contract award, the Contractor shall provide the

Contracting Officer a single (only one) e-mail address for electronic communications from the Contracting Officer related to this contract including, but not limited to contract documents, invoice information, request for proposals, and other correspondence. The Contracting Officer may also use email to notify the Contractor of base access conditions when emergency conditions warrant, such as hurricanes, terrorist threats, etc. Multiple email address will not allowed.

It is the Contractor's responsibility to make timely distribution of all Contracting Officer initiated e-mail with its own organization including field office(s). The Contractor shall promptly notify the Contracting Officer, in writing, of any changes to this email address.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 32 16.00 20

SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES
02/15

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Schedule; G

SD-07 Certificates

Monthly Updates; G

1.2 ACCEPTANCE

Prior to the start of work, prepare and submit to the Contracting Officer for acceptance a construction schedule in the form of a Network Analysis Schedule (NAS) in accordance with the terms in Contract Clause "FAR 52.236-15, Schedules for Construction Contracts," except as modified in this contract.

The acceptance of a Baseline Construction Schedule is a condition precedent to:

- a. The Contractor starting work on the demolition or construction stage(s) of the contract.
- b. Processing Contractor's invoice(s) for construction activities/items of work.
- c. Review of any schedule updates.

Submittal of the Baseline Schedule, and subsequent schedule updates, must be understood to be the Contractor's certification that the submitted schedule meets all of the requirements of the Contract Documents, represents the Contractor's plan on how the work must be accomplished, and accurately reflects the work that has been accomplished and how it was sequenced (as-built logic).

1.3 SCHEDULE FORMAT

1.3.1 Network Analysis Schedule (NAS)

Use the critical path method (CPM) to schedule and control project activities. Prepare and maintain project schedules using [Primavera P6 or Microsoft Project 2010]. Importing data into the scheduling program using data conversion techniques or third party software will be cause for rejection of the submitted schedule. Build the schedule as follows:

- a. The Project Schedule must show submittals, Government review periods, material/equipment delivery, utility outages, all on-site construction, inspection, testing, and closeout activities. Government and Contractor on-site work activities must be driven by calendars that reflect Saturdays, Sundays and all Federal Holidays as non-work days.
- b. With the exception of the Contract Award and End Contract milestone activities, no activities must be open-ended; each activity must have predecessor and successor ties. No activity must have open start or open finish (dangling) logic. Minimize redundant logic ties. Once an activity exists on the schedule it must not be deleted or renamed to change the scope of the activity and must not be removed from the schedule logic without approval from the Contracting Officer. While an activity cannot be deleted, where said activity is no longer applicable to the schedule but must remain within the logic stream for historical record, it can be changed to a milestone. Any such change must be documented in the milestone's "Notebook", including a date and explanation for the change. The ID number for a deleted activity must not be re-used for another activity.
- c. Each activity must be assigned its appropriate Responsibility Code indicating responsibility to accomplish the work indicated by the activity, Phase Code and Work Location Code.
- d. Date/time constraint(s) and/or lags, other than those required by the contract, are not allowed unless accepted by the Contracting Officer. Include as the last activity in the contract schedule, a milestone activity named "Contract Completion Date".

1.3.1.1 Primavera P6 Settings and Parameters

Primavera P6 settings and parameters which must be used in preparing the Baseline Schedule are as follows. Deviation from these settings and parameters, without prior consent of the Contracting Officer, is cause for rejection of schedule submission.

- a. General: Calendars and Activity Codes are defined or established at the "Project" level, not the "Global" level.
- b. Admin Drop-Down Menu, Admin Preferences, Time Periods Tab:
 - (1) Time periods for P6 must be set to 8.0 Hours/Day, 40.0 Hours/Week, 172.0 Hours/Month and 2000.0 Hours/Year.
 - (2) Use assigned calendar to specify the number of work hours for each time period: Must be checked.
- c. Project Level, Dates Tab:
 - (1) Set "Must Finish By" date to "Contract Completion Date".
- d. Project Level, Defaults Tab:
 - (1) Duration Type: Set to "Fixed Duration & Units".
 - (2) Percent Complete Type: Set to "Physical".

- (3) Activity Type: Set to "Task Dependent".
- (4) Calendar: Set to "Standard 5 Day Workweek". Calendar must reflect Saturday, Sunday and all Federal holidays as non-work days. Alternative calendars may be used with Contracting Officer approval.

e. Project Level, Calculations Tab:

- (1) Activity percent complete based on activity steps: Must be Checked.
- (2) Reset Remaining Duration and Units to Original: Must be Checked.
- (3) Subtract Actual from At Completion: Must be Checked.
- (4) Recalculate Actual units and Cost when duration percent complete changes: Must be Checked.
- (5) Link Actual to Date and Actual This Period Units and Cost: Must be Checked.

f. Project Level, Settings Tab:

- (1) Define Critical Activities: Check "Total Float is less than or equal to" and add "0d".

g. No on-site construction activity Must have durations in excess of 20 working days.

1.3.1.2 Microsoft Project 2010 Settings and Parameters

The Network must have a minimum of 30 construction activities. No on-site construction activity must have durations in excess of 20 working days.

1.3.1.3 Cost Loading Microsoft Project 2010 Schedules

Assign material, labor and equipment costs to their respective Construction Activities. Material and equipment costs for which payment will be requested in advance of installation must be assigned to their respective procurement activity (i.e. the material/equipment on-site activity). Evenly disperse overhead and profit to each activity over the duration of the project. Cost loading must total to 100 percent of the value of the contract.

- a. Submit an Earned Value Report with each schedule update showing activity budget, cost percent complete, earned amount and cost to complete as directed by the Contracting Officer.
- b. With each schedule submission, provide a Schedule Variance control (SVC) diagram showing:
 - (1) Cash Flow S-Curves indicating planned project cost based on projected early and late activity finish dates.
 - (2) Earned Value to-date. Revise Cash Flow S-Curves when the contract is modified, or as directed by the Contracting Officer.

1.3.2 Schedule Submittals and Procedures

Submit Network Analysis Schedules (NAS) and updates in hard copy and on electronic media that is acceptable to the Contracting Officer. Submit an electronic back-up of the project schedule in an import format compatible with the Government's scheduling program.

1.4 SCHEDULE MONTHLY UPDATES

Update the Construction Schedule at monthly intervals or when the schedule has been revised. The updated schedule must be kept current, reflecting actual activity progress and plan for completing the remaining work. Submit copies of purchase orders and confirmation of delivery dates as directed by the Contracting Officer.

a. Narrative Report: Provide with schedule updates. Identify and justify;

- (1) Progress made in each area of the project
- (2) Critical Path
- (3) Date/time constraint(s), other than those required by the contract
- (4) Changes in the following; added or deleted activities, original and remaining durations for activities that have not started, logic, milestones, planned sequence of operations, and critical path
- (5) Status of Contract Completion Date and interim milestones;
- (6) Current and anticipated delays (describe cause of delay and corrective actions(s) and mitigation measures to minimize);
- (7) Description of current and future schedule problem areas.

Each entry in the narrative report will cite the respective Activity ID and Activity Description, the date and reason for the change, and description of the change.

1.5 CONTRACT MODIFICATION

Submit a Time Impact Analysis (TIA) with each cost and time proposal for a proposed change. TIA must illustrate the influence of each change or delay on the Contract Completion Date or milestones. No time extensions will be granted nor delay damages paid unless a delay occurs which consumes all available Project Float, and extends the Projected Finish beyond the Contract Completion Date.

a. Each TIA must be in both narrative and schedule form. The narrative must define the scope and conditions of the change; provide start and finish dates of impact, successor and predecessor activity to impact period, responsible party, describe how it originated, and how it impacts the schedule. The schedule submission must consist of three native files:

- (1) Fragnet used to define the scope of the changed condition
- (2) Most recent accepted schedule update as of the time of the proposal or claim submission that has been updated to show all

activity progress as of the time of the impact start date.

(3) The impacted schedule that has the fragnet inserted in the updated schedule and the schedule "run" so that the new completion date is determined.

- b. If the impact has not occurred within 30 calendar days, TIA procedure must be reduced to the most basic level possible to still reflect a reasonable assessment of the result of a delay, representing actual conditions as they occurred.
- c. All TIAs must include any mitigation, and must determine the apportionment of the overall delay assignable to each individual delay. The associated narrative must clearly describe the findings in a chronological listing beginning with the earliest delay event.

(1) Identify types of delays as follows:

(a) Excusable Delay: Force-Majeure (e.g. weather) - Contractor may receive time extension, but time will not be compensable.

(b) Inexcusable Delay: Contractor Responsibility - Contractor must not receive time extension.

(c) Compensable Delay: Government Responsibility - Contractor may receive compensable time extension.

(2) If a combination of delay types occurs, it is considered Concurrent Delay, which is defined in the following combinations:

(a) Excusable Delay and Compensable Delay results in Excusable Delay

(b) Excusable Delay and Inexcusable Delay results in Inexcusable Delay

(c) Compensable Delay and Inexcusable Delay results in Excusable Delay

- d. Submit Data disks containing the narrative and native schedule files.
- e. Unless the Contracting Officer requests otherwise, only conformed contract modifications must be added into the Project NAS.

1.6 3-WEEK LOOK AHEAD SCHEDULE

The Contractor must prepare and issue a 3-Week Look Ahead schedule to provide a more detailed day-to-day plan of upcoming work identified on the Construction Schedule. The work plans must be keyed to activity numbers when a NAS is required and updated each week to show the planned work for the current and following two-week period. Additionally, include upcoming outages, closures, preparatory meetings, and initial meetings. Identify critical path activities on the Three-Week Look Ahead Schedule. The detail work plans are to be bar chart type schedules, maintained separately from the Construction Schedule on an electronic spreadsheet program and printed on 8-1/2 by 11 inch sheets as directed by the Contracting Officer. Activities must not exceed 5 working days in duration and have sufficient level of detail to assign crews, tools and equipment required to complete the work. Three hard copies and one

electronic file of the 3-Week Look Ahead Schedule must be delivered to the Contracting Officer no later than 8 a.m. each Monday and reviewed during the weekly CQC Coordination or Production Meeting.

1.7 CORRESPONDENCE AND TEST REPORTS:

All correspondence (e.g., letters, Requests for Information (RFIs), e-mails, meeting minute items, Production and QC Daily Reports, material delivery tickets, photographs) must reference Schedule Activities that are being addressed. All test reports (e.g., concrete, soil compaction, weld, pressure) must reference Schedule Activities that are being addressed.

1.8 ADDITIONAL SCHEDULING REQUIREMENTS

Any references to additional scheduling requirements, including systems to be inspected, tested and commissioned, that are located throughout the remainder of the Contract Documents, are subject to all requirement of this section.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 33 00

SUBMITTAL PROCEDURES

05/11

PART 1 GENERAL

1.1 SUMMARY

The Contracting Officer may request submittals in addition to those specified when deemed necessary to adequately describe the work covered in the respective sections.

Units of weights and measures used on all submittals are to be the same as those used in the contract drawings.

Each submittal is to be complete and in sufficient detail to allow ready determination of compliance with contract requirements.

Contractor's Quality Control (CQC) System Manager to check and approve all items prior to submittal and stamp, sign, and date indicating action taken. Proposed deviations from the contract requirements are to be clearly identified. Include within submittals items such as: Contractor's, manufacturer's, or fabricator's drawings; descriptive literature including (but not limited to) catalog cuts, diagrams, operating charts or curves; test reports; test cylinders; samples; O&M manuals (including parts list); certifications; warranties; and other such required submittals.

Submittals requiring Government approval are to be scheduled and made prior to the acquisition of the material or equipment covered thereby. Pick up and dispose of samples not incorporated into the work in accordance with manufacturer's Material Safety Data Sheets (MSDS) and in compliance with existing laws and regulations.

1.2 DEFINITIONS

1.2.1 Submittal Descriptions (SD)

Submittals requirements are specified in the technical sections. Submittals are identified by Submittal Description (SD) numbers and titles as follows:

SD-01 Preconstruction Submittals

Submittals which are required prior to or commencing work on site or the start of the next major phase of the construction on a multi-phase contract, includes schedules, tabular list of data, or tabular list including location, features, or other pertinent information regarding products, materials, equipment, or components to be used in the work.

Certificates of insurance

Surety bonds

List of proposed Subcontractors

List of proposed products

Construction progress schedule

Network Analysis Schedule (NAS)

Submittal register

Schedule of prices

Health and safety plan

Work plan

Quality Control(QC) plan

Environmental protection plan

Accident Prevention Plan

Asbestos Hazardous Abatement Plan

SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions and brochures illustrating size, physical appearance and other characteristics of materials, systems or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

SD-05 Design Data

Design calculations, mix designs, analyses or other data pertaining to a part of work.

SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product or system identical to the material, product or system to be provided has been tested in accord with specified requirements. (Testing must have been within three years of date of contract award for the project.)

Report which includes findings of a test required to be performed by the Contractor on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report which includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports.

Daily logs and checklists.

Final acceptance test and operational test procedure.

SD-07 Certificates

Statements printed on the manufacturer's letterhead and signed by responsible officials of manufacturer of product, system or material attesting that product, system or material meets specification requirements. Must be dated after award of project contract and clearly name the project.

Document required of Contractor, or of a manufacturer, supplier, installer or Subcontractor through Contractor, the purpose of which is to further quality of orderly progression of a portion of the work by documenting procedures, acceptability of methods or personnel qualifications.

Confined space entry permits.

Text of posted operating instructions.

SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and (MSDS) concerning impedances, hazards and safety precautions.

SD-10 Operation and Maintenance Data

Data that is furnished by the manufacturer, or the system provider, to the equipment operating and maintenance personnel, including manufacturer's help and product line documentation necessary to maintain and install equipment. This data is needed by operating and maintenance personnel for the safe and efficient operation, maintenance and repair of the item.

This data is intended to be incorporated in an operations and maintenance manual or control system.

SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

Special requirements necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a major phase of construction on a multi-phase contract.

Interim "DD Form 1354" with cost breakout for all assets 30 days prior to facility turnover.

1.2.2 Approving Authority

Office or designated person authorized to approve submittal.

1.2.3 Work

As used in this section, on- and off-site construction required by contract documents, including labor necessary to produce submittals, except those SD-01 Pre-Construction Submittals noted above, construction, materials, products, equipment, and systems incorporated or to be incorporated in such construction.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor QC approval. Submit the following in accordance with this section.

SD-01 Preconstruction Submittals

Submittal Register; G

1.4 SUBMITTAL CLASSIFICATION

Submittals are classified as follows:

1.4.1 Government Approved G

Government approval is required for extensions of design, critical materials, deviations, equipment whose compatibility with the entire system must be checked, and other items as designated by the Contracting Officer. Within the terms of the Contract Clause entitled, "Specifications and Drawings for Construction," they are considered to be "shop drawings."

1.4.2 Information Only

Submittals not requiring Government approval will be for information only. They are not considered to be "shop drawings" within the terms of the Contract Clause referred to above.

1.5 FORWARDING SUBMITTALS REQUIRING GOVERNMENT APPROVAL

1.5.1 Submittals Required from the Contractor

As soon as practicable after award of contract, and before procurement of fabrication, forward to the Contracting Officer submittals required in the technical sections of this specification, including shop drawings, product data and samples. One copy of the transmittal form for all submittals shall be forwarded to the Resident Officer in Charge of Construction.

The Architect-Engineer for this project will review and approve for the Contracting Officer those submittals reserved for Contracting Officer approval to verify submittals comply with the contract requirements.

1.5.1.1 O&M Data

The Architect-Engineer for this project will review and approve for the Contracting Officer O&M Data to verify the submittals comply with the contract requirements; submit data specified for a given item within 30 calendar days after the item is delivered to the contract site.

In the event the Contractor fails to deliver O&M Data within the time limits specified, the Contracting Officer may withhold from progress payments 50 percent of the price of the item with which such O&M Data are applicable.

1.6 PREPARATION

1.6.1 Transmittal Form

Transmit each submittal, except sample installations and sample panels to office of The Contracting Officer. Transmit submittals with transmittal form prescribed by Contracting Officer and standard for project. On the transmittal form identify Contractor, indicate date of submittal, and include information prescribed by transmittal form and required in paragraph entitled, "Identifying Submittals," of this section.

Use the attached sample transmittal form (ENG Form 4025) for submitting both Government approved and information only submittals in accordance with the instructions on the reverse side of the form. These forms will be furnished to the Contractor. Properly complete this form by filling out all the heading blank spaces and identifying each item submitted. Exercise special care to ensure proper listing of the specification paragraph and sheet number of the contract drawings pertinent to the data submitted for each item.

1.6.2 Identifying Submittals

When submittals are provided by a Subcontractor, the Prime Contractor is to prepare, review and stamp with Contractor's approval all specified submittals prior to submitting for Government approval.

Identify submittals, except sample installations and sample panels, with the following information permanently adhered to or noted on each separate component of each submittal and noted on transmittal form. Mark each copy of each submittal identically, with the following:

- a. Project title and location.
- b. Construction contract number.
- c. Date of the drawings and revisions.
- d. Name, address, and telephone number of subcontractor, supplier, manufacturer and any other subcontractor associated with the submittal.
- e. Section number of the specification section by which submittal is required.
- f. Submittal description (SD) number of each component of submittal.
- g. When a resubmission, add alphabetic suffix on submittal description, for example, submittal 18 would become 18A, to indicate resubmission.
- h. Product identification and location in project.

1.6.3 Format for SD-02 Shop Drawings

Shop drawings are not to be less than 8 1/2 by 11 inches nor more than 30 by 42 inches, except for full size patterns or templates. Prepare drawings to accurate size, with scale indicated, unless other form is required. Drawings are to be suitable for reproduction and be of a quality to produce clear, distinct lines and letters with dark lines on a white background.

Present 8 1/2 by 11 inches sized shop drawings as part of the bound volume for submittals required by section. Present larger drawings in sets.

Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph entitled, "Identifying Submittals," of this section.

Number drawings in a logical sequence. Contractors may use their own number system. Each drawing is to bear the number of the submittal in a uniform location adjacent to the title block. Place the Government contract number in the margin, immediately below the title block, for each drawing.

Reserve a blank space, no smaller than 4x4 inches on the right hand side of each sheet for the Government disposition stamp.

Dimension drawings, except diagrams and schematic drawings; prepare drawings demonstrating interface with other trades to scale. Use the same unit of measure for shop drawings as indicated on the contract drawings. Identify materials and products for work shown.

Include the nameplate data, size and capacity on drawings. Also include applicable federal, military, industry and technical society publication references.

1.6.4 Format of SD-03 Product Data and SD-08 Manufacturer's Instructions

Present product data submittals for each section as a complete, bound volume. Include table of contents, listing page and catalog item numbers for product data.

Indicate, by prominent notation, each product which is being submitted; indicate specification section number and paragraph number to which it pertains.

Supplement product data with material prepared for project to satisfy submittal requirements for which product data does not exist. Identify this material as developed specifically for project, with information and format as required for submission of SD-07 Certificates.

Include the manufacturer's name, trade name, place of manufacture, and catalog model or number on product data. Also include applicable federal, military, industry and technical society publication references. Should manufacturer's data require supplemental information for clarification, submit as specified for SD-07 Certificates.

Where equipment or materials are specified to conform to industry and technical society reference standards of the organizations such as American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), and Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference

standard.

Collect required data submittals for each specific material, product, unit of work, or system into a single submittal and marked for choices, options, and portions applicable to the submittal. Mark each copy of the product data identically. Partial submittals will not be accepted for expedition of construction effort.

Submit manufacturer's instructions prior to installation.

1.6.5 Format of SD-04 Samples

Furnish samples in sizes below, unless otherwise specified or unless the manufacturer has prepackaged samples of approximately same size as specified:

- a. Sample of Equipment or Device: Full size.
- b. Sample of Materials Less Than 2 by 3 inches: Built up to 8 1/2 by 11 inches.
- c. Sample of Materials Exceeding 8 1/2 by 11 inches: Cut down to 8 1/2 by 11 inches and adequate to indicate color, texture, and material variations.
- d. Sample of Linear Devices or Materials: 10 inch length or length to be supplied, if less than 10 inches. Examples of linear devices or materials are conduit and handrails.
- e. Sample of Non-Solid Materials: Pint. Examples of non-solid materials are sand and paint.
- f. Color Selection Samples: 2 by 4 inches. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified. Sizes and quantities of samples are to represent their respective standard unit.
- g. Sample Panel: 4 by 4 feet.
- h. Sample Installation: 100 square feet.

Samples Showing Range of Variation: Where variations in color, finish, pattern, or texture are unavoidable due to nature of the materials, submit sets of samples of not less than three units showing extremes and middle of range. Mark each unit to describe its relation to the range of the variation.

Reusable Samples: Incorporate returned samples into work only if so specified or indicated. Incorporated samples are to be in undamaged condition at time of use.

Recording of Sample Installation: Note and preserve the notation of area constituting sample installation but remove notation at final clean up of project.

When color, texture or pattern is specified by naming a particular manufacturer and style, include one sample of that manufacturer and style, for comparison.

1.6.6 Format of SD-05 Design Data and SD-07 Certificates

Provide design data and certificates on 8 1/2 by 11 inches paper. Provide a bound volume for submittals containing numerous pages.

1.6.7 Format of SD-06 Test Reports and SD-09 Manufacturer's Field Reports

Provide reports on 8 1/2 by 11 inches paper in a complete bound volume.

Indicate by prominent notation, each report in the submittal. Indicate specification number and paragraph number to which it pertains.

1.6.8 Format of SD-01 Preconstruction Submittals and SD-11 Closeout Submittals

When submittal includes a document which is to be used in project or become part of project record, other than as a submittal, do not apply Contractor's approval stamp to document, but to a separate sheet accompanying document.

1.7 QUANTITY OF SUBMITTALS

1.7.1 Number of Copies of SD-02 Shop Drawings

Submit six copies of submittals of shop drawings requiring review and approval only by QC organization and seven copies of shop drawings requiring review and approval by Contracting Officer.

1.7.2 Number of Copies of SD-03 Product Data and SD-08 Manufacturer's Instructions

Submit in compliance with quantity requirements specified for shop drawings.

1.7.3 Number of Samples SD-04 Samples

- a. Submit two samples, or two sets of samples showing range of variation, of each required item. One approved sample or set of samples will be retained by approving authority and one will be returned to Contractor.
- b. Submit one sample panel or provide one sample installation where directed. Include components listed in technical section or as directed.
- c. Submit one sample installation, where directed.
- d. Submit one sample of non-solid materials.

1.7.4 Number of Copies SD-05 Design Data and SD-07 Certificates

Submit in compliance with quantity requirements specified for shop drawings.

1.7.5 Number of Copies SD-06 Test Reports and SD-09 Manufacturer's Field Reports

Submit in compliance with quantity and quality requirements specified for shop drawings other than field test results that will be submitted with QC

reports.

1.7.6 Number of Copies of SD-10 Operation and Maintenance Data

Submit three copies of O&M Data to the Contracting Officer for review and approval.

1.7.7 Number of Copies of SD-01 Preconstruction Submittals and SD-11 Closeout Submittals

Unless otherwise specified, submit two sets of administrative submittals.

1.8 INFORMATION ONLY SUBMITTALS

Normally submittals for information only will not be returned. Approval of the Contracting Officer is not required on information only submittals. The Government reserves the right to require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications; will not prevent the Contracting Officer from requiring removal and replacement of nonconforming material incorporated in the work; and does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or for check testing by the Government in those instances where the technical specifications so prescribe.

1.9 VARIATIONS

Variations from contract requirements require both Designer of Record (DOR) and Government approval pursuant to contract Clause FAR 52.236-21 and will be considered where advantageous to Government.

1.9.1 Considering Variations

Discussion with Contracting Officer prior to submission, after consulting with the DOR, will help ensure functional and quality requirements are met and minimize rejections and re-submittals. When contemplating a variation which results in lower cost, consider submission of the variation as a Value Engineering Change Proposal (VECP).

Specifically point out variations from contract requirements in transmittal letters. Failure to point out deviations may result in the Government requiring rejection and removal of such work at no additional cost to the Government.

1.9.2 Proposing Variations

When proposing variation, deliver written request to the Contracting Officer, with documentation of the nature and features of the variation and why the variation is desirable and beneficial to Government, including the DOR's written analysis and approval. If lower cost is a benefit, also include an estimate of the cost savings. In addition to documentation required for variation, include the submittals required for the item. Clearly mark the proposed variation in all documentation.

Check the column "variation" of ENG Form 4025 for submittals which include proposed deviations requested by the Contractor. Set forth in writing the reason for any deviations and annotate such deviations on the submittal. The Government reserves the right to rescind inadvertent approval of

submittals containing unnoted deviations.

1.9.3 Warranting That Variations Are Compatible

When delivering a variation for approval, Contractor, including its Designer(s) of Record, warrants that this contract has been reviewed to establish that the variation, if incorporated, will be compatible with other elements of work.

1.9.4 Review Schedule Is Modified

In addition to normal submittal review period, a period of 10 working days will be allowed for consideration by the Government of submittals with variations.

1.10 SUBMITTAL REGISTER

Prepare and maintain submittal register, as the work progresses. Do not change data which is output in columns (c), (d), (e), and (f) as delivered by Government; retain data which is output in columns (a), (g), (h), and (i) as approved. A submittal register showing items of equipment and materials for which submittals are required by the specifications is provided as an attachment. This list may not be all inclusive and additional submittals may be required. Maintain a submittal register for the project in accordance with Section 01 45 00.10 10 QUALITY CONTROL SYSTEM (QCS). The Government will provide the initial submittal register in electronic format

Column (c): Lists specification section in which submittal is required.

Column (d): Lists each submittal description (SD No. and type, e.g. SD-02 Shop Drawings) required in each specification section.

Column (e): Lists one principal paragraph in specification section where a material or product is specified. This listing is only to facilitate locating submitted requirements. Do not consider entries in column (e) as limiting project requirements.

Column (f): Indicate approving authority for each submittal.

Thereafter, the Contractor is to track all submittals by maintaining a complete list, including completion of all data columns, including dates on which submittals are received and returned by the Government.

1.10.1 Use of Submittal Register

Submit submittal register. Submit with QC plan and project schedule. Verify that all submittals required for project are listed and add missing submittals. Coordinate and complete the following fields on the register submitted with the QC plan and the project schedule:

Column (a) Activity Number: Activity number from the project schedule.

Column (g) Contractor Submit Date: Scheduled date for approving authority to receive submittals.

Column (h) Contractor Approval Date: Date Contractor needs

approval of submittal.

Column (i) Contractor Material: Date that Contractor needs material delivered to Contractor control.

1.10.2 Contractor Use of Submittal Register

Update the following fields in the Government-furnished submittal register program or equivalent fields in program utilized by Contractor with each submittal throughout contract.

Column (b) Transmittal Number: Contractor assigned list of consecutive numbers.

Column (j) Action Code (k): Date of action used to record Contractor's review when forwarding submittals to QC.

Column (l) List date of submittal transmission.

Column (q) List date approval received.

1.10.3 Approving Authority Use of Submittal Register

Update the following fields in the Government-furnished submittal register program or equivalent fields in program utilized by Contractor.

Column (b) Transmittal Number: Contractor assigned list of consecutive numbers.

Column (l) List date of submittal receipt.

Column (m) through (p) List Date related to review actions.

Column (q) List date returned to Contractor.

1.10.4 Action Codes

Entries for columns (j) and (o), are to be used as follows (others may be prescribed by Transmittal Form):

1.10.4.1 Government Review Action Codes

"A" - "Approved as submitted"; "Completed"

"B" - "Approved, except as noted on drawings"; "Completed"

"C" - "Approved, resubmission required"; "Resubmit"

"D" - "Returned by correspondence"; "Completed"

"E" - "Disapproved (See attached)"; "Resubmit"

"F" - "Receipt acknowledged"; "Completed"

"G" - "Other (Specify)"; "Resubmit"

"X" - "Receipt acknowledged, does not comply"; "Resubmit"

1.10.5 Copies Delivered to the Government

Deliver one copy of submittal register updated by Contractor to Government with each invoice request.

1.11 SCHEDULING

Schedule and submit concurrently submittals covering component items forming a system or items that are interrelated. Include certifications to be submitted with the pertinent drawings at the same time. No delay damages or time extensions will be allowed for time lost in late submittals.

- a. Coordinate scheduling, sequencing, preparing and processing of submittals with performance of work so that work will not be delayed by submittal processing. Allow for potential resubmittal of requirements.
- b. Submittals called for by the contract documents will be listed on the register. If a submittal is called for but does not pertain to the contract work, the Contractor is to include the submittal in the register and annotate it "N/A" with a brief explanation. Approval by the Contracting Officer does not relieve the Contractor of supplying submittals required by the contract documents but which have been omitted from the register or marked "N/A."
- c. Re-submit register and annotate monthly by the Contractor with actual submission and approval dates. When all items on the register have been fully approved, no further re-submittal is required.
- d. Carefully control procurement operations to ensure that each individual submittal is made on or before the Contractor scheduled submittal date shown on the approved "Submittal Register."
- e. Except as specified otherwise, allow review period, beginning with receipt by approving authority, that includes at least 15 working days for submittals for QC Manager approval and 20 working days for submittals for Contracting Officer approval. Period of review for submittals with Contracting Officer approval begins when Government receives submittal from QC organization.
- f. Period of review for each resubmittal is the same as for initial submittal.

1.11.1 Reviewing, Certifying, Approving Authority

The QC organization is responsible for reviewing and certifying that submittals are in compliance with contract requirements. Approving authority on submittals is QC Manager unless otherwise specified for specific submittal. At each "Submittal" paragraph in individual specification sections, a notation "G," following a submittal item, indicates Contracting Officer is approving authority for that submittal item.

1.11.2 Constraints

Conform to provisions of this section, unless explicitly stated otherwise for submittals listed or specified in this contract.

Submit complete submittals for each definable feature of work. Submit at the same time components of definable feature interrelated as a system.

When acceptability of a submittal is dependent on conditions, items, or materials included in separate subsequent submittals, submittal will be returned without review.

Approval of a separate material, product, or component does not imply approval of assembly in which item functions.

1.11.3 QC Organization Responsibilities

- a. Note date on which submittal was received from Contractor on each submittal.
- b. Review each submittal; and check and coordinate each submittal with requirements of work and contract documents.
- c. Review submittals for conformance with project design concepts and compliance with contract documents.
- d. Act on submittals, determining appropriate action based on QC organization's review of submittal.
 - (1) When QC Manager is approving authority, take appropriate action on submittal from the possible actions defined in paragraph entitled, "Approved Submittals," of the section."
 - (2) When Contracting Officer is approving authority or when variation has been proposed, forward submittal to Government with certifying statement or return submittal marked "not reviewed" or "revise and resubmit" as appropriate. The QC organization's review of submittal determines appropriate action.
- e. Ensure that material is clearly legible.
- f. Stamp each sheet of each submittal with QC certifying statement or approving statement, except that data submitted in bound volume or on one sheet printed on two sides may be stamped on the front of the first sheet only.

- (1) When approving authority is Contracting Officer, QC organization will certify submittals forwarded to Contracting Officer with the following certifying statement:

"I hereby certify that the (equipment) (material) (article) shown and marked in this submittal is that proposed to be incorporated with contract Number [____], is in compliance with the contract drawings and specification, can be installed in the allocated spaces, and is submitted for Government approval.

Certified by Submittal Reviewer _____, Date _____
(Signature when applicable)

Certified by QC Manager _____, Date _____"
(Signature)

- (2) When approving authority is QC Manager, QC Manager will use the following approval statement when returning submittals to

Contractor as "Approved" or "Approved as Noted."

"I hereby certify that the (material) (equipment) (article) shown and marked in this submittal and proposed to be incorporated with contract Number [____], is in compliance with the contract drawings and specification, can be installed in the allocated spaces, and is approved for use.

Certified by Submittal Reviewer _____, Date _____
(Signature when applicable)

Approved by QC Manager _____, Date _____"
(Signature)

- g. Sign certifying statement or approval statement. The QC organization member designated in the approved QC plan is the person signing certifying statements. The use of original ink for signatures is required. Stamped signatures are not acceptable.
- h. Update submittal register as submittal actions occur and maintain the submittal register at project site until final acceptance of all work by Contracting Officer.
- i. Retain a copy of approved submittals at project site, including Contractor's copy of approved samples.

1.12 GOVERNMENT APPROVING AUTHORITY

When approving authority is Contracting Officer, the Government will:

- a. Note date on which submittal was received from QC Manager.
- b. Review submittals for approval within scheduling period specified and only for conformance with project design concepts and compliance with contract documents.
- c. Identify returned submittals with one of the actions defined in paragraph entitled, "Review Notations," of this section and with markings appropriate for action indicated.

Upon completion of review of submittals requiring Government approval, stamp and date approved submittals. 3 copies of the approved submittal will be retained by the Contracting Officer and 2 copies of the submittal will be returned to the Contractor. If the Government performs a conformance review of other Designer of Record approved submittals, the submittals will be so identified and returned, as described above.

1.12.1 Review Notations

Contracting Officer review will be completed within 15 calendar days after date of submission. Submittals will be returned to the Contractor with the following notations:

- a. Submittals marked "approved" or "accepted" authorize the Contractor to proceed with the work covered.
- b. Submittals marked "approved as noted" "or approved except as noted, resubmittal not required," authorize the Contractor to proceed with the work covered provided he takes no exception to the corrections.

- c. Submittals marked "not approved" or "disapproved," or "revise and resubmit," indicate noncompliance with the contract requirements or design concept, or that submittal is incomplete. Resubmit with appropriate changes. No work shall proceed for this item until resubmittal is approved.
- d. Submittals marked "not reviewed" will indicate submittal has been previously reviewed and approved, is not required, does not have evidence of being reviewed and approved by Contractor, or is not complete. A submittal marked "not reviewed" will be returned with an explanation of the reason it is not reviewed. Resubmit submittals returned for lack of review by Contractor or for being incomplete, with appropriate action, coordination, or change.

1.13 DISAPPROVED OR REJECTED SUBMITTALS

Contractor shall make corrections required by the Contracting Officer. If the Contractor considers any correction or notation on the returned submittals to constitute a change to the contract drawings or specifications; notice as required under the clause entitled, "Changes," is to be given to the Contracting Officer. Contractor is responsible for the dimensions and design of connection details and construction of work. Failure to point out deviations may result in the Government requiring rejection and removal of such work at the Contractor's expense.

If changes are necessary to submittals, the Contractor shall make such revisions and submission of the submittals in accordance with the procedures above. No item of work requiring a submittal change is to be accomplished until the changed submittals are approved.

1.14 APPROVED SUBMITTALS

The Contracting Officer's approval or acceptance of submittals is not to be construed as a complete check, and indicates only that the general method of construction, materials, detailing and other information are satisfactory.

Approval or acceptance will not relieve the Contractor of the responsibility for any error which may exist, as the Contractor under the Contractor Quality Control (CQC) requirements of this contract is responsible for dimensions, the design of adequate connections and details, and the satisfactory construction of all work.

After submittals have been approved or accepted by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

1.15 APPROVED SAMPLES

Approval of a sample is only for the characteristics or use named in such approval and is not be construed to change or modify any contract requirements. Before submitting samples, the Contractor to assure that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.

Match the approved samples for materials and equipment incorporated in the

work. If requested, approved samples, including those which may be damaged in testing, will be returned to the Contractor, at his expense, upon completion of the contract. Samples not approved will also be returned to the Contractor at its expense, if so requested.

Failure of any materials to pass the specified tests will be sufficient cause for refusal to consider, under this contract, any further samples of the same brand or make of that material. Government reserves the right to disapprove any material or equipment which previously has proved unsatisfactory in service.

Samples of various materials or equipment delivered on the site or in place may be taken by the Contracting Officer for testing. Samples failing to meet contract requirements will automatically void previous approvals. Contractor to replace such materials or equipment to meet contract requirements.

Approval of the Contractor's samples by the Contracting Officer does not relieve the Contractor of his responsibilities under the contract.

1.16 WITHHOLDING OF PAYMENT

Payment for materials incorporated in the work will not be made if required approvals have not been obtained.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

Water Distribution Repairs Phase 3

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASSIFICATION	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		APPROVING AUTHORITY				MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS	
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE			DATE OF ACTION
		01 14 00	SD-01 Preconstruction Submittals														
			List of Contact Personnel	1.3.1.1	G												
			Personnel List	1.4.1	G												
		01 20 00.00 20	SD-01 Preconstruction Submittals														
			Schedule of Prices	1.3													
		01 30 00	SD-01 Preconstruction Submittals														
			Progress and completion pictures	1.2	G												
		01 32 16.00 20	SD-01 Preconstruction Submittals														
			Construction Schedule	1.2	G												
			SD-07 Certificates														
			Monthly Updates	1.4	G												
		01 33 00	SD-01 Preconstruction Submittals														
			Submittal Register	1.10	G												
		01 35 26	SD-01 Preconstruction Submittals														
			Accident Prevention Plan (APP)	1.7	G												
			Activity Hazard Analysis (AHA)	1.8	G												
			Crane Critical Lift Plan	1.7.1	G												
			Crane Operators	1.6.1.3	G												
			SD-06 Test Reports														
			Notifications and Reports	1.12													
			Accident Reports	1.12.2													
			Crane Reports	1.12.3													
			SD-07 Certificates														
			License Certificates	1.14													
			Confined Space Entry Permit	1.9													
			Hot work permit	1.9													

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						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/	DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER			ACTION CODE	DATE OF ACTION
		01 35 26	Contractor Safety Self-Evaluation Checklist	1.4	G													
			Certificate of Compliance	1.12.4														
		01 45 00.10 20	SD-01 Preconstruction Submittals															
			QC Plan	1.6	G													
		01 50 00	SD-01 Preconstruction Submittals															
			Traffic control plan	3.3.1	G													
			SD-06 Test Reports															
			Backflow Preventer Tests	2.2.3	G													
			SD-07 Certificates															
			Backflow Tester	1.4.1	G													
			Backflow Preventers	1.4														
		01 57 19.00 20	SD-01 Preconstruction Submittals															
			Preconstruction Survey	1.5.1	G													
			Solid Waste Management Plan and Permit	3.3	G													
			Solid Waste Management Plan and Permit	3.3	G													
			Environmental Protection Plan	1.6	G													
			Storm Water Pollution Prevention Plan	3.1.2.1	G													
			Storm Water Notice of Intent (for NPDES coverage under the general permit for construction activities)	3.1.2.1	G													
			Dirt and Dust Control Plan	3.13.1														

SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

Water Distribution Repairs Phase 3

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASSIFICATION	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		APPROVING AUTHORITY				MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS		
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE			DATE OF ACTION	
																		(g)
		01 57 19.00 20	Contractor Hazardous Material Inventory Log	3.7.1	G													
			SD-06 Test Reports															
			Disposal Requirements	3.14.2														
			Erosion and Sediment Control Inspection Reports	3.1.2														
			Waste Report	3.3.1	G													
			SD-07 Certificates															
			Contractor 40 CFR employee training records	1.5.5	G													
			SD-11 Closeout Submittals															
			Storm Water Pollution Prevention Plan compliance notebook	3.1.2.2	G													
			Waste Determination Documentation	3.4														
			Disposal Documentation for Hazardous and Regulated Waste	3.3.2.1														
			Contractor 40 CFR Employee Training Records	1.5.5														
			Waste Report	3.3.1														
			Contractor Hazardous Material Inventory Log	3.7.1	G													
			Hazardous Waste/Debris Management	3.12.2														
		01 78 00	SD-03 Product Data															

SUBMITTAL REGISTER

CONTRACT NO. _____

TITLE AND LOCATION
Water Distribution Repairs Phase 3

CONTRACTOR _____

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASSIFICATION	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		APPROVING AUTHORITY				MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS		
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/	DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER			ACTION CODE	DATE OF ACTION
		01 78 00	As-Built Record of Equipment and Materials	1.2.2														
			Warranty Management Plan	1.6.1														
			Warranty Tags	1.6.4														
			Spare Parts Data	1.3														
			SD-08 Manufacturer's Instructions															
			Preventative Maintenance	1.4														
			Inspection	1.4														
			SD-10 Operation and Maintenance Data															
			Operation and Maintenance Manuals	1.7														
			SD-11 Closeout Submittals															
			Record Drawings	1.2.1														
			Certification of EPA Designated Items	1.5	G													
		02 41 00	SD-01 Preconstruction Submittals															
			Demolition Plan	1.2.1	G													
			Existing Conditions	1.9														
			SD-07 Certificates															
			Notification	1.6	G													
			SD-11 Closeout Submittals															
			Receipts															
		02 82 16.00 20	SD-03 Product Data															
			Respirators	3.1.1.1	G													
			Amended water	1.2.2	G													

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Water Distribution Repairs Phase 3

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASSIFICATION	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		APPROVING AUTHORITY				MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS	
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE			DATE OF ACTION
		02 82 16.00 20	Glovebags	3.1.7	G												
			Material Safety Data Sheets (MSDS) for all materials	1.3.8	G												
			Encapsulants	2.1	G												
			SD-06 Test Reports														
			Asbestos disposal quantity report	3.3.3.2	G												
			SD-07 Certificates														
			Asbestos hazard abatement plan	1.3.9	G												
			Testing laboratory	1.3.10	G												
			Private qualified person documentation	1.5.1	G												
			Contractor's license	1.5.4	G												
			Competent person	1.5.2	G												
			Worker's license	1.5.3	G												
			Landfill approval	1.3.11	G												
			Employee training	1.3.3	G												
			Medical certification	1.3.12	G												
			Waste shipment records	1.3.11	G												
			Respiratory Protection Program	1.3.6	G												
			Delivery tickets	1.3.11	G												
			Vacuums	3.1.5	G												
			Water filtration equipment	3.1.2.3	G												
			Ventilation systems	3.1.5	G												
			equipment used to contain airborne asbestos fibers	3.1	G												
			encapsulants	2.1	G												

SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

Water Distribution Repairs Phase 3

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASSIFICATION	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		APPROVING AUTHORITY					REMARKS			
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/	DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE		DATE OF ACTION	MAILED TO CONTR/	DATE RCD FRM APPR AUTH
		02 82 16.00 20	Notifications	1.3.4															
			Notifications	1.3.4															
			SD-11 Closeout Submittals																
			Notifications	1.3.4	G														
			Notifications	1.3.4	G														
			Rental equipment	1.6.1	G														
			Respirator program records	1.3.6.1	G														
			Permits and licenses	1.3.4	G														
		31 23 00.00 20	SD-01 Preconstruction Submittals																
			Shoring and Sheeting Plan	1.7.1															
			Dewatering work plan	1.7.2															
			SD-06 Test Reports																
			Borrow Site Testing		G														
			Fill and backfill	3.12.2.1															
			Density Tests	3.12.2.4															
		32 12 17	SD-05 Design Data																
			Job-mix formula	1.3.2															
			ASPHALT CEMENT BINDER	2.2															
			MIX DESIGN	2.3															
			SD-06 Test Reports																
			Specific gravity test of asphalt	2.4.1															
			Coarse aggregate tests	2.4.1															
			Weight of slag test	2.4.1															
			Percent of crushed pieces in gravel	2.4.1															
			Fine aggregate tests	2.4.1															

SUBMITTAL REGISTER

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Water Distribution Repairs Phase 3

CONTRACTOR

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						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE			DATE OF ACTION
		32 12 17	Specific gravity of mineral filler	2.4.1													
			Bituminous mixture tests	2.4.1													
			Aggregates tests	3.5.2.1													
			Bituminous mix tests	3.5.2.2													
			Pavement courses	3.5.2.3													
		32 17 23.00 20	SD-03 Product Data														
			Reflective media for roads and streets	2.1.2													
			Paints for roads and streets	2.1.1													
			High Build Acrylic Coating (HBAC)	2.1.6	G												
			Thermoplastic compound	2.1.3													
			Thermoplastic compound	3.2.2.3													
			Raised Pavement Markers	2.1.5													
			Equipment	1.5	G												
			Qualifications	1.8													
			SD-06 Test Reports														
			Reflective media for roads and streets	2.1.2													
			Paints for roads and streets	2.1.1													
			High Build Acrylic Coating (HBAC)	2.1.6	G												
			Thermoplastic compound	2.1.3													
			Thermoplastic compound	3.2.2.3													
			Raised Pavement Markers	2.1.5													
			SD-07 Certificates														

SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

Water Distribution Repairs Phase 3

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASSIFICATION	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		APPROVING AUTHORITY				MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS		
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE			DATE OF ACTION	
																		(g)
		32 17 23.00 20	Reflective media for roads and streets	2.1.2														
			Paints for roads and streets	2.1.1														
			Volatile Organic Compound	3.5.2														
			Thermoplastic compound	2.1.3														
			Thermoplastic compound	3.2.2.3														
			Construction equipment list	1.5														
			SD-08 Manufacturer's Instructions															
			Paints for roads and streets	2.1.1														
			Thermoplastic compound	2.1.3														
			Thermoplastic compound	3.2.2.3														
		33 11 00	SD-03 Product Data															
			Piping Materials	2.1.1														
			Water distribution main	2.1														
			Water service line	2.2														
			Hydrants	2.1.2.4														
			Corporation stops	2.2.2.1														
			Valve boxes	2.1.2.5														
			SD-06 Test Reports															
			Disinfection	2.2.2.5	G													
			SD-07 Certificates															
			Water distribution main	2.1														
			Water service line	2.2														
			lining	2.1.1.1														
			hydrants	2.1.2.4														
			SD-08 Manufacturer's Instructions															

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SECTION 01 35 26

GOVERNMENTAL SAFETY REQUIREMENTS
02/12

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SAFETY ENGINEERS (ASSE/SAFE)

- ASSE/SAFE A10.32 (2012) Fall Protection
- ASSE/SAFE A10.34 (2001; R 2012) Protection of the Public on or Adjacent to Construction Sites
- ASSE/SAFE Z359.1 (2007) Safety Requirements for Personal Fall Arrest Systems, Subsystems and Components

ASME INTERNATIONAL (ASME)

- ASME B30.22 (2010) Articulating Boom Cranes
- ASME B30.5 (2011) Mobile and Locomotive Cranes

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

- NFPA 10 (2010; Errata 2012) Standard for Portable Fire Extinguishers
- NFPA 241 (2009) Standard for Safeguarding Construction, Alteration, and Demolition Operations
- NFPA 51B (2009; TIA 09-1) Standard for Fire Prevention During Welding, Cutting, and Other Hot Work
- NFPA 70 (2011; Errata 2 2012) National Electrical Code
- NFPA 70E (2012; Errata 2012) Standard for Electrical Safety in the Workplace

U.S. ARMY CORPS OF ENGINEERS (USACE)

- EM 385-1-1 (2008; Errata 2011) Safety and Health Requirements Manual

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

- 10 CFR 20 Standards for Protection Against Radiation

29 CFR 1910	Occupational Safety and Health Standards
29 CFR 1910.146	Permit-required Confined Spaces
29 CFR 1915	Confined and Enclosed Spaces and Other Dangerous Atmospheres in Shipyard Employment
29 CFR 1926	Safety and Health Regulations for Construction
29 CFR 1926.1400	Cranes & Derricks in Construction
29 CFR 1926.16	Rules of Construction
29 CFR 1926.500	Fall Protection
CPL 2.100	(1995) Application of the Permit-Required Confined Spaces (PRCS) Standards, 29 CFR 1910.146

U.S. NAVAL FACILITIES ENGINEERING COMMAND (NAVFAC)

NAVFAC P-307	(2009; Change 1 Mar 2011; Change 2 Aug 2011)Management of Weight Handling Equipment
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1.2 DEFINITIONS

- a. Competent Person for Fall Protection. A person who is capable of identifying hazardous or dangerous conditions in the personal fall arrest system or any component thereof, as well as their application and use with related equipment, and has the authority to take prompt corrective measures to eliminate the hazards of falling.
- b. High Visibility Accident. Any mishap which may generate publicity or high visibility.
- c. Medical Treatment. Treatment administered by a physician or by registered professional personnel under the standing orders of a physician. Medical treatment does not include first aid treatment even through provided by a physician or registered personnel.
- d. Operating Envelope. The area surrounding any crane. Inside this "envelope" is the crane, the operator, riggers and crane walkers, rigging gear between the hook and the load, the load and the crane's supporting structure (ground, rail, etc.).
- e. Recordable Injuries or Illnesses. Any work-related injury or illness that results in:
 - (1) Death, regardless of the time between the injury and death, or the length of the illness;
 - (2) Days away from work (any time lost after day of injury/illness onset);
 - (3) Restricted work;

- (4) Transfer to another job;
 - (5) Medical treatment beyond first aid;
 - (6) Loss of consciousness; or
 - (7) A significant injury or illness diagnosed by a physician or other licensed health care professional, even if it did not result in (1) through (6) above.
- f. "USACE" property and equipment specified in USACE EM 385-1-1 should be interpreted as Government property and equipment.
- g. Weight Handling Equipment (WHE) Accident. A WHE accident occurs when any one or more of the eight elements in the operating envelope fails to perform correctly during operation, including operation during maintenance or testing resulting in personnel injury or death; material or equipment damage; dropped load; derailment; two-blocking; overload; or collision, including unplanned contact between the load, crane, or other objects. A dropped load, derailment, two-blocking, overload and collision are considered accidents even though no material damage or injury occurs. A component failure (e.g., motor burnout, gear tooth failure, bearing failure) is not considered an accident solely due to material or equipment damage unless the component failure results in damage to other components (e.g., dropped boom, dropped load, roll over, etc.) Any mishap meeting the criteria described above shall be documented in both the Contractor Significant Incident Report (CSIR) and using the NAVFAC prescribed Navy Crane Center (NCC) form submitted within five days both as provided by the Contracting Officer. Comply with additional requirements and procedures for accidents in accordance with NAVFAC P-307, Section 12.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Accident Prevention Plan (APP); G

Activity Hazard Analysis (AHA); G

Crane Critical Lift Plan; G

Proof of qualification for Crane Operators; G

SD-06 Test Reports

Notifications and Reports

Submit reports as their incidence occurs, in accordance with the requirements of the paragraph, "Notifications and Reports."

Accident Reports

Crane Reports

SD-07 Certificates

License Certificates

Confined Space Entry Permit

Hot work permit

Contractor Safety Self-Evaluation Checklist; G

Certificate of Compliance (Crane)

1.4 CONTRACTOR SAFETY SELF-EVALUATION CHECKLIST

Contracting Officer will provide a "Contractor Safety Self-Evaluation checklist" to the Contractor at the pre-construction conference. Complete the checklist monthly and submit with each request for payment voucher. An acceptable score of 90 or greater is required. Failure to submit the completed safety self-evaluation checklist or achieve a score of at least 90 may result in retention of up to 10 percent of the voucher. Additionally, provide a Monthly Exposure Report and attach to the monthly billing request. This report is a compilation of employee-hours worked each month for all site workers, both prime and subcontractor. Failure to submit the report may result in retention of up to 10 percent of the voucher. The Contracting Officer will submit a copy of the Contractor Safety Self-Evaluation and Monthly Exposure Report to the local safety and occupational health office.

1.5 REGULATORY REQUIREMENTS

In addition to the detailed requirements included in the provisions of this contract, comply with the most recent edition of USACE EM 385-1-1, and the following federal, state, and local laws, ordinances, criteria, rules and regulations. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirements govern.

1.6 SITE QUALIFICATIONS, DUTIES AND MEETINGS

1.6.1 Personnel Qualifications

1.6.1.1 Site Safety and Health Officer (SSHO)

The SSHO must meet the requirements of EM 385-1-1 section 1 and ensure that the requirements of 29 CFR 1926.16 are met for the project. Provide a Safety oversight team that includes a minimum of one (1) person at each project site to function as the Site Safety and Health Officer (SSHO). The SSHO or an equally-qualified Designated Representative/alternate shall be at the work site at all times to implement and administer the Contractor's safety program and government-accepted Accident Prevention Plan. The SSHO's training, experience, and qualifications shall be as required by EM 385-1-1 paragraph 01.A.17, entitled SITE SAFETY AND HEALTH OFFICER (SSHO), and all associated sub-paragraphs.

A Competent Person shall be provided for all of the hazards identified in the Contractor's Safety and Health Program in accordance with the accepted

Accident Prevention Plan, and shall be on-site at all times when the work that presents the hazards associated with their professional expertise is being performed. Provide the credentials of the Competent Persons(s) to the the Contracting Officer for acceptance in consultation with the Safety Office.

1.6.1.1.1 Contractor Quality Control (QC) Person:

The Contractor Quality Control Person can be the SSHO on this project.

1.6.1.2 Competent Person for Confined Space Entry

Provide a "Competent Person" to supervise the entry into each confined space. That individual must meet the requirements and definition of Competent Person as contained in EM 385-1-1.

1.6.1.3 Crane Operators

Meet the crane operators requirements in USACE EM 385-1-1, Section 16 and Appendix I. In addition, for mobile cranes with Original Equipment Manufacturer (OEM) rated capacities of 50,000 pounds or greater, designate crane operators as qualified by a source that qualifies crane operators (i.e., union, a government agency, or an organization that tests and qualifies crane operators). Provide proof of current qualification. In addition, the Contractor shall comply with Contractor Operated Crane Requirements included in the latest revision of document NAVFAC P-307 Section 1.7.2 "Contractor Operated Cranes," and Appendix P, Figure P-1 and with 29 CFR 1926, Subpart CC.

1.6.2 Personnel Duties

1.6.2.1 Site Safety and Health Officer (SSHO)

The SSHO shall:

- a. Conduct daily safety and health inspections and maintain a written log which includes area/operation inspected, date of inspection, identified hazards, recommended corrective actions, estimated and actual dates of corrections. Attach safety inspection logs to the Contractors' daily production report.
- b. Conduct mishap investigations and complete required reports. Maintain the OSHA Form 300 and Daily Production reports for prime and sub-contractors.
- c. Maintain applicable safety reference material on the job site.
- d. Attend the pre-construction conference, pre-work meetings including preparatory inspection meeting, and periodic in-progress meetings.
- e. Implement and enforce accepted APPS and AHAs.
- f. Maintain a safety and health deficiency tracking system that monitors outstanding deficiencies until resolution. Post a list of unresolved safety and health deficiencies on the safety bulletin board.
- g. Ensure sub-contractor compliance with safety and health requirements.
- h. Maintain a list of hazardous chemicals on site and their material

safety data sheets.

Failure to perform the above duties will result in dismissal of the superintendent, QC Manager, and/or SSHO, and a project work stoppage. The project work stoppage will remain in effect pending approval of a suitable replacement.

1.6.3 Meetings

1.6.3.1 Preconstruction Conference

- a. Contractor representatives who have a responsibility or significant role in accident prevention on the project shall attend the preconstruction conference. This includes the project superintendent, site safety and health officer, quality control supervisor, or any other assigned safety and health professionals who participated in the development of the APP (including the Activity Hazard Analyses (AHAs) and special plans, program and procedures associated with it).
- b. Discuss the details of the submitted APP to include incorporated plans, programs, procedures and a listing of anticipated AHAs that will be developed and implemented during the performance of the contract. This list of proposed AHAs will be reviewed at the conference and an agreement will be reached between the Contractor and the Contracting Officer's representative as to which phases will require an analysis. In addition, establish a schedule for the preparation, submittal, review, and acceptance of AHAs to preclude project delays.
- c. Deficiencies in the submitted APP will be brought to the attention of the Contractor at the preconstruction conference, and the Contractor shall revise the plan to correct deficiencies and re-submit it for acceptance. Do not begin work until there is an accepted APP.

1.6.3.2 Safety Meetings

Conduct and document meetings as required by EM 385-1-1. Attach minutes showing contract title, signatures of attendees and a list of topics discussed to the Contractors' daily production report.

1.7 ACCIDENT PREVENTION PLAN (APP)

Use a qualified person to prepare the written site-specific APP. Prepare the APP in accordance with the format and requirements of USACE EM 385-1-1 and as supplemented herein. Cover all paragraph and subparagraph elements in USACE EM 385-1-1, Appendix A, "Minimum Basic Outline for Accident Prevention Plan". Specific requirements for some of the APP elements are described below. The APP shall be job-specific and address any unusual or unique aspects of the project or activity for which it is written. The APP shall interface with the Contractor's overall safety and health program. Include any portions of the Contractor's overall safety and health program referenced in the APP in the applicable APP element and made site-specific. The Government considers the Prime Contractor to be the "controlling authority" for all work site safety and health of the subcontractors. Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the contract and the penalties for noncompliance, coordinating the work to prevent one craft from interfering with or creating hazardous working conditions for other crafts, and inspecting subcontractor operations to ensure that

accident prevention responsibilities are being carried out. The APP shall be signed by the person and firm (senior person) preparing the APP, the Contractor, the on-site superintendent, the designated site safety and health officer, the Contractor Quality control Manager, and any designated CSP or CIH.

Submit the APP to the Contracting Officer 15 calendar days prior to the date of the preconstruction conference for acceptance. Work cannot proceed without an accepted APP.

Once accepted by the Contracting Officer, the APP and attachments will be enforced as part of the contract. Disregarding the provisions of this contract or the accepted APP will be cause for stopping of work, at the discretion of the Contracting Officer, until the matter has been rectified.

Once work begins, changes to the accepted APP shall be made with the knowledge and concurrence of the Contracting Officer, project superintendent, SSHO and quality control manager. Should any severe hazard exposure, i.e. imminent danger, become evident, stop work in the area, secure the area, and develop a plan to remove the exposure and control the hazard. Notify the Contracting Officer within 24 hours of discovery. Eliminate/remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public (as defined by ASSE/SAFE A10.34,) and the environment.

Copies of the accepted plan will be maintained at the Contracting Officer's office and at the job site.

Continuously review and ammend the APP, as necessary, throughout the life of the contract. Incorporate unusual or high-hazard activities not identified in the original APP as they are discovered.

1.7.1 EM 385-1-1 Contents

In addition to the requirements outlined in Appendix A of USACE EM 385-1-1, the following is required:

- a. Names and qualifications (resumes including education, training, experience and certifications) of all site safety and health personnel designated to perform work on this project to include the designated site safety and health officer and other competent and qualified personnel to be used such as CSPs, CIHs, STSs, CHSTs. Specify the duties of each position.
- b. Qualifications of competent and of qualified persons. As a minimum, designate and submit qualifications of competent persons for each of the following major areas: excavation; scaffolding; fall protection; hazardous energy; confined space; health hazard recognition, evaluation and control of chemical, physical and biological agents; personal protective equipment and clothing to include selection, use and maintenance.
- c. Confined Space Entry Plan. Develop a confined and/or enclosed space entry plan in accordance with USACE EM 385-1-1, applicable OSHA standards 29 CFR 1910, 29 CFR 1915, and 29 CFR 1926, OSHA Directive CPL 2.100, and any other federal, state and local regulatory requirements identified in this contract. Identify the qualified person's name and qualifications, training, and experience. Delineate

the qualified person's authority to direct work stoppage in the event of hazardous conditions. Include procedure for rescue by contractor personnel and the coordination with emergency responders. (If there is no confined space work, include a statement that no confined space work exists and none will be created.)

- d. Crane Critical Lift Plan. Prepare and sign weight handling critical lift plans for lifts over 75 percent of the capacity of the crane or hoist (or lifts over 50 percent of the capacity of a barge mounted mobile crane's hoists) at any radius of lift; lifts involving more than one crane or hoist; lifts of personnel; and lifts involving non-routine rigging or operation, sensitive equipment, or unusual safety risks. Submit 15 calendar days prior to on-site work and include the requirements of USACE EM 385-1-1, paragraph 16.H. and the following:
 - (1) For lifts of personnel, demonstrate compliance with the requirements of 29 CFR 1926.1400.
 - (2) For barge mounted mobile cranes, barge stability calculations identifying barge list and trim based on anticipated loading; and load charts based on calculated list and trim. The amount of list and trim shall be within the crane manufacturer's requirements.
- e. Fall Protection and Prevention (FP&P) Program Documentation. The program documentation shall be site specific and address all fall hazards in the work place and during different phases of construction. Address how to protect and prevent workers from falling to lower levels when they are exposed to fall hazards above 6 feet. A qualified person for fall protection shall prepare and sign the program documentation. Include fall protection and prevention systems, equipment and methods employed for every phase of work, responsibilities, assisted rescue, self-rescue and evacuation procedures, training requirements, and monitoring methods. Include the Fall Protection and Prevention Program documentation in the Accident Prevention Plan (APP).

The FP&P Plan shall include a Rescue and Evacuation Plan in accordance with USACE EM 385-1-1, Section 21.M. The plan shall include a detailed discussion of the following: methods of rescue; methods of self-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility. Include the Rescue and Evacuation Plan in the Fall Protection and Prevention (FP&P) Plan, and as part of the Accident Prevention Plan (APP).
- f. Asbestos Hazard Abatement Plan. The safety and health aspects of asbestos work, prepared in accordance with Section 02 82 16.00 20 ENGINEERING CONTROL OF ASBESTOS CONTAINING MATERIALS.
- g. Site Demolition Plan. The safety and health aspects prepared in accordance with Section 02 41 00 DEMOLITION and referenced sources.
- h. Excavation Plan. The safety and health aspects prepared in accordance with Section 31 23 00.00 20 EXCAVATION AND FILL.

1.8 ACTIVITY HAZARD ANALYSIS (AHA)

The Activity Hazard Analysis (AHA) format shall be in accordance with USACE EM 385-1-1, Section 1. Submit the AHA for review at least 15 calendar days prior to the start of each phase. Format subsequent AHAs as amendments to the APP. The analysis should be used during daily inspections to ensure the implementation and effectiveness of the activity's safety and health controls.

The AHA list will be reviewed periodically (at least monthly) at the Contractor supervisory safety meeting and updated as necessary when procedures, scheduling, or hazards change.

Develop the activity hazard analyses using the project schedule as the basis for the activities performed. Any activities listed on the project schedule will require an AHA. The AHAs will be developed by the contractor, supplier or subcontractor and provided to the prime contractor for submittal to the Contracting Officer.

1.9 DISPLAY OF SAFETY INFORMATION

Within one calendar day(s) after commencement of work, erect a safety bulletin board at the job site. Where size, duration, or logistics of project do not facilitate a bulletin board, an alternative method, acceptable to the Contracting Officer, that is accessible and includes all mandatory information for employee and visitor review, shall be deemed as meeting the requirement for a bulletin board. Include and maintain information on safety bulletin board as required by EM 385-1-1, section 01.A.06. Additional items required to be posted include:

- a. Confined space entry permit.
- b. Hot work permit.

1.10 SITE SAFETY REFERENCE MATERIALS

Maintain safety-related references applicable to the project, including those listed in the article "References." Maintain applicable equipment manufacturer's manuals.

1.11 EMERGENCY MEDICAL TREATMENT

Contractors will arrange for their own emergency medical treatment. Government has no responsibility to provide emergency medical treatment.

1.12 NOTIFICATIONS and REPORTS

1.12.1 Accident Notification

Notify the Contracting Officer as soon as practical, but no more than four hours after any accident meeting the definition of Recordable Injuries or Illnesses or High Visibility Accidents, property damage equal to or greater than \$2,000, or any weight handling equipment accident. Within notification include contractor name; contract title; type of contract; name of activity, installation or location where accident occurred; date and time of accident; names of personnel injured; extent of property damage, if any; extent of injury, if known, and brief description of accident (to include type of construction equipment used, PPE used, etc.). Preserve the conditions and evidence on the accident site until

the Government investigation team arrives on-site and Government investigation is conducted.

1.12.2 Accident Reports

- a. Conduct an accident investigation for recordable injuries and illnesses, for Medical Treatment defined in paragraph DEFINITIONS, property damage accidents resulting in at least \$20,000 in damages, and near misses as defined in EM 385-1-1, to establish the root cause(s) of the accident. Complete the applicable NAVFAC Contractor Incident Reporting System (CIRS), and electronically submit via the NAVFAC Enterprise Safety Applications Management System (ESAMS). The Contracting Officer will provide copies of any required or special forms.
- b. Near Misses: Complete the applicable documentation in NAVFAC Contractor Incident Reporting System (CIRS), and electronically submit via the NAVFAC Enterprise Safety Applications Management System (ESAMS).
- c. Conduct an accident investigation for any weight handling equipment accident (including rigging gear accidents) to establish the root cause(s) of the accident, complete the WHE Accident Report (Crane and Rigging Gear) form and provide the report to the Contracting Officer within 30 calendar days of the accident. Do not proceed with crane operations until cause is determined and corrective actions have been implemented to the satisfaction of the contracting officer. The Contracting Officer will provide a blank copy of the accident report form.

1.12.3 Crane Reports

Submit crane inspection reports required in accordance with USACE EM 385-1-1, Appendix I and as specified herein with Daily Reports of Inspections.

1.12.4 Certificate of Compliance

Provide a Certificate of Compliance for each crane entering an activity under this contract (see Contracting Officer for a blank certificate). State within the certificate that the crane and rigging gear meet applicable OSHA regulations (with the Contractor citing which OSHA regulations are applicable, e.g., cranes used in construction, demolition, or maintenance comply with 29 CFR 1926 and USACE EM 385-1-1 Section 16 and Appendix I. Certify on the Certificate of Compliance that the crane operator(s) is qualified and trained in the operation of the crane to be used. Also certify that all of its crane operators working on the DOD activity have been trained in the proper use of all safety devices (e.g., anti-two block devices). Post certifications on the crane.

1.13 HOT WORK

Submit and obtain a written permit prior to performing "Hot Work" (welding, cutting, etc.) or operating other flame-producing/spark producing devices, from the Fire Department. A permit is required from the Explosives Safety Office for work in and around where explosives are processed, stored, or handled. CONTRACTORS ARE REQUIRED TO MEET ALL CRITERIA BEFORE A PERMIT IS ISSUED. Provide at least two (2) twenty (20) pound 4A:20 BC rated extinguishers for normal "Hot Work". All

extinguishers shall be current inspection tagged, approved safety pin and tamper resistant seal. It is also mandatory to have a designated FIRE WATCH for any "Hot Work" done at this activity. The Fire Watch shall be trained in accordance with NFPA 51B and remain on-site for a minimum of 30 minutes after completion of the task or as specified on the hot work permit.

When starting work in the facility, require personnel to familiarize themselves with the location of the nearest fire alarm boxes and place in memory the emergency Fire Division phone number. ANY FIRE, NO MATTER HOW SMALL, SHALL BE REPORTED TO THE RESPONSIBLE Fire Department IMMEDIATELY.

1.14 RADIATION SAFETY REQUIREMENTS

License Certificates for radiation materials and equipment shall be submitted to the Contracting Officer and Radiation Safety Office (RSO) for all specialized and licensed material and equipment that could cause fatal harm to construction personnel or to the construction project.

Workers shall be protected from radiation exposure in accordance with 10 CFR 20. Standards for Protection Against Radiation

Loss of radioactive material shall be reported immediately to the Contracting Officer.

Actual exposure of the radiographic film or unshielding the source shall not be initiated until after 5 p.m. on weekdays.

In instances where radiography is scheduled near or adjacent to buildings or areas having limited access or one-way doors, no assumptions shall be made as to building occupancy. Where necessary, the Contracting Officer will direct the Contractor to conduct an actual building entry, search, and alert. Where removal of personnel from such a building cannot be accomplished and it is otherwise safe to proceed with the radiography, a fully instructed employee shall be positioned inside such building or area to prevent exiting while external radiographic operations are in process. Transportation of Regulated Amounts of Radioactive Material will comply with 49 CFR, Subchapter C, Hazardous Material Regulations. Local Fire authorities and the site Radiation Safety officer (RSO) shall be notified of any Radioactive Material use.

Transmitter Requirements: The base policy concerning the use of transmitters such as radios, cell phones, etc., must be adhered to by all contractor personnel. They must also obey Emissions control (EMCON) restrictions.

1.15 FACILITY OCCUPANCY CLOSURE

Streets, walks, and other facilities occupied and used by the Government shall not be closed or obstructed without written permission from the Contracting Officer.

1.16 SEVERE STORM PLAN

In the event of a severe storm warning, the Contractor must:

- a. Secure outside equipment and materials and place materials that could be damaged in protected areas.

- b. Check surrounding area, including roof, for loose material, equipment, debris, and other objects that could be blown away or against existing facilities.
- c. Ensure that temporary erosion controls are adequate.

1.17 CONFINED SPACE ENTRY REQUIREMENTS.

Contractors entering and working in confined spaces while performing general industry work are required to follow the requirements of OSHA 29 CFR 1926 and comply with the requirements in Section 34 of EM 385-1-1, OSHA 29 CFR 1910, and OSHA 29 CFR 1910.146.

PART 2 PRODUCTS

2.1 CONFINED SPACE SIGNAGE

Provide permanent signs integral to or securely attached to access covers for new permit-required confined spaces. Signs wording:
"DANGER--PERMIT-REQUIRED CONFINED SPACE - DO NOT ENTER -" in bold letters a minimum of one inch in height and constructed to be clearly legible with all paint removed. The signal word "DANGER" shall be red and readable from 5 feet.

PART 3 EXECUTION

3.1 CONSTRUCTION AND OTHER WORK

Comply with USACE EM 385-1-1, NFPA 70, NFPA 70E, NFPA 241, the APP, the AHA, Federal and State OSHA regulations, and other related submittals and activity fire and safety regulations. The most stringent standard prevails.

PPE is governed in all areas by the nature of the work the employee is performing. Use personal hearing protection at all times in designated noise hazardous areas or when performing noise hazardous tasks. Safety glasses must be carried/available on each person.

Mandatory PPE includes:

- a. Hard Hat
- b. Appropriate Safety Shoes
- c. Reflective Vests

3.1.1 Hazardous Material Use

Each hazardous material must receive approval from the Contracting Office or their designated representative prior to being brought onto the job site or prior to any other use in connection with this contract. Allow a minimum of 10 working days for processing of the request for use of a hazardous material.

3.1.2 Hazardous Material Exclusions

Notwithstanding any other hazardous material used in this contract, radioactive materials or instruments capable of producing ionizing/non-ionizing radiation (with the exception of radioactive

material and devices used in accordance with USACE EM 385-1-1 such as nuclear density meters for compaction testing and laboratory equipment with radioactive sources) as well as materials which contain asbestos, mercury or polychlorinated biphenyls, di-isocyanates, lead-based paint are prohibited. The Contracting Officer, upon written request by the Contractor, may consider exceptions to the use of any of the above excluded materials. Low mercury lamps used within fluorescent lighting fixtures are allowed as an exception without further Contracting Officer approval. Notify the Radiation Safety Officer (RSO) prior to excepted items of radioactive material and devices being brought on base.

3.1.3 Unforeseen Hazardous Material

The design should have identified materials such as PCB, lead paint, and friable and non-friable asbestos and other OSHA regulated chemicals (i.e. 29 CFR Part 1910.1000). If material, not indicated, that may be hazardous to human health upon disturbance during construction operations is encountered, stop that portion of work and notify the Contracting Officer immediately. Within 14 calendar days the Government will determine if the material is hazardous. If material is not hazardous or poses no danger, the Government will direct the Contractor to proceed without change. If material is hazardous and handling of the material is necessary to accomplish the work, the Government will issue a modification pursuant to "FAR 52.243-4, Changes" and "FAR 52.236-2, Differing Site Conditions."

3.2 PRE-OUTAGE COORDINATION MEETING

Apply for utility outages at least 14 days in advance. As a minimum, the request should include the location of the outage, utilities being affected, duration of outage and any necessary sketches. Special requirements for electrical outage requests are contained elsewhere in this specification section. Once approved, and prior to beginning work on the utility system requiring shut down, attend a pre-outage coordination meeting with the Contracting Officer to review the scope of work and the lock-out/tag-out procedures for worker protection. No work will be performed on energized electrical circuits unless proof is provided that no other means exist.

3.3 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)

Ensure that each employee is familiar with and complies with these procedures and USACE EM 385-1-1, Section 12, Control of Hazardous Energy.

3.4 FALL HAZARD PROTECTION AND PREVENTION PROGRAM

Establish a fall protection and prevention program, for the protection of all employees exposed to fall hazards. Within the program include company policy, identify responsibilities, education and training requirements, fall hazard identification, prevention and control measures, inspection, storage, care and maintenance of fall protection equipment and rescue and evacuation procedures.

3.4.1 Training

Institute a fall protection training program. As part of the Fall Hazard Protection and Prevention Program, provide training for each employee who might be exposed to fall hazards. Provide training by a competent person for fall protection in accordance with USACE EM 385-1-1, Section 21.B.

3.4.2 Fall Protection Equipment and Systems

Enforce use of the fall protection equipment and systems designated for each specific work activity in the Fall Protection and Prevention Plan and/or AHA at all times when an employee is exposed to a fall hazard. Protect employees from fall hazards as specified in EM 385-1-1, Section 21. In addition to the required fall protection systems, safety skiff, personal floatation devices, life rings etc., are required when working above or next to water in accordance with USACE EM 385-1-1, Paragraphs 21.N through 21.N.04. Personal fall arrest systems are required when working from an articulating or extendible boom, swing stages, or suspended platform. In addition, personal fall arrest systems are required when operating other equipment such as scissor lifts if the work platform is capable of being positioned outside the wheelbase. The need for tying-off in such equipment is to prevent ejection of the employee from the equipment during raising, lowering, or travel. Fall protection must comply with 29 CFR 1926.500, Subpart M, USACE EM 385-1-1 and ASSE/SAFE A10.32.

3.4.2.1 Personal Fall Arrest Equipment

Personal fall arrest equipment, systems, subsystems, and components shall meet ASSE/SAFE Z359.1. Only a full-body harness with a shock-absorbing lanyard or self-retracting lanyard is an acceptable personal fall arrest body support device. Body belts may only be used as a positioning device system (for uses such as steel reinforcing assembly and in addition to an approved fall arrest system). Harnesses shall have a fall arrest attachment affixed to the body support (usually a Dorsal D-ring) and specifically designated for attachment to the rest of the system. Only locking snap hooks and carabiners shall be used. Webbing, straps, and ropes shall be made of synthetic fiber. The maximum free fall distance when using fall arrest equipment shall not exceed 6 feet. The total fall distance and any swinging of the worker (pendulum-like motion) that can occur during a fall shall always be taken into consideration when attaching a person to a fall arrest system.

3.4.3 Rescue and Evacuation Procedures

When personal fall arrest systems are used, ensure that the mishap victim can self-rescue or can be rescued promptly should a fall occur. Prepare a Rescue and Evacuation Plan and include a detailed discussion of the following: methods of rescue; methods of self-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility. Include the Rescue and Evacuation Plan within the Activity Hazard Analysis (AHA) for the phase of work, in the Fall Protection and Prevention (FP&P) Plan, and the Accident Prevention Plan (APP).

3.5 EQUIPMENT

3.5.1 Material Handling Equipment

- a. Material handling equipment such as forklifts shall not be modified with work platform attachments for supporting employees unless specifically delineated in the manufacturer's printed operating instructions.

- b. The use of hooks on equipment for lifting of material must be in accordance with manufacturer's printed instructions. Additionally, when material handling equipment is used as a crane it must meet NAVFAC P-307 requirements in Sections 1.7.2, "Contractor Operated Cranes," and 12, "Investigation and Reporting of Crane and Rigging Gear Accidents."
- c. Operators of forklifts or power industrial trucks shall be licensed in accordance with OSHA.

3.5.2 Weight Handling Equipment

- a. Equip cranes and derricks as specified in EM 385-1-1, section 16.
- b. Notify the Contracting Officer 15 days in advance of any cranes entering the activity so that necessary quality assurance spot checks can be coordinated. Contractor's operator shall remain with the crane during the spot check.
- c. Comply with the crane manufacturer's specifications and limitations for erection and operation of cranes and hoists used in support of the work. Perform erection under the supervision of a designated person (as defined in ASME B30.5). Perform all testing in accordance with the manufacturer's recommended procedures.
- d. Comply with ASME B30.5 for mobile cranes, ASME B30.22 for articulating boom cranes.
- e. Under no circumstance shall a Contractor make a lift at or above 90 percent of the cranes rated capacity in any configuration.
- f. When operating in the vicinity of overhead transmission lines, operators and riggers shall be alert to this special hazard and follow the requirements of USACE EM 385-1-1 Section 11, NAVFAC P-307 Figure 10-3 and ASME B30.5 or ASME B30.22 as applicable.
- g. Do not crane suspended personnel work platforms (baskets) unless the Contractor proves that using any other access to the work location would provide a greater hazard to the workers or is impossible. Do not lift personnel with a line hoist or friction crane.
- h. Inspect, maintain, and recharge portable fire extinguishers as specified in NFPA 10, Standard for Portable Fire Extinguishers.
- i. All employees must keep clear of loads about to be lifted and of suspended loads.
- j. Use cribbing when performing lifts on outriggers.
- k. The crane hook/block must be positioned directly over the load. Side loading of the crane is prohibited.
- l. A physical barricade must be positioned to prevent personnel from entering the counterweight swing (tail swing) area of the crane.
- m. Certification records which include the date of inspection, signature of the person performing the inspection, and the serial number or other identifier of the crane that was inspected shall always be available for review by Contracting Officer personnel.

- n. Written reports listing the load test procedures used along with any repairs or alterations performed on the crane shall be available for review by Contracting Officer personnel.
- o. Certify that all crane operators have been trained in proper use of all safety devices (e.g. anti-two block devices).
- p. Take steps to ensure that wind speed does not contribute to loss of control of the load during lifting operations. Prior to conducting lifting operations set a maximum wind speed at which a crane can be safely operated based on the equipment being used, the load being lifted, experience of operators and riggers, and hazards on the work site. This maximum wind speed determination shall be included as part of the activity hazard analysis plan for that operation.

3.5.3 Equipment and Mechanized Equipment

- a. Proof of qualifications for operator shall be kept on the project site for review.
- b. Manufacture specifications or owner's manual for the equipment shall be on-site and reviewed for additional safety precautions or requirements that are sometimes not identified by OSHA or USACE EM 385-1-1. Incorporate such additional safety precautions or requirements into the AHAs.

3.5.4 USE OF EXPLOSIVES

Explosives shall not be used.

3.6 EXCAVATIONS

Soil classification must be performed by a competent person in accordance with 29 CFR 1926 and EM 385-1-1.

3.6.1 Utility Locations

All underground utilities in the work area must be positively identified by a third party, independent, private utility locating company in addition to any station locating service and coordinated with the station utility department.

3.6.2 Utility Location Verification

Physically verify underground utility locations, including utility depth, by hand digging using wood or fiberglass handled tools when any adjacent construction work is expected to come within three feet of the underground system.

3.6.3 Utilities Within and Under Concrete, Bituminous Asphalt, and Other Impervious Surfaces

Utilities located within and under concrete slabs or pier structures, bridges, parking areas, and the like, are extremely difficult to identify. Whenever contract work involves chipping, saw cutting, or core drilling through concrete, bituminous asphalt or other impervious surfaces, the existing utility location must be coordinated with station utility departments in addition to location and depth verification by a third

party, independent, private locating company. The third party, independent, private locating company shall locate utility depth by use of Ground Penetrating Radar (GPR), X-ray, bore scope, or ultrasound prior to the start of demolition and construction. Outages to isolate utility systems must be used in circumstances where utilities are unable to be positively identified. The use of historical drawings does not alleviate the contractor from meeting this requirement.

3.7 ELECTRICAL

3.7.1 Portable Extension Cords

Size portable extension cords in accordance with manufacturer ratings for the tool to be powered and protected from damage. Immediately removed from service all damaged extension cords. Portable extension cords shall meet the requirements of EM 385-1-1, NFPA 70E, and OSHA electrical standards.

3.8 WORK IN CONFINED SPACES

Comply with the requirements in Section 34 of USACE EM 385-1-1, OSHA 29 CFR 1910, OSHA 29 CFR 1910.146, OSHA Directive CPL 2.100 and OSHA 29 CFR 1926. Any potential for a hazard in the confined space requires a permit system to be used.

- a. Entry Procedures. Prohibit entry into a confined space by personnel for any purpose, including hot work, until the qualified person has conducted appropriate tests to ensure the confined or enclosed space is safe for the work intended and that all potential hazards are controlled or eliminated and documented. (See Section 34 of USACE EM 385-1-1 for entry procedures.) All hazards pertaining to the space shall be reviewed with each employee during review of the AHA.
- b. Forced air ventilation is required for all confined space entry operations and the minimum air exchange requirements must be maintained to ensure exposure to any hazardous atmosphere is kept below its' action level.
- c. Sewer wet wells require continuous atmosphere monitoring with audible alarm for toxic gas detection.

-- End of Section --

SECTION 01 35 26 – ATTACHMENT A
CONTRACTOR CRANE, MULTI-PURPOSE MACHINE, FORKLIFT, CONSTRUCTION EQUIPMENT,
AND RIGGING GEAR REQUIREMENTS

1 CONTRACTOR CRANE, MULTI-PURPOSE MACHINE, FORKLIFT, CONSTRUCTION EQUIPMENT, AND RIGGING GEAR REQUIREMENTS

1.1 The following is a list of requirements that contractors shall comply with for all contracts that may result in the use of a category 1 or 4 crane, multi-purpose machines, forklifts, construction equipment, and rigging gear when used on Navy property to lift suspended loads. Non-compliance with the requirements of this instruction may result in denial of access, stopping of operations, or removal from Navy property.

1.2 References

1.2.1 NAVFAC P-307, Management of Weight Handling Equipment

1.2.2 American Society of Mechanical Engineers (ASME) B30.3 (tower cranes), B30.5 (mobile cranes), B30.8 (floating cranes), B30.9 (slings), B30.20 (below the hook lifting devices), B30.22 (articulating booms), B30.26 (rigging hardware); ANSI/ITSDF B56.6 (rough terrain forklifts); Safety Standards for Cableways, Cranes, Derricks, Hoists, Hooks, Jacks, and Slings

1.2.3 CFR, Title 29, Chapter XVII, Part 1917, Marine Terminals.

1.2.4 CFR, Title 29, Chapter XVII, Part 1926, Safety and Health Regulations for Construction

1.2.5 CFR, Title 29, Chapter XVII, Part 1915, Occupational Safety and Health Standards for Shipyard Employment

1.2.6 OPNAVINST 5100.23, Navy Safety and Occupational Health Program Manual

1.2.7 EM 385-1-1, Safety and Health Requirements Manual, U.S. Army Corps of Engineers

1.2.8 NAVFAC Guide Specification NFGS-01525D, Safety Requirements

1.3 These requirements are solely intended to provide for the protection of Government property and personnel and are not intended to, and do not, in any manner whatsoever, relieve the contractor of its responsibility, including, without limitation, its responsibility for the protection of its equipment and personnel.

1.4 Notification Requirement. Contractor shall notify the Contracting Officer 7 calendar days in advance of the intent of bringing a non-Navy owned crane onto Navy property or of any multi-purpose machines, material handling equipment, or construction equipment that may be used in a crane-like application to lift suspended loads. The contractor shall also specify when crane entry onto Navy property is scheduled during back shift, weekend, or holiday hours of operation. All entries shall be through a prearranged entry point. The following documentation shall be provided along with notification: a copy of the Certification of Compliance (reference 1.2.1) and objective evidence of operator qualifications for cranes with rated capacities of 2,000 lbs. or greater. Failure to schedule or provide necessary documentation may result in the crane being denied access to the facility.

1.5 The contractor shall comply with applicable reference 1.2.2 standards (e.g., B30.3 for construction tower cranes, B30.5 for mobile cranes, B30.8 for floating cranes, B30.9 for slings, B30.20 for below the hook lifting devices, and B30.22 for articulating boom cranes), B30.26 for rigging hardware, and ANSI/ITSDF B56.6 for rough terrain forklifts). For barge mounted mobile cranes, require a third party certification from an OSHA accredited organization (or from a state accredited organization for those states with OSHA approved state plans), a load indicating device, a wind-indicating device, and a marine type list and trim indicator readable in one-half degree increments. Third party certification is not required for barge-mounted mobile cranes at naval activities in foreign countries.

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1.6 Certification of Compliance (reference 1.2.1). The contractor shall complete a certificate of compliance that the crane (or other machine if used to lift suspended loads) and rigging gear meet applicable OSHA and ANSI/ASME regulations (with the contractor citing which OSHA regulations are applicable, e.g., cranes/multi-purpose machines used in cargo transfer shall comply with reference 1.2.3; cranes/multi-purpose machines used in construction, demolition, or maintenance shall comply with reference 1.2.4; cranes/multi-purpose machines used in ship repair shall comply with reference 1.2.5; slings shall comply with ASME B30.9; rigging hardware shall comply with ASME B30.26). For cranes (or other machine if used to lift suspended loads) and rigging equipment at naval activities in foreign countries, the contractor shall certify that the crane and rigging gear conform to the appropriate host country safety standards. The contractor shall also certify that all of its crane (or other machine) operators working on the naval activity have been trained not to bypass safety devices (e.g., anti-two block devices) during lifting operations, and that its operators, riggers, and company officials are aware of the actions required in the event of an accident as specified in the contract. Require that the certifications be posted on the crane. When a crane on Navy property is not authorized for use, the Certification of Compliance shall state, "Operation of this Crane is NOT Authorized."

1.7 The contractor shall certify (reference 1.2.1) that the crane or machine operator is qualified and trained for the operation of the crane to be used. For mobile and commercial truck mounted cranes with OEM rated capacities of greater than 2,000 pounds, the crane operator shall be designated as qualified by a source that qualifies crane operators (i.e., a union, a government agency, or an organization that tests and qualifies crane operators). Proof of current qualification shall be provided.

1.8 For multi-purpose machines, material handling equipment, and construction equipment used to lift loads suspended by rigging equipment, the contractor shall have proof or authorization from the machine OEM that the machine is capable of making lifts of loads suspended by rigging equipment. The contractor shall demonstrate that the equipment is properly configured to make such lifts and is equipped with a load chart.

1.9 All hooks used on cranes, hoists, other machines, and rigging gear shall have self-closing latches or the throat opening shall be "moused" (secured with wire, rope, heavy tape, etc.) or otherwise secured to prevent the attached item from coming free of the hook under a slack condition. The following exceptions apply and shall be approved by the contractor's technical organization: items where the hook throat is fully obstructed and not available for manual securing and lifts where securing the hook throat increases the danger to personnel such as forge shop, dip tank, or underwater work.

1.10 Loading Limitations

CAUTION: Piers and waterfront areas such as along dry docks and quay walls may have load restrictions.

1.10.1 The contractor shall notify the Contracting Officer prior to moving a crane on a pier, dry dock, or other waterfront area. Provide the Contracting Officer with the crane make, model, and configuration in which it is to be used.

1.10.2 The contractor shall comply with crane access routes and load limitations issued with the contract.

1.10.3 Allowable Surface Loads. Loads transferred to soils and pavements shall be minimized to a desired maximum of 3000 pounds per square foot, by placement of cribbing or steel pads under rubber-tired crane outriggers and trailer stanchions/sand shoes, or by placement of mats under treads of crawler cranes. Visually inspect areas adjacent to cribbing or plates and report any unusual bituminous pavement surface conditions, irregularities, or cracking to the Contracting Officer.

1.10.3.1 Outriggers of rubber-tired cranes shall be landed on two layers of timbers of appropriate thickness, oriented at right angles to each other, or landed on properly designed steel pads. Treads of crawler cranes shall run on appropriate mats. Use and design of cribbing, plates and mats shall be in a manner consistent with general construction industry standards.

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1.10.3.2 Position loads that will remain on trailers detached from tractors to attain a distribution of 65 percent to rear axles and 35 percent to front support stanchions/sand shoes. For example, assuming an 83000 pound maximum gross weight and a soil bearing pressure of 3000 pounds per square foot, the required support under each sand shoe would be 2.5 feet x 2.5 feet. Accordingly, two tiers of timber cribbing at right angles, each 2.5 feet x 2.5 feet x 4 inches, or a properly designed 2.5 feet x 2.5 feet steel pad would be utilized under each trailer stanchion/sand shoe.

1.11 Prior to making any critical lift, the contractor shall provide a critical lift plan for each of the following lifts: lifts over 75 percent of the capacity of the crane, hoist, or other machine (50 percent of the capacity of a barge mounted mobile crane's hoists) at any radius of lift; lifts involving more than one crane, hoist, or other machine; lifts of personnel (lifts of personnel suspended by rigging equipment from multi-purpose machines, material handling equipment, or construction equipment shall not be permitted); lifts made in the vicinity of overhead power lines; erection of cranes; and lifts involving non-routine rigging or operation, sensitive equipment, or unusual safety risks. The plan shall include the following as applicable:

1.11.1 The size and weight of the load to be lifted, including crane (or other machine) and rigging equipment that add to the weight. The OEM's maximum load capacities for the entire range of the lift shall also be provided.

1.11.2 The lift geometry, including the crane (or other machine) position, boom length and angle, height of lift, and radius for the entire range of the lift. Applies to both single and tandem crane/machine lifts.

1.11.3 A rigging plan, showing the lift points, rigging equipment, and rigging procedures.

1.11.4 The environmental conditions under which lift operations are to be stopped.

1.11.5 For lifts of personnel, the plan shall demonstrate compliance with the requirements of reference

1.11.6 For barge mounted mobile cranes, barge stability calculations identifying crane placement/footprint; barge list and trim based on anticipated loading; and load charts based on calculated list and trim specific to the barge the crane is mounted on. The amount of list and trim shall be within the crane manufacturer's requirements.

1.11.7 For lifts in the vicinity of overhead power lines (i.e., if any part of the crane or other machine, including the fully extended boom of a telescoping boom crane or machine, or the load could approach the distances noted in figure 10-3 of reference 1.2.1 during a proposed operation), the plan shall demonstrate compliance to 29 CFR 1926.550(a)(15).

1.12 The following additional documentation is required for contractor provided tower cranes (those cranes defined by ASME B30.3).

1.12.1 Foundation design and requirements

1.12.2 Installation instructions 1.12.4

1.12.3 Assembly and disassembly instructions including climbing/jumping instructions if applicable

1.12.4 Operating manual, limitations, and precautions

1.12.5 Periodic inspection and maintenance requirements

1.13 Crane and Rigging Gear Accident Reporting and Record Keeping. Contractor's operating cranes on Navy property shall report all WHE accidents that occur incidental to an operation, project, or facility as

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prescribed by paragraphs (1.10.1) through (1.10.3) requirements below. Contractors shall report directly to their respective Contracting Officer. There are two general categories of accidents as defined below. Crane accidents are those that occur during operation of a crane. Rigging gear accidents are those that occur when gear is used by itself in weight handling operation i.e., without a crane.

1.13.1 Crane Accident: For the purpose of this definition, it is assumed there is an "operating envelope" around any crane, and inside the envelope are the following elements:

- The crane
- The operator
- The rigger(s) and crane walker
- Other personnel involved in the operation (supervisor, mechanic, tag line handler, engineer, etc.)
- The rigging gear between the hook and the load
- The load
- The crane's supporting structure (ground, rail, etc.)
- The lift procedure

1.13.1.1 Definition. A crane accident occurs when any one or more of the six elements in the operating envelope fails to perform correctly during operation, including operation during maintenance, or testing resulting in the following:

- Personnel injury or death. Minor injuries that are inherent in any industrial operation, including strains and repetitive motion related injuries, shall be reported by the normal personnel injury reporting process in lieu of these requirements.
- Material or equipment damage
- Dropped load
- Derailment
- Two-blocking
- Overload (This includes load tests when the test load tolerance is exceeded.)
- Collision, including unplanned contact between the load, crane, and/or other objects.

A component failure (e.g., motor burnout, gear tooth failure, bearing failure) is not considered an accident solely due to material or equipment damage unless the component failure results in damage to other components (e.g., dropped boom, dropped load, roll over, etc.). [*Bullets*] 3, 4, 5, 6, and 7 are considered crane accidents even though no material damage or injury occurs.

Exception. If a crane is used as an anchor point for a portable hoist/rigging gear, rigging gear accident as defined in paragraph 1.10.2 below is not considered a crane accident if the crane is not being operated (no functions are in motion) at the time of the rigging gear accident, unless the accident results in an overload or damage to the crane, in which case it shall be reported as a crane accident.

1.13.2 Rigging Gear Accidents: For the purpose of this definition, it is assumed there is an "operating envelope" around any weight handling operation, and inside the envelope are the following:

- Rigging gear and miscellaneous equipment
- The user of the gear or equipment
- Other personnel involved in the operation (supervisor, mechanic, tag line handler, engineer, etc.)
- The load
- The gear or equipment's supporting structure
- The load's rigging path

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- The rigging procedure

1.13.2.1 Definition. A rigging gear accident occurs when any one or more of the five elements in the operating envelope fails to perform correctly during weight handling operations resulting in the following:

- Personnel injury or death. Minor injuries that are inherent in any industrial operation, including strains and repetitive motion related injuries, shall be reported by the normal personnel injury reporting process of the activity in lieu of these requirements.
- Material or equipment damage that requires the damaged item to be repaired because it can no longer perform its intended function. This does not include superficial damage such as scratched paint, damaged lagging, or normal wear on rigging gear.
- Dropped load.
- Two-blocking of cranes and powered hoists.
- Overload. (This includes load tests when the test load tolerance is exceeded.)

A component failure (e.g., motor burnout, gear tooth failure, bearing failure) is not considered an accident solely due to material or equipment damage unless the component failure results in damage to other components (e.g., dropped load, damaged load, etc.). [Bullets] 3, 4, and 5 are considered accidents even though no material damage or injury occurs.

1.13.3 The contractor shall notify the Contracting Officer as soon as practical, but not later than four hours, after any WHE accident. The contractor shall secure the accident site and protect evidence until released by the Contracting Officer. The contractor shall conduct an accident investigation to establish the root cause(s) of the accident. Crane operations shall not proceed until cause is determined and corrective actions have been implemented to the satisfaction of the Contracting Officer. The contractor shall provide the Contracting Officer within 30 days of any accident a Crane and Rigging Gear Accident Report using the form provided in reference 1.2.1 consisting of a summary of circumstances, an explanation of causes(s), photographs if available, and corrective actions taken. These notifications and reporting requirements are in addition to those promulgated by reference 1.2.6 and related claimant instructions.

1.14 Each contractor shall perform the following actions prior to conducting crane operations on Navy property:

1.14.1 Inspection Requirements. It shall be the sole responsibility of the contractor to assure the Contracting Officer and/or designated Navy personnel that the crane and associated rigging gear are in good working order and safe for use.

1.14.1.1 Crane Inspection. Perform pre-operational inspection of the crane in the presence of a representative of the Contracting Office of the crane prior to starting work on Navy property. Inspection shall meet all applicable reference 1.2.2, reference 1.2.7 (for NAVFAC construction contracts), and OSHA requirements.

1.14.1.2 Wire Rope Inspection. Perform a Wire Rope Inspection in the presence of a representative of the contracting office to applicable reference 1.2.2, reference 1.2.7 (for NAVFAC construction contracts), and OSHA requirements.

1.14.1.3 Rigging Gear Inspection. Perform a Rigging Gear Inspection in the presence of a representative of the contracting office to applicable reference 1.2.2, reference 1.2.7 (for NAVFAC construction contracts), and OSHA requirements.

APPENDIX P – CONTRACTOR CRANE (OR ALTERNATE MACHINE USED TO LIFT SUSPENDED LOAD) AND RIGGING GEAR REQUIREMENTS

CERTIFICATE OF COMPLIANCE	
<p>This certificate shall be signed by an official of the company that provides cranes (or multi-purpose machines, material handling equipment, or construction equipment used to lift loads suspended by rigging gear) or rigging gear for any application under this contract. Post a completed certificate on each crane or alternate machine (or in the contractor's on-site office for rigging operations) brought onto Navy property.</p>	
<p>CONTRACTING OFFICER'S POINT OF CONTACT (Government Representative)</p>	<p>PHONE</p>
<p>PRIME CONTRACTOR/PHONE</p>	<p>CONTRACT NUMBER</p>
<p>CRANE OR ALTERNATE MACHINE SUPPLIER/PHONE (if different from prime contractor)</p>	<p>CRANE OR ALTERNATE MACHINE NUMBER (i.e., ID number)</p>
<p>CRANE OR ALTERNATE MACHINE MANUFACTURER/TYPE/CAPACITY</p>	
<p>CRANE OR ALTERNATE MACHINE OPERATOR'S NAME(S)</p>	
<p>I certify that</p> <ol style="list-style-type: none"> 1. The above noted crane or alternate machine and all rigging gear conform to applicable OSHA regulations (host country regulations for naval activities in foreign countries) and applicable ASME B30 standards. The following OSHA regulations and ASME standards apply: _____ 2. The operators noted above have been trained and are qualified for the operation of the above noted crane(s) or alternate machine(s). 3. The operators noted above have been trained not to bypass safety devices during lifting operations. 4. The operators, riggers and company officials are aware of the actions required in the event of an accident as specified in the contract. 	
<p>COMPANY OFFICIAL SIGNATURE</p>	<p>DATE</p>
<p>COMPANY OFFICIAL NAME/TITLE</p>	
<p>POST ON CRANE (OR ALTERNATE MACHINE) (IN CAB OR VEHICLE) (or in the contractor's on-site office for rigging operations)</p>	

FIGURE P-1

SECTION 01 35 26 – ATTACHMENT A
CONTRACTOR CRANE ENTRY CHECKLIST

1	Crane Company:	Date of Entry:		
	Crane Manufacturer/Crane Model/Crane Number:	Time of Entry:		
2	Date of Annual Inspection Expiration			
3	Date of Quadrennial Inspection Expiration			
4	Name & phone number of Contracting Official (or designated local representative)	Contracting Official		
		Phone Number		
5	Does the package include a routine or critical lift plan?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
6	Location of lift site?			
7	Duration crane will be continuously on the job site (hrs, days, weeks...)			
8	Does plan include certification from contractor that the crane complies with ASME B30 standard [B30.5 (mobile cranes), B30.8 (floating cranes), B30.22 (articulating boom cranes), or B30.3 (construction tower cranes)] as applicable?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
9	Does plan include a certificate of compliance?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
10	Which OSHA regulations does the certificate of compliance indicate? (For cranes used in cargo transfer, 29 CFR 1917 applies; for cranes used in construction, demolition, or maintenance, 29 CFR 1926 applies; for cranes used in shipbuilding, ship repair, or ship breaking, 29 CFR 1915 applies).			
11	Does plan include valid medical certificate and proof of operator qualification from a source that qualifies crane operators (union, governmental agency, or an organization that tests and qualifies crane operators)? Verify qualification for each back-up operator (if provided) on the certificate of compliance.	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
12	Does the plan designate a qualified Rigger-in-Charge	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
13	What is the weight of the heaviest load to be lifted?	lbs.		
14	What is the weight of the rigging gear?	lbs.		
15	What are the crane components (and their weights) that add to the weight of the load (hook, jib, etc.)?	Main Block	lbs.	
		Aux. Block	lbs.	
		Jib (Stowed)	lbs.	
		Jib (Erected)	lbs.	
		Other	lbs.	
16	What is the maximum total crane lift (sum of 13, 14 & 15 above)?	TOTAL	lbs.	
17	What is the capacity of the crane as configured	lbs.		
18	What percentage of crane capacity does this lift represent?	%		

FIGURE P-2

SECTION 01 35 26 – ATTACHMENT A
CONTRACTOR CRANE ENTRY CHECKLIST

19	What is the main boom length? If a jib will be utilized, indicate the length and offset.	MAIN	JIB	OFFSET	
20	What are the minimum and maximum load radii?	Min	Max		
21	Does the plan include the manufacturer's load chart for entire range of lift(s)?			Yes <input type="checkbox"/>	No <input type="checkbox"/>
22	Does plan include ground loading and outrigger reaction data to determine cribbing requirements, or a Waterfront Operational Permit?			Yes <input type="checkbox"/>	No <input type="checkbox"/>
23	For crawler crane, does the plan indicate area restrictions for operation?			Yes <input type="checkbox"/>	No <input type="checkbox"/>
24	For floating crane, does plan include maximum allowable list?			Yes <input type="checkbox"/>	No <input type="checkbox"/>
25	For mobile crane mounted on barge, is crane equipped with load indicating device? wind indicating device? marine type list and trim indicator (readable in one-half degree increments)?			Yes <input type="checkbox"/>	No <input type="checkbox"/>
26	For mobile crane mounted on barge, does plan include revised load chart?			Yes <input type="checkbox"/>	No <input type="checkbox"/>
27	What are the environmental conditions under which crane operations are to be stopped?				
28	Will the crane perform critical lifts? (If no, skip items 29 –49.)			Yes <input type="checkbox"/>	No <input type="checkbox"/>
29	What circumstances require this lift to be classified as a critical lift? (Blind lift, 75% of chart, non-routine rigging, etc.)				
30	What are the exact dimensions of the load? (L x W x H)				
31	Does the plan indicate the crane position? (Overhead view)			Yes <input type="checkbox"/>	No <input type="checkbox"/>
32	What is the maximum lift height of the lift?				
33	What is the minimum boom angle?				
34	What is the maximum boom angle?				
35	What is the name of the operator?				
36	Indicate name(s) of backup operator (if required).				
37	Does the plan show lift points?			Yes <input type="checkbox"/>	No <input type="checkbox"/>
38	Does the plan describe the rigging procedures?			Yes <input type="checkbox"/>	No <input type="checkbox"/>
39	Does the plan indicate rigging hardware requirements?			Yes <input type="checkbox"/>	No <input type="checkbox"/>

FIGURE P-2

SECTION 01 35 26 – ATTACHMENT A
CONTRACTOR CRANE ENTRY CHECKLIST

40	For personnel lifts, does the plan demonstrate compliance with 29 CFR 1926.550?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
41	Does EM 385-1-1 govern this lift?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
42	What are the coordination and communication requirements for the lift (e.g., radio and hand signals)?			
43	For tandem or tailing crane lifts, does the plan indicate the make and model of the crane, the line, boom, and swing speeds, and the requirement for an equalizer beam?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
44	For floating cranes, refer to questions 20-22?			
45	What is the name of the lift supervisor?			
46	Does the plan indicate the qualifications of the lift supervisor?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
47	What are the names of the riggers?			
48	Does the plan indicate the qualifications of the riggers?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
49	Did all involved personnel (Operator, Riggers, Lift Supervisor, etc.) sign the critical lift plan?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	

Name	Organization	Signature	Date	Phone
Contracting Official:				
Wed By				

FIGURE P-2

FOR OFFICIAL USE ONLY

CRANE AND RIGGING GEAR ACCIDENT REPORT			
Accident Category: <input type="checkbox"/> Crane Accident <input type="checkbox"/> Rigging Gear Accident			
From:		To: Navy Crane Center Bldg 491 NNSY Portsmouth, VA 23709 Fax (757) 967-3808	
UIC: Activity:			Report No.:
Crane No.:	Category:	Accident Date:	Time: hrs
Category of Service: <input type="checkbox"/> SPS <input type="checkbox"/> GPS		Crane Type:	Crane Manufacturer:
Was Crane/Rigging Gear Being Used In SPS? Yes No		Was Crane/Rigging Gear Being Used In a Complex Lift/Critical non-crane rigging operation? Yes No	
Location:		Weather:	
Crane Capacity:	Hook Capacity:	Weight of Load on Hook:	
Fatality or Permanent Disability? <input type="checkbox"/> Yes <input type="checkbox"/> No		Material/Property Cost Estimate:	
Reported to NAVSAFECEN? <input type="checkbox"/> Yes <input type="checkbox"/> No			
Accident Type: <input type="checkbox"/> Personal Injury <input type="checkbox"/> Overload <input type="checkbox"/> Derail <input type="checkbox"/> Damaged Rigging Gear <input type="checkbox"/> Load Collision <input type="checkbox"/> Two Blocked <input type="checkbox"/> Dropped Load <input type="checkbox"/> Damaged Crane <input type="checkbox"/> Crane Collision <input type="checkbox"/> Damaged Load <input type="checkbox"/> Other Specify _____			
Cause of Accident: <input type="checkbox"/> Improper Operation <input type="checkbox"/> Equipment Failure <input type="checkbox"/> Inadequate Visibility <input type="checkbox"/> Improper Rigging <input type="checkbox"/> Switch Alignment <input type="checkbox"/> Inadequate Communication <input type="checkbox"/> Track Condition <input type="checkbox"/> Procedural Failure <input type="checkbox"/> Other Specify _____			
Chargeable to: <input type="checkbox"/> Crane Walker <input type="checkbox"/> Rigger <input type="checkbox"/> Operator <input type="checkbox"/> Maintenance <input type="checkbox"/> Management/Supervision <input type="checkbox"/> Other Specify _____			
Crane Function: <input type="checkbox"/> Travel <input type="checkbox"/> Hoist <input type="checkbox"/> Rotate <input type="checkbox"/> Luffing <input type="checkbox"/> Telescoping <input type="checkbox"/> Other <input type="checkbox"/> N/A			
Is this accident indicative of a recurring problem? <input type="checkbox"/> Yes <input type="checkbox"/> No			
If yes, list Accident Report Nos.: _____			
ATTACH COMPLETE AND CONCISE SITUATION DESCRIPTION AND CORRECTIVE/PREVENTIVE ACTIONS TAKEN AS ENCLOSURE (1). Include probable cause and contributing factors. Assess damages and define responsibility. For equipment malfunction or failure, include specific description of the component and the resulting effect or problem caused by the malfunction or failure. List immediate and long term corrective/preventive actions assigned and respective codes.			
Preparer:	Phone and email	Code	Date
Concurrences:			
		Code	Date
		Code	Date
Certifying Official (Crane Accidents Only):		Code	Date

FOR OFFICIAL USE ONLY
 FIGURE 12-1 (1 of 2)

CRANE AND RIGGING GEAR ACCIDENT REPORT INSTRUCTIONS

This form is designed for fax transmission without a cover page or by e-mail and, with enclosures and signatures, shall be the official document. Electronic submission will be accepted without signatures but the names of the preparer, concurring personnel, and certifying official (for crane accidents only) shall be filled in. The e-mail address is m_nfsh_ncc_accident@navy.mil. The fax number is (757) 967-3808.

1. Accident Category: Indicate either crane accident or rigging gear accident.
2. From: The naval activity that is responsible for reporting the accident and UIC number.
3. Activity: The naval activity where the accident took place.
4. Report No.: The activity assigned accident number (e.g., 95-001).
5. Crane No.: The activity assigned crane number (e.g., PC-5), if applicable.
6. Category: Identify category of crane (i.e., 1, 2, 3, or 4), if applicable.
7. Accident Date: The date the accident occurred.
8. Time: The time (24 hour clock) the accident occurred (e.g., 1300).
9. Category of Service: Check the applicable service (SPS as defined by NAVSEA 0989-030-7000).
10. Crane Type: The type of crane involved in the accident (e.g., mobile, bridge), if applicable.
11. Crane Manufacturer: The manufacturer of the crane (e.g., Dravo, Grove, P&H), if applicable.
12. SPS: Was the crane or rigging gear being used in an SPS lift?
13. Complex lift: Was the crane or rigging gear being used in a complex lift?
14. Location: The detailed location where the accident took place (e.g., building 213, dry dock 5).
15. Weather: The weather conditions at time of accident (e.g., wind, rain, cold).
16. Crane Capacity: The certified capacity of the crane (e.g., 120,000 pounds), if applicable.
17. Hook Capacity: The capacity of the hook involved in the accident at the max radius of the operation, if applicable.
18. Weight of Load on Hook: If applicable, the weight of the load on the hook.
19. Fatality or Permanent Disability?: Check yes or no.
20. Material/Property Cost Estimate: Estimate total cost of damage resulting from the accident.
21. Reported to NAVSAFECEN?: Self-explanatory.
22. Accident Type: Check all that apply.
23. Cause of Accident: Check all that apply.
24. Chargeable to: Check all that apply.
25. Crane Function: Check all functions in operation at time of accident. Check N/A if a rigging gear accident.
26. Is this a recurring problem?: Check yes or no. Identify any other similar accidents.
27. Situation Description/Corrective Actions: Self-explanatory.
28. Preparer: Self-explanatory.
29. Concurrences: Self-explanatory.
30. Certifying Official (Crane Accidents Only): Self-explanatory.

FIGURE 12-1 (2 of 2)

OFFICIAL USE ONLY

CRANE AND RIGGING GEAR NEAR MISS REPORT			
Near Miss Category: <input type="checkbox"/> Crane Near Miss <input type="checkbox"/> Rigging Gear Near Miss			
From:		To: Navy Crane Center Bldg 491 NNSY Portsmouth, VA 23709 Fax (757) 967-3808 nfsn_ncc_accident@navy.mil	
UIC:			Report No:
Activity:			
Crane/Equipment No:	Category:	Near Miss Date:	Time: hrs
Category of Service: <input type="checkbox"/> SPS <input type="checkbox"/> GPS	Crane /Equipment Type:	Crane/Equipment Manufacturer:	
Location:		Weather:	
Crane/Equipment Capacity:	Hook Capacity:	Weight of Load on Hook:	
Is this near miss indicative of a recurring problem? <input type="checkbox"/> Yes <input type="checkbox"/> No			
If yes, list report numbers: _____			
In the space below, include a brief description of the event and corrective actions taken to prevent recurrence:			
Preparer:	Phone and email	Code	Date

FIGURE 12-2 (1 of 2)

CRANE AND RIGGING GEAR NEAR MISS INSTRUCTIONS

This form is designed for fax transmission without a cover page or by e-mail and, with enclosures and signatures, shall be the official document. Electronic submission will be accepted without signatures but the names of the preparer, concurring personnel, and certifying official (for crane accidents only) shall be filled in. The e-mail address is nfsh_ncc_accident@navy.mil. The fax number is (757) 967-3808.

1. Near Miss Category: Indicate either crane or rigging gear near miss.
2. From: The naval activity that is responsible for reporting the near miss and UIC number.
3. Activity: The naval activity where the near miss took place.
4. Report No.: The activity assigned near miss number (e.g., 95-001).
5. Crane No.: The activity assigned crane number (e.g., PC-5), if applicable.
6. Category: Identify category of crane (i.e., 1, 2, 3, or 4), if applicable.
7. Near Miss Date: The date the near miss occurred.
8. Time: The time (24 hour clock) the near miss occurred (e.g., 1300).
9. Category of Service: Check the applicable service (SPS as defined by NAVSEA 0989-030-7000).
10. Crane Type: The type of crane involved in the near miss (e.g., mobile, bridge), if applicable.
11. Crane Manufacturer: The manufacturer of the crane (e.g., Dravo, Grove, P&H), if applicable.
12. Location: The detailed location where the near miss took place (e.g., building 213, dry dock 5).
13. Weather: The weather conditions at time of the near miss (e.g., wind, rain, cold).
14. Crane Capacity: The certified capacity of the crane (e.g., 120,000 pounds), if applicable.
15. Hook Capacity: The capacity of the hook involved in the near miss at the maximum radius of the operation, if applicable.
16. Weight of Load on Hook: If applicable, the weight of the load on the hook.
17. Is this a recurring problem?: Check yes or no. Identify any other similar near misses or accidents.
18. Situation Description/Corrective Actions: Self-explanatory.
19. Preparer: Self-explanatory.

SECTION 01 42 00

SOURCES FOR REFERENCE PUBLICATIONS

11/14

PART 1 GENERAL

1.1 REFERENCES

Various publications are referenced in other sections of the specifications to establish requirements for the work. These references are identified in each section by document number, date and title. The document number used in the citation is the number assigned by the standards producing organization (e.g. ASTM B564 Standard Specification for Nickel Alloy Forgings). However, when the standards producing organization has not assigned a number to a document, an identifying number has been assigned for reference purposes.

1.2 ORDERING INFORMATION

The addresses of the standards publishing organizations whose documents are referenced in other sections of these specifications are listed below, and if the source of the publications is different from the address of the sponsoring organization, that information is also provided. Documents listed in the specifications with numbers which were not assigned by the standards producing organization should be ordered from the source by title rather than by number.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)
1899 L Street, NW, 11th Floor
Washington, DC 20036
Ph: 202-293-8020
Fax: 202-293-9287
E-mail: storemanager@ansi.org
Internet: <http://www.ansi.org/>

AMERICAN RAILWAY ENGINEERING AND MAINTENANCE-OF-WAY ASSOCIATION
(AREMA)
4501 Forbes Blvd., Suite 130
Lanham, MD 20706
Ph: 301-459-3200
Fax: 301-459-8077
E-mail: bcaruso@arema.org
Internet: <http://www.arema.org>

AMERICAN SOCIETY OF SAFETY ENGINEERS (ASSE/SAFE)
1800 East Oakton Street
Des Plaines, IL 60018
Ph: 847-699-2929
Internet: <http://www.asse.org>

AMERICAN WATER WORKS ASSOCIATION (AWWA)
6666 West Quincy Avenue
Denver, CO 80235-3098
Ph: 303-794-7711
E-mail: distribution@awwa.org
Internet: <http://www.awwa.org>

ASME INTERNATIONAL (ASME)
Two Park Avenue, M/S 10E
New York, NY 10016-5990
Ph: 800-843-2763
Fax: 973-882-1717
E-mail: customercare@asme.org
Internet: <http://www.asme.org>

ASPHALT INSTITUTE (AI)
2696 Research Park Drive
Lexington, KY 40511-8480
Ph: 859-288-4960
Fax: 859-288-4999
E-mail: info@asphaltinstitute.org
Internet: <http://www.asphaltinstitute.org>

ASTM INTERNATIONAL (ASTM)
100 Barr Harbor Drive, P.O. Box C700
West Conshohocken, PA 19428-2959
Ph: 877-909-2786
Internet: <http://www.astm.org>

FOUNDATION FOR CROSS-CONNECTION CONTROL AND HYDRAULIC RESEARCH
(FCCCHR)
University of South California
Research Annex 219
3716 South Hope Street
Los Angeles, CA 90089-7700
Ph: 213-740-2032 or 866-545-6340
Fax: 213-740-8399
E-mail: fccchr@usc.edu
Internet: <http://www.usc.edu/dept/fccchr>

INTERNATIONAL CONCRETE REPAIR INSTITUTE (ICRI)
10600 West Higgins Road, Suite 607
Rosemont, IL 60018
Ph: 847-827-0830
Fax: 847-827-0832
Internet: <http://www.icri.org>

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS
INDUSTRY (MSS)
127 Park Street, NE
Vienna, VA 22180-4602
Ph: 703-281-6613
E-mail: info@mss-hq.com
Internet: <http://mss-hq.org/Store/index.cfm>

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)
1 Batterymarch Park
Quincy, MA 02169-7471
Ph: 617-770-3000
Fax: 617-770-0700
Internet: <http://www.nfpa.org>

U.S. ARMY CORPS OF ENGINEERS (USACE)
CRD-C DOCUMENTS available on Internet:
http://www.wbdg.org/ccb/browse_cat.php?c=68

Order Other Documents from:
USACE Publications Depot
Attn: CEHEC-IM-PD
2803 52nd Avenue
Hyattsville, MD 20781-1102
Ph: 301-394-0081
Fax: 301-394-0084
E-mail: pubs-army@usace.army.mil
Internet: <http://www.publications.usace.army.mil/>
or
<http://www.hnc.usace.army.mil/Missions/Engineering/TECHINFO.aspx>

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)
FHWA, Office of Safety
1200 New Jersey Ave., SE
Washington, DC 20590
Ph: 202-366-4000
Internet: <http://www.fhwa.dot.gov>

Order from:
Superintendent of Documents
U. S. Government Printing Office (GPO)
710 North Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800
Fax: 202-512-2104
E-mail: contactcenter@gpo.gov
Internet: <http://www.gpoaccess.gov>

U.S. GENERAL SERVICES ADMINISTRATION (GSA)
General Services Administration
1275 First St. NE
Washington, DC 20417
Ph: 202-501-1231
Internet: <http://www.gsaelibrary.gsa.gov/ElibMain/home.do>
Obtain documents from:
Acquisition Streamlining and Standardization Information System
(ASSIST)
Internet: <https://assist.dla.mil/online/start/>; account
registration required

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)
8601 Adelphi Road
College Park, MD 20740-6001
Ph: 866-272-6272
Fax: 301-837-0483
Internet: <http://www.archives.gov>
Order documents from:
Superintendent of Documents
U.S. Government Printing Office (GPO)
710 North Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800
Fax: 202-512-2104
E-mail: contactcenter@gpo.gov
Internet: <http://www.gpoaccess.gov>

U.S. NAVAL FACILITIES ENGINEERING COMMAND (NAVFAC)
1322 Patterson Ave. SE, Suite 1000
Washington Navy Yard, DC 20374-5065

Water Distribution Repairs Phase 3
NAVSTA Newport, Newport, RI

eProjects Work Order No.: 1362578

Ph: 202-685-9387
Internet: <http://www.navfac.navy.mil>

UNDERWRITERS LABORATORIES (UL)
2600 N.W. Lake Road
Camas, WA 98607-8542
Ph: 877-854-3577
E-mail: CEC.us@us.ul.com
Internet: <http://www.ul.com/>
UL Directories available through IHS at <http://www.ihs.com>

PART 2 PRODUCTS

Not used

PART 3 EXECUTION

Not used

-- End of Section --

SECTION 01 45 00.10 20

QUALITY CONTROL FOR MINOR CONSTRUCTION
02/10

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2008; Errata 2011) Safety and Health Requirements Manual

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

QC Plan; G

Submit a QC plan within 15 calendar days after receipt of Notice of Award.

1.3 INFORMATION FOR THE CONTRACTING OFFICER (KO)

Prior to commencing work on construction, the Contractor can obtain a single copy set of the current report forms from the KO. The report forms will consist of the Contractor Production Report, Contractor Production Report (Continuation Sheet), Contractor Quality Control (CQC) Report, CQC Report (Continuation Sheet), Preparatory Phase Checklist, Initial Phase Checklist, Rework Items List, and Testing Plan and Log.

Deliver the following to the KO:

- a. CQC Report: Original and one copy, by 10:00 AM the next working week after each week that work is performed;
- b. Contractor Production Report: Original and one copy by 10:00 AM the next working week after each week that work is performed;
- c. Preparatory Phase Checklist: Original attached to the original CQC Report and one copy attached to each copy;
- d. Initial Phase Checklist: Original attached to the original CQC Report and one copy attached to each copy;
- e. Field Test Reports: One copy, within the week after the test is performed, attached to the CQC Report;

f. QC Meeting Minutes: One copy, within the week after the meeting; and

g. QC Certifications: As required by the paragraph entitled "QC Certifications."

1.4 QC PROGRAM REQUIREMENTS

Establish and maintain a QC program as described in this section. The QC program consists of a QC Manager, a QC plan, a Coordination and Mutual Understanding Meeting, QC meetings, three phases of control, submittal review and approval, testing, and QC certifications and documentation necessary to provide materials, equipment, workmanship, fabrication, construction and operations which comply with the requirements of this contract. The QC program shall cover on-site and off-site work and shall be keyed to the work sequence. No work or testing may be performed unless the QC Manager is on the work site.

1.4.1 Preliminary Work Authorized Prior to Acceptance

The only work that is authorized to proceed prior to the acceptance of the QC plan is mobilization of storage and office trailers, temporary utilities, and surveying.

1.4.2 Acceptance

Acceptance of the QC plan is required prior to the start of construction. The KO reserves the right to require changes in the QC plan and operations as necessary, including removal of personnel, to ensure the specified quality of work. The KO reserves the right to interview any member of the QC organization at any time in order to verify the submitted qualifications.

1.4.3 Notification of Changes

Notify the KO, in writing, of any proposed change, including changes in the QC organization personnel, a minimum of seven calendar days prior to a proposed change. Proposed changes shall be subject to the acceptance by the KO.

1.5 QC ORGANIZATION

1.5.1 QC Manager

1.5.1.1 Duties

Provide a QC Manager at the work site to implement and manage the QC program. In addition to implementing and managing the QC program, the QC Manager may perform the duties of project superintendent. The QC Manager is required to attend the Coordination and Mutual Understanding Meeting, conduct the QC meetings, perform the three phases of control, perform submittal review and approval, ensure testing is performed and provide QC certifications and documentation required in this contract. The QC Manager is responsible for managing and coordinating the three phases of control and documentation performed by others.

1.5.1.2 Qualifications

An individual with a minimum of 10 years combined experience as a

superintendent, inspector, QC Manager, project manager, or construction manager on similar size and type construction contracts which included the major trades that are part of this contract. The individual must be familiar with the requirements of the EM 385-1-1 and have experience in the areas of hazard identification and safety compliance.

1.5.1.3 Construction Quality Management Training

In addition to the above experience and education requirements, the QC Manager shall have completed the course Construction Quality Management for Contractors and will have a current certificate.

1.5.2 Alternate QC Manager Duties and Qualifications

Designate an alternate for the QC Manager to serve in the event of the designated QC Manager's absence. The period of absence may not exceed two weeks at one time, and not more than 30 workdays during a calendar year. The qualification requirements for the Alternate QC Manager shall be the same as for the QC Manager.

1.6 QC PLAN

1.6.1 Requirements

Provide, for acceptance by the KO, a QC plan submitted in a three-ring binder that covers both on-site and off-site work and includes the following with a table of contents listing the major sections identified with tabs.

- I. QC ORGANIZATION: A chart showing the QC organizational structure and its relationship to the production side of the organization.
- II. NAMES AND QUALIFICATIONS: In resume format, for each person in the QC organization. Include the CQM for Contractors course certification required by the paragraph entitled "Construction Quality Management Training".
- III. DUTIES, RESPONSIBILITY AND AUTHORITY OF QC PERSONAL: Of each person in the QC organization.
- IV. OUTSIDE ORGANIZATIONS: A listing of outside organizations such as architectural and consulting engineering firms that will be employed by the Contractor and a description of the services these firms will provide.
- V. APPOINTMENT LETTERS: Letters signed by an officer of the firm appointing the QC Manager and Alternate QC Manager and stating that they are responsible for managing and implementing the QC program as described in this contract. Include in this letter the QC Manager's authority to direct the removal and replacement of non-conforming work.
- VI. SUBMITTAL PROCEDURES AND INITIAL SUBMITTAL REGISTER: Procedures for reviewing, approving and managing submittals. Provide the name(s) of the person(s) in the QC organization authorized to review and certify submittals prior to approval.
- VII. TESTING LABORATORY INFORMATION: Testing laboratory information required by the paragraphs "Accredited Laboratories" or "Testing

Laboratory Requirements", as applicable.

- VIII. TESTING PLAN AND LOG: A Testing Plan and Log that includes the tests required, referenced by the specification paragraph number requiring the test, the frequency, and the person responsible for each test.
- IX. PROCEDURES TO COMPLETE REWORK ITEMS: Procedures to identify, record, track and complete rework items.
- X. DOCUMENTATION PROCEDURES: Use Government formats.
- XI. LIST OF DEFINABLE FEATURES: A Definable Feature of Work (DFOW) is a task, which is separate and distinct from other tasks, has the same control requirements and work crews. The list shall be cross-referenced to the Contractor's Construction Schedule and the specification sections. For projects requiring a Progress Chart, the list of definable features of work shall include but not be limited to all items of work on the schedule. For projects requiring a Network Analysis Schedule, the list of definable features of work shall include but not be limited to all critical path activities.
- XII. PROCEDURES FOR PERFORMING THREE PHASES OF CONTROL: For each DFOW provide Preparatory and Initial Phase Checklists. Each list shall include a breakdown of quality checks that will be used when performing the quality control functions, inspections, and tests required by the contract documents. The preparatory and initial phases shall be conducted with a view towards obtaining quality construction by planning ahead and identifying potential problems.
- XIII. PERSONNEL MATRIX: Not Applicable.
- XIV. PROCEDURES FOR COMPLETION INSPECTION: See the paragraph entitled "COMPLETION INSPECTIONS".
- XV. TRAINING PROCEDURES AND TRAINING LOG: Not Applicable.

1.7 COORDINATION AND MUTUAL UNDERSTANDING MEETING

During the Pre-Construction conference and prior to the start of construction, discuss the QC program required by this contract. The purpose of this meeting is to develop a mutual understanding of the QC details, including documentation, administration for on-site and off-site work, and the coordination of the Contractor's management, production and the QC personnel. At the meeting, the Contractor will be required to explain how three phases of control will be implemented for each DFOW. Contractor's personnel required to attend shall include the QC Manager, project manager, and superintendent. Minutes of the meeting will be prepared by the QC Manager and signed by both the Contractor and the KO. The Contractor shall provide a copy of the signed minutes to all attendees. Repeat the coordination and mutual understanding meeting when a new QC Manager is appointed.

1.8 QC MEETINGS

After the start of construction, the QC Manager shall conduct QC meetings once every week at the work site with the superintendent and the foreman responsible for the ongoing and upcoming work. The QC Manager shall

prepare the minutes of the meeting and provide a copy to the KO within two working days after the meeting. As a minimum, the following shall be accomplished at each meeting:

- a. Review the minutes of the previous meeting;
- b. Review the schedule and the status of work and rework;
- c. Review the status of submittals;
- d. Review the work to be accomplished in the next two weeks and documentation required;
- e. Resolve QC and production problems (RFIs, etc.);
- f. Address items that may require revising the QC plan; and
- g. Review Accident Prevention Plan (APP).

1.9 THREE PHASES OF CONTROL

The three phases of control shall adequately cover both on-site and off-site work and shall include the following for each DFOW.

1.9.1 Preparatory Phase

Notify the KO at least two work days in advance of each preparatory phase. Conduct the preparatory phase with the superintendent and the foreman responsible for the definable feature of work. Document the results of the preparatory phase actions in the daily CQC Report and in the QC checklist. Perform the following prior to beginning work on each definable feature of work:

- a. Review each paragraph of the applicable specification sections;
- b. Review the contract drawings;
- c. Verify that appropriate shop drawings and submittals for materials and equipment have been submitted and approved. Verify receipt of approved factory test results, when required;
- d. Review the testing plan and ensure that provisions have been made to provide the required QC testing;
- e. Examine the work area to ensure that the required preliminary work has been completed;
- f. Examine the required materials, equipment and sample work to ensure that they are on hand and conform to the approved shop drawings and submitted data;
- g. Review the APP and appropriate Activity Hazard Analysis (AHA) to ensure that applicable safety requirements are met, and that required Material Safety Data Sheets (MSDS) are submitted; and
- h. Discuss specific controls used and the construction methods and the approach that will be used to provide quality construction by planning ahead and identifying potential problems for each DFOW.

1.9.2 Initial Phase

Notify the KO at least two work days in advance of each initial phase. When construction crews are ready to start work on a DFOW, conduct the Initial Phase with the foreman responsible for that DFOW. Observe the initial segment of the work to ensure that it complies with contract requirements. Document the results of the Initial Phase in the daily CQC Report and in the QC checklist. Perform the following for each DFOW:

- a. Establish the quality of workmanship required;
- b. Resolve conflicts;
- c. Ensure that testing is performed by the approved laboratory; and
- d. Check work procedures for compliance with the APP and the appropriate AHA to ensure that applicable safety requirements are met.

1.9.3 Follow-Up Phase

Perform the following for on-going work daily, or more frequently as necessary, until the completion of each DFOW and document in the daily CQC Report and in the QC checklist:

- a. Ensure the work is in compliance with contract requirements;
- b. Maintain the quality of workmanship required;
- c. Ensure that testing is performed by the approved laboratory;
- d. Ensure that rework items are being corrected; and
- e. Assure manufacturers representatives have performed necessary inspections, if required.

1.9.4 Additional Preparatory and Initial Phases

Additional preparatory and initial phases shall be conducted on the same DFOW if the quality of on-going work is unacceptable, if there are changes in the applicable QC organization, if there are changes in the on-site production supervision or work crew, if work on a DFOW is resumed after substantial period of inactivity, or if other problems develop.

1.9.5 Notification of Three Phases of Control for Off-Site Work

Notify the KO at least two weeks prior to the start of the preparatory and initial phases.

1.10 SUBMITTAL REVIEW AND APPROVAL

Procedures for submission, review, and approval of submittals are described in the submittal section of the specification.

1.11 TESTING

Except as stated otherwise in the specification sections, perform sampling and testing required under this contract.

1.11.1 Accreditation Requirements

Construction materials testing laboratories must be accredited by a laboratory accreditation authority and will be required to submit a copy of the Certificate of Accreditation and Scope of Accreditation. The laboratory's scope of accreditation must include the appropriate ASTM standards (i.e.; E 329, C 1077, D 3666, D 3740, A 880, E 543) listed in the technical sections of the specifications. Laboratories engaged in Hazardous Materials Testing shall meet the requirements of OSHA and EPA. The policy applies to the specific laboratory performing the actual testing, not just the "Corporate Office."

1.11.2 Laboratory Accreditation Authorities

Laboratory Accreditation Authorities include the National Voluntary Laboratory Accreditation Program (NVLAP) administered by the National Institute of Standards and Technology, the American Association of State Highway and Transportation Officials (AASHTO), International Accreditation Services, Inc. (IAS), U. S. Army Corps of Engineers Materials Testing Center (MTC), the American Association for Laboratory Accreditation (A2LA), the Washington Association of Building Officials (WABO) (Approval authority for WABO is limited to projects within Washington State), and the Washington Area Council of Engineering Laboratories (WACEL) (Approval authority by WACEL is limited to projects within the NAVFAC WASH and Public Works Center Washington geographical area).

1.11.3 Capability Check

The KO retains the right to check laboratory equipment in the proposed laboratory and the laboratory technician's testing procedures, techniques, and other items pertinent to testing, for compliance with the standards set forth in this contract.

1.11.4 Test Results

Cite applicable Contract requirements, tests or analytical procedures used. Provide actual results and include a statement that the item tested or analyzed conforms or fails to conform to specified requirements. If the item fails to conform, notify the KO immediately. Conspicuously stamp the cover sheet for each report in large red letters "CONFORMS" or "DOES NOT CONFORM" to the specification requirements, whichever is applicable. Test results shall be signed by a testing laboratory representative authorized to sign certified test reports. Furnish the signed reports, certifications, and other documentation to the KO.

1.12 QC CERTIFICATIONS

1.12.1 Contractor Quality Control Report Certification

Each CQC Report shall contain the following statement: "On behalf of the Contractor, I certify that this report is complete and correct and equipment and material used and work performed during this reporting period is in compliance with the contract drawings and specifications to the best of my knowledge except as noted in this report."

1.12.2 Invoice Certification

Furnish a certificate to the KO with each payment request, signed by the QC Manager, attesting that as-built drawings are current and attesting

that the work for which payment is requested, including stored material, is in compliance with contract requirements.

1.12.3 Completion Certification

Upon completion of work under this contract, the QC Manager shall furnish a certificate to the KO attesting that "the work has been completed, inspected, tested and is in compliance with the contract."

1.13 COMPLETION INSPECTIONS

1.13.1 Punch-Out Inspection

Near the completion of all work or any increment thereof established by a completion time stated in the Contract clause "Commencement, Prosecution, and Completion of Work," or stated elsewhere in the specifications, the QC Manager shall conduct an inspection of the work and develop a punch list of items which do not conform to the approved drawings and specifications. Include in the punch list any remaining items of the "Rework Items List", which were not corrected prior to the Punch-Out inspection. The punch list shall include the estimated date by which the deficiencies will be corrected. A copy of the punch list shall be provided to the KO. The QC Manager or staff shall make follow-on inspections to ascertain that all deficiencies have been corrected. Once this is accomplished, the Contractor shall notify the Government that the facility is ready for the Government "Pre-Final Inspection".

1.13.2 Pre-Final Inspection

The Government and QC manager will perform this inspection to verify that the facility is complete and ready to be occupied. A Government pre-final punch list may be developed as a result of this inspection. The QC Manager shall ensure that all items on this list are corrected prior to notifying the Government that a "Final" inspection with the customer can be scheduled. Any items noted on the "Pre-Final" inspection shall be corrected in a timely manner and shall be accomplished before the contract completion date for the work or any particular increment thereof if the project is divided into increments by separate completion dates.

1.13.3 Final Acceptance Inspection

The QC Manager, the superintendent, or other Contractor management personnel and the KO will be in attendance at this inspection. Additional Government personnel may be in attendance. The final acceptance inspection will be formally scheduled by the KO based upon results of the "Pre-Final Inspection". Notice shall be given to the KO at least 14 days prior to the final inspection. The notice shall state that all specific items previously identified to the Contractor as being unacceptable will be complete by the date scheduled for the final acceptance inspection. Failure of the Contractor to have all contract work acceptably complete for this inspection will be cause for the KO to bill the Contractor for the Government's additional inspection cost in accordance with the contract clause "Inspection of Construction".

1.14 DOCUMENTATION

Maintain current and complete records of on-site and off-site QC program operations and activities. The forms identified under the paragraph "INFORMATION FOR THE CONTRACTING OFFICER (KO)" shall be used. Reports are

required for each day work is performed. Account for each calendar day throughout the life of the contract. Every space on the forms must be filled in. Use N/A if nothing can be reported in one of the spaces. The superintendent and the QC Manager must prepare and sign the Contractor Production and CQC Reports, respectively. The reporting of work shall be identified by terminology consistent with the construction schedule. In the "remarks" section in this report which will contain pertinent information including directions received, problems encountered during construction, work progress and delays, conflicts or errors in the drawings or specifications, field changes, safety hazards encountered, instructions given and corrective actions taken, delays encountered and a record of visitors to the work site. For each remark given, identify the Schedule Activity No. that is associated with the remark.

1.14.1 Quality Control Validation

Establish and maintain the following in a series of three ring binders. Binders shall be divided and tabbed as shown below. These binders shall be readily available to the Government's Quality Assurance Team during all business hours.

- a. All completed Preparatory and Initial Phase Checklists, arranged by specification section.
- b. All milestone inspections, arranged by Activity/Event Number.
- c. A current up-to-date copy of the Testing and Plan Log with supporting field test reports, arranged by specification section.
- d. Copies of all contract modifications, arranged in numerical order. Also include documentation that modified work was accomplished.
- e. A current up-to-date copy of the Rework Items List.
- f. Maintain up-to-date copies of all punch lists issued by the QC Staff on the Contractor and Sub-Contractors and all punch lists issued by the Government.

1.14.2 As-Built Drawings

The QC Manager is required to review the as-built drawings, required by Section 01 78 00 CLOSEOUT SUBMITTALS, are kept current on a daily basis and marked to show deviations, which have been made from the Contract drawings. Ensure each deviation has been identified with the appropriate modifying documentation, e.g. PC number, modification number, RFI number, etc. The QC Manager shall initial each deviation or revision. Upon completion of work, the QC Manager shall submit a certificate attesting to the accuracy of the as-built drawings prior to submission to the KO.

1.15 NOTIFICATION ON NON-COMPLIANCE

The KO will notify the Contractor of any detected non-compliance with the foregoing requirements. The Contractor shall take immediate corrective action. If the contractor fails or refuses to correct the non-compliant work, the KO will issue a non compliance notice. Such notice, when delivered to the Contractor at the work site, shall be deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the KO may issue an order stopping all or part of the

work until satisfactory corrective action has been taken. The Contractor shall make no part of the time lost due to such stop orders the subject of claim for extension of time, for excess costs, or damages.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 50 00

TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS
08/09

PART 1 GENERAL

1.1 SUMMARY

Requirements of this Section apply to, and are a component of, each section of the specifications.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA C511 (2007) Standard for Reduced-Pressure
Principle Backflow Prevention Assembly

FOUNDATION FOR CROSS-CONNECTION CONTROL AND HYDRAULIC RESEARCH
(FCCCHR)

FCCCHR List (continuously updated) List of Approved
Backflow Prevention Assemblies

FCCCHR Manual (1988e9) Manual of Cross-Connection Control

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)

MUTCD (2009) Manual of Uniform Traffic Control
Devices

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submitted the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Traffic control plan; G

SD-06 Test Reports

Backflow Preventer Tests; G

SD-07 Certificates

Backflow Tester Certification; G

Backflow Preventers Certificate of Full Approval

1.4 BACKFLOW PREVENTERS CERTIFICATE

Certificate of Full Approval from FCCCHR List, University of Southern California, attesting that the design, size and make of each backflow preventer has satisfactorily passed the complete sequence of performance testing and evaluation for the respective level of approval. Certificate of Provisional Approval will not be acceptable.

1.4.1 Backflow Tester Certificate

Prior to testing, submit to the Contracting Officer certification issued by the State or local regulatory agency attesting that the backflow tester has successfully completed a certification course sponsored by the regulatory agency. Tester must not be affiliated with any company participating in any other phase of this Contract.

1.4.2 Backflow Prevention Training Certificate

Submit a certificate recognized by the State or local authority that states the Contractor has completed at least 10 hours of training in backflow preventer installations. The certificate must be current.

PART 2 PRODUCTS

2.1 TEMPORARY SIGNAGE

2.1.1 Bulletin Board

Immediately upon beginning of work, provide a weatherproof glass-covered bulletin board not less than 36 by 48 inches in size for displaying the Equal Employment Opportunity poster, a copy of the wage decision contained in the contract, Wage Rate Information poster, and other information approved by the Contracting Officer. Locate the bulletin board at the project site in a conspicuous place easily accessible to all employees, as approved by the Contracting Officer.

2.2 TEMPORARY TRAFFIC CONTROL

2.2.1 Barricades

Erect and maintain temporary barricades to limit public access to hazardous areas. Whenever safe public access to paved areas such as roads, parking areas or sidewalks is prevented by construction activities or as otherwise necessary to ensure the safety of both pedestrian and vehicular traffic barricades will be required. Securely place barricades clearly visible with adequate illumination to provide sufficient visual warning of the hazard during both day and night.

2.2.2 Fencing

- a. Provide fencing along the construction site at all open excavations and tunnels to control access by unauthorized people. Fencing must be installed to be able to restrain a force of at least 250 pounds against it.

2.2.3 Backflow Preventers

Reduced pressure principle type conforming to the applicable requirements AWWA C511. Provide backflow preventers complete with 150 pound flanged

cast iron or bronze or brass internal parts. The particular make, model/design, and size of backflow preventers to be installed must be included in the latest edition of the List of Approved Backflow Prevention Assemblies issued by the FCCCHR List and be accompanied by a Certificate of Full Approval from FCCCHR List. After installation conduct Backflow Preventer Tests and provide test reports verifying that the installation meets the FCCCHR Manual Standards.

PART 3 EXECUTION

3.1 EMPLOYEE PARKING

Contractor employees will park privately owned vehicles in an area designated by the Contracting Officer. This area will be within reasonable walking distance of the construction site. Contractor employee parking must not interfere with existing and established parking requirements of the government installation.

3.2 AVAILABILITY AND USE OF UTILITY SERVICES

3.2.1 Temporary Utilities

Provide temporary utilities required for construction. Materials may be new or used, must be adequate for the required usage, not create unsafe conditions, and not violate applicable codes and standards.

3.2.2 Sanitation

a. Provide and maintain within the construction area minimum field-type sanitary facilities approved by the Contracting Officer and periodically empty wastes into a municipal, district, or station sanitary sewage system, or remove waste to a commercial facility. Obtain approval from the system owner prior to discharge into any municipal, district, or commercial sanitary sewer system. Any penalties and / or fines associated with improper discharge will be the responsibility of the Contractor. Coordinate with the Contracting Officer and follow station regulations and procedures when discharging into the station sanitary sewer system. Maintain these conveniences at all times without nuisance. Include provisions for pest control and elimination of odors. Government toilet facilities will not be available to Contractor's personnel.

3.2.3 Telephone

Make arrangements and pay all costs for telephone facilities desired.

3.3 TRAFFIC PROVISIONS

3.3.1 Maintenance of Traffic

a. Conduct operations in a manner that will not close any thoroughfare or interfere in any way with traffic on railways or highways except with written permission of the Contracting Officer at least 30 calendar days prior to the proposed modification date, and provide a Traffic Control Plan detailing the proposed controls to traffic movement for approval. The plan must be in accordance with State and local regulations and the MUTCD, Part VI. Make all notifications and obtain any permits required for modification to traffic movements outside Station's jurisdiction.. Contractor may move oversized and

slow-moving vehicles to the worksite provided requirements of the highway authority have been met.

- b. Conduct work so as to minimize obstruction of traffic, and maintain traffic on at least half of the roadway width at all times. Obtain approval from the Contracting Officer prior to starting any activity that will obstruct traffic.
- c. Provide, erect, and maintain, at contractors expense, lights, barriers, signals, passageways, detours, and other items, that may be required by the Life Safety Signage, overhead protection authority having jurisdiction.

3.3.2 Protection of Traffic

Maintain and protect traffic on all affected roads during the construction period except as otherwise specifically directed by the Contracting Officer. Measures for the protection and diversion of traffic, including the provision of watchmen and flagmen, erection of barricades, placing of lights around and in front of equipment the work, and the erection and maintenance of adequate warning, danger, and direction signs, will be as required by the State and local authorities having jurisdiction. Protect the traveling public from damage to person and property. Minimize the interference with public traffic on roads selected for hauling material to and from the site. Investigate the adequacy of existing roads and their allowable load limit. Contractor is responsible for the repair of any damage to roads caused by construction operations.

3.3.3 Rush Hour Restrictions

Do not interfere with the peak traffic flows preceding and during normal operations without notification to and approval by the Contracting Officer.

3.3.4 Dust Control

Dust control methods and procedures must be approved by the Contracting Officer. Treat dust abatement on access roads with applications of calcium chloride, water sprinklers, or similar methods or treatment.

3.4 CONTRACTOR'S TEMPORARY FACILITIES

3.4.1 Safety

Protect the integrity of any installed safety systems or personnel safety devices. If entrance into systems serving safety devices is required, the Contractor must obtain prior approval from the Contracting Officer. If it is temporarily necessary to remove or disable personnel safety devices in order to accomplish contract requirements, provide alternative means of protection prior to removing or disabling any permanently installed safety devices or equipment and obtain approval from the Contracting Officer.

3.4.2 Administrative Field Offices

Provide and maintain administrative field office facilities within the construction area at the designated site. Government office and warehouse facilities will not be available to the Contractor's personnel.

3.4.3 Storage Area

Construct a temporary 6 foot high chain link fence around trailers and materials. Include plastic strip inserts, colored green, so that visibility through the fence is obstructed. Fence posts may be driven, in lieu of concrete bases, where soil conditions permit. Do not place or store Trailers, materials, or equipment outside the fenced area unless such trailers, materials, or equipment are assigned a separate and distinct storage area by the Contracting Officer away from the vicinity of the construction site but within the installation boundaries. Trailers, equipment, or materials must not be open to public view with the exception of those items which are in support of ongoing work on any given day. Do not stockpile materials outside the fence in preparation for the next day's work. Park mobile equipment, such as tractors, wheeled lifting equipment, cranes, trucks, and like equipment within the fenced area at the end of each work day.

3.4.4 Supplemental Storage Area

Upon Contractor's request, the Contracting Officer will designate another or supplemental area for the Contractor's use and storage of trailers, equipment, and materials. This area may not be in close proximity of the construction site. Fencing of materials or equipment will be required at this site. The Contractor is responsible for cleanliness and orderliness of the area used and for the security of any material or equipment stored in this area. Utilities will not be provided to this area by the Government.

3.4.5 Appearance of Trailers

- a. Trailers utilized by the Contractor for administrative or material storage purposes must present a clean and neat exterior appearance and be in a state of good repair. Trailers which, in the opinion of the Contracting Officer, require exterior painting or maintenance will not be allowed on installation property.
- b. Paint using suitable paint and maintain the temporary facilities. Failure to do so will be sufficient reason to require their removal.

3.4.6 Maintenance of Storage Area

- a. Keep fencing in a state of good repair and proper alignment. Grassed or unpaved areas, which are not established roadways, will be covered with a layer of gravel as necessary to prevent rutting and the tracking of mud onto paved or established roadways, should the Contractor elect to traverse them with construction equipment or other vehicles; gravel gradation will be at the Contractor's discretion. Mow and maintain grass located within the boundaries of the construction site for the duration of the project. Grass and vegetation along fences, buildings, under trailers, and in areas not accessible to mowers will be edged or trimmed neatly.

3.4.7 Security Provisions

Provide adequate outside security lighting at the Contractor's temporary facilities. The Contractor will be responsible for the security of its own equipment; in addition, the Contractor will notify the appropriate law enforcement agency requesting periodic security checks of the temporary project field office.

3.4.8 Storage Size and Location

The open site available for storage must be confined to the indicated operations area. The storage area will be approximately 1000 square feet.

3.4.9 Weather Protection of Temporary Facilities and Stored Materials

3.4.9.1 Site Storm Protection

When a warning of gale force winds is issued, take precautions to minimize danger to persons, and protect the work and nearby Government property. Precautions must include, but are not limited to, closing openings; removing loose materials, tools and equipment from exposed locations; and removing or securing scaffolding and other temporary work. Close openings in the work when storms of lesser intensity pose a threat to the work or any nearby Government property.

3.4.9.2 Hurricane Condition of Readiness

Unless directed otherwise, comply with:

- a. Condition FOUR (Sustained winds of 50 knots or greater expected within 72 hours): Normal daily jobsite cleanup and good housekeeping practices. Collect and store in piles or containers scrap lumber, waste material, and rubbish for removal and disposal at the close of each work day. Maintain the construction site including storage areas, free of accumulation of debris. Stack form lumber in neat piles less than 4 feet high. Remove all debris, trash, or objects that could become missile hazards.
- b. Condition THREE (Sustained winds of 50 knots or greater expected within 48 hours): Maintain "Condition FOUR" requirements and commence securing operations necessary for "Condition ONE" which cannot be completed within 18 hours. Cease all routine activities which might interfere with securing operations. Commence securing and stow all gear and portable equipment. Make preparations for securing buildings. Review requirements pertaining to "Condition TWO" and continue action as necessary to attain "Condition THREE" readiness. Contact Contracting Officer for weather and COR updates and completion of required actions.
- c. Condition TWO (Sustained winds of 50 knots or greater expected within 24 hours): Curtail or cease routine activities until securing operation is complete. Reinforce or remove form work and scaffolding. Secure machinery, tools, equipment, materials, or remove from the jobsite. Expend every effort to clear all missile hazards and loose equipment from general base areas. Contact Contracting Officer for weather and Condition of Readiness (COR) updates and completion of required actions.
- d. Condition ONE. (Sustained winds of 50 knots or greater expected within 12 hours): Secure the jobsite, and leave Government premises.

3.5 TEMPORARY PROJECT SAFETY FENCING

As soon as practicable, but not later than 15 days after the date established for commencement of work, furnish and erect temporary project safety fencing at the work site. The safety fencing must be a high

visibility orange colored, high density polyethylene grid or approved equal, a minimum of 42 inches high, supported and tightly secured to steel posts located on maximum 10 foot centers, constructed at the approved location. Maintain the safety fencing during the life of the contract and, upon completion and acceptance of the work, will become the property of the Contractor and be removed from the work site.

3.6 CLEANUP

Remove construction debris, waste materials, packaging material and the like from the work site daily. Any dirt or mud which is tracked onto paved or surfaced roadways must be cleaned away. Store within the fenced area described above or at the supplemental storage area any materials resulting from demolition activities which are salvageable. Neatly stacked stored materials not in trailers, whether new or salvaged.

3.7 RESTORATION OF STORAGE AREA

Upon completion of the project remove the bulletinboard, signs, barricades, haulroads, and any other temporary products from the site. After removal of trailers, materials, and equipment from within the fenced area, remove the fence that will become the property of the Contractor. Restore to the original or better condition, areas used by the Contractor for the storage of equipment or material, or other use. Gravel used to traverse grassed areas must be removed and the area restored to its original condition, including top soil and seeding as necessary.

-- End of Section --

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SECTION 01 57 19.00 20

TEMPORARY ENVIRONMENTAL CONTROLS

11/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910	Occupational Safety and Health Standards
29 CFR 1910.120	Hazardous Waste Operations and Emergency Response
40 CFR 112	Oil Pollution Prevention
40 CFR 112.7	General Requirements for Spill Prevention, Control, and Countermeasure Plans
40 CFR 241	Guidelines for Disposal of Solid Waste
40 CFR 243	Guidelines for the Storage and Collection of Residential, Commercial, and Institutional Solid Waste
40 CFR 258	Subtitle D Landfill Requirements
40 CFR 260	Hazardous Waste Management System: General
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 262	Standards Applicable to Generators of Hazardous Waste
40 CFR 263	Standards Applicable to Transporters of Hazardous Waste
40 CFR 264	Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 266	Standards for the Management of Specific Hazardous Wastes and Specific Types of Hazardous Waste Management Facilities
40 CFR 268	Land Disposal Restrictions

40 CFR 270	EPA Administered Permit Programs: The Hazardous Waste Permit Program
40 CFR 271	Requirements for Authorization of State Hazardous Waste Programs
40 CFR 272	Approved State Hazardous Waste Management Programs
40 CFR 273	Standards For Universal Waste Management
40 CFR 279	Standards for the Management of Used Oil
40 CFR 280	Technical Standards and Corrective Action Requirements for Owners and Operators of Underground Storage Tanks (UST)
40 CFR 300	National Oil and Hazardous Substances Pollution Contingency Plan
40 CFR 355	Emergency Planning and Notification
40 CFR 372-SUBPART D	Specific Toxic Chemical Listings
40 CFR 60	Standards of Performance for New Stationary Sources
40 CFR 63	National Emission Standards for Hazardous Air Pollutants for Source Categories
40 CFR 761	Polychlorinated Biphenyls (PCBs) Manufacturing, Processing, Distribution in Commerce, and Use Prohibitions
40 CFR 82	Protection of Stratospheric Ozone
49 CFR 171	General Information, Regulations, and Definitions
49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 178	Specifications for Packagings

1.2 DEFINITIONS

1.2.1 Sediment

Soil and other debris that have eroded and have been transported by runoff water or wind.

1.2.2 Solid Waste

Garbage, refuse, debris, sludge, or other discharged material, including solid, liquid, semisolid, or contained gaseous materials resulting from domestic, industrial, commercial, mining, or agricultural operations. Types of solid waste typically generated at construction sites may include:

- a. Green waste: The vegetative matter from landscaping, land clearing and grubbing, including, but not limited to, grass, bushes, scrubs, small trees and saplings, tree stumps and plant roots. Marketable trees, grasses and plants that are indicated to remain, be re-located, or be re-used are not included. Green waste must be recycled by composting or conversion into mulch or other means.
- b. Surplus soil: Existing soil that is in excess of what is required for this work, including aggregates intended, but not used, for on-site mixing of concrete, mortars and paving. Contaminated soil meeting the definition of hazardous material or hazardous waste is not included.
- c. Debris: Non-hazardous solid material generated during the construction, demolition, or renovation of a structure which exceeds 2.5 inch particle size that is: a manufactured object; plant or animal matter; or natural geologic material (e.g. cobbles and boulders), broken or removed concrete, and masonry. Inert materials may be reinforced with or contain ferrous wire, rods, accessories and weldments.
- d. Wood: Dimension and non-dimension lumber, plywood, chipboard, hardboard. Treated and/or painted wood that meets the definition of lead contaminated or lead based contaminated paint is not included. Wood with lead paint can be recycled at a facility that can separate the paint from wood (usually accomplished by incineration with emission equipment that will capture lead emissions).
- e. Scrap metal: Scrap and excess ferrous and non-ferrous metals such as reinforcing steel, structural shapes, pipe and wire that are recovered or collected and disposed of as scrap. Scrap metal meeting the definition of hazardous material or hazardous waste is not included.
- f. Paint cans: Metal cans that are empty of paints, solvents, thinners and adhesives. If permitted by the paint can label, a thin dry film may remain in the can.
- g. Recyclables: Materials, equipment and assemblies such as doors, appliances, paint, metal, wall board, plastic asphalt, brick, concrete, drywall, fixtures, flooring, ravel, green waste, cardboard, pallets, paper, pipe, roofing, sand, shingles, soil, windows, door and window frames, plumbing fixtures, glazing and mirrors that are recovered that may be sold as recyclable. Metal meeting the definition of lead contaminated or lead based paint contaminated may be included as recyclable if sold to a scrap metal company. The Navy has an on-site metal scrap yard. To coordinate the recycling of metal scrap, call 401-841-2464. Scrap metal can be taken to a scrap yard but information must be provided to the Navy on the monthly Waste Report. The Navy can provide a copy of the Waste Report. Call 401-841-7561. Paint cans may be included as recyclable if sold to a scrap metal company. Common C&D wastes that must be recycled include carpet, wood, aggregate, paint, metal, wall board, and plastic. Other types of C&D recyclable materials are appliances, asphalt, brick,

concrete, drywall, fixtures, flooring, gravel, green waste, OCC-cardboard, pallets, paper, pipe, roofing, sand shingles, and soil.

- h. Hazardous Waste: By definition, to be a hazardous waste a material must first meet the definition of a solid waste. Hazardous waste and hazardous debris are special cases of solid waste. They have additional regulatory controls and must be handled separately. They are thus defined separately in this document.

Material not regulated as solid waste are: nuclear source or byproduct materials regulated under the Federal Atomic Energy Act of 1954 as amended; suspended or dissolved materials in domestic sewage effluent or irrigation return flows, or other regulated point source discharges; regulated air emissions; and fluids or wastes associated with natural gas or crude oil exploration or production.

1.2.3 Hazardous Debris

As defined in Solid Waste paragraph, debris that contains listed hazardous waste (either on the debris surface, or in its interstices, such as pore structure) per 40 CFR 261; or debris that exhibits a characteristic of hazardous waste per 40 CFR 261.

1.2.4 Chemical Wastes

This includes salts, acids, alkalizes, herbicides, pesticides, and organic chemicals.

1.2.5 Garbage

Refuse and scraps resulting from preparation, cooking, dispensing, and consumption of food.

1.2.6 Hazardous Waste

Any discarded material, liquid, solid, or gas, which meets the definition of hazardous material or is designated hazardous waste by the Environmental Protection Agency or State Hazardous Control Authority as defined in 40 CFR 260, 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, 40 CFR 268, 40 CFR 270, 40 CFR 271, 40 CFR 272, 40 CFR 273, 40 CFR 279, and 40 CFR 280.

1.2.7 Hazardous Materials

Hazardous materials as defined in 49 CFR 171 and listed in 49 CFR 172.

Hazardous material is any material that:

- a. Is regulated as a hazardous material per 49 CFR 173, or
- b. Requires a Material Safety Data Sheet (MSDS) per 29 CFR 1910.120, or
- c. During end use, treatment, handling, packaging, storage, transpiration, or disposal meets or has components that meet or have potential to meet the definition of a hazardous waste as defined by 40 CFR 261 Subparts A, B, C, or D.

Designation of a material by this definition, when separately regulated or controlled by other instructions or directives, does not eliminate the

need for adherence to that hazard-specific guidance which takes precedence over this instruction for "control" purposes. Such material include ammunition, weapons, explosive actuated devices, propellants, pyrotechnics, chemical and biological warfare materials, medical and pharmaceutical supplies, medical waste and infectious materials, bulk fuels, radioactive materials, and other materials such as asbestos, mercury, and polychlorinated biphenyls (PCBs). Nonetheless, the exposure may occur incident to manufacture, storage, use and demilitarization of these items.

1.2.8 Waste Hazardous Material (WHM)

Any waste material which because of its quantity, concentration, or physical, chemical, or infectious characteristics may pose a substantial hazard to human health or the environment and which has been so designated. Used oil not containing any hazardous waste, as defined above, falls under this definition.

1.2.9 Oily Waste

Those materials which are, or were, mixed with used oil and have become separated from that used oil. Oily wastes also means materials, including wastewaters, centrifuge solids, filter residues or sludges, bottom sediments, tank bottoms, and sorbents which have come into contact with and have been contaminated by, used oil and may be appropriately tested and discarded in a manner which is in compliance with other State and local requirements.

This definition includes materials such as oily rags, "kitty litter" sorbent clay and organic sorbent material. These materials may be land filled provided that:

- a. It is not prohibited in other State regulations or local ordinances
- b. The amount generated is "de minimus" (a small amount)
- c. It is the result of minor leaks or spills resulting from normal process operations
- d. All free-flowing oil has been removed to the practical extent possible

Large quantities of this material, generated as a result of a major spill or in lieu of proper maintenance of the processing equipment, are a solid waste. As a solid waste, a hazardous waste determination must be performed prior to disposal. As this can be an expensive process, it is recommended that this type of waste be minimized through good housekeeping practices and employee education.

1.2.10 Regulated Waste

Those solid wastes that have specific additional Federal, state, or local controls for handling, storage, or disposal.

1.2.11 Green Procurement

Purchase of environmentally preferable products and services in accordance with federally mandated "Green" procurement preference programs.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00
SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Preconstruction Survey; G

Solid Waste Management Plan and Permit; G

Environmental Protection Plan; G

Storm Water Pollution Prevention Plan; G

Storm Water Notice of Intent (for NPDES coverage under the general permit for construction activities); G

Dirt and Dust Control Plan

Contractor Hazardous Material Inventory Log; G

SD-06 Test Reports

Disposal Requirements

Erosion and Sediment Control Inspection Reports

Waste Report; G

SD-07 Certificates

Contractor 40 CFR employee training records; G

SD-11 Closeout Submittals

Some of the records listed below are also required as part of other submittals. For the "Records" submittal, maintain on-site a separate three-ring Environmental Records binder and submit at the completion of the project. Make separate parts to the binder corresponding to each of the applicable sub items listed below.

Storm Water Pollution Prevention Plan compliance notebook; G

Waste Determination Documentation

Disposal Documentation for Hazardous and Regulated Waste

Contractor 40 CFR Employee Training Records

Waste Report

Contractor Hazardous Material Inventory Log; G

Hazardous Waste/Debris Management

1.4 ENVIRONMENTAL PROTECTION REQUIREMENTS

Provide and maintain, during the life of the contract, environmental protection as defined. Plan for and provide environmental protective measures to control pollution that develops during normal construction practice. Plan for and provide environmental protective measures required to correct conditions that develop during the construction of permanent or temporary environmental features associated with the project. Comply with Federal, State, and local regulations pertaining to the environment, including water, air, solid waste, hazardous waste and substances, oily substances, and noise pollution.

The Contractor may be required to promptly conduct tests and procedures for the purpose of assessing whether construction operations are in compliance with Applicable Environmental Laws. Analytical work shall be done by qualified laboratories; and where required by law, the laboratories shall be certified.

1.4.1 Green or Affirmative Procurement

The Contractor shall acquire products that are energy-efficient, water-efficient, bio-based, environmentally preferable, non-ozone depleting, contain recycled content, or are no-toxic or less-toxic alternatives. This includes acquiring paper of at least 30 percent post-consumer fiber content (in addition, uncoated printing and writing paper must be used). The contractor shall apply procurement preference for EPEAT - registered electronic products and for electronic equipment, Energy Star and FEMP designated electronic equipment must be procured. The Contractor shall reduce or eliminate acquisition and use of toxic chemicals and increase the use of acceptable alternative chemicals and processes. The DOD green procurement information is available at http://www.p2sustainabilitylibrary.mil/p2_documents/don_gpp_implementationguide020509. The Green Procurement Program includes:

1. Recovery Material - aka Comprehensive Procurement Guideline (CPG) program - part of EPA's continuing effort to promote the use of material recovered from solid waste.
(Go to: <http://www.epa.gov/epawaste/conserves/tools/cpg/index.htm>). EPA has designed products in eight categories; EPA has already designated or is proposing to designate products grouped into the following eight categories:
 - a. Construction Products
 - b. Landscaping Products
 - c. Non-paper Office Products
 - d. Paper and Cardboard Products
 - e. Park and Recreation Products
 - f. Transportation Products
 - g. Vehicular Products
 - h. Miscellaneous Products
2. Energy Efficient: (FEMP, ENERGY STAR, EPEAT) Federal agencies are required to procure energy efficient products qualified in the Energy Star Program or designated by Federal Energy management program (FEMP). This includes items such as computers, monitors, printers, scanners, fax machines, and copiers; building construction renovation and maintenance projects; and purchase of appliances. Water efficiency requirements apply to building construction and renovation projects.

Alternative fuels/alternative fueled vehicles Federal agencies must acquire alternative fueled vehicles (AFV's) alternative fuels (i.e. E85, E100, biodiesel) for those vehicles, and fuel-efficient petroleum powered passenger cars and light trucks.

1. Biobased Products: Biobased items include, but are not limited to, clothing, bedding, linens; office products; printing products; transportation fleet maintenance products; construction products; and janitorial and landscaping products. USDA maintains the BioPreferred Program website (<http://www.biopREFERRED.gov>).
2. Non ozone depleting substances are required for: refrigeration and air conditioning; foam insulation; cleaning solvents; fire suppressants; aerosol solvents and propellants; sterilants; and adhesives, coatings and inks.
3. Environmental preferable products: EPPs are any products and services that are more environmentally friendly than what the activity/installation routinely purchase, but are not already covered by one of the other programs already described.

Green Procurement applies to all DoD and operations, except military tactical vehicles and equipment. Military tactical vehicles and equipment include weapon systems used on the battle ground, portable equipment that supports logistical and combat aircraft, vehicles to transport combat and support personnel during military operations, and other military equipment weapon systems.

1.5 QUALITY ASSURANCE

1.5.1 Preconstruction Survey

Perform a Preconstruction Survey of the project site with the Contracting Officer, and take photographs showing existing environmental conditions in and adjacent to the site. Submit a report for the record.

1.5.2 Regulatory Notifications

The Contractor is responsible for assisting the Navy and ensuring that regulatory requirements have been met. Typically, regulatory notifications must be provided for the following (this listing is not all inclusive): demolition, renovation, RIPDES (Rhode Island Pollution Discharge Elimination system) (i.e. stormwater construction permit that includes, Notice of Intent and Termination, fees, Storm Water Pollution prevention Plan or SWPPP, and inspections. Information is available at <http://www.dem.ri.gov/programs/benviron/water/permits/swcoord/index.htm>) defined site work, remediation of controlled substances (asbestos, hazardous waste, lead paint).

1.5.3 Environmental Brief

Attend an environmental brief to be included in the preconstruction meeting. Provide the following information: types, quantities, and use of hazardous materials that will be brought onto the activity; types and quantities of wastes/wastewater that may be generated during the contract. Discuss the results of the Preconstruction Survey at this time.

Prior to initiating any work on site, meet with the Contracting Officer

and activity environmental staff to discuss the proposed Environmental Protection Plan. Develop a mutual understanding relative to the details of environmental protection, including measures for protecting natural resources, required reports, required permits, permit requirements, and other measures to be taken.

1.5.4 Environmental Manager

Appoint in writing an Environmental Manager for the project site. The Environmental Manager will be directly responsible for coordinating contractor compliance with Federal, State, local, and station requirements. The Environmental Manager will ensure compliance with Hazardous Waste Program requirements (including hazardous waste handling, storage, manifesting, and disposal); implement the Environmental Protection Plan; ensure that all environmental permits are obtained, maintained, and closed out; ensure compliance with Storm Water Program Management requirements; ensure compliance with Hazardous Materials (storage, handling, and reporting) requirements; and coordinate any remediation of regulated substances (lead, asbestos, PCB transformers). This can be a collateral position; however the person in this position must be trained to adequately accomplish the following duties: ensure waste segregation and storage compatibility requirements are met; inspect and manage Satellite Accumulation areas; ensure only authorized personnel add wastes to containers; ensure all Contractor personnel are trained in 40 CFR requirements in accordance with their position requirements; coordinate removal of waste containers; and maintain the Environmental Records binder and required documentation, including environmental permits compliance and close-out.

1.5.5 Contractor 40 CFR Employee Training Records

Prepare and maintain employee training records throughout the term of the contract meeting applicable 40 CFR requirements. Ensure every employee completes a program of classroom instruction or on-the-job training that teaches them to perform their duties in a way that ensures compliance with Federal, State and local regulatory requirements for RCRA Large Quantity Generator. Provide a Position Description for each employee, by subcontractor, based on the Davis-Bacon Wage Rate designation or other equivalent method, evaluating the employee's association with hazardous and regulated wastes. This Position Description will include training requirements as defined in 40 CFR 265 for a Large Quantity Generator facility. Submit these training records to the Contracting Officer at the conclusion of the project, unless otherwise directed.

1.6 ENVIRONMENTAL PROTECTION PLAN (EPP)

Prior to initiating any work on site, meet with the Contracting Officer to discuss the proposed Environmental Protection Plan and develop a mutual understanding relative to the details of environmental protection, including measures for protecting natural resources, required reports, and other measures to be taken. The Environmental Protection Plan must incorporate construction related objectives and targets from the installation's Environmental Management System. Submit the Environmental Protection Plan in the following format and include the elements specified below.

a. Description of the Environmental Protection Plan

- (1) General overview and purpose

(a) A brief description of each specific plan required by environmental permit or elsewhere in this contract.

(b) The duties and level of authority assigned to the person(s) on the job site that oversee environmental compliance.

(c) A copy of any standard or project specific operating procedures that will be used to effectively manage and protect the environment on the project site.

(d) Communication and training procedures that will be used to convey environmental management requirements to contractor employees and subcontractors.

(e) Emergency contact information contact information (office phone number, cell phone number, and e-mail address).

(2) General site information

(3) A letter signed by an officer of the firm appointing the Environmental Manager and stating that he/she is responsible for managing and implementing the Environmental Program as described in this contract. Include in this letter the Environmental Manager's authority to direct the removal and replacement of non-conforming work.

b. Management of Natural Resources

(1) Land resources

(2) Tree protection

(3) Replacement of damaged landscape features

(4) Temporary construction

(5) Stream crossings

(6) Fish and wildlife resources

(7) Wetland areas

(8) Shoreline and beaches

(9) Marine waters

c. Protection of Historical and Archaeological Resources

(1) Objectives

(2) Methods

d. Storm Water Management and Control

(1) Ground cover

(2) Erodible soils

- (3) Temporary measures
 - (a) Mechanical retardation and control of runoff
 - (b) Vegetation and mulch
 - (4) Effective selection, implementation and maintenance of Best Management Practices (BMPs).
- e. Protection of the Environment from Waste Derived from Contractor Operations

- (1) Control and disposal of solid and sanitary waste.
- (2) Control and disposal of hazardous waste (Hazardous Waste Management Section)

This item will consist of the management procedures for all hazardous waste to be generated. The elements of those procedures will coincide with the Activity Hazardous Waste Management Plan. A copy of the Activity Hazardous Waste Management Plan will be provided by the Contracting Officer. As a minimum, include the following:

- (a) Procedures to be employed to ensure a written waste determination is made for appropriate wastes which are to be generated;
- (b) Sampling/analysis plan;
- (c) Methods of hazardous waste accumulation/storage (i.e., in tanks and/or containers);
- (d) Management procedures for storage, labeling, transportation, and disposal of waste (treatment of waste is not allowed unless specifically noted);
- (e) Management procedures and regulatory documentation ensuring disposal of hazardous waste complies with Land Disposal Restrictions (40 CFR 268);
- (f) Management procedures for recyclable hazardous materials such as lead-acid batteries, used oil, and the like;
- (g) Used oil management procedures in accordance with 40 CFR 279;
- (h) Pollution prevention\hazardous waste minimization procedures;
- (i) Plans for the disposal of hazardous waste by permitted facilities;
- (j) Procedures to be employed to ensure all required employee training records are maintained.

f. Prevention of Releases to the Environment

- (1) Procedures to prevent releases to the environment
- (2) Notifications in the event of a release to the environment

g. Regulatory Permits

List what notifications and permit applications must be made. Provide documents, applications, and fees for permits to the Navy. The Navy will make regulatory notifications and obtain permits. Do not start work until permits are obtained from the Navy. Some permits require up to 90 days to obtain. Demonstrate that those permits have been obtained or applied for by including copies of all applicable, environmental permits. The Plan will not be approved until all permits have been obtained.

h. Clean Air Act Compliance

- (1) Identify air pollution generating equipment or processes that may require federal, state, or local permits under the clean air act.
- (2) Identify portable and stationary internal combustion engines (ICE's) that will be supplied, utilized or serviced. Address compliance with 40 CFR 60 Subpart IIII, 40 CFR 63 Subpart ZZZZ, and local regulations as applicable. At minimum, include the make, model, serial number, manufacture date, size (engine bhp), and EPA emission certification status of each engine.
- (3) Identify management practices to ensure that HVAC work involving refrigerants complies with 40 CFR 82 requirements.
- (4) Identify planned air pollution generating processes and management control measures (including but not limited to spray painting, abrasive blasting, demolition, material handling, fugitive dust, and fugitive emissions)

1.6.1 Environmental Protection Plan Review

Fourteen days after the Contract award date, submit the proposed Environmental Protection Plan for review and approval. Commencement of work will not begin until the environmental protection plan has been approved.

1.6.2 Licenses and Permits

Obtain licenses and permits pursuant to the "Permits and Responsibilities" FAR Clause 52.236-7.

The following permits will be obtained by the Contracting Officer:

- a. _____]
- b. _____]
- c. _____]

For permits obtained by the Contracting Officer, whether or not required by the permit, the Contractor is responsible for conforming to all permit requirements and performing all quality control inspections of the work in progress, and to submit notifications and certifications to the applicable regulatory agency via the Contracting Officer.

Where required by the State regulatory authority, the inspections and certifications will be provided through the services of a Professional

Engineer (PE), (Note: the state of Rhode Island does require that certain permit documents be certified by a Professional Engineer) (ss <http://www.dem.ri.gov/programs/benviron/water/permits/swcoord/index.htm>) registered in the State where the work is being performed. Where a PE is not required, the individual must be otherwise qualified by other current State licensure, specific training and prior experience (minimum 5 years). As a part of the quality control plan, which is required by Section 01 45 00.10 20 QUALITY CONTROL FOR MINOR CONSTRUCTION, provide a sub item containing the name, appropriate professional registration or licence number, address, and telephone number of the professionals or other qualified persons who will be performing the inspections and certifications for each permit.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 PROTECTION OF NATURAL RESOURCES

Preserve the natural resources within the project boundaries and outside the limits of permanent work. Restore to an equivalent or improved condition upon completion of work. Confine construction activities to within the limits of the work indicated or specified. If the work is near streams, lakes, or other waterways, conform to the national permitting requirements of the Clean Water Act.

Do not disturb fish and wildlife. Do not alter water flows or otherwise significantly disturb the native habitat adjacent to the project and critical to the survival of fish and wildlife, except as indicated or specified.

Except in areas to be cleared, do not remove, cut, deface, injure, or destroy trees or shrubs without the Contracting Officer's permission. Do not fasten or attach ropes, cables, or guys to existing nearby trees for anchorages unless authorized by the Contracting Officer. Where such use of attached ropes, cables, or guys is authorized, the Contractor will be responsible for any resultant damage.

Protect existing trees which are to remain and which may be injured, bruised, defaced, or otherwise damaged by construction operations. Remove displaced rocks from uncleared areas. By approved excavation, remove trees with 30 percent or more of their root systems destroyed. Remove trees and other landscape features scarred or damaged by equipment operations, and replace with equivalent, undamaged trees and landscape features. Obtain Contracting Officer's approval before replacement.

3.1.1 Erosion and Sediment Control Measures

3.1.1.1 Burnoff

Burnoff of the ground cover is not permitted.

3.1.1.2 Protection of Erodible Soils

Note: The State of Rhode Island requires the use of the RI Soil Erosion and Sediment Control Handbook and the RI Stormwater Design and Installation Standards Manual. (see

<http://www.demi.ri.gov/programs/benviron/water/permits/swcoord/index.htm>)

See attached excerpt from Rhode Island Soil Erosion and Sediment Control Handbook.

3.1.1.3 Temporary Protection of Erodible Soils

Note: The State of Rhode Island requires the use of the RI Soil Erosion and Sediment Control Handbook and the RI Stormwater Design and Installation Standards Manual. (see <http://www.demi.ri.gov/programs/benviron/water/permits/swcoord/index.htm>)

Use the following methods to prevent erosion and control sedimentation:

- a. Mechanical Retardation and Control of Runoff
- b. Vegetation and Mulch
 - (2) Seeding: Provide new seeding where ground is disturbed. Include topsoil or nutriment during the seeding operation necessary to establish or reestablish a suitable stand of grass.

3.1.2 Erosion and Sediment Control Inspection Reports

Note: The State of Rhode Island requires the use of the RI Soil Erosion and Sediment Control Handbook and the RI Stormwater Design and Installation Standards Manual. (see <http://www.demi.ri.gov/programs/benviron/water/permits/swcoord/index.htm>)

See attached RIDEM; Soil Erosion and Sediment Control Plan (SESC Plan) and Soil Erosion and Sediment Control Plan Inspection Report.

3.1.2.1 Storm Water Notice of Intent for Construction Activities and Storm Water Pollution Prevention Plan

Submit a Storm Water Notice of Intent (for NPDES coverage under the general permit for construction activities) and a Storm Water Pollution Prevention Plan (SWPPP) for the project to the Contracting Officer prior and gain approval prior to the commencement of work. See <http://www.dem.ri.gov/programs/benviron/water/permits/swcoord/index.htm> for the Notice of Intent, the SWPPP template, and the Notice of Termination. If over five acres, a fee is required. Submit the fee with the other documents. Work cannot begin until authorization is received from the State of Rhode Island. The SWPPP must meet the requirements of the EPA or State general permit for storm water discharges from construction sites. Submit the SWPPP along with any required Notice of Intent, Notice of Termination, and appropriate permit fees, via the Contracting Officer, to the appropriate Federal or State agency for approval, a minimum of 14calendar days prior to the start of any land disturbing activities. Maintain an approved copy of the SWPPP at the construction on-site office, and continually update as regulations require, reflecting current site conditions.

Coverage under this permit requires the contractor prepare a Storm Water Pollution Prevention Plan (SWPPP). Provide the Notice of Termination to the Navy once construction is complete and the site is stabilized with a final sustainable cover.

Under the terms and conditions of the permit, the Contractor will be

required to install, inspect, maintain best management practices (BMPs), and submit stormwater BMP inspection reports and stormwater pollution prevention plan inspection reports. (See <http://www.dem.ri.gov/programs/benviron/water/permits/swcoord/index.htm> for inspection reports). Ensure construction operations and management are constantly in compliance with the terms and conditions of the general permit for storm water discharges from construction activities.

- a. The SWPPP shall follow the State's format (see <http://www.dem.ri.gov/programs/benviron/water/permits/swcoord/index.htm>):
- (1) Identify potential sources of pollution which may be reasonably expected to affect the quality of storm water discharge from the site.
 - (2) Describe and ensure implementation of practices which will be used to reduce the pollutants in storm water discharge from the site.
 - (3) Ensure compliance with terms of the State general permit for storm water discharge.
 - (4) Select applicable best management practices .
 - (5) Include a completed copy of the BMP Inspection Report Template and Notice of Termination except for the effective date.
 - (6) Storm Water Pollution Prevention Measures and Notice of Intent . Provide a "Storm Water Pollution Prevention Plan" (SWPPP) for the project. The SWPPP will meet the requirements of the State general permit for storm water discharges from construction sites. Submit the SWPPP along with any required Notice of Intent, Notice of Termination, and appropriate permit fees, to the Navy. A copy of the approved SWPPP will be kept at the construction on-site office, and continually updated as regulations require reflecting current site conditions.

3.1.2.2 Storm Water Pollution Prevention Plan Compliance Notebook

Create and maintain a binder of documents that demonstrate compliance with the Stormwater Construction Activity permit. The binder shall include a copy of the permit , proof of permit fee payment, SWPPP and SWPPP update amendments, inspection reports, copies of correspondence with the state and a copy of the permit Notice of Termination. At the completion of the project the notebook shall become the property of the Government. Provide the compliance notebook to Contracting Officer.

3.1.3 Stormwater Drainage and Construction Dewatering

Note: The State of Rhode Island requires the use of the RI Soil Erosion and Sediment Control Handbook and the RI Stormwater Design and Installation Standards Manual. (see <http://www.dem.ri.gov/programs/benviron/water/permits/swcoord/index.htm>)

There will be no discharge of excavation ground water to the sanitary sewer, storm drains, or to Narragansett Bay without prior specific authorization of the Environmental Division in writing. Discharge of hazardous substances will not be permitted under any circumstances.

Construction site runoff will be prevented from entering any storm drain or Narragansett Bay directly by the use of straw bales or other method suitable to the Environmental Division. Contractor will provide erosion protection of the surrounding soils.

Construction Dewatering shall not be discharged to the sanitary sewer. Construction dewatering, if required, shall be addressed in either the stormwater Pollution Prevention Plan if disturbing more than one acre or in the Erosion and Sediment Control Plan if disturbing less than one acre. If the construction dewatering is noted or suspected of being contaminated, it may only be released to the storm drain system if the discharge is specifically permitted. Authorization for any contaminated groundwater release shall be obtained in advance from the base Environmental Officer. Discharge of hazardous substances will not be permitted under any circumstances.

3.2 HISTORICAL AND ARCHAEOLOGICAL RESOURCES

Carefully protect in-place and report immediately to the Contracting Officer historical and archaeological items or human skeletal remains discovered in the course of work. Upon discovery, notify the Contracting Officer. Stop work in the immediate area of the discovery until directed by the Contracting Officer to resume work. The Government retains ownership and control over historical and archaeological resources.

3.3 SOLID WASTE MANAGEMENT PLAN and PERMIT

Provide to the contracting officer a written notification of the quantity of solid waste/debris that is anticipated to be generated by construction. Include in the report the locations where various types of waste will be disposed or recycled. Include letters of acceptance or as applicable, submit one copy of a State and local Solid Waste Management plan and Permit or license showing such agency's approval of the disposal plan before transporting wastes off Government property. Licensed landfills are listed at <http://www/dem.ri.gov/programs/benviron/waste/topicsol.htm>.

3.3.1 Waste Report

Monthly, submit a waste report available from the Navy (401 841-7561), to the Contracting Officer. For each waste that is landfilled or recycled/reused/recovered, the report will state the classification (using the definitions provided in this section), amount, location, and name of the business receiving the solid waste and recycled/reused/recovered material.

Include copies of the waste handling facilities' weight tickets, receipts, bills of sale, and other sales documentation. In lieu of sales documentation, the Contractor may submit a statement indicating the disposal location or recycling which is signed by an officer of the Contractor firm authorized to legally obligate or bind the firm. The sales documentation or Contractor certification will include the receiver's tax identification number and business, State registration number, along with the receiver's delivery and business addresses and telephone numbers. For each solid waste retained by the Contractor for his own use, the Contractor will submit on the waste report the information previously described in this paragraph.

3.3.2 Control and Management of Solid Wastes

Pick up solid wastes, and place in covered containers which are regularly emptied. Do not prepare or cook food on the project site. Prevent contamination of the site or other areas when handling and disposing of wastes. At project completion, leave the areas clean. Recycling is required (50% of non-C&D debris must be recycled; 60% of C&D debris must be recycled) and can be coordinated with the Contracting Officer and the activity recycling coordinator (The activity recycling coordinator is available at 401-841-2464). Remove all solid waste (including non-hazardous debris) from Government property and dispose off-site at an approved landfill. Solid waste disposal off-site must comply with most stringent local, State, and Federal requirements including 40 CFR 241, 40 CFR 243, and 40 CFR 258.

Manage spent hazardous material used in construction, including but not limited to, aerosol cans, waste paint, cleaning solvents, contaminated brushes, and used rags, as per environmental law.

3.3.2.1 Disposal Documentation for Hazardous and Regulated Waste

Manifest, pack, ship and dispose of hazardous or toxic waste and universal waste that is generated as a result of construction in accordance with the generating facilities generator status under the Resource Conservation and Recovery Act. Contact the Contracting Officer for the facility RCRA identification number that is to be used on each manifest.

Submit a copy of the applicable EPA and or State permit(s), manifest(s), or license(s) for transportation, treatment, storage, and disposal of hazardous and regulated waste by permitted facilities. Hazardous or toxic waste manifest must be reviewed, signed, and approved by the Navy before the Contractor may ship waste. To obtain specific disposal instructions coordinate with the Activity Environmental office.

3.4 WASTE DETERMINATION DOCUMENTATION

Complete a Waste Determination form for hazardous waste (provided at the pre-construction conference) for all contractor derived wastes to be generated. Base the waste determination upon either a constituent listing from the manufacturer used in conjunction with consideration of the process by which the waste was generated, EPA approved analytical data, or laboratory analysis (Material Safety Data Sheets (MSDS) by themselves are not adequate). Attach all support documentation to the Waste Determination form. As a minimum, a Waste Determination form must be provided for the following wastes (this listing is not all inclusive): oil and latex based painting and caulking products, solvents, adhesives, aerosols, petroleum products, and all containers of the original materials.

3.5 POLLUTION PREVENTION/HAZARDOUS WASTE MINIMIZATION

Minimize the use of hazardous materials and the generation of hazardous waste. Include procedures for pollution prevention/ hazardous waste minimization in the Hazardous Waste Management Section of the Environmental Plan. Consult with the activity Environmental Office for suggestions and to obtain a copy of the installation's pollution prevention/hazardous waste minimization plan for reference material when preparing this part of the plan (The plan is available at <http://www.cnrc.navy.mil/Newport/OperationsAndManagement/EnvironmentalSupport/PollutionPrevention/index.htm>).

Describe the types of the hazardous materials expected to be used in the construction when requesting information.

Pollution prevention measures include the following:

1. Minimizing the generation of waste and pollutants through source reduction.
2. Diverting at least 50 percent of non-hazardous solid waste, excluding construction and demolition debris.
3. Diverting at least 60 percent of construction and demolition materials and debris.
4. Reducing printing paper use and acquiring uncoated printing and writing paper containing at least 30 percent post consumer fiber.
5. Reducing and minimizing the quantity of toxic and hazardous chemicals and materials acquired, used, or disposed of.
6. Increasing diversion of compostable and organic material from the waste stream.
7. Implementing integrated pest management and other appropriate landscape management practices.
8. Increasing use of acceptable alternative chemicals and processes in keeping with the Navy's procurement policies.
9. Reducing use of chemicals where such decrease will achieve greenhouse gas emission reduction targets.
10. Report in accordance with the requirements of sections 301 through 313 of the Emergency Planning and Community Right-to-Know Act of 1986 (42 U.S.C 11001 et seq.).
11. Using products and services with the exception of acquisition of weapon systems, that are energy-efficient (Energy Star or Federal Energy management Program (FEMP) designated), water-efficient, biobased, environmentally preferable (e.g., Electronic Product Environmental Assessment Tool (EPEAT) certified), non-ozone depleting, contain recycled content, or are non-toxic or less toxic alternatives.
12. Promoting electronics stewardship, in particular by:
 - a. Ensuring procurement preference for EPEAT - registered electronic products.
 - b. Establishing and implementing policies to enable power management, duplex printing, and other energy-efficient or environmentally preferable features on all eligible electronic products.
 - c. Employing environmentally sound practices with respect to the disposition of all excess or surplus electronic products.
 - d. Ensuring the procurement of Energy Star and FEMP designated electronic equipment.

- e. Implementing best management practices for energy-efficient management of servers and data centers.

3.6 WASTE HAZARDOUS MATERIAL (WHM)/HAZARDOUS WASTE (HW) MATERIALS PROHIBITION

No waste hazardous material or hazardous waste shall be disposed of on government property. No hazardous material shall be brought onto government property that does not directly relate to requirements for the performance of this contract. The government is not responsible for disposal of Contractor's waste material brought on the job site and not required in the performance of this contract. The intent of this provision is to dispose of that waste identified as waste hazardous material/hazardous waste as defined herein that was generated as part of this contract and existed within the boundary of the Contract limits and not brought in from offsite by the Contractor. Incidental materials used to support the contract including, but not limited to aerosol cans, waste paint, cleaning solvents, contaminated brushes, rags, clothing, etc. are the responsibility of the Contractor. The list is illustrative rather than inclusive. The Contractor is not authorized to discharge any materials to sanitary sewer, storm drain, or to the river or conduct waste treatment or disposal on government property without written approval of the Contracting Officer.

3.7 HAZARDOUS MATERIAL MANAGEMENT

Include hazardous material control procedures in the Safety Plan. Address procedures and proper handling of hazardous materials, including the appropriate transportation requirements. No hazardous material shall be brought onto government property that does not directly relate to requirements for the performance of this contract. Submit a MSDS and estimated quantities to be used for each hazardous material to the Contracting Officer prior to bringing the material on base. Typical materials requiring MSDS and quantity reporting include, but are not limited to, oil and latex based painting and caulking products, solvents, adhesives, aerosol, and petroleum products. Ensure that hazardous materials are utilized in a manner that will minimize the amount of hazardous waste that is generated. Ensure that all containers of hazardous materials have NFPA labels or their equivalent. Certify that all hazardous materials removed from the site are hazardous materials and do not meet the definition of hazardous waste per 40 CFR 261.

3.7.1 Contractor Hazardous Material Inventory Log

Submit the "Contractor Hazardous Material Inventory Log" (found at: <http://www.wbdg.org/ccb/NAVGRAPH/graphdoc.pdf>), which provides information required by (EPCRA Sections 312 and 313) along with corresponding Material Safety Data Sheets (MSDS), to the Contracting Officer at the start and at the end of construction (30 days from final acceptance), and update no later than January 31 of each calendar year during the life of the contract. Keep copies of the MSDS for hazardous materials on site at all times. At the end of the project, provide the Contracting Officer with copies of all of these MSDS, and the maximum quantity of each material that was present at the site at any one time, the dates the material was present, the amount of each material that was used during the project, and how the material was used.

Documentation for any spills/releases, environmental reports or off-site transfers may be requested by the Contracting Officer.

3.8 PETROLEUM PRODUCTS AND REFUELING

Conduct the fueling and lubricating of equipment and motor vehicles in a manner that protects against spills and evaporation. Manage all used oil generated on site in accordance with 40 CFR 279. Determine if any used oil generated while on-site exhibits a characteristic of hazardous waste. Used oil containing 1000 parts per million of solvents will be considered a hazardous waste and disposed of at Contractor's expense. Used oil mixed with a hazardous waste will also be considered a hazardous waste.

3.8.1 Oily and Hazardous Substances

Prevent oil or hazardous substances from entering the ground, drainage areas, or navigable waters. In accordance with 40 CFR 112, surround all temporary fuel oil or petroleum storage tanks with a temporary berm or containment of sufficient size and strength to contain the contents of the tanks, plus 10 percent freeboard for precipitation. The berm will be impervious to oil for 72 hours and be constructed so that any discharge will not permeate, drain, infiltrate, or otherwise escape before cleanup occurs. Provide general secondary containment for oil transfer operations as required by 40 CFR 112.7.

3.8.2 Inadvertent Discovery of Petroleum Contaminated Soil or Hazardous Wastes

If petroleum contaminated soil or suspected hazardous waste is found during construction that was not identified in the contract documents, the contractor shall immediately notify the contracting officer. The contractor shall not disturb this material until authorized by the contracting officer.

3.9 FUEL TANKS

Petroleum products and lubricants required to sustain up to 30 days of construction activity may be kept on site. Storage and refilling practices shall comply with 40 CFR Part 112. Secondary containment shall be provided and be no less than 110 percent of the tank volume plus five inches of free-board. If a secondary berm is used for containment then the berm shall be impervious to oil for 72 hours and be constructed so that any discharge will not permeate, drain, infiltrate, or otherwise escape before cleanup occurs. Drips pans are required and the tanks must be covered during inclement weather.

3.10 RELEASES/SPILLS OF OIL AND HAZARDOUS SUBSTANCES

Exercise due diligence to prevent, contain, and respond to spills of hazardous material, hazardous substances, hazardous waste, sewage, regulated gas, petroleum, lubrication oil, and other substances regulated by environmental law. Maintain spill cleanup equipment and materials at the work site. In the event of a spill, take prompt, effective action to stop, contain, curtail, or otherwise limit the amount, duration, and severity of the spill/release. In the event of any releases of oil and hazardous substances, chemicals, or gases; immediately (within 15 minutes) notify the Base or Activity Fire Department, the activity's Command Duty Officer, and the Contracting Officer. If the contractor's response is inadequate, the Navy may respond. If this should occur, the contractor will be required to reimburse the government for spill response assistance and analysis.

The Contractor is responsible for verbal and written notifications as required by the federal 40 CFR 355, State, local regulations and Navy Instructions. Spill response will be in accordance with 40 CFR 300 and applicable State and local regulations. Contain and clean up these spills without cost to the Government. If Government assistance is requested or required, the Contractor will reimburse the Government for such assistance. Provide copies of the written notification and documentation that a verbal notification was made within 20 days.

Maintain spill cleanup equipment and materials at the work site. Clean up all hazardous and non-hazardous (WHM) waste spills. The Contractor shall reimburse the government for all material, equipment, and clothing generated during any spill cleanup. The Contractor shall reimburse the government for all costs incurred including sample analysis materials, equipment, and labor if the government must initiate its own spill cleanup procedures, for Contractor responsible spills, when:

- a. The Contractor has not begun spill cleanup procedure within one hour of spill discovery/occurrence, or
- b. If, in the government's judgment, the Contractor's spill cleanup is not adequately abating life threatening situation and/or is a threat to any body of water or environmentally sensitive areas.

3.11 CONTROL AND MANAGEMENT OF ASBESTOS CONTAINING MATERIAL (ACM)

Items, components, or materials disturbed by or included in work under this contract do not involve asbestos. Other materials in the general area around where work will be performed may contain asbestos. All thermal insulation, in all work areas, should be considered to be asbestos unless positively identified by conspicuous tags or previous laboratory analysis certifying them as asbestos free.

Inadvertent discovery of non-disclosed asbestos that will result in an abatement action requires a change in scope before proceeding. Upon discovery of asbestos containing material not identified in the contract documents, the Contractor shall immediately stop all work that would generate further damage to the material, evacuate the asbestos exposed area, and notify the Contracting Officer for resolution of the situation prior to resuming normal work activities in the affected area. Do not remove or perform work on any asbestos containing materials without the prior approval of the Contracting Officer. Do not engage in any activity, which would remove or damage such materials or cause the generation of fibers from such materials.

Manage and dispose of asbestos containing waste in accordance with applicable environmental law. Asbestos containing waste shall be manifested and the manifest provided to the Contracting Officer.

3.12 CONTROL AND MANAGEMENT OF HAZARDOUS WASTES

3.12.1 Facility Hazardous Waste Generator Status

The Contractor shall contact Mr. Mark Reilly of Naval Station Newport Environmental, 5 working days prior to removing any regulated Waste, Hazardous Waste, or asbestos waste from Naval Station Newport. The Contractor shall prepare the required documentation for any Hazardous Waste or asbestos waste that are to remove from Naval Station Newport

(Hazardous Waste manifest for HAZMAT or Bill of Lading for asbestos waste)and submit to Mr. Mark Rielly for review of a minimum of 72 hours prior to removal from NSN. Upon receiving Mr. Reilly's approval that the documentation is filled out correctly the Contractor may schedule a date and time for the removal of the HAZ Waste and/or asbestos waste. When the date and time comes the HAZMAT hauler and project superintendent shall contract Mr. Reilly and will go to the site to inspect the containers. At that time, the hauler shall furnish the manifest and/or BoL to Mr. Reilly. Everyone will proceed to the ENV Office for the documentation to be signed and copies made. Once this complete, the hauler may remove the HAZMAT and/or asbestos waste from Naval Station Newport. The Contractor is reminded that No HAZMAT or Asbestos Waste may leave Naval Station Newport without Environmental signing the documentation.

3.12.2 Hazardous Waste/Debris Management

Identify all construction activities which will generate hazardous waste/debris. Provide a documented waste determination for all resultant waste streams. Hazardous waste/debris will be identified, labeled, handled, stored, and disposed of in accordance with all Federal, State, and local regulations including 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, and 40 CFR 268.

Hazardous waste will also be managed in accordance with the approved Hazardous Waste Management Section of the Environmental Protection Plan. Store hazardous wastes in approved containers in accordance with 49 CFR 173 and 49 CFR 178. Hazardous waste generated within the confines of Government facilities will be identified as being generated by the Government.

Prior to removal of any hazardous waste from Government property, all hazardous waste manifests must be signed by activity personnel from the Station Environmental Office. No hazardous waste must be brought onto Government property. Provide to the Contracting Officer a copy of waste determination documentation for any solid waste streams that have any potential to be hazardous waste or contain any chemical constituents listed in 40 CFR 372-SUBPART D. For hazardous wastes spills, verbally notify the Contracting Officer immediately.

3.12.2.1 Regulated Waste Storage/Satellite Accumulation/90 Day Storage Areas

If the work requires the temporary storage/collection of regulated or hazardous wastes, the Contractor will request the establishment of a Regulated Waste Storage Area, a Satellite Accumulation Area, or a 90 Day Storage Area at the point of generation. The Contractor must submit a request in writing to the Contracting Officer providing the following information:

<u>Contract Number</u>	_____
<u>Contractor</u>	_____
<u>Haz/Waste or Regulated Waste POC</u>	_____
<u>Phone Number</u>	_____

Type of Waste	_____
Source of Waste	_____
Emergency POC	_____
Phone Number	_____
Location of the Site	_____

(Attach Site Plan to the Request)

Attach a waste determination form. Allow ten working days for processing this request. The designated area where waste is being stored shall be barricaded and a sign identifying as follows:

"DANGER - UNAUTHORIZED PERSONNEL KEEP OUT"

3.12.2.2 Hazardous Waste Disposal

No hazardous, toxic, or universal waste shall be disposed or hazardous material abandoned on government property. And unless otherwise noted in this contract, the government is not responsible for disposal of Contractor generated waste material. The disposal of incidental materials used to accomplish the work including, but not limited to aerosol cans, waste paint, cleaning solvents, contaminated brushes, rags, clothing, etc. are the responsibility of the Contractor. The list is illustrative rather than inclusive.

The Contractor is not authorized to discharge any materials to sanitary sewer, storm drain, or water way or conduct waste treatment or disposal on government property without written approval of the Contracting Officer.

Control of stored waste, packaging, sampling, analysis, and disposal will be determined by the details in the contract. The requirements for jobs in the following paragraphs will be used as the guidelines for disposal of any hazardous waste generated.

a. Responsibilities for Contractor's Disposal

Contractor responsibilities include any generation of WHM/HW requiring Contractor disposal of solid waste or liquid.

(1) Provide all service necessary for the final treatment/disposal of the hazardous material/waste in accordance with all local, State and Federal laws and regulations, and the terms and conditions of the contract within sixty (60) days after the materials have been generated. These services will include all necessary personnel, labor, transportation, packaging, detailed analysis (if required for disposal, and/or transportation, including manifesting or completing waste profile sheets, equipment, and the compilation of all documentation is required).

(2) Contain all waste in accordance with 40 CFR 260, 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, 40 CFR 268, 40 CFR 270, 40 CFR 272, 40 CFR 273, 40 CFR 279, 40 CFR 280, and 40 CFR 761.

- (3) Obtain a representative sample of the material generated for each job done to provide waste stream determination.
- (4) Analyze each sample taken and providing analytical results to the Contracting Officer. Provide two copies of the results.
- (5) Determine the DOT proper shipping names for all waste (each container requiring disposal) and will demonstrate how this determination is developed and supported by the sampling and analysis requirements contained herein to the Contracting Officer.

Contractor Disposal Turn-In Requirements

For any waste hazardous materials or hazardous waste generated which requires the Contractor to dispose of, the following conditions must be complied with in order to be acceptable for disposal:

- (1) Drums compatible with waste contents and drums meet DOT requirements for 49 CFR 173 for transportation of materials.
- (2) Drums banded to wooden pallets. No more than three (3) 55 gallon drums to a pallet, or two (2) 85 gallon over packs.
- (3) Band using 1-1/4 inch minimum band on upper third of drum.
- (4) Recovery materials label (provided by Code 106.321) located in middle of drum, filled out to indicate actual volume of material, name of material manufacturer, other vendor information as available.
- (5) Always have three (3) to five (5) inches of empty space above volume of material. This space is called 'outage'.

3.12.2.3 Universal Waste/e-Waste Management

Universal waste including but not limited to some mercury containing building products such florescent lamps, mercury vapor lamps, high pressure sodium lamps, CRTs, batteries, aerosol paint containers, electrical equipment containing PCBs, and consumed electronic devices, shall be managed in accordance with applicable environmental law and installation instructions.

3.12.3 Class I ODS Prohibition

Class I in pure or blended form as defined and identified herein must not be used in the performance of this contract, nor be provided as part of the equipment except for the use of servicing existing government owned equipment. This prohibition will be considered to prevail over any other provision, specification, drawing, or referenced documents.

3.12.3.1 Recycling Requirements

Recycle used refrigerants and ozone depleting substances generated during the performance of this contract to the maximum extent practicable to minimize used refrigerant and ozone depleting substance disposal as HW.

Test, collect, transfer, recycle, and/or arrange for shipping and proper disposal of used refrigerants and ozone depleting substances generated

during the performance of work under this contract. The Contractor is responsible for all associated costs.

Any and all Class I ODS and R-22 recovered by the Contractor as part of this contract shall be packaged and turned over to the Government for recycling upon the completion of the work covered by this contract. The Contractor shall arrange for recycling of used refrigerants not turned over to the government, at a licensed refrigerant recycler approved by the Contracting Officer.

3.12.3.2 EPA Certification Requirements

Heating and air conditioning technicians must be certified through an EPA-approved program. Copies of certifications shall be maintained at the employees' place of business and be carried as a wallet card by the technician, as provided by environmental law. .

3.12.3.3 Accidental Venting of Refrigerant

Accidental venting of a refrigerant is a release and must be reported to the Contracting Officer

3.13 DUST CONTROL

Keep dust down at all times, including during nonworking periods. Sprinkle with water the soil at the site, haul roads, and other areas disturbed by operations. Dry power brooming will not be permitted. Instead, use vacuuming, wet mopping, wet sweeping, or wet power brooming. Air blowing will be permitted only for cleaning nonparticulate debris such as steel reinforcing bars. Only wet cutting will be permitted for cutting concrete blocks, concrete, and bituminous concrete. Do not unnecessarily shake bags of cement, concrete mortar, or plaster.

3.13.1 Dirt and Dust Control Plan

Submit truck and material haul routes along with a plan for controlling dirt, debris, and dust on base roadways. As a minimum, identify in the plan the subcontractor and equipment for cleaning along the haul route and measures to reduce dirt, dust, and debris from roadways.

3.14 ABRASIVE BLASTING

3.14.1 Blasting Operations

The use of silica sand is prohibited in sandblasting.

Provide tarpaulin drop cloths and windscreens to enclose abrasive blasting operations to confine and collect dust, abrasive, agent, paint chips, and other debris. Perform work involving removal of hazardous material in accordance with 29 CFR 1910.

3.14.2 Disposal Requirements

Submit analytical results of the debris generated from abrasive blasting operations per Laboratory Analysis in paragraph SAMPLING AND ANALYSIS OF HW. Hazardous waste generated from blasting operations will be managed in accordance with paragraph CONTROL AND MANAGEMENT OF HAZARDOUS WASTE and with the approved HWMP.

3.15 NOISE

Make the maximum use of low-noise emission products, as certified by the EPA. Blasting or use of explosives will not be permitted without written permission from the Contracting Officer, and then only during the designated times. Confine pile-driving operations to the period between 8 a.m. and 4 p.m., Monday through Friday, exclusive of holidays, unless otherwise specified.

-- End of Section --

ATTACHMENT TO

SECTION 01 57 19.00 20 TEMPORARY ENVIRONMENTAL CONTROLS

EXCERPT FROM

RHODE ISLAND SOIL EROSION AND SEDIMENT CONTROL HANDBOOK

(REVISED AUGUST 2014)

Tree Protection



(Photo Credit: CASQA)

Definition

- The protection of desirable trees (individual specimens or groups) from mechanical and other injury during construction.

Purpose

- To ensure the survival of desirable trees and associated area where it will be effective for erosion and sediment control, watershed protection, landscape beautification, dust suppression, pollution control, noise reduction, shade and other environmental benefits during construction and after the land has been developed.
- To avoid the high cost of replacing trees.

Applicability

- In areas with desirable trees and forested land that are subject to land disturbance where the protection of individual trees will enhance erosion and sediment control.

Planning and Design Requirements

It can take 20-30 years for seedling trees to mature and provide full benefits, including:

- Stabilization of soil;
- Reduction of storm water runoff velocity;
- Regulation of thermal inputs;
- Moderation of potential solar and wind effects;
- Aesthetic buffers and filters;
- Filtration of air pollutants; and
- Provision of wildlife habitat.

Building sites are often selected because of the presence of mature trees. Planning and care are necessary to protect desirable trees during construction. The following information is given to provide an understanding of the factors that go into planning for tree protection.

Location and Desired Utility

Trees must be appropriate for the intended use of the developed site. Review the location of proposed overhead and underground utilities, paved surfaces, walls, water lines, septic tanks, underground drainage and buildings. Determine if the trees to remain might interfere with these features when they grow to mature height, crown and root spread.

Condition

Selected desirable trees should be healthy and reasonably free of large rotted or broken limbs or trunk sections that could threaten the structural integrity of the tree. Check for evidence of diseases and pests that may seriously affect the health or survivability of the tree.

For groups of trees to be protected in a natural state (vs. a park-like condition with lawn) the understory, dead wood on the forest floor, duff and other organic material must be left undisturbed, except that invasive species may be selectively removed.⁴

Longevity

Long-lived, slow growing tree species should be given greater consideration for protection, particularly the larger specimens of these species. Fast growing, brittle trees are of limited long-term value. Naturally seeded young trees of appropriate species should be given preference especially when older trees on the site are of declining health. These vigorous young trees will typically grow faster than the equivalent nursery grown tree planted after development. Retaining groups of these trees provides the additional benefit of avoided land disturbance, and making it less susceptible to erosion.

Stresses of Construction

Construction activities expose trees to a variety of stresses or conditions which may injure or kill a tree. Planning for and implementing proper tree protection measures require a thorough understanding of these stresses. Beech (*Fagus* spp.), for example, trees do poorly in construction sites and may be difficult to save if their root systems are disturbed.

Surface Impacts

Natural and construction related conditions exerted on the tree above the ground can cause significant damage.

- Excessive thinning or the removal of most trees from a group may leave remaining trees subject to wind throw. It is best to retain groups of trees rather than individuals.
- Improper or excessive pruning of trees can destroy their aesthetic values, increase the possibility of disease and decay or kill the tree.
- Equipment damage to tree trunks and lower branches increases the possibility of insect damage, disease, and decay.

Root Zone Impacts

Disturbing the soil and roots of a tree can damage or kill it. The roots absorb essential water, oxygen, and nutrients for growth. Most damage to trees from construction activities is the result of root zone stress.

- Raising the grade as little as six inches can retard the normal exchange of air and gases and small roots may suffocate.

⁴ This is to maintain infiltration, soil water quality treatment processes, and habitat for birds, amphibians and other wildlife, especially important for amphibians when connected to wetlands, forest and for areas 3 acres and larger.

- Raising the grade may also elevate the water table and drown the roots.
- Lowering the grade is not usually as damaging as raising it. However, even shallow cuts of 6 to 8 inches can remove most of the topsoil, destroy some feeder roots and expose the rest to drying and freezing. Grading should not take place within the drip line of any tree to be saved.
- Excavations may cut a large portion of the root system, depriving the tree of water and increasing the chance of wind throw.
- Lowering the grade may lower the water table, inducing drought.
- Trenching or excavating through a tree's root zone can eliminate as much as 40 percent of the root system. Trees suffering such damage usually die within 2 to 5 years.
- Compaction of the soil within the drip line of a tree by equipment operation, materials storage, or paving can block off air and water from roots.
- Construction chemicals or refuse disposed of in the soil can change soil chemistry or be toxic to trees.

Selecting Trees to Be Retained

The proper development of a wooded site requires completion of a plan for tree preservation before clearing and construction begins. Trees should be identified by species, and located on a plan map, either as stands or as individuals, depending on the density and value of the trees.

Consider trees with a long life span; for example, the oaks, hickories, beech and native maple species. Trees should be healthy, of good visual form and free of rotted or broken limbs. Mature healthy native or non-invasive tree species should be given preference over trees which may exhibit disease or decay.

Installation Requirements

Groups of trees and individual trees for retention should be accurately located on the plan and designated as "tree(s) to be saved." Individual specimens that are not part of a tree group should also have their species and diameter noted on the plan.

The limits of clearing should be located outside the drip line of any tree to be retained, and in no case closer than five feet to the trunk of such a tree (**Figure 1**).

Marking individual trees and stands of trees to be retained should be visibly marked with a bright colored surveyor's ribbon or flagging applied in a band circling the tree at a height visible to equipment operators, or with other suitable barriers to construction equipment, such as brightly colored "snow fence".

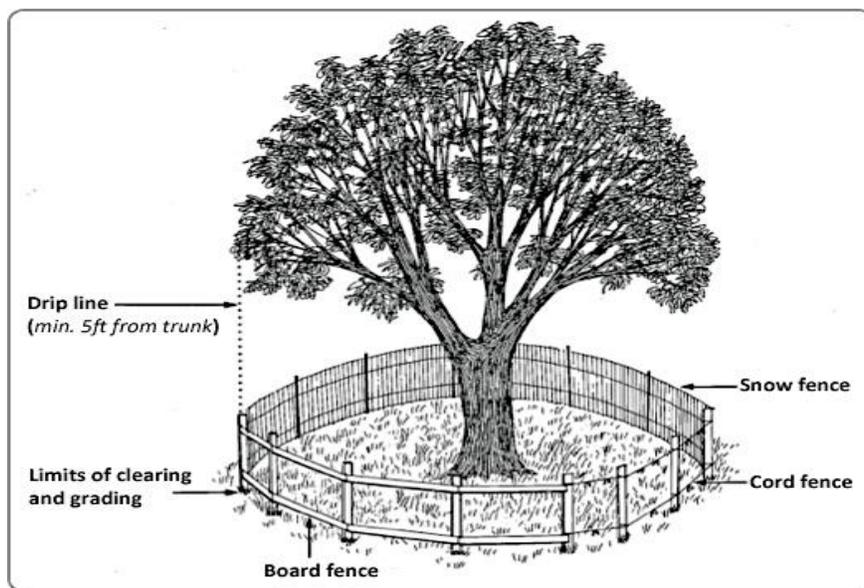
During any pre-construction conference, tree preservation and protection measures should be reviewed with the contractor as they apply to that specific project.

Heavy equipment travel, storage or stockpiles of any construction materials including topsoil should not be permitted within the drip line of any tree to be retained.

No toxic materials should be stored within 100 feet of the drip line of any trees to be retained. All construction debris, including paint, acid, nails, gypsum board, wire, chemicals, fuels and lubricants must be properly disposed of.

Any device may be used which will effectively protect the roots, trunk and tops of trees retained on the site. However, trees to be retained within 40 feet of a proposed building or earth moving activities should be protected by fencing (**Figure 1**).

Figure 1. Proper Tree Protection: Fencing at the Drip Line



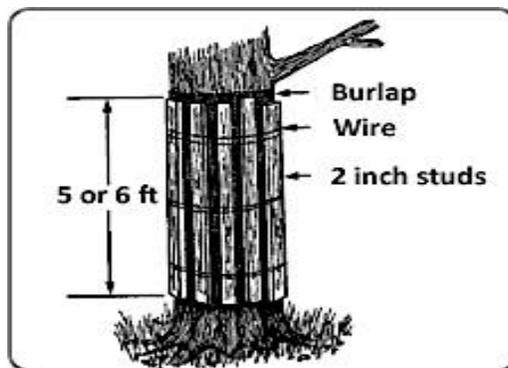
(Credit: 2002 Connecticut Guidelines for Soil Erosion and Sediment Control)

Fencing should be highly visible, of sturdy construction and at least 3 feet high. Fences may be snow fence, board fence, synthetic fabric fence, plastic fence or similar materials.

Additional trees may be left standing as protection between the trunks to be retained and the limits of clearing. To be effective, the trunks of the trees in the buffer must be no more than six feet apart to prevent passage of equipment and material through the buffer. These additional trees should be re-examined prior to the completion of construction and either given sufficient treatment to ensure survival or removed.

Trunk Armoring may be used as a last resort, with burlap wrapping and 2-inch studs wired vertically no more than two inches apart to a height of five feet encircling the trunk. The root zone within the drip line will still require protection with this alternative. Nothing should ever be nailed to a tree (**Figure 2**).

Figure 2. Trunk Armoring



(Credit: 2002 Connecticut Guidelines for Soil Erosion and Sediment Control)

Fencing and armoring devices should be in place before any earthwork activity is begun, kept in good repair for the duration of construction activities, and be the last items removed during the final cleanup, upon the project's completion.

When the ground level must be raised around an existing tree or tree group, considerations should be made and steps taken to adequately care for the affected tree.

To reduce the amount of root area damaged or killed by trenching activities, excavate as far away as possible from the crown drip line. The ends of damaged and cut roots should be cut off smoothly and may be protected by painting with a tree wound dressing.

Cleanup after a construction project can be a critical time for tree damage. Trees protected throughout the development operation are often destroyed by carelessness during the final cleanup and landscaping. Fences and barriers should be removed after everything else is cleaned up and carried away.

Inspection, Maintenance, and Removal Requirements

Even with precautions, some damage to protected trees may occur. In such cases, the following maintenance guidelines should be followed. Care for serious injuries should be prescribed by a forester or a tree specialist.

- If the soil has become compacted over the root zone of any tree, the ground should be aerated by punching small holes in it with suitable aerating equipment.
- Any damage to the crown, trunk or root system of any tree retained on the site should be repaired immediately.
- Damaged roots should immediately be cut off cleanly inside the exposed or damaged area. Cut surfaces may be painted with approved tree paint. Moist peat moss, burlap or topsoil should be spread over the exposed area.
- All tree limbs damaged during construction or removed for any other reason should be cut off above the collar at the preceding branch junction. Larger limbs may require several cuts to safely remove the damaged limb without damaging the trunk.

Remove all protective materials once final site stabilization is complete and all heavy equipment is removed from the vicinity of the protected tree.

Spill Prevention and Control Plans



(Photo Credit: Douglas County, CO)

Definition

- Spill Prevention and Control Plans (SPCPs) clearly state practices (including, but not limited to Concrete Washouts (Measure 15), Stockpile and Staging Area Management (Measure 12), Vehicle Fueling, Maintenance and Washing (Measure 16) and Waste Management (Measure 14) to stop the source of a spill, contain the spill, clean up the spill, dispose of contaminated materials, and train personnel to prevent and control future spills.

Purpose

- To prevent or allow for rapid response to spills of hazardous materials

Applicability

- SPCPs are applicable to construction sites where hazardous wastes are stored or used. Hazardous wastes include pesticides, paints, cleaners, petroleum products, fertilizers, and solvents.
- Small sites and all projects implementing a Soil Erosion Sediment Control Plan (SESC Plan) that are not required to develop a formal SPCC plan (see Note below) must at a minimum address the following:
 - All chemicals and/or hazardous waste material must be stored properly and legally in covered areas, with containment systems constructed in or around the storage areas.
 - Areas must be designated for materials delivery and storage.
 - All areas where potential spills can occur and their accompanying drainage points must be described.
 - The Applicant (Owner and Operator) must establish spill prevention and control measures to reduce the chance of spills, stop the source of spills, contain and clean-up spills, and dispose of materials contaminated by spills.

Note: Spill Prevention and Control Plans support the Spill Prevention, Control and Countermeasures Plans (SPCC Plans) regulations, found in 40 CFR 112, that require the owners and operators of facilities to prepare and implement spill prevention plans to avoid oil spills into navigable waters or adjoining shorelines. SPCC plans must identify operating procedures in place and control measures installed to prevent oil spills, and countermeasures to contain, clean up, or mitigate the effects of any oil spills that occur. The plan must be updated as conditions change at your construction site.

Any business that maintains a total aboveground oil storage capacity of greater than 1,320 gallons, or a total underground oil storage capacity of greater than 42,000 gallons, where there is a reasonable potential for a discharge to reach navigable waters is subject to SPCC regulatory requirements.

Additional information on the SPCC can be found in Appendix G

Planning and Design Requirements

When developing an SPCP, a construction site operator should identify potential spill or source areas, such as loading and unloading, storage, and processing areas; places where dust or particulate matter is generated; and areas designated for waste disposal. Also, evaluate spill potential for stationary facilities, including manufacturing areas, warehouses, service stations, parking lots, and access roads. Conduct this evaluation during the project-planning phase, and reevaluate it during each phase of construction.

The SPCP should define material handling procedures and storage requirements and outline actions necessary to reduce spill potential and impacts on stormwater quality. This can be achieved by:

- Recycling, reclaiming, or reusing process materials, thereby reducing the amount of process materials that are brought into the facility;
- Installing leak detection devices, overflow controls, and diversion berms;
- Disconnecting any drains from processing areas that lead to the storm sewer;
- Performing preventative maintenance on storm tanks, valves, pumps, pipes, and other equipment;
- Using material transfer procedures or filling procedures for tanks and other equipment that minimize spills; and
- Substituting less or non-toxic materials for toxic materials.

The SPCP should document the locations of spill response equipment and procedures to be used and ensure that procedures are clear and concise. The plan should include step-by-step instructions for the response to spills at a facility. In addition, the spill response plan should:

- Identify individuals responsible for implementing the plan;
- Define safety measures to be taken with each kind of waste;
- Specify how to notify appropriate authorities, such as police and fire departments, hospitals, or municipal sewage treatment facilities for assistance;
- State procedures for containing, diverting, isolating, and cleaning up the spill; and
- Describe spill response equipment to be used, including safety and cleanup equipment.

The plan can be a procedural handbook or a poster to be placed in several locations at the site.

Installation Requirements

Training is necessary to ensure that all workers are knowledgeable enough to follow procedures outlined in the SPCP. Make equipment and materials for cleanup readily accessible, and mark them clearly so workers can follow procedures quickly and effectively.

Equipment/vehicle fueling and repair/maintenance operations or hazardous material storage will

not take place within any of the constraint areas located on the “Constraint Map” (Section 1.12) and will be approved by the project engineer or responsible person.

Inspection, Maintenance, and Removal Requirements

Spills and leaks will be avoided through frequent inspection of equipment and material storage areas. Heavy equipment and other vehicles will be routinely inspected for leaks and repaired as necessary. Material storage areas will be routinely inspected for leaky containers, open containers, or improper storage techniques that may lead to spills or leaks. Appropriate cleanup procedures and supplies will be available on-site.

Spills will be cleaned up immediately and following proper response procedures and in accordance with any applicable regulatory requirements. At no time will spills be cleaned and flushed down storm drains or in to any environmentally sensitive area (e.g., stream, pond, or wetland).

Update the SPCP regularly to accommodate any changes in the site, procedures, or responsible staff. Conduct regular inspections in areas where spills might occur to ensure that procedures are posted and cleanup equipment is readily available.

Stockpile and Staging Area Management



(Photo Credit: CASQA)

Definition

- Procedures and measures to manage stockpiles of topsoil and other types materials, including, but not limited to: paving materials such as Portland cement concrete (PCC) rubble, asphalt concrete (AC), asphalt concrete rubble, aggregate base, aggregate sub base or pre-mixed aggregate, asphalt minder (so called “cold mix” asphalt), and pressure treated wood.

Purpose

- To reduce or eliminate air and stormwater pollution from stockpiles.
- To prevent unnecessary damage resulting from erosion of stockpile material.
- To ensure that natural drainage is not obstructed.

Applicability

- Any construction site.

Planning and Design Requirements

Be sure that all anticipated stockpile areas and stockpile management techniques are illustrated on the construction and site plans, and flagged in the field.

Attempt to maximize the distance of stockpiles from wetlands, watercourses, drainage ways, and steep slopes. When the stockpile is down-gradient from a long slope, divert runoff water away from or around the stockpile (see **Diversions**).

When appropriate, use the appropriate seed mix to stabilize the stockpiled material based upon the length of time it is to remain stockpiled. Information gathered from soil borings and soil delineation can be used to plan the type of seed and any soil amendments that are appropriate for the stockpile.

If a stockpile is located off-site, local zoning approval may be required. In addition to the above criteria, stockpiles that are located off-site require a construction entrance pad installed at that site (see **Construction Entrances**). Depending on the volume of traffic, the installation of “truck

crossing” signs and sweeping of the roadway (see **Dust Control and Street Sweeping**) may also be necessary.

Installation Requirements

Installation Requirements for All Stockpiles

- Protect all stockpiles from stormwater run-on using a temporary perimeter sediment barrier such as berms, dikes, fiber rolls, silt fences, sandbag, gravel bags, or straw bales. Install barrier around the stockpile area approximately 10 feet from the proposed toe of the slope (see **Linear Sediment Barriers**).
- The side slopes of stockpiled material that is erodible should be no steeper than 2:1.
- Stockpiles that are not to be used within 30 days need to be seeded and mulched immediately after formation of the stockpile (see **Seeding for Temporary Vegetative Cover; Seeding for Permanent Vegetative Cover; Mulching**).
- Implement wind erosion control measures as appropriate on all stockpiled material.

Further Protective Measures for Non-Active Stockpiles of Select Materials

Soil

- During the rainy season, soil stockpiles should be covered or protected with soil stabilization measures and a temporary perimeter sediment barrier at all times.

Portland cement concrete rubble, asphalt concrete, asphalt concrete rubble, aggregate base, or aggregate sub base

- During the rainy season, the stockpiles should be covered or protected with a temporary perimeter sediment barrier at all times.
- During the non-rainy season, the stockpiles should be covered or protected with a temporary perimeter sediment barrier prior to the onset of precipitation.

“Cold Mix”

- During the rainy season, cold mix stockpiles should be placed on and covered with plastic or comparable material at all times.
- During the non-rainy season, cold mix stockpiles should be placed on and covered with plastic or comparable material prior to the onset of precipitation.

Pressure treated wood with copper, chromium, and arsenic or ammonia, copper, zinc, and arsenate

- During the rainy season, treated wood should be covered with plastic or comparable material at all times.
- During the non-rainy season, treated wood should be covered with plastic or comparable material at all times and cold mix stockpiles should be placed on and covered with plastic or comparable material prior to the onset of precipitation.

Further Protective Measures for Active Stockpiles

- All stockpiles should be protected with a temporary linear sediment barrier prior to the onset of precipitation.
- Stockpiles of “cold mix” should be placed on and covered with plastic or comparable material prior to the onset of precipitation.

Inspection, Maintenance, and Removal Requirements

- Inspect and verify that activity-based measures are in place prior to the commencement of associated activities.
- While activities associated with the measure are under way, inspect weekly during the rainy season and of two-week intervals in the non-rainy season to verify continued measure implementation
- Repair and/or replace perimeter controls and covers as needed to keep them functioning properly.
- After the stockpile has been removed, the site should be graded and permanently stabilized.

Waste Management



(Photo Credit: State of Vermont)

Definition

- On-site management of trash disposal, recycling, proper material handling, and spill prevention and cleanup measures, proper location of refuse piles, covering materials that might be displaced by rainfall or stormwater runoff, and preventing spills and leaks from hazardous materials.

Purpose

- To reduce the potential for stormwater runoff to mobilize construction site wastes and contaminate surface or ground water.
- To reduce the risk of pollution from materials such as surplus or refuse building materials or hazardous wastes.

Applicability

- Every construction site.

Planning and Design Requirements

Be sure that all anticipated waste management areas and techniques are illustrated on the construction and site plans, and flagged in the field.

An effective waste management system requires training and signage to promote awareness of the hazards of improper storage, handling, and disposal of wastes. The only way to be sure that waste management measures are being followed is to be aware of worker habits and to inspect storage areas regularly. Extra management time may be required to ensure that all workers are following the proper procedures.

The waste management (collection) area(s) will not be within any of the constraint areas located on the “Constraint Map” of the SESC measures and will be approved by the project engineer or responsible person.

Solid Wastes

- Designate a waste management (collection) area(s) on the site that does not receive a substantial amount of runoff from upland areas and does not drain directly to a waterbody.
- Ensure that containers have lids so they can be covered before periods of rain, and keep containers in a covered area whenever possible.
- Schedule waste collection to prevent the containers from overflowing.

Hazardous Materials and Wastes

- Consult with local waste management authorities about the requirements for disposing of hazardous materials.
- To ensure the proper disposal of contaminated soils that have been exposed to and still contain hazardous substances, consult with state or local solid waste regulatory agencies or private firms. Some landfills might accept contaminated soils, but they require laboratory tests first.
- Paint and dirt are often removed from surfaces by sandblasting. Sandblasting grits are the byproducts of this procedure and consist of the sand used and the paint and dirt particles that are removed from the surface. These materials are considered hazardous if they are removed from older structures because they are more likely to contain lead-, cadmium-, or chrome-based paints. To ensure proper disposal of sandblasting grits, contract with a licensed waste management or transport and disposal firm.

Pesticides and Fertilizers

- Construct berms or dikes to contain stored pesticides and fertilizers in case of spillage.
- Have equipment and absorbent materials available in storage and application areas to contain and clean up any spills that occur.

Petroleum Products

- Store new and used petroleum products for vehicles in covered areas with berms or dikes in place to contain any spills.
- Have equipment available in fuel storage areas and in vehicles to contain and clean up any spills that occur.

Detergents

- Phosphorous- and nitrogen-containing detergents are used in wash water for cleaning vehicles. Excesses of these nutrients can be a major source of water pollution. Use detergents only as recommended, and limit their use on the site.

Implementation Requirements

Solid Wastes

- Clean up spills immediately. For hazardous materials, follow cleanup instructions on the package. Use an absorbent material such as sawdust or kitty litter to contain the spill.
- During the demolition phase of construction, provide extra containers and schedule more frequent pickups.
- Collect, remove, and dispose of all construction site wastes at authorized disposal areas. Contact a local environmental agency to identify these disposal sites.

Hazardous Materials and Wastes

- To prevent leaks, empty and clean hazardous waste containers before disposing of them.
- Never remove the original product label from the container because it contains important safety information. Follow the manufacturer's recommended method of disposal, which should be printed on the label.
- Never mix excess products when disposing of them, unless specifically recommended by the manufacturer.

Pesticides and fertilizers

- Follow all federal, state, and local regulations that apply to the use, handling, or disposal of pesticides and fertilizers.
- Do not handle the materials any more than necessary.
- Store pesticides and fertilizers in a dry, covered area.
- Follow the recommended application rates and methods.

Petroleum Products

- Immediately contain and clean up any spills with absorbent materials.

Detergents

- Do not dump wash water containing detergents into the storm drain system; direct it to a sanitary sewer or contain it so that it can be treated at a wastewater treatment plant.

Inspection, Maintenance, and Removal Requirements

- All waste containers will be covered to avoid contact with wind and precipitation.
- Waste collection will be scheduled frequently enough to prevent containers from overfilling.
- All construction site wastes will be collected, removed, and disposed of in accordance with applicable regulatory requirements and only at authorized disposal sites.
- Inspect storage and use areas and identify containers or equipment that could malfunction and cause leaks or spills.
- Check equipment and containers for leaks, corrosion, support or foundation failure, or other signs of deterioration, and test them for soundness.
- Immediately repair or replace any that are found to be defective.

Concrete Washouts



Definition

- A designated washout area with signs to provide careful oversight to manage concrete washwater that is generated from washing out ready-mix trucks, drums and pumps; it also includes the water from rinsing off chutes, equipment (wheelbarrows and hand tools) , and concrete truck exteriors to prevent improper dumping of concrete wash water. A device or area consisting of a system of weirs or filters to remove solids and then be reused to wash down more chutes and equipment at the construction site or as an ingredient for making additional concrete.

Purpose

- To prevent or reduce the discharge of pollutants to stormwater from concrete waste by conducting washout offsite, or performing onsite washout in a designated area to prevent pollutants from entering surface waters or groundwater.
- To collect and retain all the concrete washout water and solids in leak proof containers, so that this caustic material does not reach the soil surface and then migrate to surface waters or into the ground water,
- To recycle 100 percent of the collected concrete washout water and solids. Another objective is to support the diversion of recyclable materials from landfills.

Applicability

- Any construction site where:
 - Concrete is used as a construction material;
 - It is not possible to dispose of all concrete wastewater and washout offsite (ready mix plant, etc.); or
 - Concrete trucks, pumpers, or other concrete coated equipment are washed onsite.

Planning and Design Requirements

Be sure that all anticipated concrete washout areas are illustrated on the construction and site plans, and flagged in the field.

Different types of washout containers are available for collecting, retaining, and recycling the washwater and solids from washing down mixed truck chutes and pump truck hoppers at construction sites.

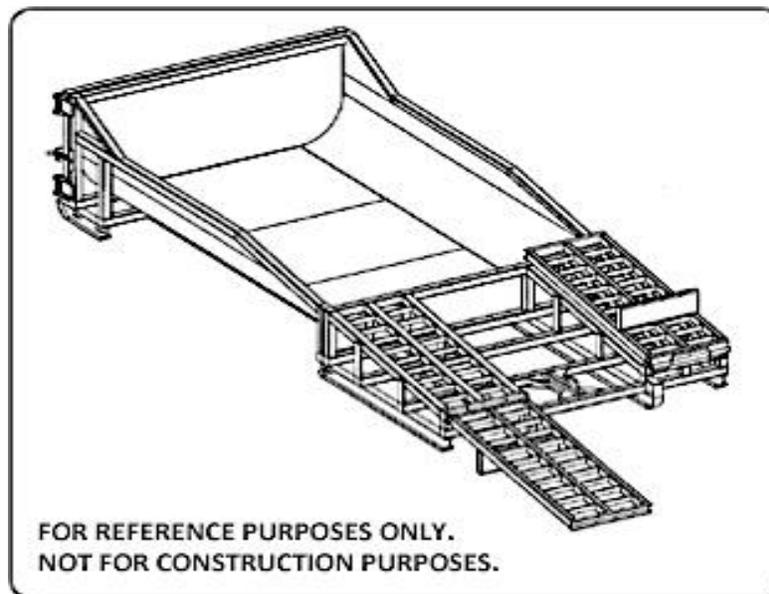
Locating a Concrete Washout Area on Construction Site Plans and in the Field

- Locate washout area at least 50 feet from sensitive areas such as storm drains, open ditches, or water bodies, including all jurisdictional wetlands.
- Allow convenient access for concrete trucks, preferably near the area where the concrete is being poured.
- If trucks need to leave a paved area to access washout, prevent track-out with a pad of rock or stone (see **Construction Entrances**). These areas should be far enough away from other construction traffic to reduce the likelihood of accidental damage and spills.
- The number of facilities to be installed should depend on the expected demand for storage capacity.
- On large sites with extensive concrete work, washouts should be placed in multiple locations for ease of use by concrete truck drivers

Types of Concrete Washout Area

- Prefabricated washout containers: A prefabricated concrete washout containers that are typically delivered to the site (**Figure 1**). Some services provide the containers alone without providing maintenance and disposal of materials, while other companies offer complete service that includes delivery of containers and regular pickups of solid and liquid waste materials. The prefabricated containers resist damage and protect against spills and leaks. Full-service option relieves the site superintendent of the burden of disposing of materials. Some companies offer prefabricated washout containers with ramps to accommodate concrete pump trucks.

Figure 1. Prefabricated Concrete Washout Container with Ramp



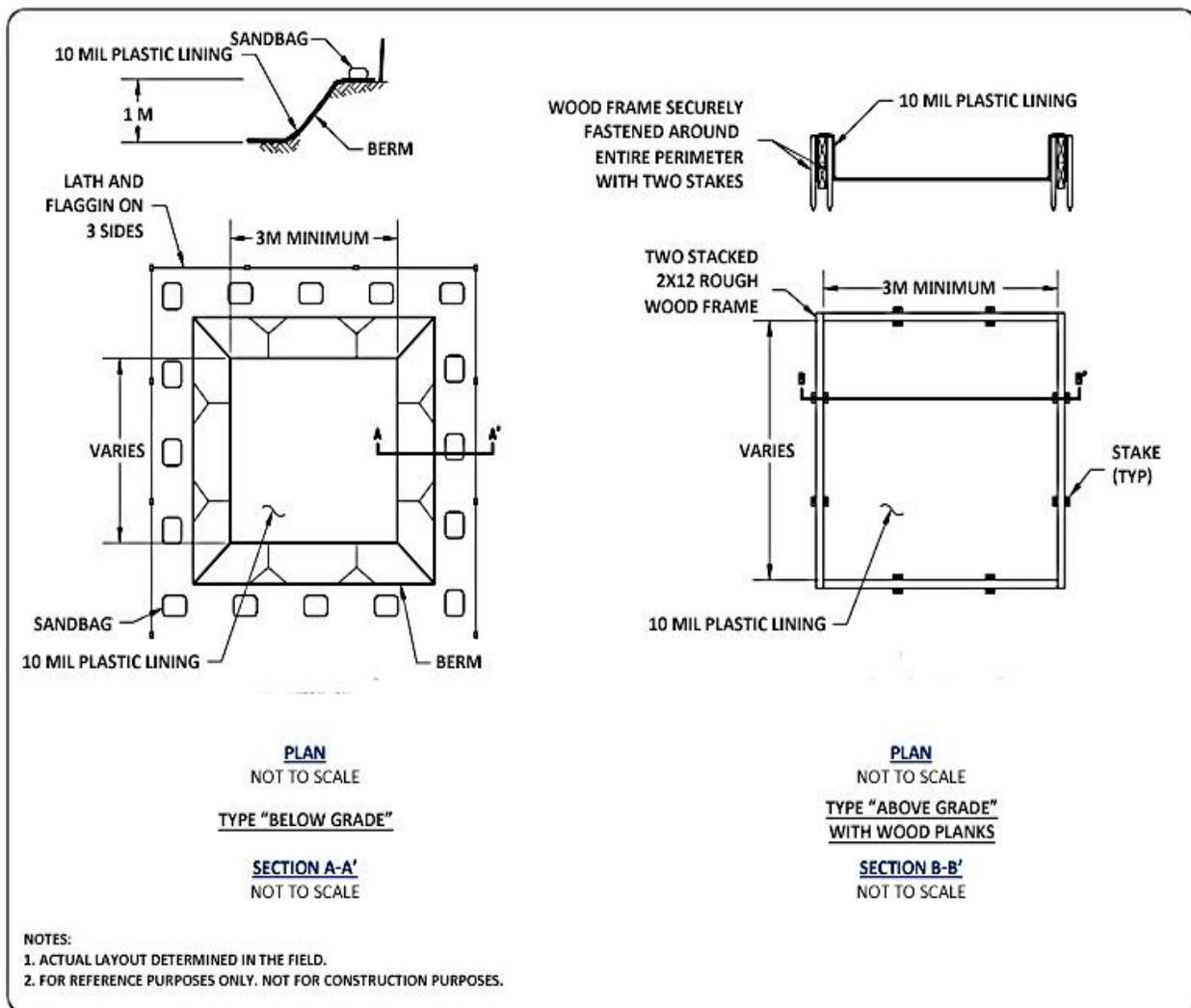
(Credit: 2002 Connecticut Guidelines for Soil Erosion and Sediment Control)

- **Self-installed concrete washouts:** Construction of an on-site concrete washout facility by site contactor or subcontractor. These self-installed structures are much less reliable than prefabricated containers and are prone to leaks. There are two types of self-installed concrete washouts:
 - **Below-grade washouts** will prevent breaches and reduce the likelihood of spills and contaminated stormwater runoff.
 - **Above-grade washouts** must be carefully sized, inspected and maintained to prevent leaks and spills.

Construction Requirements

- **All washout facilities**
 - Concrete washout facilities shall be constructed and maintained in sufficient quantity and size to contain all liquid and concrete waste generated by washout operations. (Note: Approximately 7 gallons of wash water are used to wash one truck chute. Approximately 50 gallons are used to wash out the hopper of a concrete pump truck.)
 - Locate washout area at least 50 feet from sensitive areas such as storm drains, open ditches, or water bodies, including wetlands.
 - Allow convenient access for concrete trucks, preferably near the area where the concrete is being poured.
 - If trucks need to leave a paved area to access washout, prevent track-out with a pad of rock or stone (see **Construction Entrances**). These areas should be far enough away from other construction traffic to reduce the likelihood of accidental damage and spills.
 - The number of facilities to be installed should depend on the expected demand for storage capacity.
 - On large sites with extensive concrete work, washouts should be placed in multiple locations for ease of use by concrete truck drivers
- **Self-installed above-grade concrete washouts** should be constructed as shown on the details at the end of this measure, with a recommended minimum length and minimum width of 10 ft, but with sufficient quantity and volume to contain all liquid and concrete waste generated by washout operations. Straw bales and staking materials shall conform to the provisions in **Figure 2**. Plastic lining material should be a minimum of 10 mil polyethylene sheeting and should be free of holes, tears, or other defects that compromise the impermeability of the material.

Figure 2. Temporary Concrete Washout Facility



(Credit: 2002 Connecticut Guidelines for Soil Erosion and Sediment Control)

- Self-installed above-grade washouts on larger sites must be at least 10 feet wide by 10 feet long and sized to contain all liquid and solid waste expected to be generated in between cleanout periods. Washouts at smaller sites can be smaller according to the expected capacity needed. Include a minimum of 12 inch freeboard in the sizing calculations. One can make the structures from staked straw bales or sandbags double- or triple-lined with plastic sheeting of at least 10-mil thickness that has no holes or tears.
- Self-installed below-grade washouts should be constructed as shown on the details at the end of this measure, with a recommended minimum length and minimum width of 10 ft. They must be sized to contain all liquid and solid waste expected to be generated in between cleanout periods.
 - Lath and flagging should be commercial type.
 - Plastic lining material shall be a minimum of 10 mil polyethylene sheeting and should be free of holes, tears or other defects that compromise the impermeability of the material.
 - Liner seams shall be installed in accordance with manufacturers' recommendations.

- Soil base shall be prepared free of rocks or other debris that may cause tears or holes in the plastic lining material.
- Self-installed below-grade washouts on larger sites should have a the at least 10 feet wide by 10 feet long and accommodate a minimum 12 inch freeboard (safety margin) in the sizing calculations.

Onsite Temporary Concrete Washout Facility, Transit Truck Washout Procedures

Washout of concrete trucks shall be performed in designated areas only. Concrete washout from concrete pumper bins can be washed into concrete pumper trucks and discharged into designated washout area or properly disposed of offsite. Once concrete wastes are washed into the designated area and allowed to harden, the concrete should be broken up, removed, and disposed of per applicable solid waste regulations. Dispose of hardened concrete on a regular basis.

Inspection and Maintenance

Inspection

- Inspect and verify that concrete washout SESC measures are in place prior to the commencement of concrete work.
- During periods of concrete work, inspect daily to verify continued performance.
- Check overall condition and performance.
- Check remaining capacity (% full).
- If using self-installed washout facilities, verify plastic liners are intact and sidewalls are not damaged.
- If using prefabricated containers, check for leaks.

Maintenance

- Washout facilities shall be maintained to provide adequate holding capacity with a minimum freeboard of 12 inches.
- Washout facilities must be cleaned, or new facilities must be constructed and ready for use once the washout is 75% full.
- If the washout is nearing capacity, vacuum and dispose of the waste material in an approved manner.
- Do not discharge liquid or slurry to waterways, storm drains or directly onto ground.
- Do not use sanitary sewer without local approval.
- Place a secure, non-collapsing, non-water collecting cover over the concrete washout facility prior to predicted wet weather to prevent accumulation and overflow of precipitation.
- Remove and dispose of hardened concrete and return the structure to a functional condition. Concrete may be reused onsite or hauled away for disposal or recycling.
- When materials from the self-installed concrete washout are removed, build a new structure; or, if the previous structure is still intact, inspect for signs of weakening or

damage, and make any necessary repairs. Re-line the structure with new plastic after each cleaning.

- Materials used to construct temporary concrete washout facilities shall be removed from the site of the work and disposed of or recycled.
- Holes, depressions or other ground disturbance caused by the removal of the temporary concrete washout facilities shall be backfilled, repaired, and stabilized to prevent erosion.

Removal of Temporary Concrete Washout Facilities

When temporary concrete washout facilities are no longer required for the work, the hardened concrete, slurries and liquids shall be removed and properly disposed of.

Inlet Protection



(Photo Credit: RI DOT)

Definition

- A temporary, somewhat permeable barrier, installed around inlets in the form of a fence, berm or excavation around an opening, trapping and filtering water and thereby reducing the sediment content of sediment laden water by settling.
- “External inlet protection” products install in or around storm grates. External inlet protection devices are usually fitted to the size of the manhole grate structure. These devices capture sediment before it enters the manhole.
- “Internal inlet protection” products install inside the manhole structure. Internal inlet filters capture sediment after it enters the manhole structure.

Purpose

- To prevent unwanted sediment from entering storm drains and waterways.
- To assist in keeping storm drains and adjacent water ways as sediment free as possible.

Applicability

- All storm drains on any active jobsite should be protected by an inlet protection device. This holds true for storm drains installed in gravel parking lots.
- This measure shall be used where the drainage area to an inlet is disturbed, it is not possible to temporarily divert the storm drain outfall into a trapping device, and watertight blocking of inlets is not advisable.
- It is not to be used in place of sediment trapping devices.
- This may be used in conjunction with storm drain diversion to help prevent siltation of pipes installed with low slope angle.

Note: Many devices and materials can be reused.

Planning and Design Requirements

General Notes

- Be sure that a plan is in place to protect all stormwater inlets on the site.
- Internal and external products are often used in conjunction with each other.
- Since manufactured inlet protection devices are many and varied, designers should study their options closely.
- Be sure to match the sediment removal capabilities of the chosen systems with specific jobsite requirements.

Types of Storm Drain Inlet Measures

There are many different types of storm drain inlet protection measures that vary according to their function, location, drainage area, and availability of materials:

- Excavated Drop Inlet Protection
- Fabric Drop Inlet Protection
- Stone & Block Drop Inlet Protection
- Curb Drop Inlet Protection
- Manufactured external inlet protection devices
- Manufactured internal inlet filters

Design Criteria

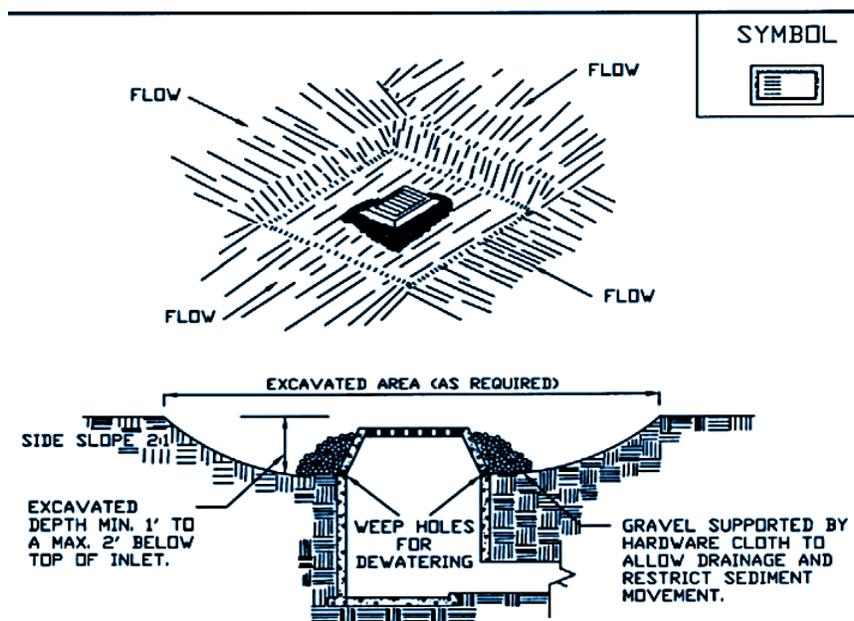
General

- The drainage area for storm drain inlets shall not exceed one acre.
- The crest elevations of these measures shall provide storage and minimize bypass flow.

Excavated Drop Inlet Protection (Figure 1)

- Excavated side slopes shall be no steeper than 2:1.
- The minimum depth shall be 1 foot and the maximum depth 2 feet as measured from the crest of the inlet structure.
- Shape the excavated basin to fit conditions with the longest dimension oriented toward the longest inflow area to provide maximum trap efficiency.
- The capacity of the excavated basin should be established to contain 900 cubic feet per acre of disturbed area.

Figure 1. Excavated Drop Inlet Protection

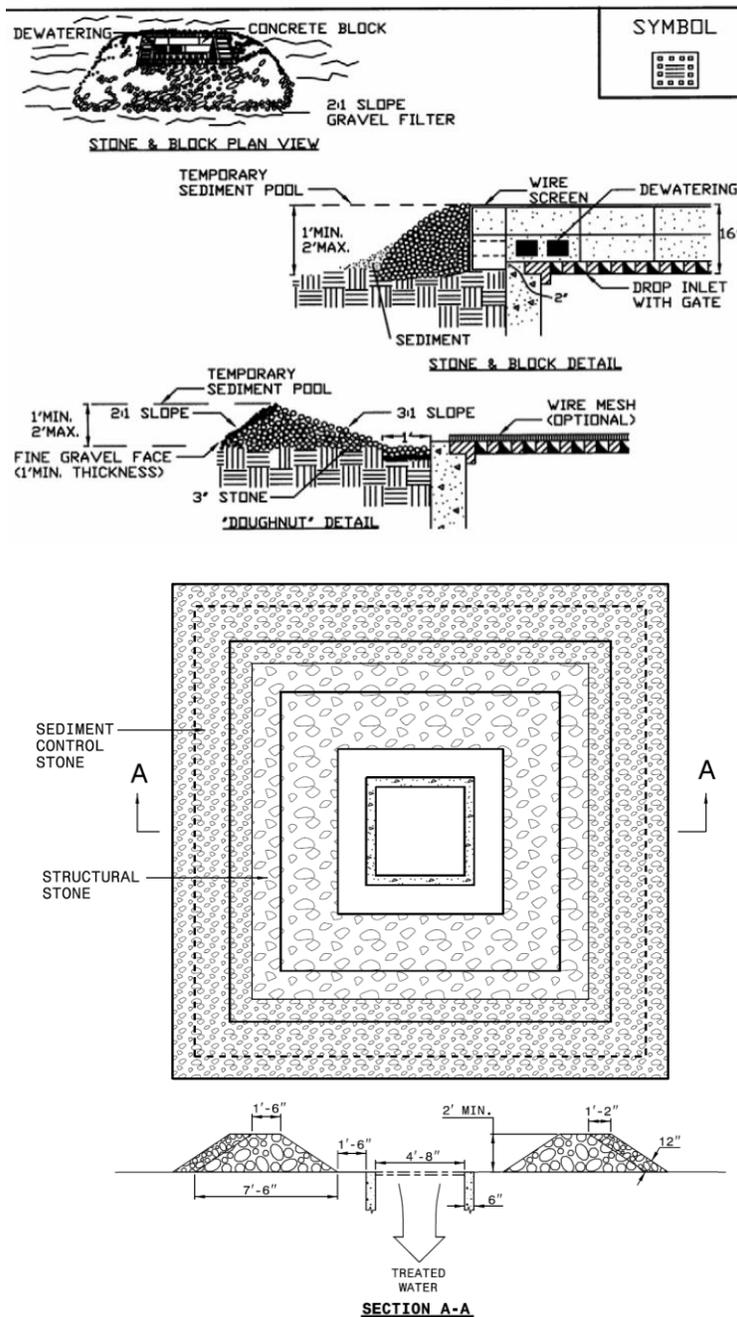


(Credit: NY State Standards and Specifications for Erosion and Sediment Control, 2005)

Fabric Drop Inlet Protection (Figure 2)

- Land area slope immediately surrounding this device should not exceed 1 percent.
- The maximum height of the fabric above the inlet crest shall not exceed 1.5 feet unless reinforced.
- The top of the barrier should be maintained to allow overflow to drop into the drop inlet and not bypass the inlet to unprotected lower areas.
- Support stakes for fabric shall be a minimum of 3 feet long, spaced a maximum 3 feet apart. They should be driven close to the inlet so any overflow drops into the inlet and not on the unprotected soil.
- Improved performance and sediment storage volume can be obtained by excavating the area.

Figure 3. Stone and Block Drop Protection



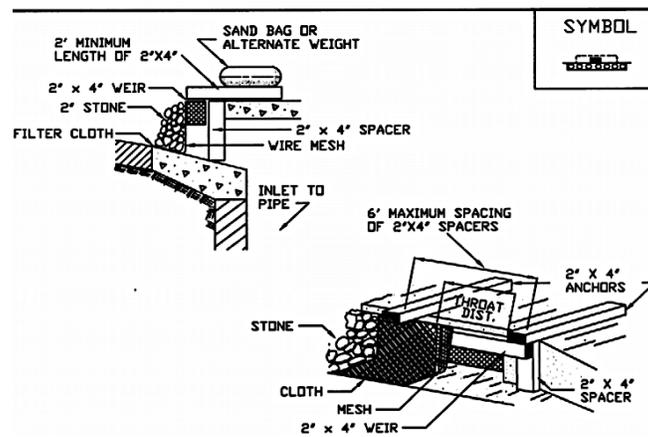
(Credit: NY State Standards and Specifications for Erosion and Sediment Control, 2005)

Curb Drop Inlet Protection (Figure 4)

- The wire mesh must be of sufficient strength to support the filter fabric and stone with the water fully impounded against it. Stone is to be 2 inches in size and clean. The filter fabric must be of a type approved for this purpose with an equivalent opening size (EOS) of 40-85. The protective structure will be constructed to extend beyond the inlet 2 feet in both directions.

- Traffic safety shall be integrated with the use of this measure.

Figure 4. Curb Drop Inlet Protection

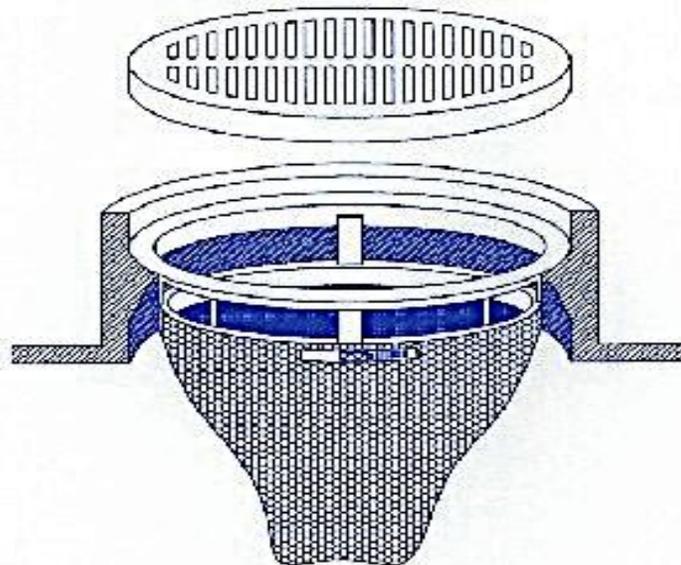


(Credit: NY State Standards and Specifications for Erosion and Sediment Control, 2005)

Manufactured Inlet Filters (Figure 5)

- External devices include filter bags that encase the drain grate. These devices are fitted to the specific drainage opening size. Raised or pop up filter devices are likewise manufactured to custom fit over various drainage opening sizes.
- Internal manufactured inlet filters are also fitted to the specific drain opening size. These devices are placed inside the drainage structure and under the drain grate. They can be composed of a metal frame and filter bag, while other devices are manufactured using fabric only.

Figure 5. Inlet Filter



(Credit: NY State Standards and Specifications for Erosion and Sediment Control, 2005)

Installation Requirements

Excavated Drop Inlet Protection

- Shape the excavated basin to fit conditions with the longest dimension oriented toward the longest inflow area to provide maximum trap efficiency.
- Weep holes, protected by fabric and stone, should be provided for draining the temporary pool.

Fabric Drop Inlet Protection

- If straw bales are used in lieu of filter fabric, they should be placed tight with the cut edge adhering to the ground at least three (3) inches below the elevation of the drop inlet. Two anchor stakes per bale shall be driven flush to bale surface.

Stone and Block Drop Inlet Protection

- Recess the first course of blocks at least 2 inches below the crest opening of the storm drain for lateral support. Subsequent courses can be supported laterally if needed by placing a 2x4 inch wood stud through the block openings perpendicular to the course. The bottom row should have a few blocks oriented so flow can drain through the block to dewater the basin area.
- The stone should be placed just below the top of the blocks on slopes of 2:1 or flatter. Place hardware cloth of wire mesh with ½ inch openings over all block openings to hold stone in place.
- If concrete blocks are omitted and the entire structure constructed of stone, the stone should be kept at a 3:1 slope toward the inlet to keep it from being washed into the inlet. A level area one (1) foot wide and four (4) inches below the crest will further prevent wash. Stone on the slope toward the inlet should be at least three (3) inches in size for stability and one (1) inch or smaller away from the inlet to control flow rate. The elevation of the top of the stone crest must be maintained six (6) inches lower than the ground elevation down slope from the inlet to ensure that all storm flows pass over the stone into the storm drain and not past the structure. Temporary diking should be used as necessary to prevent bypass flow.

Curb Drop Inlet Protection

- Assure that storm flow does not bypass the inlet by installing temporary dikes (such as sand bags) directing flow into the inlet.
- Make sure that the overflow weir is stable.

Manufactured Inlet Filters

- Install manufactured inlet filter devices in accordance with manufacturer's written installation instructions.

Inspection, Maintenance, and Removal Requirements

- Inspect and maintain inlet protection devices are every rain event and/or weekly as required.
- Dispose of sediment properly.
- Remove all inlet protection devices within 30 days of permanent site stabilization.

Excavated Drop Inlet Protection

- Inspect and clean the excavated basin after every storm.
- Sediment should be removed when 50 percent of the storage volume is achieved. This material should be incorporated into the site in a stabilized manner.

Fabric Drop Inlet Protection

- Inspect the fabric barrier after each rain event and make repairs as needed.
- Remove sediment from the pool area as necessary with care not to undercut or damage the filter fabric.
- Upon stabilization of the drainage area, remove all materials and unstable sediment and dispose of properly. Bring the adjacent area of the drop inlet to grade, smooth and compact and stabilize in the appropriate manner to the site.
- If straw bales are used in lieu of filter fabric, straw bales will be replaced every 4 months until the area is stabilized.

Stone and Block Drop Inlet Protection

- The barrier should be inspected after each rain event and repairs made where needed.
- Remove sediment as necessary to provide for accurate storage volume for subsequent rains.
- Upon stabilization of contributing drainage area, remove all materials and any unstable soil and dispose of properly. Bring the disturbed area to proper grade, smooth, compact and stabilized in a manner appropriate to the site.

Curb Drop Inlet Protection

- The structure should be inspected after every storm event.
- Any sediment should be removed and disposed of on the site.
- Any stone missing should be replaced.
- Check materials for proper anchorage and secure as necessary.

Manufactured Inlet Filters

- Inspect after each rain event.
- Inspect external devices for damage and clean as necessary.
- Lift internal inlet filters carefully from the drainage structure. Remove any accumulated sediment and reinsert device into the drain opening.
- Remove all accumulated sediment and dispose of properly.

Silt Fence



(Photo Credit: US EPA)

Definition

- A temporary barrier of geotextile fabric installed on the contours across a slope.

Purpose

- To intercept sediment laden runoff from small drainage areas of disturbed soil.
- To break up longer slopes, reduce runoff velocity, and cause deposition of transported sediment.
- To retain transported sediment on site and protect adjacent property, resource areas and wetlands and waterways from unwanted sediment deposition.

Applicability

- These control measures are placed perpendicular to sheet-flow runoff to control erosion and retain sediment in disturbed areas.
- Not intended for use to define property boundaries, unless designed to reduce storm water flow coming on to the construction site.

Planning and Design Requirements

General

- Identify areas within the construction site where erosion may occur
- Determine areas where sediment has the potential to exit the property or enter an environmentally sensitive area, such as a wetland, water of the State, or cultural/historic area
- Identify longer slopes where multiple barrier rows may be needed.
- A silt fence may be used, subject to the slope conditions listed in **Figure 1**.

Figure 1. Maximum Allowable Slope Lengths Contributing Runoff to a Silt Fence

Slope Steepness	Maximum Length (ft)
2:1	25
3:1	50
4:1	75
5:1 or flatter	100

(Credit: 2002 Connecticut Guidelines for Soil Erosion and Sediment Control)

- A silt fence may be used where maximum drainage area for overland flow to the silt fence does not exceed ¼ acre per 100 feet of fence, with maximum ponding depth of 1.5 feet behind the fence.
- A silt fence may be used where erosion would occur in the form of sheet erosion.
- A silt fence may be used where there is no concentration of water flowing to the barrier.

Protecting Sensitive Areas Using Linear Sediment Barriers:

Highly sensitive areas (such as wetland, waters of the State, or cultural/historic area and/or adjoining properties) can be protected by a silt fence that may need to be reinforced by using heavy wire fencing for added support to prevent collapse or a combination of a straw bale and silt fence combined.

Straw Bale Erosion Check and Silt Fence Combined. “Straw Bale Erosion Check and Silt Fence Combined” will be installed in accordance with the plans and/or as directed by the Engineer and using specifications within this measure and **Straw Bales**.

Figure 2. Fabric Specifications

<u>Fabric Properties</u>	<u>Minimum Acceptable Value</u>	<u>Test Method</u>
Grab Tensile Strength (lbs)	90	ASTM D1682
Elongation at Failure (%)	50	ASTM D1682
Mullen Burst Strength (PSI)	190	ASTM D3786
Puncture Strength (lbs)	40	ASTM D751 (modified)
Slurry Flow Rate (gal/min/sf)	0.3	
Equivalent Opening Size	40-80	US Std Sieve CW-02215
Ultraviolet Radiation Stability (%)	90	ASTM G-26

(Credit: 2002 Connecticut Guidelines for Soil Erosion and Sediment Control)

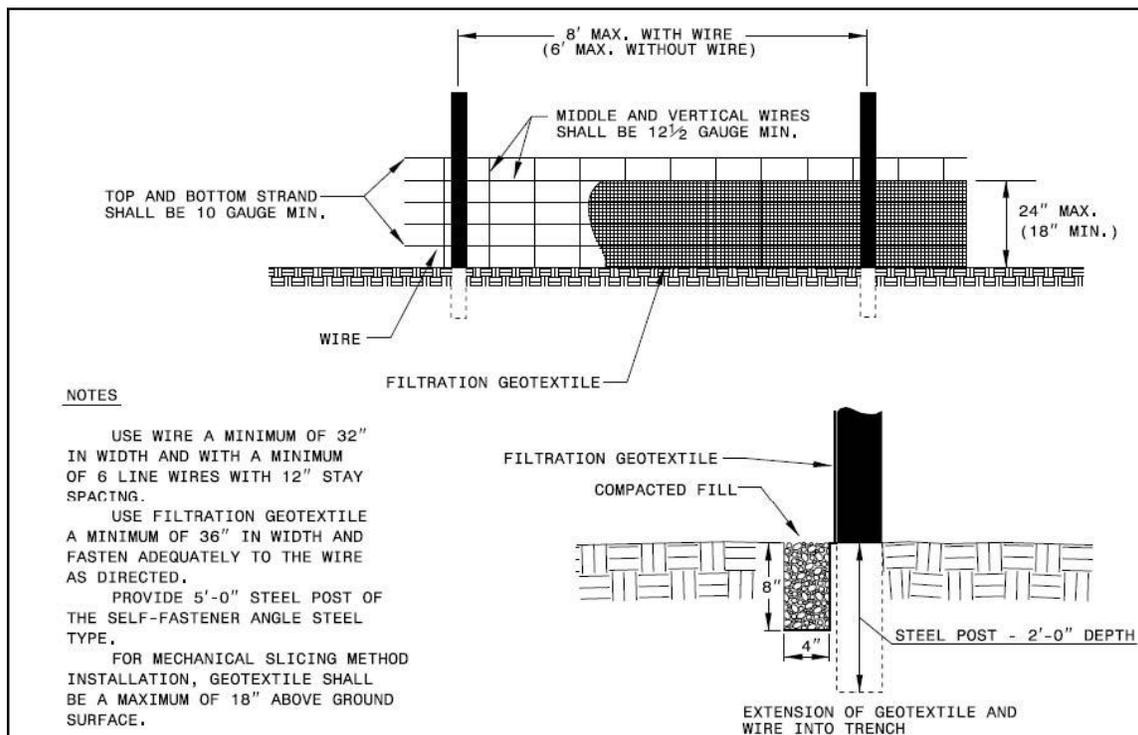
Materials

Silt Fence

- Fabric specifications shall meet or exceed the values as listed in figure 2.
- Fence Posts (for fabricated units): The length shall be a minimum of 36 inches long. Wood posts will be of sound quality hardwood with a minimum cross sectional area of three (3) square inches. Steel posts will be standard T and U section weighing not less than one (1) pound per linear foot.
- Posts shall be set at a minimum of 8 feet on center.
- Wire Fence (for fabricated units): Wire fencing shall be a minimum 14 gage with a maximum six (6) in. mesh opening, or as approved.

Design Criteria

Figure 3. Proper Installation of Silt Fence (with or without wire fence)



(Credit: 2002 Connecticut Guidelines for Soil Erosion and Sediment Control)

General

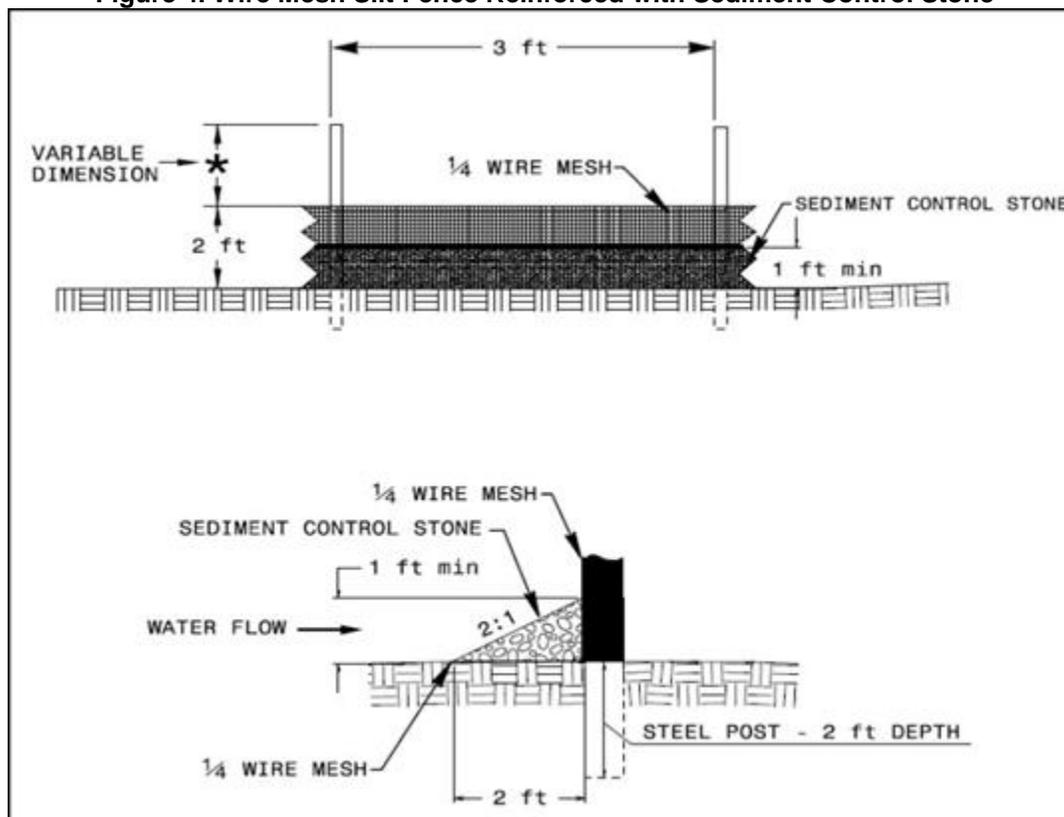
- Design computations are not required for installations of 1 month or less. Longer installation periods should be designed for expected runoff.
- Sensitive areas may require the use of reinforced or multiple materials.

Silt Fence

- Design computations are not required for installations of one (1) month or less. Longer installation periods should be designed for expected runoff.
- All silt fences shall be placed as close to the areas as possible, but at least 10 feet from the toe of a slope to allow for maintenance and roll down.
- The area beyond the fence must be undisturbed or stabilized.
- Sensitive areas to be protected by silt fence may need to be reinforced by using heavy wire fencing for added support to prevent collapse.
- Where ends of filter cloth come together, they shall be overlapped, folded and stapled to prevent sediment bypass.

Installation Requirements

Figure 4. Wire Mesh Silt Fence Reinforced with Sediment Control Stone



(Credit: 2002 Connecticut Guidelines for Soil Erosion and Sediment Control)

General

- All silt fences shall be placed as close to the disturbed areas as possible, but at least 10 feet from the toe of a slope to allow for maintenance and roll down.
- The area beyond the fence must be undisturbed or stabilized.

Silt Fence

- Design computations are not required for installations of one (1) month or less. Longer installation periods should be designed for expected runoff.
- Silt fence can be installed by hand or by machine slicing.
- Fabric should be buried at least six inches deep (**Figure 3**).
- Termination points should be extended uphill at least six (6) feet.
- Where ends of filter cloth come together, they shall be overlapped, folded and stapled to prevent sediment bypass.
- Sensitive areas to be protected by silt fence may need to be reinforced by using heavy wire fencing for added support to prevent collapse (**Figure 4**).

Inspection, Maintenance, and Removal Requirements

General

- Remove all devices once permanent erosion control measures are in place and functioning unless devices are designed to remain in place (i.e. compost filter socks with seed).
- Inspect regularly for water undercutting and bypassing of devices.
- Inspect for damage and replace or repair damaged sections as needed.
- Ultra-violet light breaks down the fabric, and limits its life span and utility.
- Remove sediment when it reaches 1/2 the height of the device.
- Biodegradable wattles, tubes and rolls can be worked into soils at the jobsite. In some cases, they can simply be left in place.
- Silt fence will not be left to rot in place. The silt fence may be removed only when the adjacent exposed area is stabilized, i.e., the area has an established grass or stone cover or has been paved, and is free from future uncontrolled discharges.
- Immediately upon removal of the silt fence the remaining exposed areas will be finished as specified above in plans..

Straw Wattles, Compost Tubes and Fiber Rolls



(Photo Credit: US EPA)

Definition

- Compost Filter Socks: Three-dimensional tubular filtration devices constructed by filling a mesh tube with a compost filter media.
- Straw Wattles: Straw-filled tubes of flexible netting materials. Commonly used filler materials include wheat and rice straw.
- Fiber rolls: Wood excelsior or coconut fiber filled tubes of flexible netting materials.

Purpose

- To intercept sediment laden runoff from small drainage areas of disturbed soil.
- To break up longer slopes, reduce runoff velocity, and cause deposition of transported sediment.
- To retain transported sediment on site and protect adjacent property, resource areas and wetlands and waterways from unwanted sediment deposition.

Applicability

- Compost Filter Socks, Straw Wattles, and Fiber Rolls provide alternatives to straw bales and silt fence sediment barriers.
- These control measures are placed perpendicular to sheet-flow runoff to control erosion and retain sediment in disturbed areas.
- Not intended for use to define property boundaries, unless designed to reduce stormwater flow coming onto the construction site.
- Socks, wattles and rolls may be totally biodegradable &/or left in place as a permanent measure, depending upon the intent of the application and material specifications.

Note: Readers will note that ‘hay bales’ do not appear in this section as sediment control devices. While hay bales were used extensively early in the evolution of jobsite sediment control, new innovations and technology, discussed thoroughly in this manual, has replaced them.

Planning and Design Requirements

Applicability

General

- Identify areas within the construction site where erosion may occur
- Determine areas where sediment has the potential to exit the property or enter an environmentally sensitive area, such as a wetland, water of the State, or cultural/historic area.
- Identify longer slopes where multiple barrier rows may be needed.

Figure 1. Maximum Allowable Slope Lengths Contributing Runoff to a Sediment Barrier

Slope Steepness	Maximum Length (ft)
2:1	25
3:1	50
4:1	75
5:1 or flatter	100

(Credit: 2002 Connecticut Guidelines for Soil Erosion and Sediment Control)

- A sediment barrier may be used where erosion would occur in the form of sheet erosion.
- A sediment barrier may be used where there is no concentration of water flowing to the barrier.

Straw Wattles and Fiber Rolls

- Wattles and Fiber Rolls can be used in areas of low shear stress.
- Wattles and Fiber Rolls can be suitable in the following settings:
 - Along the toe, top, face, and at-grade breaks of exposed and erodible slopes to shorten slope length and spread runoff as sheet flow;
 - At the end of a downward slope where it transitions to a steeper slope;
 - Along the perimeter of a project to control runoff or runoff surface flows;
 - As temporary ‘check dams’ in unlined ditches;
 - Downslope of exposed soil areas; and
 - Around temporary stockpiles.
- Determine the vertical spacing for slope installations on the basis of the slope gradient and soil type., a good rule of thumb⁵ is:

⁵ According to California Straw Works (2005).

- 1:1 slopes = 10 feet apart
- 2:1 slopes = 20 feet apart
- 3:1 slopes = 30 feet apart
- 4:1 slopes = 40 feet apart

- To be effective, wattles and fiber rolls at the toe of slopes greater than 5:1 must be at least 20 inches in diameter. An equivalent installation, such as stacked smaller-diameter fiber rolls, can be used to achieve a similar level of protection.

Compost Filter Socks

- Recommended design practice for compost filter devices is that drainage areas do not exceed 0.25 acre per 100 feet of device length and flow does not exceed one cubic foot per second (EPA, 2006).
- Compost Filter Socks may be used, subject to the slope conditions listed in **Figure 2**.

Figure 2: Recommended Spacing and Diameter Requirements for Compost Filter Socks

Slope %	Maximum slope length above compost filter sock in ft (m)			
	Diameter of compost filter sock required			
	8-inch (200-mm)	12-inch (300-mm)	18-inch (450-mm)	24-inch (600-mm)
2 (or less)	300 (90)	375 (110)	500 (150)	650 (200)
5	200 (60)	250 (75)	275 (85)	325 (100)
10	100 (30)	125 (35)	150 (45)	200 (60)
15	70 (20)	85 (25)	100 (30)	160 (50)
20	50 (15)	65 (20)	70 (20)	130 (40)
25	40 (12)	50 (15)	55 (16)	100 (30)
30	30 (9)	40 (12)	45 (13)	65 (20)
35	30 (9)	40 (12)	45 (13)	55 (18)
40	30 (9)	40 (12)	45 (13)	50 (15)
45	20 (6)	25 (8)	30 (9)	40 (12)
50	20 (6)	25 (8)	30 (9)	35 (10)

- Compost filter socks can be used on steeper slopes with faster flows if they are spaced more closely, stacked beside and/or on top of each other, made in larger diameters, or used in combination with other stormwater BMPs.

Materials

Compost Filter Socks

- Compost Filter Sock materials and compost material shall be in accordance with AASHTO Designation: MP 9-06 (2007 or latest revision). Compost material shall also meet all applicable Federal and State Regulations.

- For compost filter socks 18 inches or less in diameter, wooden stakes shall be 1 inch by 1 inch, at 10-foot intervals on center, and of a length that shall project into the soil 1 foot leaving 3 inches to 4 inches protruding above the filter sock.
- For compost filter socks greater than 18 inches in diameter wooden stakes shall be 2 inch by 2 inch, at 10 foot intervals on center, and of a length that shall project into the soil 1 foot leaving 3 inches to 4 inches protruding above the filter sock.

Design Criteria

General

- Design computations are not required for installations of 1 month or less. Longer installation periods should be designed for expected runoff.
- Sensitive areas may require the use of reinforced or multiple materials.

Straw Wattles and Fiber Rolls

- Straw Wattles and Fiber Rolls have a very limited sediment capture zone.
- Straw Wattles and Fiber Rolls should not be used on slopes subject to creep, slumping, or landslide.

Compost Filter Socks

- Larger diameter filter socks are recommended for areas prone to high rainfall or sites with severe grades or long slopes. Coarser compost products are generally used in regions subject to high rainfall and runoff conditions.
- Compost socks can be useful in protecting areas where trenching is difficult.
- Compost socks maybe left in place permanently depending upon location, such as a mid slope break. In this case they should be vegetated during installation.
- Compost sock material may require design consideration. The material maybe permanent, photodegradable or biodegradable depending upon intended use and longevity.

Installation Requirements

General

- All sediment barriers shall be placed as close to the disturbed areas as possible, but at least 10 feet from the toe of a slope to allow for maintenance and roll down.
- The area beyond the fence must be undisturbed or stabilized.

Straw Wattles and Fiber Rolls

- On slopes, install fiber rolls along the contour with a slight downward angle at the end of each row to prevent ponding at the midsection (California Straw Works, 2005). Turn the ends of each fiber roll upslope to prevent runoff from flowing around the roll. Install fiber rolls in shallow trenches dug 3 to 5 inches deep for soft, loamy soils and 2 to 3 inches deep for hard, rocky soils.
- For soft, loamy soils, place the rows closer together. For hard, rocky soils, place the rows farther apart. Stake fiber rolls securely into the ground and orient them perpendicular to the slope. Biodegradable wood stakes or willow cuttings are recommended. Drive the stakes through the middle of the fiber roll and deep enough into the ground to anchor the roll in place. About 3 to 5 inches of the stake should stick out

above the roll, and the stakes should be spaced 3 to 4 feet apart. A 24-inch stake is recommended for use on soft, loamy soils. An 18-inch stake is recommended for use on hard, rocky soils.

- Compost socks require no anchor trench, therefore, soil is not disturbed upon installation. Since compost filter socks do not have to be trenched into the ground, they can be installed on frozen ground or even cement.
- The preferred anchoring method is to drive stakes through the center of the wattle, tube, or roll at regular intervals (typically staked in every three to four feet); alternatively, stakes can be placed on the downstream side of the wattle, tube or roll.
- The ends of the wattle, tube or roll should be directed upslope, to prevent stormwater from running around the end of the sock.
- Fiber rolls are not effective unless trenched.
- Fiber rolls can be difficult to move once saturated.
- If not properly staked and entrenched, fiber rolls can be transported by high flows.
- Straw Wattles and Fiber Rolls must be set in a 2-3" furrow in the soil and staked

Compost Filter Socks

- Trenching is not required. Compost filter socks shall be placed over the top of ground, wooden stakes shall be driven through the center of the filter socks to anchor them to the ground. To ensure optimum performance, heavy vegetation shall be cut down or removed, and extremely uneven surfaces shall be graded to ensure that the compost filter sock uniformly contacts the ground surface.
- Compost tubes may be vegetated by incorporating seed into the compost, prior to placing it in the tube.
- Compost Filter Socks require no trenching in, but must be staked.
- Installation: No trenching is required; therefore, soil is not disturbed upon installation. Once the filter sock is filled and put in place, it should be anchored. The preferred anchoring method is to drive stakes through the center of the sock at regular intervals; alternatively, stakes can be placed on the downstream side of the sock. The ends of the filter sock should be directed upslope, to prevent stormwater from running around the end of the sock. The filter sock may be vegetated by incorporating seed into the compost when filling the filter sock.
- Since compost filter socks do not have to be trenched into the ground, they can be installed on frozen ground, pavement or cement. For placement on pavement or cement concrete blocks can be placed to hold the sock in place.

Inspection, Maintenance, and Removal Requirements

General

- Remove all devices once permanent erosion control practices are in place and functioning unless devices are designed to remain in place (i.e. compost filter socks with seed).

Straw Wattles and Fiber Rolls

- Straw Wattles and Fiber Rolls are usually left along slopes and are biodegradable
- Sediment removal and disposal are still required in areas where sediment accumulates to at least one-half the distance between the top of the fiber roll or wattle and the ground surface.

| Compost Filter Socks

- Compost filter socks should be inspected regularly, as well as after each rainfall event, to ensure that they are intact and the area behind the sock is not filled with sediment.
- If the filter sock was overtopped during a storm event, the operator should consider installing an additional filter sock on top of the original, placing an additional filter sock further up the slope, or using an additional BMP in conjunction with the sock(s).
- Inspect compost socks regularly, and after each rainfall event, to ensure that they are intact and functioning correctly.
- Remove sediment that builds up behind the sock before it interferes with the functionality of the sock.
- Deposit the removed sediment within the project limits or dispose of legally so that the sediment is not subject to erosion by wind or by water.
- Repair or replace split, torn, or unraveling socks. Replace broken or split stakes. Sagging or slumping compost socks must be repaired with additional stakes or replaced. Repair or replace at locations where rills and other evidence of concentrated runoff have occurred beneath the socks.

Straw Bale



(Photo Credit:US EPA)

Definition

- A straw bale barrier is a temporary entrenched and anchored barrier used to intercept sediment-laden runoff and to provide some retention of sediment from small drainage areas.

Purpose

- Used to promote sheet flow and to reduce runoff velocity, thus reducing erosion and improving water quality.

Applicability

- Slope Protection for disturbed areas where Straw bale barriers may be used for areas draining 1 acre or less and where runoff water velocities are not expected to exceed 2 cubic feet per second.
- Stockpile management perimeter protection - Straw bale barriers should be used around or downslope of soil stockpiles.
- Pumping Settling Basins.

Planning and Design Requirements

Bales shall be a minimum of 30 inches long and a minimum of 14” tall by 18” wide. Bale weight shall not be less than 50 pounds and shall be bound with no less than two strings or wires and contain as a minimum five (5) cubic feet of material. . The useful life span is normally 3 months⁶, therefore straw bales must be replaced or a new barrier placed

⁶ Straw bales have a useful life of three months while hay bales have a useful life of one month or less. The difference between hay bales and straw bales derives from what they are made of. Hay bales are comprised primarily of grasses. These grasses still have grain or seeds attached and if not certified as noxious weed-free, they might have undesirable effects by spreading invasive plants.

directly upslope of the old when a barrier is required for longer time periods.

Recommended maximum size of the drainage area is 0.25 acres per 100 feet of straw bale barrier fence length; the maximum length of slope behind the fence is 100 feet; and the maximum slope length for given slopes is as follows:

SLOPE	SLOPE LENGTH
< 2%	100 ft
2 to 5%	75 ft
5 to 10%	50 ft
10 to 20%	25 ft
> 20%	15 ft

Straw bales should be certified as to being noxious weed-free bales. To be “Certified” means that a product is free of any noxious weeds.

A number of certified noxious weed-seed-free programs are based on weed-free forage standards set by the North American Weed Management Association (NAWMA - <http://www.nawma.org>).

NAWMA provides a list of noxious weeds found in North America and many states start with that list and add other noxious weeds found in their states as qualifying factors. Many states accept these minimum standards.

Installation Requirements

A 4 to 6 inch deep trench should be excavated to the length of the barrier and the width of the bale, as shown in Figure 7. Excavated material is to be placed on the upstream side of the trench. Wire or string-bound bales containing a minimum 5 cubic feet of straw are placed in the trench and should be anchored by two 1” x 1” wooden oak stakes that are three (3) feet long or #4 rebar steel pickets driven through the bale into the underlying soil at a slight upstream angle, as illustrated in Figure 8, to help prevent the bale from overturning. All rebar stakes must be capped with a safety cap. The first stake should also be driven slightly toward the previously laid bale to force them together. Spacing between the bales should be tightly chinked with loose straw (note Figure 7). The excavated soil can now be backfilled firmly against the upslope side and compacted.

Inspection, Maintenance, and Removal Requirements

Bale barriers should be inspected immediately after each rainfall or daily during periods of prolonged rainfall. Damaged bales and undercutting or flow channels around the ends of barriers should be repaired or corrected as soon as possible. Sediment deposits should be removed after each rainfall, and accumulations should be removed when they

Straw bales are comprised of only the stalks of plants, such as grain plants.

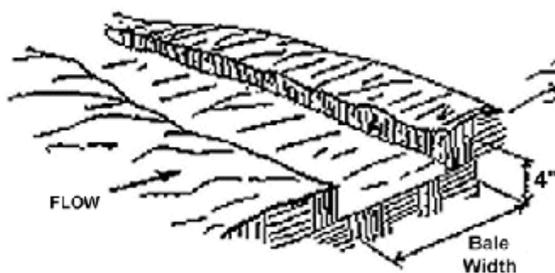
reach 1/2 the height of the barrier.

After all sediment-producing areas have been permanently stabilized, all sediment accumulation at the barrier trap should be removed, and all excavation should be backfilled and properly compacted and stabilized. Smooth the site to blend with the terrain or as specified on plans.

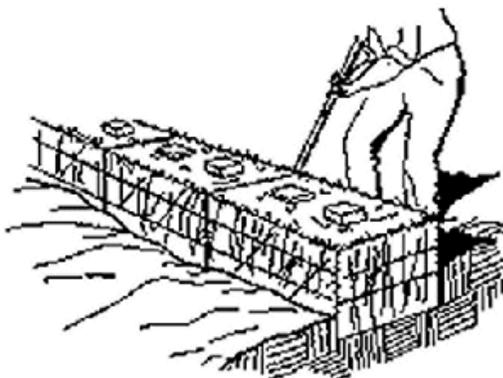
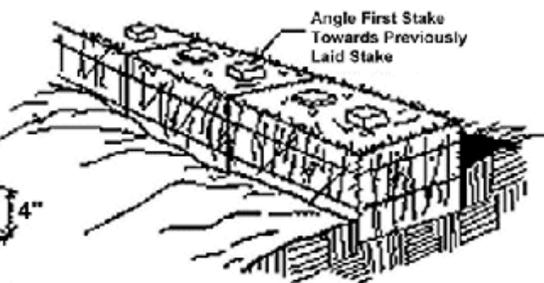
Common Problems for inspection:

- Drainage area too large; break up into smaller areas.
- Too much sediment accumulation allowed before clean out; too much sediment accumulation may cause barrier to fail.
- Upstream slope too steep or too long; break up length with additional rows of barriers.
- Undercutting occurs; bales were not trenched at least 4 inches or compacted properly.
- Loose spots or spacings not tightly chinked with loose hay; this may result in insufficient trap efficiency.
- Erosion around barrier ends due to endpoints being lower than top of temporary pool elevation; reshape ends to elevation above pool level.

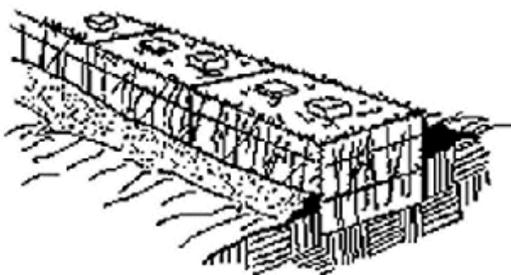
1. Excavate the Trench



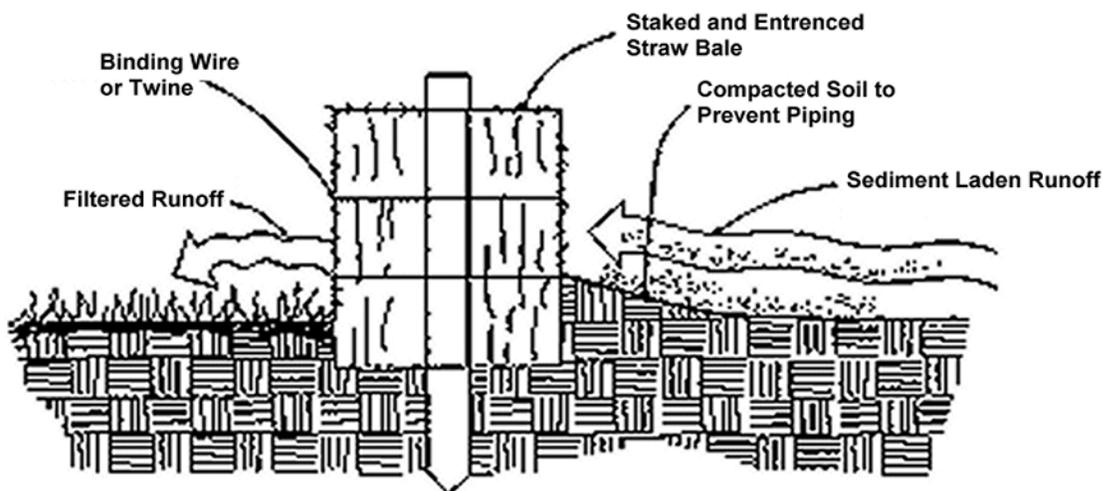
2. Place and Stake Straw Bales



3. Wedge Loose Straw Between Bales



4. Backfill and Compact the Excavated Soil



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Portable Sediment Tanks and Bags



(Photo Credit: MACC ESC Guide)

Definition

- “Frac tanks” are impermeable containers into which sediment laden water is pumped and temporarily stored while settling and fractionation is achieved.
- Dewatering bags are made from durable geotextile filter fabric suitable for removal of sediment, and designed to accommodate discharge pipes. They may be placed in dumpster or truck bed for ease of removal once sediment has been collected.

Purpose

- To trap and retain the sediment before the water is discharged or transported to an approved location for further treatment.

Applicability

- When a pump discharge from a construction area is sediment laden and space limitations prevent the use of a pumping settling basin;
- For sites with severe space limitations, a portable sediment tank may be used to transport the sediment laden water to an approved location;
- Typically used with cofferdam dewatering associated with bridge repair work, utility work or in the redevelopment of urban areas;
- Not for situations with high or continuous flows that will exceed the capacity of the tank(s).

Note: A professional engineer is required to assist with sizing the system.

Planning and Design Requirements

Planning Criteria

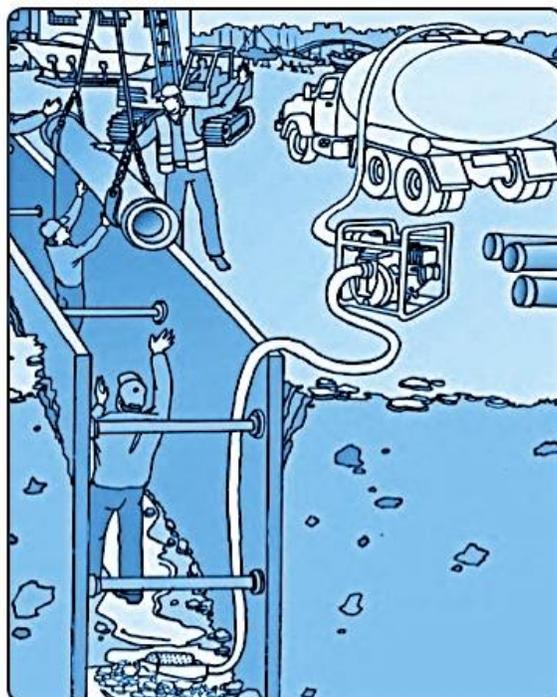
When a portable dewatering tank or bag is to be used next to a cofferdam, the weight of the tank and maximum volume of water and associated structures must be considered when constructing the cofferdam to ensure the structural stability of the cofferdam. Alternately, if the cofferdam has already been built, before placing any tank or bag adjacent to the cofferdam, consider the cofferdam’s ability to remain structurally stable when the tank or bag is full.

Design Criteria

Tank Type

The tank is a structure constructed of steel, sturdy wood or other material suitable for handling the pressure exerted by the volume of water to be stored. An example of a typical sediment tank is shown in **Figure 1**. Other container designs may be used if the storage volume is adequate and is approved by the permitting authority. Former milk trucks or water trucks have been used for this purpose where off-site disposal has allowed for off-site dewatering basins or adequate filtration by vegetative buffers. Do not use a tank that was formerly used for contaminated or hazardous materials.

Figure 1. Portable Sediment Tank in Operation



(Credit: 2002 Connecticut Guidelines for Soil Erosion and Sediment Control)

Tank Size

For discharging the portable sediment tank directly, size the tank to have a minimum retention time of at least 2 hours. Use the following formula to determine the storage volume required:

$$\text{Cubic feet of storage required} = \text{Pump Discharge Rate (g.p.m.)} \times 16$$

When the tank size available is insufficient at the planned pumping rate, maximum pumping rate is determined from the following formula:

$$\text{Pump Discharge Rate (g.p.m.)} = \text{Cubic feet of storage available} / 16$$

Number of Tanks

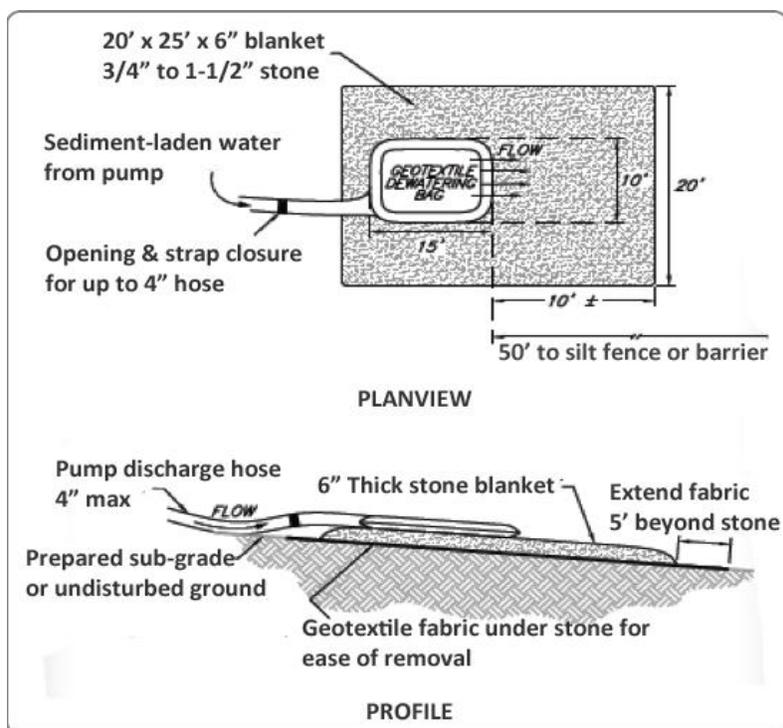
When pumping requirements are expected to exceed the two hour storage capacity of the sediment tank and pumping cannot be discontinued for the length of time needed to drain the tank properly at the pumping site, consider using two or more portable sediment tanks that may be alternately filled, moved and drained at an acceptable location.

Bag Size

Filter bags (**Figure 2**) should be sized based on:

- Volume and flow rate of sediment-laden water being pumped
- Quantity and type of sediment
- Permissivity of the given bag, log, or sock. A soil analysis along with hanging bag tests can determine the correct fabric and pore size necessary for the site-specific conditions.

Figure 2. Geotextile Dewatering Bag on Geotextile and Stone Pad



(Credit: 2002 Connecticut Guidelines for Soil Erosion and Sediment Control)

Flocculants and Polymers

- Using flocculent or polymers to aid in the removal of fine suspended sediments will improve flow rates, discharge clarity and percent of solids retained.
- An Erosion and Sedimentation Professional should be consulted to determine the most appropriate type and amount of flocculant or polymer needed for any specific job site or situation.
- Any use of treatment chemicals must be in accordance with **Appendix J, Chemical Treatment for Erosion and Sediment Control**.

Installation Requirements

Location

- Locate non-portable sediment tanks and bags for ease of clean-out and disposal of the trapped sediment, and to minimize the interference with construction activities and pedestrian traffic.

- Release filtrate from filter bags to an appropriate area or inlet (free of sensitive resources and hazards) that is stabilized against potential erosion and is able to be monitored.

Inlets and Outlets

- All pumps and pipes leading to tanks or bags must be able to be controlled with valves or manifolds.
- Discharge hoses must be inserted through appropriate openings and secured with wire, ties, clamps, rope or similar materials to create a good seal.
- Filter bags are susceptible to punctures, so care must be taken in their placement and use. A tarpaulin can alleviate some puncture possibilities
- The pump discharge into the tank shall be located at a point in the portable sediment tank that is farthest from the tank outlet.
- The outlet of the tank shall be equipped with an energy dissipater.

Inspection, Maintenance, and Removal Requirements

Tanks

- Inspect the sediment tank continuously during use.
- Once the water level nears the top of the tank, either shut off the pump while the tank drains and additional capacity is made available, or transport the tank to an appropriate disposal site.
- For a tank that is discharging water while the pumping operation is ongoing and when the wet storage area has lost one half of its volume to sediment build up, discontinue pumping and remove accumulated sediments or replace the tank.
- For a tank that is used to transport the pumped water to a location distant from the pumping operations, discontinue pumping long enough to change the tank.
- Any transported discharge of water and cleaning of the tank shall be done in such a manner as to prevent sediment laden water from reaching a wetland, stormwater collection system, watercourse or paved travelway.

Bags

- Inspect the sediment tank continuously during use.
- Care should always be taken to properly monitor performance to ensure that pump rates or concentrations of sediment are not excessive.
- Once the sediment tank or sediment bags have reached their maximum capacity to retain sediments, these units shall be taken offline and any retained sediments shall be disposed of properly.

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ATTACHMENT TO

SECTION 01 57 19.00 20 TEMPORARY ENVIRONMENTAL CONTROLS

RIDEM SOIL EROSION AND SEDIMENT CONTROL PLAN (SESC PLAN)

AND

RIDEM SOIL EROSION AND SEDIMENT CONTROL PLAN INSPECTION REPORT

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SESC Plan Inspection Report Instructions

For all projects subject to the requirements of the *RI Stormwater Design and Installation Standards Manual* or the *RIPDES Construction General Permit* the site owner and operator are required to develop and comply with a site specific Soil Erosion and Sediment Control Plan (SESC Plan) in order to remain in compliance with applicable regulations.

This inspection report template has been provided by RIDEM for use by the site operator and designated inspector to document the adequacy and condition of erosion, runoff, sediment, and pollution prevention control measures specified for use on the construction site. It should be customized for your specific site conditions and consistent with the SESC Plan developed for your site.

Using the Inspection Report

This inspection report is designed to be customized according to the control measures and conditions at the site. On a copy of the applicable SESC Site Plans, number or label all stormwater control measures and areas of the site that will be inspected. Include all control measures (temporary traps, basins, inlet protection measures, etc.) and areas that will be inspected. Also, identify all point source discharges/outfalls, and the priority natural resource areas (i.e. streams, wetlands, mature trees, etc). List each control measure or area to be inspected separately in the site-specific control measure section of the inspection report.

Complete any items that will remain constant, such as the project information and control measure locations and descriptions. Then, print out multiple copies of this customized inspection report to use during the inspections.

When conducting the inspection, walk the site by following the SESC Site Plans and numbered control measure locations for inspection. Also note whether the overall site issues have been addressed. Customize this list according to the conditions at the site.

Minimum Monitoring and Reporting Requirements

Your site must be inspected by or under the supervision of the owner and operator at least once every seven (7) calendar days and within twenty-four (24) hours after any storm event which generates at least 0.25 inches of rainfall per twenty-four (24) hour period and/or after a significant amount of runoff. Read Section 4.2 of your SESC Plan for more information regarding the importance of monitoring weather conditions.

General Notes

- A separate inspection report will be prepared for each inspection.

- The Inspection Reference Number shall be a combination of the RIPDES Permit Authorization Number - consecutively numbered inspections. For example: Inspection reference number for the 4th inspection of a project would be: RIR101000-4
- Each report will be signed and dated by the inspector and forwarded to the site operator within 24 hours of the inspection.
- Each report will be signed and dated by the site operator upon his/her receipt and after completion of all required corrective actions.
- It is the responsibility of the site operator to maintain a copy of the SESC Plan, copies of all completed inspection reports, and amendments as part of the SESC Plan documentation at the site during construction.

Corrective Actions

If the SESC Plan Inspection determines that corrective actions are necessary to install or repair control measures, the resultant actions taken must be documented by the site operator. The actions must be recorded in the Corrective Action Log attached to each SESC Plan inspection form. If the site operator disagrees with the corrective action recommendations, it must be documented, with justifiable reasons, in the Corrective Action Log, as well. **Required timeframes for corrective actions are established by regulation and are discussed in Section 4.5 of your SESC Plan.**

Amendments

All SESC Plan Amendments, except minor non-technical revisions, must be approved by the site owner and site operator. The revision must be recorded in the Record of Amendments Log Sheet within the SESC Plan, and dated red-line drawings and/or a detailed written description of the revision must be appended to the SESC Plan. Inspection forms must be revised to reflect all amendments. Update the *Revision Date* and the *Version #* in the footer of the report to reflect amendments made.

The SESC Plan shall be amended whenever there is a change in design, construction, operation, maintenance or other procedure, which has a significant effect on the potential for the discharge of pollutants, or if the SESC Plan proves to be ineffective in achieving its objectives.

*****Remember that the regulations are performance-oriented. Even if all control measures are installed on a site according to the SESC Plan, the site is only in compliance when erosion, runoff, sedimentation, and pollution are effectively controlled. *****

SESC Plan Inspection Report

Project Information			
Name			
Location			
DEM Permit No.			
Site Owner	Name	Phone	Email
Site Operator	Name	Phone	Email
Inspection Information			
Inspector Name	Name	Phone	Email
Inspection Date		Start/End Time	
Inspection Type <input type="checkbox"/> Weekly <input type="checkbox"/> Pre-storm event <input type="checkbox"/> During storm event <input type="checkbox"/> Post-storm event <input type="checkbox"/> Other			
Weather Information			
Last Rain Event Date: Duration (hrs): Approximate Rainfall (in):			
Rain Gauge Location & Source:			
Weather at time of this inspection:			

Check statement that applies then sign and date below:

I, as the designated Inspector, certify that this site has been inspected as required by regulation and I have determined that maintenance and corrective actions are not required at this time.

I, as the designated Inspector, certify that this site has been inspected as required by regulation and I have made the determination that the site requires corrective actions. The required corrective actions are noted within this inspection report.

Inspector:	Print Name	Signature	Date
<p>The Site Operator acknowledges by his/her signature, the receipt of this SESC Plan inspection report and its findings. He/she acknowledges that all recommended corrective actions must be completed and documentation of all such corrective actions must be made in this inspection report per applicable regulations.</p>			
Operator:	Print Name	Signature	Date

Site-specific Control Measures

Number the structural and non-structural stormwater control measures identified in the SESC Plan and on the SESC Site Plans and list them below (add as necessary). Bring a copy of this inspection form and any applicable SESC Site Plans with you during your inspections. This list will assist you to inspect all control measures at your site.

FILL THIS TABLE USING THE SESC PLAN TABLES 2.11 & 3.12.

	Location/Station	Control Measure Description	Installed & Operating Properly?	Assoc. Photo/ Figure #	Corrective Action Needed (Yes or No; if 'Yes', please detail action required)
1	Example 1: Eastern Parcel – Slope No. 4 Adjacent to I-95. Straw Wattles	Straw Wattle. Section Six, Sediment Control Measures, Straw Wattles, Compost Tubes and Fiber Rolls - <i>RI SESC Handbook</i> .	<input type="checkbox"/> Yes <input type="checkbox"/> No		
2	Example 2: Western Parcel – Green Street Construction Entrance	Stone Stabilized Pad. Section Six: Sediment Control Measures – Construction Entrances – <i>RI SESC Handbook</i> .	<input type="checkbox"/> Yes <input type="checkbox"/> No		
3	Example 3: Hospital Main Footings – Excavation Area – SESC Site Plan Sheet No. 3.	Pump Intake Protection Using Stone Filled Sump with Standpipe. Section Six: Sediment Control Measures, Pump Intake Protection, <i>RI SESC Handbook</i> .	<input type="checkbox"/> Yes <input type="checkbox"/> No		
4	Example 4: Bridge Abutment Construction Southbound Bridge Abutment, Bridge No. 244 – SESC Site Plan Sheet No. 18.	Prefabricated Concrete Washout Container with Ramp. Used to contain concrete washout during concrete pouring operations. Section Three: Pollution Prevention and Good Housekeeping, Concrete Washouts, <i>RI SESC Handbook</i> .	<input type="checkbox"/> Yes <input type="checkbox"/> No		
5	INSERT TEXT	INSERT TEXT	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6	Attention Operator:	You must modify this inspection form as the project progresses, control measure locations change, and amendments to the SESC Plan are instituted in the field.	<input type="checkbox"/> Yes <input type="checkbox"/> No		
7			<input type="checkbox"/> Yes <input type="checkbox"/> No		
8			<input type="checkbox"/> Yes <input type="checkbox"/> No		

PROJECT:

INSPECTION DATE:

	Location/Station	Control Measure Description	Installed & Operating Properly?	Assoc. Photo/ Figure #	Corrective Action Needed (Yes or No; if 'Yes', please detail action required)
9			<input type="checkbox"/> Yes <input type="checkbox"/> No		
10			<input type="checkbox"/> Yes <input type="checkbox"/> No		
11			<input type="checkbox"/> Yes <input type="checkbox"/> No		
12			<input type="checkbox"/> Yes <input type="checkbox"/> No		
13			<input type="checkbox"/> Yes <input type="checkbox"/> No		
14			<input type="checkbox"/> Yes <input type="checkbox"/> No		
15			<input type="checkbox"/> Yes <input type="checkbox"/> No		
16			<input type="checkbox"/> Yes <input type="checkbox"/> No		
17			<input type="checkbox"/> Yes <input type="checkbox"/> No		
18			<input type="checkbox"/> Yes <input type="checkbox"/> No		
19			<input type="checkbox"/> Yes <input type="checkbox"/> No		
20			<input type="checkbox"/> Yes <input type="checkbox"/> No		
21			<input type="checkbox"/> Yes <input type="checkbox"/> No		
22			<input type="checkbox"/> Yes <input type="checkbox"/> No		
23			<input type="checkbox"/> Yes <input type="checkbox"/> No		
24			<input type="checkbox"/> Yes <input type="checkbox"/> No		

PROJECT:

INSPECTION DATE:

	Location/Station	Control Measure Description	Installed & Operating Properly?	Assoc. Photo/ Figure #	Corrective Action Needed (Yes or No; if 'Yes', please detail action required)
25			<input type="checkbox"/> Yes <input type="checkbox"/> No		
26			<input type="checkbox"/> Yes <input type="checkbox"/> No		
27			<input type="checkbox"/> Yes <input type="checkbox"/> No		
28			<input type="checkbox"/> Yes <input type="checkbox"/> No		
29			<input type="checkbox"/> Yes <input type="checkbox"/> No		
30			<input type="checkbox"/> Yes <input type="checkbox"/> No		

(add more as necessary)

General Site Issues

Below are some general site issues that should be assessed during inspections. Please **customize** this list as needed for conditions at the site.

	Compliance Question		Assoc. Photo/ Figure #	Corrective Action Needed (If 'Yes', please detail action required and include location/station)
1	Have all control measures been installed as specified in the RISESC Handbook and prior to any earth disturbing activities?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
2	Are appropriate limits of disturbance (LOD) established?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
3	Are controls that limit runoff from exposed soils by diverting, retaining, or detaining flows (such as check dams, sediment basins, etc.) in place?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
4	Are all temporary conveyance practices installed correctly and functioning as designed?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
5	Has maintenance been performed as required to ensure continued proper function of all temporary conveyances practices?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
6	Were all exposed soils seeded by October 15 th ?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
7	Have soils been stabilized where earth disturbance activities have permanently or temporarily ceased on any portion of the site and will not resume for more than 14 days?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
8	In instances where adequate vegetative stabilization was not established by November 15 th , have non-vegetative erosion control measures must be employed?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
9	If work is to continue from October 15 th through April 15 th , are steps taken to ensure that only the day's work area will be exposed and all erodible soil is stabilized within 5 working days?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
10	Have inlet protection measures (such as fabric drop inlet protection, curb drop inlet protection, etc.) been properly installed?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
11	Has the operator cleaned and maintained inlet protection measures when needed?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
12	Has the operator removed accumulated sediment adjacent to inlet protection measures within 24 hours of detection?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		

	Compliance Question		Assoc. Photo/ Figure #	Corrective Action Needed (If 'Yes', please detail action required and include location/station)
13	Has the operator properly installed outlet protection (such as riprap, turf mats, etc.) at all temporary and permanent discharge points?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
14	Are all outlet protection measures functioning properly in order to reduce discharge velocity, promote infiltration, and eliminate scour?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
15	Have all discharge points been inspected to ensure the prevention of scouring and channel erosion?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
16	Have sediment controls been installed along perimeter areas that will receive stormwater from earth disturbing activities?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
17	Is the operator maintaining sediment controls in accordance with the requirements in the <i>RI SESC Handbook</i> ?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
18	Have temporary sediment barriers been installed around permanent infiltration areas (such as bioretention areas, infiltration basins, etc.)?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
19	Have staging areas and equipment routing been implemented to avoid compaction where permanent infiltration areas will be located?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
20	Are surface outlet structures (such as skimmers, siphons, etc.) installed for each temporary sediment basin? [Exception: frozen conditions]	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
21	Have all temporary sediment basins or traps been inspected and maintained as required to ensure proper function?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
22	Does the project include the use of polymers, flocculants, or other chemicals to control erosion, sedimentation, or runoff from the site?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
23	Are all chemicals being managed in accordance with Appendix J of the <i>RI SESC Handbook</i> and current best management practices?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
24	Has the site operator taken steps to prohibit the following pollutant discharges on the site?			
a	Contaminated groundwater.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		

	Compliance Question		Assoc. Photo/ Figure #	Corrective Action Needed (If 'Yes', please detail action required and include location/station)
b	Wastewater from washout of concrete; unless properly contained, managed, and disposed of.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
c	Wastewater from washout and cleanout of stucco, paint, form release oils, curing compounds, and other construction products.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
d	Fuels, oils, or other pollutants used in vehicle and equipment operation and maintenance.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
e	Soaps or solvents used in vehicle and equipment washing.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
f	Toxic or hazardous substances from a spill or other release.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
25	Is the operator using properly constructed entrances/exits to the site so sediment removal occurs prior to vehicles exiting?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
26	If needed, are additional controls (such as rumble strips, rattle plates, etc.) in place to remove sediment from tires prior to exiting?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
27	Is sediment track-out being removed by the end of the same workday in which it occurs (via sweeping, shoveling, or vacuuming)?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
28	Are all wastes generated at the site being managed and properly disposed of by the end of each workday?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
29	Are all chemicals and hazardous waste materials stored properly in covered areas and surrounded by containment control systems?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
30	Has the operator established highly visible locations for the storage of spill prevention and control equipment on the construction site?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
31	Are allowable non-stormwater discharges being managed properly with adequate controls?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
32	Is the site operator properly managing groundwater or stormwater that is removed from excavations, trenches, or similar points of accumulation?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
33	Are proper procedures and controls in place for the storage of materials that may discharge pollutants if	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		

PROJECT:

INSPECTION DATE:

	Compliance Question		Assoc. Photo/ Figure #	Corrective Action Needed (If 'Yes', please detail action required and include location/station)
	exposed to stormwater?			
	Are stockpiles located within the limits of disturbance?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
	Are stockpiles being protected from contact with stormwater using a temporary sediment barrier?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
	Where needed, has cover or appropriate temporary vegetative or structural stabilization been utilized for stockpiles?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
	Is the operator effectively managing the generation of dust through the use of water, chemicals, or minimization of exposed soil?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
	Are designated washout areas (such as wheel washing stations, washout for concrete, paint, stucco, etc.) clearly marked on the site?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
	Are vehicle fueling and maintenance areas properly located to prevent pollutants from impacting stormwater and sensitive receptors?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		
	(Other)			

(add more as necessary)

PROJECT:

INSPECTION DATE:

General Field Comments:

PROJECT:

INSPECTION DATE:

Photos:

(Associated photos – each photo should be dated and have a unique identification # and written description indicating where it is located within the project area. If a close up photo is required, it should be preceded with a photo including both the detail area and some type of visible fixed reference point. Photos should be annotated with Station numbers and other identifying information where needed.)

Photo #: (insert Photo here)	Station:
	Description:

Photo #: (insert Photo here)	Station:
	Description:

Photo #: (insert Photo here)	Station:
	Description:

Photo #: (insert Photo here)	Station:
	Description:

Photo #: (insert Photo here)	Station:
	Description:

Photo #: (insert Photo here)	Station:
	Description:

(add more as necessary)

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SECTION 01 62 35

RECYCLED / RECOVERED MATERIALS

07/06

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 247 Comprehensive Procurement Guideline for
Products Containing Recovered Materials

1.2 OBJECTIVES

Government procurement policy is to acquire, in a cost effective manner, items containing the highest percentage of recycled and recovered materials practicable consistent with maintaining a satisfactory level of competition without adversely affecting performance requirements or exposing suppliers' employees to undue hazards from the recovered materials. The Environmental Protection Agency (EPA) has designated certain items which must contain a specified percent range of recovered or recycled materials. EPA designated products specified in this contract comply with the stated policy and with the EPA guidelines. Make all reasonable efforts to use recycled and recovered materials in providing the EPA designated products and in otherwise utilizing recycled and recovered materials in the execution of the work.

1.3 EPA DESIGNATED ITEMS INCORPORATED IN THE WORK

Various sections of the specifications contain requirements for materials that have been designated by EPA as being products which are or can be made with recovered or recycled materials. These items, when incorporated into the work under this contract, shall contain at least the specified percentage of recycled or recovered materials unless adequate justification (non-availability) for non-use is provided. When a designated item is specified as an option to a non-designated item, the designated item requirements apply only if the designated item is used in the work.

1.4 EPA PROPOSED ITEMS INCORPORATED IN THE WORK

Products other than those designated by EPA are still being researched and are being considered for future Comprehensive Procurement Guideline (CPG) designation. It is recommended that these items, when incorporated in the work under this contract, contain the highest practicable percentage of recycled or recovered materials, provided specified requirements are also met.

1.5 EPA LISTED ITEMS USED IN CONDUCT OF THE WORK BUT NOT INCORPORATED IN
THE WORK

Many products listed in 40 CFR 247 have been designated or proposed by EPA to include recycled or recovered materials that may be used by the Contractor in performing the work but will not be incorporated into the work. These products include office products, temporary traffic control products, and pallets. It is recommended that these non-construction products, when used in the conduct of the work, contain the highest practicable percentage of recycled or recovered materials and that these products be recycled when no longer needed.

PART 2 TITLE

Not Used

PART 3 TITLE

Not Used

-- End of Section --

SECTION 01 78 00

CLOSEOUT SUBMITTALS
08/11

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

As-Built Record of Equipment and Materials
Warranty Management Plan
Warranty Tags
Spare Parts Data

SD-08 Manufacturer's Instructions

Preventative Maintenance
Inspection

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals

SD-11 Closeout Submittals

Record Drawings

Certification of EPA Designated Items; G

1.2 PROJECT RECORD DOCUMENTS

1.2.1 Record Drawings

Drawings showing final as-built conditions of the project. This paragraph covers record drawings complete, as a requirement of the contract. The terms "drawings," "contract drawings," "drawing files," "working record drawings" and "final record drawings" refer to contract drawings which are revised to be used for final record drawings showing as-built conditions.

1.2.1.1 Working Record and Final Record Drawings

Revise 2 sets of paper drawings by red-line process to show the as-built conditions during the prosecution of the project. Keep these working as-built marked drawings current on a weekly basis and at least one set available on the jobsite at all times. Changes from the contract plans which are made in the work or additional information which might be uncovered in the course of construction must be accurately and neatly recorded as they occur by means of details and notes. Prepare final record (as-built) drawings after the completion of each definable feature of work as listed in the Contractor Quality Control Plan (Foundations,

Utilities, Structural Steel, etc., as appropriate for the project). The working as-built marked prints and final record (as-built) drawings will be jointly reviewed for accuracy and completeness by the Contracting Officer and the Contractor prior to submission of each monthly pay estimate. If the Contractor fails to maintain the working and final record drawings as specified herein, the Contracting Officer will deduct from the monthly progress payment an amount representing the estimated cost of maintaining the record drawings. This monthly deduction will continue until an agreement can be reached between the Contracting Officer and the Contractor regarding the accuracy and completeness of updated drawings. Show on the working and final record drawings, but not limited to, the following information:

- a. The actual location, kinds and sizes of all sub-surface utility lines. In order that the location of these lines and appurtenances may be determined in the event the surface openings or indicators become covered over or obscured, show by offset dimensions to two permanently fixed surface features the end of each run including each change in direction on the record drawings. Locate valves, splice boxes and similar appurtenances by dimensioning along the utility run from a reference point. Also record the average depth below the surface of each run.
- b. The location and dimensions of any changes within the building structure.
- c. Correct grade, elevations, cross section, or alignment of roads, earthwork, structures or utilities if any changes were made from contract plans.
- d. Changes in details of design or additional information obtained from working drawings specified to be prepared and/or furnished by the Contractor; including but not limited to fabrication, erection, installation plans and placing details, pipe sizes, insulation material, dimensions of equipment foundations, etc.
- e. The topography, invert elevations and grades of drainage installed or affected as part of the project construction.
- f. Changes or modifications which result from the final inspection.
- g. Where contract drawings or specifications present options, show only the option selected for construction on the final as-built prints.
- h. If borrow material for this project is from sources on Government property, or if Government property is used as a spoil area, furnish a contour map of the final borrow pit/spoil area elevations.
- i. Systems designed or enhanced by the Contractor, such as HVAC controls, fire alarm, fire sprinkler, and irrigation systems.
- j. Modifications (include within change order price the cost to change working and final record drawings to reflect modifications) and compliance with the following procedures.
 - (1) Follow directions in the modification for posting descriptive changes.
 - (2) Place a Modification Delta at the location of each deletion.

- (3) For new details or sections which are added to a drawing, place a Modification Delta by the detail or section title.
- (4) For minor changes, place a Modification Delta by the area changed on the drawing (each location).
- (5) For major changes to a drawing, place a Modification Delta by the title of the affected plan, section, or detail at each location.
- (6) For changes to schedules or drawings, place a Modification Delta either by the schedule heading or by the change in the schedule.
- (7) The Modification Delta size shall be 1/2 inch diameter unless the area where the circle is to be placed is crowded. Smaller size circle shall be used for crowded areas.

1.2.1.2 Drawing Preparation

Modify the record drawings as may be necessary to correctly show the features of the project as it has been constructed by bringing the contract set into agreement with approved working as-built prints, and adding such additional drawings as may be necessary. These working as-built marked prints must be neat, legible and accurate. These drawings are part of the permanent records of this project and must be returned to the Contracting Officer after approval by the Government. Any drawings damaged or lost by the Contractor must be satisfactorily replaced by the Contractor at no expense to the Government.

1.2.1.3 Manually Prepared Drawings

Employ only personnel proficient in the preparation of manually prepared drawings to modify the original contract drawing or prepare additional new drawings. Additions and corrections to the contract drawings must be neat, clean and legible, shall be done to the same level of detail, and match the adjacent existing line work, and lettering being annotated in type, density, size and style. Drafting work must be done using the same medium (pencil, plastic lead or ink) that was employed on the original contract drawings and with graphite lead on paper base material. The Contracting Officer will review record drawings for accuracy and conformance to the above specified drafting standards. Corrections, changes, additions, and deletions required must meet these standards. The title block to be used for any new record drawings must be similar to that used on the original drawings.

- a. When final revisions have been completed, Letter or stamp each drawing with the words "RECORD DRAWINGS / AS-BUILT CONDITIONS" followed by the name of the Contractor in letters at least 3/16 inch high. Mark original contract drawings either "Record" drawings denoting no revisions on the sheet or "Revised Record" denoting one or more revisions Date all original contract drawings in the revision block.
- b. Within 10 days for contracts less than \$5 million after Government approval of all of the working record drawings for a phase of work, prepare the final record drawings for that phase of work and submit two sets of blue-line prints of these drawings for Government review and approval. The Government will promptly return one set of prints annotated with any necessary corrections. Within 7 days for contracts less than \$5 million revise the drawings accordingly at no additional

cost and submit one set of final prints for the completed phase of work to the Government. Within 10 days for contracts less than \$5 million of substantial completion of all phases of work, submit the final record drawing package for the entire project. Submit two blue-line prints of these drawings and the return of the approved marked record prints, complete in all details. Paper prints and reproducible drawings will become the property of the Government upon final approval. Failure to submit final record drawings and marked prints, as required herein, will be cause for withholding any payment due the Contractor under this contract. Approval and acceptance of final record drawings must be accomplished before final payment is made to the Contractor.

1.2.1.4 Payment

No separate payment will be made for record drawings required under this contract, and all costs accrued in connection with such drawings are considered a subsidiary obligation of the Contractor.

1.2.2 As-Built Record of Equipment and Materials

Furnish one copy of preliminary record of equipment and materials used on the project 15 days prior to final inspection. This preliminary submittal will be reviewed and returned 5 days after final inspection with Government comments. Submit Two sets of final record of equipment and materials 10 days after final inspection. Key the designations to the related area depicted on the contract drawings. List the following data:

RECORD OF DESIGNATED EQUIPMENT AND MATERIALS DATA				
Description	Specification Section	Manufacturer and Catalog, Model, and Serial Number	Composition and Size	Where Used

1.2.3 Final Approved Shop Drawings

Furnish final approved project shop drawings 30 days after transfer of the completed facility.

1.2.4 Construction Contract Specifications

Furnish final record (as-built) construction contract specifications, including modifications thereto, 30 days after transfer of the completed facility.

1.2.5 Real Property Equipment

Furnish a list of installed equipment furnished under this contract. Include all information usually listed on manufacturer's name plate. In the "EQUIPMENT-IN-PLACE LIST" include, as applicable, the following for each piece of equipment installed: description of item, location (by room number), model number, serial number, capacity, name and address of manufacturer, name and address of equipment supplier, condition, spare parts list, manufacturer's catalog, and warranty. Furnish a draft list at time of transfer. Furnish the final list 30 days after transfer of the completed facility.

1.3 SPARE PARTS DATA

Submit two copies of the Spare Parts Data list.

- a. Indicate manufacturer's name, part number, nomenclature, and stock level required for maintenance and repair. List those items that may be standard to the normal maintenance of the system.
- b. Supply 1 items of each part for spare parts inventory. Provision of spare parts does not relieve the Contractor of responsibilities listed under the contract guarantee provisions.

1.4 PREVENTATIVE MAINTENANCE

Submit Preventative Maintenance, and Inspection schedules with instructions that state when systems should be retested.

- a. Define the anticipated length of each test, test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability requirements within the schedule. Provide a signoff blank for the Contractor and Contracting Officer for each test feature; e.g., gpm, rpm, psi. Include a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments, cleaning, or special system notes. Delineate procedures for preventative maintenance, inspection, adjustment, lubrication and cleaning necessary to minimize corrective maintenance and repair.
- b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

1.5 CERTIFICATION OF EPA DESIGNATED ITEMS

Submit the Certification of EPA Designated Items as required by FAR 52.223-9, "Certification and Estimate of Percentage of Recovered Material Content for EPA Designated Items". Include on the certification form the following information: project name, project number, Contractor name, license number, Contractor address, and certification. The certification will read as follows and be signed and dated by the Contractor. Record each product used in the project that has a requirement or option of containing recycled content in accordance with Section 01 62 35 RECYCLED/RECOVERED MATERIALS, noting total price, total value of post-industrial recycled content, total value of post-consumer recycled content, exemptions (1, 2, 3, or 4, as indicated), and comments. Recycled content values may be determined by weight or volume percent, but must be consistent throughout.

1.6 WARRANTY MANAGEMENT

1.6.1 Warranty Management Plan

Develop a warranty management plan which contains information relevant to the clause Warranty of Construction. At least 30 days before the planned pre-warranty conference, submit one set of the warranty management plan.

Include within the warranty management plan all required actions and documents to assure that the Government receives all warranties to which it is entitled. The plan must be in narrative form and contain sufficient detail to render it suitable for use by future maintenance and repair personnel, whether tradesmen, or of engineering background, not necessarily familiar with this contract. The term "status" as indicated below must include due date and whether item has been submitted or was accomplished. Warranty information made available during the construction phase must be submitted to the Contracting Officer for approval prior to each monthly pay estimate. Assemble approved information in a binder and turn over to the Government upon acceptance of the work. The construction warranty period will begin on the date of project acceptance and continue for the full product warranty period. A joint 4 month and 9 month warranty inspection will be conducted, measured from time of acceptance, by the Contractor, Contracting Officer and the Customer Representative. Include within the warranty management plan , but not limited to, the following:

- a. Roles and responsibilities of all personnel associated with the warranty process, including points of contact and telephone numbers within the organizations of the Contractors, subContractors, manufacturers or suppliers involved.
- b. Furnish with each warranty the name, address, and telephone number of each of the guarantor's representatives nearest to the project location.
- c. Listing and status of delivery of all Certificates of Warranty for extended warranty items, to include roofs, HVAC balancing, pumps, motors, transformers, and for all commissioned systems such as fire protection and alarm systems, sprinkler systems, lightning protection systems, etc.
- d. A list for each warranted equipment, item, feature of construction or system indicating:
 - (1) Name of item.
 - (2) Model and serial numbers.
 - (3) Location where installed.
 - (4) Name and phone numbers of manufacturers or suppliers.
 - (5) Names, addresses and telephone numbers of sources of spare parts.
 - (6) Warranties and terms of warranty. Include one-year overall warranty of construction, including the starting date of warranty of construction. Items which have extended warranties must be indicated with separate warranty expiration dates.
 - (7) Cross-reference to warranty certificates as applicable.
 - (8) Starting point and duration of warranty period.
 - (9) Summary of maintenance procedures required to continue the warranty in force.
 - (10) Cross-reference to specific pertinent Operation and Maintenance manuals.
 - (11) Organization, names and phone numbers of persons to call for warranty service.
 - (12) Typical response time and repair time expected for various warranted equipment.
- e. The Contractor's plans for attendance at the 4 and 9 month post-construction warranty inspections conducted by the Government.

- f. Procedure and status of tagging of all equipment covered by extended warranties.
- g. Copies of instructions to be posted near selected pieces of equipment where operation is critical for warranty and/or safety reasons.

1.6.2 Performance Bond

The Contractor's Performance Bond must remain effective throughout the construction period.

- a. In the event the Contractor fails to commence and diligently pursue any construction warranty work required, the Contracting Officer will have the work performed by others, and after completion of the work, will charge the remaining construction warranty funds of expenses incurred by the Government while performing the work, including, but not limited to administrative expenses.
- b. In the event sufficient funds are not available to cover the construction warranty work performed by the Government at the Contractor's expense, the Contracting Officer will have the right to recoup expenses from the bonding company.
- c. Following oral or written notification of required construction warranty repair work, respond in a timely manner. Written verification will follow oral instructions. Failure of the Contractor to respond will be cause for the Contracting Officer to proceed against the Contractor.

1.6.3 Pre-Warranty Conference

Prior to contract completion, and at a time designated by the Contracting Officer, meet with the Contracting Officer to develop a mutual understanding with respect to the requirements of this section. Communication procedures for Contractor notification of construction warranty defects, priorities with respect to the type of defect, reasonable time required for Contractor response, and other details deemed necessary by the Contracting Officer for the execution of the construction warranty will be established/reviewed at this meeting. In connection with these requirements and at the time of the Contractor's quality control completion inspection, furnish the name, telephone number and address of a licensed and bonded company which is authorized to initiate and pursue construction warranty work action on behalf of the Contractor. This point of contact will be located within the local service area of the warranted construction, be continuously available, and be responsive to Government inquiry on warranty work action and status. This requirement does not relieve the Contractor of any of its responsibilities in connection with other portions of this provision.

1.6.4 Warranty Tags

At the time of installation, tag each warranted item with a durable, oil and water resistant tag approved by the Contracting Officer. Attach each tag with a copper wire and spray with a silicone waterproof coating. Also, submit two record copies of the warranty tags showing the layout and design. The date of acceptance and the QC signature must remain blank until the project is accepted for beneficial occupancy. Show the following information on the tag.

Type of product/material	
Model number	
Serial number	
Contract number	
Warranty period from/to	
Inspector's signature	
Construction Contractor	
Address	
Telephone number	
Warranty contact	
Address	
Telephone number	
Warranty response time priority code	
WARNING - PROJECT PERSONNEL TO PERFORM ONLY OPERATIONAL MAINTENANCE DURING THE WARRANTY PERIOD.	

1.7 OPERATION AND MAINTENANCE MANUALS

Submit 6 copies of the project operation and maintenance manuals 30 calendar days prior to testing the system involved. Update and resubmit data for final approval no later than 30 calendar days prior to contract completion.

1.7.1 Configuration

Operation and Maintenance Manuals must be consistent with the manufacturer's standard brochures, schematics, printed instructions, general operating procedures, and safety precautions. Bind information in manual format and grouped by technical sections. Test data must be legible and of good quality. Light-sensitive reproduction techniques are acceptable provided finished pages are clear, legible, and not subject to fading. Pages for vendor data and manuals must have 0.3937-inch holes and be bound in 3-ring, loose-leaf binders. Organize data by separate index and tabbed sheets, in a loose-leaf binder. Binder must lie flat with printed sheets that are easy to read. Caution and warning indications must be clearly labeled.

1.7.2 Training and Instruction

Submit classroom and field instructions in the operation and maintenance of systems equipment where required by the technical provisions. These services must be directed by the Contractor, using the manufacturer's

factory-trained personnel or qualified representatives. Contracting Officer will be given 7 calendar days written notice of scheduled instructional services. Instructional materials belonging to the manufacturer or vendor, such as lists, static exhibits, and visual aids, must be made available to the Contracting Officer.

1.8 CLEANUP

Sweep paved areas and rake clean landscaped areas. Remove waste and surplus materials, rubbish and construction facilities from the site. Recycle, salvage, and return construction and demolition waste from project in accordance with the Waste Management Plan. Promptly and legally transport and dispose of any trash. Do not burn, bury, or otherwise dispose of trash on the project site.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

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SECTION 01 78 23

OPERATION AND MAINTENANCE DATA

07/06

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM E1971 (2005; R 2011) Stewardship for the Cleaning of Commercial and Institutional Buildings

1.2 SUBMISSION OF OPERATION AND MAINTENANCE DATA

Submit Operation and Maintenance (O&M) Data specifically applicable to this contract and a complete and concise depiction of the provided equipment, product, or system, stressing and enhancing the importance of system interactions, troubleshooting, and long-term preventative maintenance and operation. The subcontractors must compile and prepare data and deliver to the Contractor prior to the training of Government personnel. The Contractor must compile and prepare aggregate O&M data including clarifying and updating the original sequences of operation to as-built conditions. Organize and present information in sufficient detail to clearly explain O&M requirements at the system, equipment, component, and subassembly level. Include an index preceding each submittal. Submit in accordance with this section and Section 01 33 00 SUBMITTAL PROCEDURES.

1.2.1 Package Quality

Documents must be fully legible. Poor quality copies and material with hole punches obliterating the text or drawings will not be accepted.

1.2.2 Package Content

Data package content shall be as shown in the paragraph titled "Schedule of Operation and Maintenance Data Packages." Comply with the data package requirements specified in the individual technical sections, including the content of the packages and addressing each product, component, and system designated for data package submission, except as follows. Commissioned items without a specified data package requirement in the individual technical sections must use Data Package 3. Commissioned items with a Data Package 1 or 2 requirement must use instead Data Package 3.

1.2.3 Changes to Submittals

Manufacturer-originated changes or revisions to submitted data must be furnished by the Contractor if a component of an item is so affected subsequent to acceptance of the O&M Data. Submit changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data within 30 calendar days of the notification of this change

requirement.

1.2.4 Review and Approval

The must review the commissioned systems and equipment submittals for completeness and applicability. The Government must verify that the systems and equipment provided meet the requirements of the Contract documents and design intent, particularly as they relate to functionality, energy performance, water performance, maintainability, sustainability, system cost, indoor environmental quality, and local environmental impacts. This work is in addition to the normal review procedures for O&M data.

1.3 TYPES OF INFORMATION REQUIRED IN O&M DATA PACKAGES

1.3.1 Operating Instructions

Include specific instructions, procedures, and illustrations for the following phases of operation for the installed model and features of each system:

1.3.1.1 Safety Precautions

List personnel hazards and equipment or product safety precautions for all operating conditions.

1.3.1.2 Operator Prestart

Include procedures required to install, set up, and prepare each system for use.

1.3.1.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown and Post-shutdown operating procedures including the control sequence for each procedure.

1.3.1.4 Normal Operations

Provide narrative description of Normal Operating Procedures. Include Control Diagrams with data to explain operation and control of systems and specific equipment.

1.3.1.5 Emergency Operations

Include Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shut down the equipment to prevent further damage to systems and equipment. Include Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of all utility systems including required valve positions, valve locations and zones or portions of systems controlled.

1.3.1.6 Operator Service Requirements

Include instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gage readings.

1.3.1.7 Environmental Conditions

Include a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component or system. Describe conditions under which the item equipment should not be allowed to run.

1.3.2 Preventive Maintenance

Include the following information for preventive and scheduled maintenance to minimize corrective maintenance and repair for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials.

1.3.2.1 Lubrication Data

Include preventative maintenance lubrication data, in addition to instructions for lubrication provided under paragraph titled "Operator Service Requirements":

- a. A table showing recommended lubricants for specific temperature ranges and applications.
- b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities.
- c. A Lubrication Schedule showing service interval frequency.

1.3.2.2 Preventive Maintenance Plan and Schedule

Include manufacturer's schedule for routine preventive maintenance, inspections, tests and adjustments required to ensure proper and economical operation and to minimize corrective maintenance. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including craft requirements by type of craft. For periodic calibrations, provide manufacturer's specified frequency and procedures for each separate operation.

1.3.2.3 Cleaning Recommendations

Provide environmentally preferable cleaning recommendations in accordance with ASTM E1971.

1.3.3 Corrective Maintenance (Repair)

Include manufacturer's recommended procedures and instructions for correcting problems and making repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials.

1.3.3.1 Troubleshooting Guides and Diagnostic Techniques

Include step-by-step procedures to promptly isolate the cause of typical malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

1.3.3.2 Wiring Diagrams and Control Diagrams

Wiring diagrams and control diagrams shall be point-to-point drawings of wiring and control circuits including factory-field interfaces. Provide a complete and accurate depiction of the actual job specific wiring and control work. On diagrams, number electrical and electronic wiring and pneumatic control tubing and the terminals for each type, identically to actual installation configuration and numbering.

1.3.3.3 Maintenance and Repair Procedures

Include instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards.

1.3.3.4 Removal and Replacement Instructions

Include step-by-step procedures and a list required tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings and adjustments required. Instructions shall include a combination of text and illustrations.

1.3.3.5 Spare Parts and Supply Lists

Include lists of spare parts and supplies required for maintenance and repair to ensure continued service or operation without unreasonable delays. Special consideration is required for facilities at remote locations. List spare parts and supplies that have a long lead-time to obtain.

1.3.4 Corrective Maintenance Work-Hours

Include manufacturer's projection of corrective maintenance work-hours including requirements by type of craft. Corrective maintenance that requires completion or participation of the equipment manufacturer shall be identified and tabulated separately.

1.3.5 Appendices

Provide information required below and information not specified in the preceding paragraphs but pertinent to the maintenance or operation of the product or equipment. Include the following:

1.3.5.1 Product Submittal Data

Provide a copy of all SD-03 Product Data submittals required in the applicable technical sections.

1.3.5.2 Manufacturer's Instructions

Provide a copy of all SD-08 Manufacturer's Instructions submittals required in the applicable technical sections.

1.3.5.3 O&M Submittal Data

Provide a copy of all SD-10 Operation and Maintenance Data submittals required in the applicable technical sections.

1.3.5.4 Parts Identification

Provide identification and coverage for all parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model, serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing shall show the index, reference, or key number that will cross-reference the illustrated part to the listed part. Parts shown in the listings shall be grouped by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master parts catalog

1.3.5.5 Warranty Information

List and explain the various warranties and clearly identify the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Include warranty information for primary components such as the compressor of air conditioning system.

1.3.5.6 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

1.3.5.7 Testing Equipment and Special Tool Information

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components.

1.3.5.8 Testing and Performance Data

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms.

1.3.5.9 Contractor Information

Provide a list that includes the name, address, and telephone number of the General Contractor and each Subcontractor who installed the product or equipment, or system. For each item, also provide the name address and telephone number of the manufacturer's representative and service organization that can provide replacements most convenient to the project site. Provide the name, address, and telephone number of the product, equipment, and system manufacturers.

1.4 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Furnish the O&M data packages specified in individual technical sections. The required information for each O&M data package is as follows:

1.4.1 Data Package 1

- a. Safety precautions
- b. Cleaning recommendations
- c. Maintenance and repair procedures
- d. Warranty information
- e. Contractor information
- f. Spare parts and supply list

1.4.2 Data Package 2

- a. Safety precautions
- b. Normal operations
- c. Environmental conditions
- d. Lubrication data
- e. Preventive maintenance plan and schedule
- f. Cleaning recommendations
- g. Maintenance and repair procedures
- h. Removal and replacement instructions
- i. Spare parts and supply list
- j. Parts identification
- k. Warranty information
- l. Contractor information

1.4.3 Data Package 3

- a. Safety precautions
- b. Operator prestart
- c. Startup, shutdown, and post-shutdown procedures
- d. Normal operations
- e. Emergency operations
- f. Environmental conditions
- g. Lubrication data
- h. Preventive maintenance plan and schedule
- i. Cleaning recommendations

- j. Troubleshooting guides and diagnostic techniques
- k. Wiring diagrams and control diagrams
- l. Maintenance and repair procedures
- m. Removal and replacement instructions
- n. Spare parts and supply list
- o. Product submittal data
- p. O&M submittal data
- q. Parts identification
- r. Warranty information
- s. Testing equipment and special tool information
- t. Testing and performance data
- u. Contractor information

1.4.4 Data Package 4

- a. Safety precautions
- b. Operator prestart
- c. Startup, shutdown, and post-shutdown procedures
- d. Normal operations
- e. Emergency operations
- f. Operator service requirements
- g. Environmental conditions
- h. Lubrication data
- i. Preventive maintenance plan and schedule
- j. Cleaning recommendations
- k. Troubleshooting guides and diagnostic techniques
- l. Wiring diagrams and control diagrams
- m. Maintenance and repair procedures
- n. Removal and replacement instructions
- o. Spare parts and supply list
- p. Corrective maintenance man-hours

- q. Product submittal data
- r. O&M submittal data
- s. Parts identification
- t. Warranty information
- u. Personnel training requirements
- v. Testing equipment and special tool information
- w. Testing and performance data
- x. Contractor information

1.4.5 Data Package 5

- a. Safety precautions
- b. Operator prestart
- c. Start-up, shutdown, and post-shutdown procedures
- d. Normal operations
- e. Environmental conditions
- f. Preventive maintenance plan and schedule
- g. Troubleshooting guides and diagnostic techniques
- h. Wiring and control diagrams
- i. Maintenance and repair procedures
- j. Removal and replacement instructions
- k. Spare parts and supply list
- l. Product submittal data
- m. Manufacturer's instructions
- n. O&M submittal data
- o. Parts identification
- p. Testing equipment and special tool information
- q. Warranty information
- r. Testing and performance data
- s. Contractor information

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

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SECTION 02 41 00

DEMOLITION
05/10

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SAFETY ENGINEERS (ASSE/SAFE)

ASSE/SAFE A10.6 (2006) Safety Requirements for Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2008; Errata 2011) Safety and Health Requirements Manual

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 61 National Emission Standards for Hazardous Air Pollutants

1.2 PROJECT DESCRIPTION

1.2.1 Demolition

Prepare a Demolition Plan and submit proposed demolition and removal procedures for approval before work is started. Include in the plan procedures for careful removal and disposition of materials, coordination with other work in progress, a detailed description of methods and equipment to be used for each operation and of the sequence of operations. Identify components and materials to be salvaged for reuse or recycling with reference to paragraph Existing Facilities to be Removed. Append tracking forms for all removed materials indicating type, quantities, condition, destination, and end use. Coordinate with Waste Management Plan. Provide procedures for safe conduct of the work in accordance with EM 385-1-1. Plan shall be approved by Contracting Officer prior to work beginning.

1.2.2 General Requirements

Do not begin demolition until authorization is received from the Contracting Officer. The work of this section is to be performed in a manner that maximizes the value derived from the salvage and recycling of materials. Remove rubbish and debris from the project site; do not allow accumulations. The work includes demolition, salvage of identified items and materials, and removal of resulting rubbish and debris. Remove rubbish and debris from Government property daily, unless otherwise directed. Store materials that cannot be removed daily in areas specified by the Contracting Officer. In the interest of occupational safety and health, perform the work in accordance with EM 385-1-1, Section 23,

Demolition, and other applicable Sections.

1.3 ITEMS TO REMAIN IN PLACE

Take necessary precautions to avoid damage to existing items to remain in place, to be reused, or to remain the property of the Government. Repair or replace damaged items as approved by the Contracting Officer. Coordinate the work of this section with all other work indicated. Construct and maintain shoring, bracing, and supports as required. Ensure that structural elements are not overloaded. Increase structural supports or add new supports as may be required as a result of any cutting, removal, deconstruction, or demolition work performed under this contract. Do not overload pavements to remain. Provide new supports and reinforcement for existing construction weakened by demolition, deconstruction, or removal work. Repairs, reinforcement, or structural replacement require approval by the Contracting Officer prior to performing such work.

1.3.1 Existing Construction Limits and Protection

Do not disturb existing construction beyond the extent indicated or necessary for installation of new construction. Provide temporary shoring and bracing for support of building components to prevent settlement or other movement. Provide protective measures to control accumulation and migration of dust and dirt in all work areas. Remove snow, dust, dirt, and debris from work areas daily.

1.3.2 Weather Protection

For portions of the building to remain, protect building interior and materials and equipment from the weather at all times. Where removal of existing roofing is necessary to accomplish work, have materials and workmen ready to provide adequate and temporary covering of exposed areas.

1.3.3 Trees

Protect trees within the project site which might be damaged during demolition or deconstruction, and which are indicated to be left in place, by a 6 foot high fence. Erect and secure fence a minimum of 5 feet from the trunk of individual trees or follow the outer perimeter of branches or clumps of trees. Replace any tree designated to remain that is damaged during the work under this contract with like-kind or as approved by the Contracting Officer.

1.3.4 Utility Service

Maintain existing utilities indicated to stay in service and protect against damage during demolition and deconstruction operations. Prior to start of work, utilities serving each area of alteration or removal will be shut off by the Government and disconnected and sealed by the Contractor.

1.3.5 Facilities

Protect electrical and mechanical services and utilities. Where removal of existing utilities and pavement is specified or indicated, provide approved barricades, temporary covering of exposed areas, and temporary services or connections for electrical and mechanical utilities. Floors, roofs, walls, columns, pilasters, and other structural components that are designed and constructed to stand without lateral support or shoring, and

are determined to be in stable condition, must remain standing without additional bracing, shoring, or lateral support until demolished or deconstructed, unless directed otherwise by the Contracting Officer. Ensure that no elements determined to be unstable are left unsupported and place and secure bracing, shoring, or lateral supports as may be required as a result of any cutting, removal, deconstruction, or demolition work performed under this contract.

1.4 BURNING

The use of burning at the project site for the disposal of refuse and debris will not be permitted.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Demolition Plan; G
Existing Conditions

SD-07 Certificates

Notification; G

SD-11 Closeout Submittals

Receipts

1.6 QUALITY ASSURANCE

Submit timely notification of demolition projects to Federal, State, regional, and local authorities in accordance with 40 CFR 61, Subpart M. Notify the State's environmental protection agency and the Contracting Officer in writing 10 working days prior to the commencement of work in accordance with 40 CFR 61, Subpart M. Comply with federal, state, and local hauling and disposal regulations. In addition to the requirements of the "Contract Clauses," conform to the safety requirements contained in ASSE/SAFE A10.6. Comply with the Environmental Protection Agency requirements specified. Use of explosives will not be permitted.

1.6.1 Dust and Debris Control

Prevent the spread of dust and debris and avoid the creation of a nuisance or hazard in the surrounding area. Do not use water if it results in hazardous or objectionable conditions such as, but not limited to, ice, flooding, or pollution. Sweep pavements as often as necessary to control the spread of debris.

1.7 PROTECTION

1.7.1 Traffic Control Signs

a. Where pedestrian and driver safety is endangered in the area of removal work, use traffic barricades with flashing lights. Notify the

Contracting Officer prior to beginning such work.

1.7.2 Protection of Personnel

Before, during and after the demolition work continuously evaluate the condition of the structure being demolished and take immediate action to protect all personnel working in and around the project site. No area, section, or component of floors, roofs, walls, columns, pilasters, or other structural element will be allowed to be left standing without sufficient bracing, shoring, or lateral support to prevent collapse or failure while workmen remove debris or perform other work in the immediate area.

1.8 RELOCATIONS

Perform the removal and reinstallation of relocated items as indicated with workmen skilled in the trades involved. Repair or replace items to be relocated which are damaged by the Contractor with new undamaged items as approved by the Contracting Officer.

1.9 EXISTING CONDITIONS

Before beginning any demolition or deconstruction work, survey the site and examine the drawings and specifications to determine the extent of the work. Record existing conditions in the presence of the Contracting Officer showing the condition of structures and other facilities adjacent to areas of alteration or removal. Photographs sized 4 inch will be acceptable as a record of existing conditions. Include in the record the elevation of the top of foundation walls, elevations, possible conflicting electrical conduits, plumbing lines, alarms systems, the location and extent of existing cracks and other damage and description of surface conditions that exist prior to before starting work. It is the Contractor's responsibility to verify and document all required outages which will be required during the course of work, and to note these outages on the record document. Submit survey results.

PART 2 PRODUCTS

2.1 FILL MATERIAL

- a. Comply with excavating, backfilling, and compacting procedures for soils used as backfill material to fill basements, voids, depressions or excavations resulting from demolition or deconstruction of structures.

PART 3 EXECUTION

3.1 EXISTING FACILITIES TO BE REMOVED

Inspect and evaluate existing structures onsite for reuse. Existing construction scheduled to be removed for reuse shall be disassembled. Dismantled and removed materials are to be separated, set aside, and prepared as specified, and stored or delivered to a collection point for reuse, remanufacture, recycling, or other disposal, as specified. Materials shall be designated for reuse onsite whenever possible.

3.1.1 Structures

- a. Remove existing structures indicated to be removed to grade. Break up

slabs to permit drainage. Remove curbs, gutters and pavement as indicated.

- b. Demolish structures in a systematic manner from the top of the structure to the ground. Complete demolition work above each tier before the supporting members on the lower level are disturbed.
- c. Locate demolition equipment so as to not impose excessive loads to supporting elements.

3.1.2 Utilities and Related Equipment

3.1.2.1 General Requirements

Do not interrupt existing utilities serving occupied or used facilities, except when authorized in writing by the Contracting Officer. Do not interrupt existing utilities serving facilities occupied and used by the Government except when approved in writing and then only after temporary utility services have been approved and provided. Do not begin demolition or deconstruction work until all utility disconnections have been made. Shut off and cap utilities for future use, as indicated.

3.1.2.2 Disconnecting Existing Utilities

Remove existing utilities uncovered by work and terminate in a manner conforming to the nationally recognized code covering the specific utility and approved by the Contracting Officer. When utility lines are encountered but are not indicated on the drawings, notify the Contracting Officer prior to further work in that area. Remove meters and related equipment and deliver to a location on the station in accordance with instructions of the Contracting Officer.

3.1.3 Paving and Slabs

Remove sawcut concrete and asphaltic concrete paving and slabs as indicated. Provide neat sawcuts at limits of pavement removal as indicated. Pavement and slabs designated to be recycled and utilized in this project shall be moved, ground and stored as directed by the Contracting Officer. Pavement and slabs not to be used in this project shall be removed from the Installation at Contractor's expense.

3.1.4 Concrete

Saw concrete along straight lines as indicated.

3.1.5 Patching

Where removals leave holes and damaged surfaces exposed in the finished work, patch and repair these holes and damaged surfaces to match adjacent finished surfaces, using on-site materials when available. Where new work is to be applied to existing surfaces, perform removals and patching in a manner to produce surfaces suitable for receiving new work. Finished surfaces of patched area shall be flush with the adjacent existing surface and shall match the existing adjacent surface as closely as possible as to texture and finish. Patching shall be as specified and indicated, and shall include:

- a. Concrete and Masonry: Completely fill holes and depressions, caused by previous physical damage or left as a result of removals in existing

masonry walls to remain, with an approved masonry patching material, applied in accordance with the manufacturer's printed instructions.

3.2 CONCURRENT EARTH-MOVING OPERATIONS

Do not begin excavation, filling, and other earth-moving operations that are sequential to demolition or deconstruction work in areas occupied by structures to be demolished or deconstructed until all demolition and deconstruction in the area has been completed and debris removed. Fill holes and other hazardous openings.

3.3 DISPOSITION OF MATERIAL

3.3.1 Title to Materials

Except for salvaged items specified in related Sections, and for materials or equipment scheduled for salvage, all materials and equipment removed and not reused or salvaged, shall become the property of the Contractor and shall be removed from Government property. Title to materials resulting from demolition and deconstruction, and materials and equipment to be removed, is vested in the Contractor upon approval by the Contracting Officer of the Contractor's demolition, deconstruction, and removal procedures, and authorization by the Contracting Officer to begin demolition and deconstruction. The Government will not be responsible for the condition or loss of, or damage to, such property after contract award. Showing for sale or selling materials and equipment on site is prohibited.

3.4 CLEANUP

Remove debris and rubbish from basement and similar excavations. Remove and transport the debris in a manner that prevents spillage on streets or adjacent areas. Apply local regulations regarding hauling and disposal.

3.5 DISPOSAL OF REMOVED MATERIALS

3.5.1 Regulation of Removed Materials

Dispose of debris, rubbish, scrap, and other nonsalvageable materials resulting from removal operations with all applicable federal, state and local regulations as contractually specified in the Waste Management Plan. Storage of removed materials on the project site is prohibited.

3.5.2 Burning on Government Property

Burning of materials removed from demolished and deconstructed structures will not be permitted on Government property.

3.5.3 Removal from Government Property

Transport waste materials removed from demolished and deconstructed structures, except waste soil, from Government property for legal disposal. Dispose of waste soil as directed.

-- End of Section --

SECTION 02 82 16.00 20

ENGINEERING CONTROL OF ASBESTOS CONTAINING MATERIALS
04/06

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

- | | |
|------------|---|
| ANSI Z88.2 | (1992) Respiratory Protection |
| ANSI Z9.2 | (2001) Fundamentals Governing the Design and Operation of Local Exhaust Ventilation Systems |

ASTM INTERNATIONAL (ASTM)

- | | |
|-------------|--|
| ASTM C 732 | (2001) Aging Effects of Artificial Weathering on Latex Sealants |
| ASTM D 1331 | (1989; R 2001) Surface and Interfacial Tension of Solutions of Surface-Active Agents |
| ASTM D 2794 | (1993; R 2004) Resistance of Organic Coatings to the Effects of Rapid Deformation (Impact) |
| ASTM D 522 | (1993a; R 2001) Mandrel Bend Test of Attached Organic Coatings |
| ASTM E 119 | (2000a) Fire Tests of Building Construction and Materials |
| ASTM E 1368 | (2003) Visual Inspection of Asbestos Abatement Projects |
| ASTM E 736 | (2000) Cohesion/Adhesion of Sprayed Fire-Resistive Materials Applied to Structural Members |
| ASTM E 84 | (2005) Surface Burning Characteristics of Building Materials |
| ASTM E 96 | (2005) Water Vapor Transmission of Materials |

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

- | | |
|------------------|------------------------|
| 29 CFR 1926.103 | Respiratory Protection |
| 29 CFR 1926.1101 | Asbestos |

29 CFR 1926.200	Accident Prevention Signs and Tags
29 CFR 1926.51	Sanitation
29 CFR 1926.59	Hazard Communication
40 CFR 61-SUBPART A	General Provisions
40 CFR 61-SUBPART M	(2004) National Emission Standards for Hazardous Air Pollutants - Asbestos
40 CFR 763	Asbestos

U.S. NAVAL FACILITIES ENGINEERING COMMAND (NAVFAC)

ND OPNAVINST 5100.23	(Rev D) Navy Occupational Safety and Health (NAVOSH) Program Manual
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UNDERWRITERS LABORATORIES (UL)

UL 586	(1996; Rev thru Apr 2000) High-Efficiency, Particulate, Air Filter Units
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1.2 DEFINITIONS

1.2.1 ACM

Asbestos Containing Materials.

1.2.2 Amended Water

Water containing a wetting agent or surfactant with a maximum surface tension of 29 dynes per centimeter when tested in accordance with ASTM D 1331.

1.2.3 Area Sampling

Sampling of asbestos fiber concentrations which approximates the concentrations of asbestos in the theoretical breathing zone but is not actually collected in the breathing zone of an employee.

1.2.4 Asbestos

The term asbestos includes chrysotile, amosite, crocidolite, tremolite asbestos, anthophyllite asbestos, and actinolite asbestos and any of these minerals that has been chemically treated or altered. Materials are considered to contain asbestos if the asbestos content of the material is determined to be at least one percent.

1.2.5 Asbestos Control Area

That area where asbestos removal operations are performed which is isolated by physical boundaries which assist in the prevention of the uncontrolled release of asbestos dust, fibers, or debris.

1.2.6 Asbestos Fibers

Those fibers having an aspect ratio of at least 3:1 and longer than 5

micrometers as determined by National Institute for Occupational Safety and Health (NIOSH) Method 7400.

1.2.7 Asbestos Permissible Exposure Limit

0.1 fibers per cubic centimeter of air as an 8-hour time weighted average measured in the breathing zone as defined by 29 CFR 1926.1101 or other Federal legislation having legal jurisdiction for the protection of workers health.

1.2.8 Background

The ambient airborne asbestos concentration in an uncontaminated area as measured prior to any asbestos hazard abatement efforts. Background concentrations for other (contaminated) areas are measured in similar but asbestos free locations.

1.2.9 Contractor

The Contractor is that individual, or entity under contract to the Navy to perform the herein listed work.

1.2.10 Competent Person

A person meeting the requirements for competent person as specified in 29 CFR 1926.1101 including a person capable of identifying existing asbestos hazards in the workplace and selecting the appropriate control strategy for asbestos exposure, who has the authority to take prompt corrective measures to eliminate them, and is specifically trained in a training course which meet the criteria of EPA's Model Accreditation Plan (40 CFR 763) for project designer or supervisor, or its equivalent. The competent person shall have a current State of Rhode Island asbestos contractors or supervisors license.

1.2.11 Encapsulation

The abatement of an asbestos hazard through the appropriate use of chemical encapsulants.

1.2.12 Encapsulants

Specific materials in various forms used to chemically or physically entrap asbestos fibers in various configurations to prevent these fibers from becoming airborne. There are four types of encapsulants as follows which must comply with performance requirements as specified herein.

- a. Removal Encapsulant (can be used as a wetting agent)
- b. Bridging Encapsulant (used to provide a tough, durable surface coating to asbestos containing material)
- c. Penetrating Encapsulant (used to penetrate the asbestos containing material encapsulating all asbestos fibers and preventing fiber release due to routine mechanical damage)
- d. Lock-Down Encapsulant (used to seal off or "lock-down" minute asbestos fibers left on surfaces from which asbestos containing material has been removed).

1.2.13 Friable Asbestos Material

One percent asbestos containing material that can be crumbled, pulverized, or reduced to powder by hand pressure when dry.

1.2.14 Glovebag Technique

Those asbestos removal and control techniques put forth in 29 CFR 1926.1101 Appendix G.

1.2.15 HEPA Filter Equipment

High efficiency particulate air (HEPA) filtered vacuum and/or exhaust ventilation equipment with a filter system capable of collecting and retaining asbestos fibers. Filters shall retain 99.97 percent of particles 0.3 microns or larger as indicated in UL 586.

1.2.16 Navy Consultant (NC)

That qualified person employed directly by the Government to monitor, sample, inspect the work or in some other way advise the Contracting Officer. The NC is normally a private consultant, but can be an employee of the Government.

1.2.17 Negative Pressure Enclosure (NPE)

That engineering control technique described as a negative pressure enclosure in 29 CFR 1926.1101.

1.2.18 Nonfriable Asbestos Material

Material that contains asbestos in which the fibers have been immobilized by a bonding agent, coating, binder, or other material so that the asbestos is well bound and will not normally release asbestos fibers during any appropriate use, handling, storage or transportation. It is understood that asbestos fibers may be released under other conditions such as demolition, removal, or mishap.

1.2.19 Personal Sampling

Air sampling which is performed to determine asbestos fiber concentrations within the breathing zone of a specific employee, as performed in accordance with 29 CFR 1926.1101.

1.2.20 Private Qualified Person (PQP)

That qualified person hired by the Contractor to perform the herein listed tasks.

1.2.21 Qualified Person (QP)

A Registered Architect, Professional Engineer, Certified Industrial Hygienist, consultant or other qualified person who has successfully completed training and is therefore accredited under a legitimate State Model Accreditation Plan as described in 40 CFR 763 as a Building Inspector, Contractor/Supervisor Abatement Worker, and Asbestos Project Designer; and has successfully completed the National Institute of Occupational Safety and Health (NIOSH) 582 course "Sampling and Evaluating Airborne Asbestos Dust" or equivalent. The QP must be qualified to

perform visual inspections as indicated in ASTM E 1368. The QP shall be appropriately licensed in the State of Rhode Island.

1.2.22 TEM

Refers to Transmission Electron Microscopy.

1.2.23 Time Weighted Average (TWA)

The TWA is an 8-hour time weighted average airborne concentration of asbestos fibers.

1.2.24 Wetting Agent

A chemical added to water to reduce the water's surface tension thereby increasing the water's ability to soak into the material to which it is applied. An equivalent wetting agent must have a surface tension of at most 29 dynes per centimeter when tested in accordance with ASTM D 1331.

1.3 REQUIREMENTS

1.3.1 Description of Work

The work covered by this section includes the handling and control of asbestos containing materials and describes some of the resultant procedures and equipment required to protect workers, the environment and occupants of the building or area, or both, from contact with airborne asbestos fibers. The work also includes the disposal of any asbestos containing materials generated by the work. More specific operational procedures shall be outlined in the Asbestos Hazard Abatement Plan called for elsewhere in this specification. The asbestos work includes the demolition and removal of 8-inch and of 10-inch asbestos cement water pipe as indicated on the drawings. Under normal conditions non-friable or chemically bound materials containing asbestos would not be considered hazardous; however, this material may release airborne asbestos fibers during demolition and removal and therefore must be handled in accordance with the removal and disposal procedures as specified herein. Provide techniques as outlined in this specification. The Navy will evacuate the work area during the asbestos abatement work. All asbestos removal work shall be supervised by a competent person as specified herein.

1.3.2 Medical Requirements

Provide medical requirements including but not limited to medical surveillance and medical record keeping as listed in 29 CFR 1926.1101.

1.3.2.1 Medical Examinations

Before exposure to airborne asbestos fibers, provide workers with a comprehensive medical examination as required by 29 CFR 1926.1101 or other pertinent State or local directives. This requirement must have been satisfied within the 12 months prior to the start of work on this contract. The same medical examination shall be given on an annual basis to employees engaged in an occupation involving asbestos and within 30 calendar days before or after the termination of employment in such occupation. Specifically identify x-ray films of asbestos workers to the consulting radiologist and mark medical record jackets with the word "ASBESTOS."

1.3.2.2 Medical Records

Maintain complete and accurate records of employees' medical examinations, medical records, and exposure data for a period of 50 years after termination of employment and make records of the required medical examinations and exposure data available for inspection and copying to: The Assistant Secretary of Labor for Occupational Safety and Health (OSHA), or authorized representatives of them, and an employee's physician upon the request of the employee or former employee.

1.3.3 Employee Training

Submit certificates, prior to the start of work but after the main abatement submittal, signed by each employee indicating that the employee has received training in the proper handling of materials and wastes that contain asbestos in accordance with 40 CFR 763; understands the health implications and risks involved, including the illnesses possible from exposure to airborne asbestos fibers; understands the use and limits of the respiratory equipment to be used; and understands the results of monitoring of airborne quantities of asbestos as related to health and respiratory equipment as indicated in 29 CFR 1926.1101 on an initial and annual basis. Certificates shall be organized by individual worker, not grouped by type of certification. Train all personnel involved in the asbestos control work in accordance with United States Environmental Protection Agency (USEPA) Asbestos Hazard Emergency Response Act (AHERA) training criteria or State training criteria whichever is more stringent. The Contractor shall document the training by providing: dates of training, training entity, course outline, names of instructors, and qualifications of instructors upon request by the Contracting Officer. Furnish each employee with respirator training and fit testing administered by the PQP as required by 29 CFR 1926.1101. Fully cover engineering and other hazard control techniques and procedures. All asbestos workers shall have a current State of Rhode Island asbestos worker's license.

1.3.4 Permits , Licenses, and Notifications

Obtain necessary permits and licenses in conjunction with asbestos removal, encapsulation, hauling, and disposition, and furnish notification of such actions required by Federal, State, regional, and local authorities prior to the start of work. Notify the Regional Office of the United States Environmental Protection Agency (USEPA), the State of Rhode Island Department of Health, and the Contracting Officer in writing 20 working days prior to commencement of work in accordance with 40 CFR 61-SUBPART M. Notify the Contracting Officer and other appropriate Government agencies in writing 20 working days prior to the start of asbestos work as indicated in applicable laws, ordinances, criteria, rules, and regulations. Submit copies of all Notifications to the Contracting Officer.

1.3.5 Environment, Safety and Health Compliance

In addition to detailed requirements of this specification, comply with those applicable laws, ordinances, criteria, rules, and regulations of Federal, State, regional, and local authorities regarding handling, storing, transporting, and disposing of asbestos waste materials. Comply with the applicable requirements of the current issue of 29 CFR 1926.1101, 40 CFR 61-SUBPART A, 40 CFR 61-SUBPART M, and ND OPNAVINST 5100.23. Submit matters of interpretation of standards to the appropriate

administrative agency for resolution before starting the work. Where the requirements of this specification, applicable laws, rules, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirement as defined by the Government shall apply. The following laws, ordinances, criteria, rules and regulations regarding removal, handling, storing, transporting and disposing of asbestos materials apply:

- a. R-23-24.5 ASB: State of Rhode Island Asbestos Regulation

1.3.6 Respiratory Protection Program

Establish and implement a respirator program as required by ANSI Z88.2, 29 CFR 1926.1101, and 29 CFR 1926.103. Submit a written description of the program to the Contracting Officer. Submit a written program manual or operating procedure including methods of compliance with regulatory statutes.

1.3.6.1 Respirator Program Records

Submit records of the respirator program as required by ANSI Z88.2, 29 CFR 1926.103, and 29 CFR 1926.1101.

1.3.7 Asbestos Hazard Control Supervisor

The Contractor shall be represented on site by a supervisor, trained using the model Contractor accreditation plan as indicated in the Federal statutes for all portions of the herein listed work.

1.3.8 Hazard Communication

Adhere to all parts of 29 CFR 1926.59 and provide the Contracting Officer with a copy of the Material Safety Data Sheets (MSDS) for all materials brought to the site.

1.3.9 Asbestos Hazard Abatement Plan

Submit a detailed plan of the safety precautions such as lockout, tagout, tryout, fall protection, and confined space entry procedures and equipment and work procedures to be used in the removal and demolition of materials containing asbestos. The plan, not to be combined with other hazard abatement plans, shall be prepared, signed, and sealed by the PQP. Provide a Table of Contents for each abatement submittal, which shall follow the sequence of requirements in the contract. Such plan shall include but not be limited to the precise personal protective equipment to be used including, but not limited to, respiratory protection, type of whole-body protection, the location of asbestos control areas including clean and dirty areas, buffer zones, showers, storage areas, change rooms, removal method, interface of trades involved in the construction, sequencing of asbestos related work, disposal plan, type of wetting agent and asbestos sealer to be used, locations of local exhaust equipment, planned air monitoring strategies, and a detailed description of the method to be employed in order to control environmental pollution. The plan shall also include (both fire and medical emergency) response plans. The Asbestos Hazard Abatement Plan must be approved in writing prior to starting any asbestos work. The Contractor, Asbestos Hazard Control Supervisor, and PQP shall meet with the Contracting Officer prior to beginning work, to discuss in detail the Asbestos Hazard Abatement Plan, including work procedures and safety precautions. Once approved by the Contracting Officer, the plan will be enforced as if an addition to the

specification. Any changes required in the specification as a result of the plan shall be identified specifically in the plan to allow for free discussion and approval by the Contracting Officer prior to starting work.

1.3.10 Testing Laboratory

Submit the name, address, and telephone number of each testing laboratory selected for the sampling, analysis, and reporting of airborne concentrations of asbestos fibers along with certification that each laboratory is American Industrial Hygiene Association (AIHA) accredited and that persons counting the samples have been judged proficient by current inclusion on the AIHA Asbestos Analysis Registry (AAR) and successful participation of the laboratory in the Proficiency Analytical Testing (PAT) Program. Where analysis to determine asbestos content in bulk materials or transmission electron microscopy is required, submit evidence that the laboratory is accredited by the National Institute of Science and Technology (NIST) under National Voluntary Laboratory Accreditation Program (NVLAP) for asbestos analysis. The testing laboratory firm shall be independent of the asbestos contractor and shall have no employee or employer relationship which could constitute a conflict of interest.

1.3.11 Landfill Approval

Submit written evidence that the landfill is for asbestos disposal by the U.S. Environmental Protection Agency, Region 3, Air Enforcement Section (38W12), and local regulatory agencies. Within 3 working days after delivery, submit detailed delivery tickets, prepared, signed, and dated by an agent of the landfill, certifying the amount of asbestos materials delivered to the landfill. Submit a copy of the waste shipment records within 1 day of the shipment leaving the project site.

1.3.12 Medical Certification

Provide a written certification for each worker and supervisor, signed by a licensed physician indicating that the worker and supervisor has met or exceeded all of the medical prerequisites listed herein and in 29 CFR 1926.1101 and 29 CFR 1926.103 as prescribed by law. Submit certificates prior to the start of work but after the main abatement submittal.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. The following shall be submitted in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Respirators; G

Amended water; G

Glovebags; G

Material Safety Data Sheets (MSDS) for all materials proposed for transport to the project site; G

Encapsulants; G

SD-06 Test Reports

Asbestos disposal quantity report; G

SD-07 Certificates

Asbestos hazard abatement plan; G

Testing laboratory; G

Private qualified person documentation; G

Contractor's license; G

Competent person documentation; G

Worker's license; G

Landfill approval; G

Employee training; G

Medical certification requirements; G

Waste shipment records and if applicable exemption report; G

Respiratory Protection Program; G

Delivery tickets; G

Vacuums; G

Water filtration equipment; G

Ventilation systems; G

Other equipment used to contain airborne asbestos fibers; G

Chemical encapsulants sealers; G

Notifications

Show compliance with ANSI Z9.2 by providing manufacturers' certifications.

SD-11 Closeout Submittals

Notifications; G

Rental equipment; G

Respirator program records; G

Permits and licenses; G

1.5 QUALITY ASSURANCE

1.5.1 Private Qualified Person Documentation

Submit the name, address, and telephone number of the Private Qualified Person (PQP) selected to prepare the Asbestos Hazard Abatement Plan, direct monitoring and training, and documented evidence that the PQP has successfully completed training in and is accredited and where required is certified as, a Building Inspector, Contractor/Supervisor Abatement Worker, and Asbestos Project Designer as described by 40 CFR 763 and has successfully completed the National Institute of Occupational Safety and Health (NIOSH) 582 course "Sampling and Evaluating Airborne Asbestos Dust" or equivalent. The PQP shall be appropriately licensed in the State of Rhode Island. The PQP and the asbestos contractor shall not have an employee/employer relationship or financial relationship which could constitute a conflict of interest. The PQP shall be a first tier subcontractor.

1.5.2 Competent Person Documentation

Submit training certification and a current State of Rhode Island Asbestos Contractor's and Supervisor's License.

1.5.3 Worker's License

Submit documentation that requires all workers have a current State of Rhode Island Asbestos Workers License.

1.5.4 Contractor's License

Contractor shall have current asbestos contractor's license. Submit a copy of the asbestos contractor's license issued by the State of Rhode Island.

1.5.5 Air Sampling Results

Complete fiber counting and provide results to the PQP for review within 16 hours of the "time off" of the sample pump. Notify the Contracting Officer immediately of any airborne levels of asbestos fibers in excess of the acceptable limits. Submit sampling results to the Contracting Officer and the affected Contractor employees where required by law within 3 working days, signed by the testing laboratory employee performing air sampling, the employee that analyzed the sample, and the PQP. Notify the Contractor and the Contracting Officer immediately of any variance in the pressure differential which could cause adjacent unsealed areas to have asbestos fiber concentrations in excess of 0.01 fibers per cubic centimeter or background whichever is higher. In no circumstance shall levels exceed 0.1 fibers per cubic centimeter.

1.5.6 Pressure Differential Recordings for Local Exhaust System

Provide a local exhaust system that creates a negative pressure of at least 0.02 inches of water relative to the pressure external to the enclosure and operate it continuously, 24 hours a day, until the temporary enclosure of the asbestos control area is removed. Submit pressure differential recordings for each work day to the PQP for review and to the Contracting Officer within 24 hours from the end of each work day.

1.6 EQUIPMENT

1.6.1 Rental Equipment

Provide a copy of the written notification to the rental company concerning the intended use of the equipment and the possibility of asbestos contamination of the equipment.

PART 2 PRODUCTS

2.1 ENCAPSULANTS

Shall conform to current USEPA requirements, shall contain no toxic or hazardous substances as defined in 29 CFR 1926.59, and shall conform to the following performance requirements.

2.1.1 Removal Encapsulants

<u>Requirement</u>	<u>Test Standard</u>
Flame Spread - 25, Smoke Emission - 50	ASTM E 84
Life Expectancy - 20 years	ASTM C 732 Accelerated Aging Test
Permeability - Minimum 0.4 perms	ASTM E 96

2.1.2 Bridging Encapsulant

<u>Requirement</u>	<u>Test Standard</u>
Flame Spread - 25, Smoke Emission - 50	ASTM E 84
Life Expectancy - 20 years	ASTM C 732 Accelerated Aging Test
Permeability - Minimum 0.4 perms	ASTM E 96
Fire Resistance - Negligible affect on fire resistance rating over 3 hour test (Classified by UL for use over fibrous and cementitious sprayed fireproofing)	ASTM E 119
Impact Resistance - Minimum 43 in/lb Gardner Impact Test	ASTM D 2794
Flexibility - no rupture or cracking	ASTM D 522 Mandrel Bend Test

2.1.3 Penetrating Encapsulant

<u>Requirement</u>	<u>Test Standard</u>
Flame Spread - 25, Smoke Emission - 50	ASTM E 84
Life Expectancy - 20 years	ASTM C 732 Accelerated Aging Test
Permeability - Minimum 0.4 perms	ASTM E 96

<u>Requirement</u>	<u>Test Standard</u>
Cohesion/Adhesion Test - 50 pounds of force/foot	ASTM E 736
Fire Resistance - Negligible affect on fire resistance rating over 3 hour test (Classified by UL for use over fibrous and cementitious sprayed fireproofing)	ASTM E 119
Impact Resistance - Minimum 43 in/lb Gardner Impact Test	ASTM D 2794
Flexibility - no rupture or cracking	ASTM D 522 Mandrel Bend Test

2.1.4 Lock-down Encapsulant

<u>Requirement</u>	<u>Test Standard</u>
Flame Spread: 25, Smoke Emission - 50	ASTM E 84
Life Expectancy: 20 years	ASTM C 732 Accelerated Aging Test
Permeability: Minimum 0.4 perms	ASTM E 96
Fire Resistance: Negligible affect on fire resistance rating over 3 hour test (Tested with fireproofing over encapsulant applied directly to steel member)	ASTM E 119
Bond Strength: 100 pounds of force/foot (Tests compatibility with cementitious and fibrous fireproofing)	ASTM E 736

PART 3 EXECUTION

3.1 EQUIPMENT

At all times, provide the Contracting Officer or the Contracting Officer's Representative, with at least two complete sets of personal protective equipment including decontaminating reusable coveralls as required for entry to and inspection of the asbestos control area. Provide equivalent training to the Contracting Officer or a designated representative as provided to Contractor employees in the use of the required personal protective equipment. Provide manufacturer's certificate of compliance for all equipment used to contain airborne asbestos fibers.

3.1.1 Respirators

Select respirators from those approved by the National Institute for Occupational Safety and Health (NIOSH), Department of Health and Human Services.

3.1.1.1 Respirators for Handling Asbestos

Provide personnel engaged in pre-cleaning, cleanup, handling, removal and demolition of asbestos materials with respiratory protection as indicated

in 29 CFR 1926.1101 and 29 CFR 1926.103.

3.1.2 Exterior Whole Body Protection

3.1.2.1 Outer Protective Clothing

Provide personnel exposed to asbestos with disposable "non-breathable," or reusable "non-breathable" whole body outer protective clothing, head coverings, gloves, and foot coverings. Provide disposable plastic or rubber gloves to protect hands. Cloth gloves may be worn inside the plastic or rubber gloves for comfort, but shall not be used alone. Make sleeves secure at the wrists, make foot coverings secure at the ankles, and make clothing secure at the neck by the use of tape. Reusable whole body outer protective clothing shall be either disposed of as asbestos contaminated waste upon exiting from the asbestos regulated work area or be properly decontaminated.

3.1.2.2 Work Clothing

Provide cloth work clothes for wear under the outer protective clothing and foot coverings and either dispose of or properly decontaminate them as recommended by the NC after each use.

3.1.2.3 Personal Decontamination Unit

Provide a temporary, negative pressure unit with a separate decontamination locker room and clean locker room with a shower that complies with 29 CFR 1926.51(f)(4)(ii) through (V) in between for personnel required to wear whole body protective clothing. Provide two separate lockers for each asbestos worker, one in each locker room. Keep street clothing and street shoes in the clean locker. HEPA vacuum and remove asbestos contaminated disposable protective clothing while still wearing respirators at the boundary of the asbestos work area and seal in impermeable bags or containers for disposal. Do not wear work clothing between home and work. Locate showers between the decontamination locker room and the clean locker room and require that all employees shower before changing into street clothes. Collect used shower water and filter with approved water filtration equipment to remove asbestos contamination. Dispose of filters and residue as asbestos waste. Discharge clean water to the sanitary system. Dispose of asbestos contaminated work clothing as asbestos contaminated waste. Decontamination units shall be physically attached to the asbestos control area. Build both a personnel decontamination unit and an equipment decontamination unit onto and integral with each asbestos control area.

3.1.2.4 Eye Protection

Provide goggles to personnel engaged in asbestos abatement operations when the use of a full face respirator is not required.

3.1.3 Warning Signs and Labels

Provide bilingual warning signs printed in English and Spanish at all approaches to asbestos control areas. Locate signs at such a distance that personnel may read the sign and take the necessary protective steps required before entering the area. Provide labels and affix to all asbestos materials, scrap, waste, debris, and other products contaminated with asbestos.

3.1.3.1 Warning Sign

Provide vertical format conforming to 29 CFR 1926.200, and 29 CFR 1926.1101 minimum 20 by 14 inches displaying the following legend in the lower panel:

<u>Legend</u>	<u>Notation</u>
Danger	one inch Sans Serif Gothic or Block
Asbestos	one inch Sans Serif Gothic or Block
Cancer and Lung Disease Hazard	1/4 inch Sans Serif Gothic or Block
Authorized Personnel Only	1/4 inch Gothic
Respirators and Protective Clothing are Required in this Area	1/4 inch Gothic

Spacing between lines shall be at least equal to the height of the upper of any two lines.

3.1.3.2 Warning Labels

Provide labels conforming to 29 CFR 1926.1101 of sufficient size to be clearly legible, displaying the following legend:

DANGER

CONTAINS ASBESTOS FIBERS

AVOID CREATING DUST

CANCER AND LUNG DISEASE HAZARD

BREATHING ASBESTOS DUST MAY
CAUSE SERIOUS BODILY HARM

3.1.4 Local Exhaust System

Provide a local exhaust system in the asbestos control area in accordance with ANSI Z9.2 and 29 CFR 1926.1101 that will provide at least four air changes per hour inside of the negative pressure enclosure. Local exhaust equipment shall be operated 24 hours per day, until the asbestos control area is removed and shall be leak proof to the filter and equipped with HEPA filters. Maintain a minimum pressure differential in the control area of minus 0.02 inch of water column relative to adjacent, unsealed areas. Provide continuous 24-hour per day monitoring of the pressure differential with a pressure differential automatic recording instrument. In no case shall the building ventilation system be used as the local exhaust system for the asbestos control area. Filters on exhaust equipment shall conform to ANSI Z9.2 and UL 586. The local exhaust system shall terminate out of doors and remote from any public access or ventilation system intakes.

3.1.5 Tools

Vacuums shall be leak proof to the filter and equipped with HEPA filters. Filters on vacuums shall conform to ANSI Z9.2 and UL 586. Do not use power tools to remove asbestos containing materials unless the tool is equipped with effective, integral HEPA filtered exhaust ventilation systems. Remove all residual asbestos from reusable tools prior to storage or reuse.

3.1.6 Rental Equipment

If rental equipment is to be used, furnish written notification to the rental agency concerning the intended use of the equipment and the possibility of asbestos contamination of the equipment.

3.1.7 Glovebags

Submit written manufacturers proof that glovebags will not break down under expected temperatures and conditions.

3.2 WORK PROCEDURE

Perform asbestos related work in accordance with 29 CFR 1926.1101, 40 CFR 61-SUBPART M, and as specified herein. Saw cutting and grinding is prohibited. Use wet removal procedures techniques. Personnel shall wear and utilize protective clothing and equipment as specified herein. Eating, smoking, drinking, chewing gum, tobacco, or applying cosmetics shall not be permitted in the asbestos work or control areas. Personnel of other trades not engaged in the removal and demolition of asbestos containing material shall not be exposed at any time to airborne concentrations of asbestos unless all the personnel protection and training provisions of this specification are complied with by the trade personnel. If an asbestos fiber release or spill occurs outside of the asbestos control area, stop work immediately, correct the condition to the satisfaction of the Contracting Officer including clearance sampling, prior to resumption of work.

3.2.1 Protection of Existing Work to Remain

Perform work without damage or contamination of adjacent work. Where such work is damaged or contaminated as verified by the Contracting Officer using visual inspection or sample analysis, it shall be restored to its original condition or decontaminated by the Contractor at no expense to the Government as deemed appropriate by the Contracting Officer. This includes inadvertent spill of dirt, dust, or debris in which it is reasonable to conclude that asbestos may exist. When these spills occur, stop work immediately. Then clean up the spill. When satisfactory visual inspection and air sampling results are obtained from the NC work may proceed at the discretion of the Contracting Officer.

3.2.2 Precleaning

Wet wipe and HEPA vacuum all surfaces potentially contaminated with asbestos prior to establishment of an enclosure.

3.2.3 Asbestos Control Area Requirements

3.2.3.1 Negative Pressure Enclosure

Block and seal openings in areas where the release of airborne asbestos fibers can be expected. Establish an asbestos negative pressure enclosure with the use of curtains, portable partitions, or other enclosures in order to prevent the escape of asbestos fibers from the contaminated asbestos work area. Negative pressure enclosure development shall include protective covering of uncontaminated walls, and ceilings with a continuous membrane of two layers of minimum 6-mil plastic sheet sealed with tape to prevent water or other damage. Provide two layers of 6-mil plastic sheet over floors and extend a minimum of 12 inches up walls. Seal all joints with tape. Provide local exhaust system in the asbestos control area. Openings will be allowed in enclosures of asbestos control areas for personnel and equipment entry and exit, the supply and exhaust of air for the local exhaust system and the removal of properly containerized asbestos containing materials. Replace local exhaust system filters as required to maintain the efficiency of the system.

3.2.3.2 Glovebag

The construction of a negative pressure enclosure is infeasible for the removal of the asbestos cement water pipe. Use alternate techniques as indicated in 29 CFR 1926.1101. Establish designated limits for the asbestos regulated area with the use of rope or other continuous barriers, and maintain all other requirements for asbestos control areas. The PQP shall conduct personal samples of each worker engaged in asbestos handling (removal, disposal, transport and other associated work) throughout the duration of the project. If the quantity of airborne asbestos fibers monitored at the breathing zone of the workers at any time exceeds background or 0.01 fibers per cubic centimeter whichever is greater, stop work, evacuate personnel in adjacent areas or provide personnel with approved protective equipment at the discretion of the Contracting Officer. This sampling may be duplicated by the Government at the discretion of the Contracting Officer. If the air sampling results obtained by the Government differ from those obtained by the Contractor, the Government will determine which results predominate. If adjacent areas are contaminated as determined by the Contracting Officer, clean the contaminated areas, monitor, and visually inspect the area as specified herein.

3.2.4 Removal Procedures

Wet asbestos material with a fine spray of amended water during removal, cutting, or other handling so as to reduce the emission of airborne fibers. Remove material and immediately place in 6 mil plastic disposal bags. Remove asbestos containing material in a gradual manner, with continuous application of the amended water or wetting agent in such a manner that no asbestos material is disturbed prior to being adequately wetted. Where unusual circumstances prohibit the use of 6 mil plastic bags, submit an alternate proposal for containment of asbestos fibers to the Contracting Officer for approval. For example, in the case where both piping and insulation are to be removed, the Contractor may elect to wet the insulation, wrap the pipes and insulation in plastic and remove the pipe by sections. Asbestos containing material shall be containerized while wet. At no time shall asbestos material be allowed to accumulate or become dry. Lower and otherwise handle asbestos containing material as indicated in 40 CFR 61-SUBPART M.

3.2.4.1 Sealing Contaminated Items Designated for Disposal

Remove contaminated architectural, mechanical, and electrical appurtenances such as venetian blinds, full-height partitions, carpeting, duct work, pipes and fittings, radiators, light fixtures, conduit, panels, and other contaminated items designated for removal by completely coating the items with an asbestos lock-down encapsulant at the demolition site before removing the items from the asbestos control area. These items need not be vacuumed. The asbestos lock-down encapsulant shall be tinted a contrasting color. It shall be spray-applied by airless method. Thoroughness of sealing operation shall be visually gauged by the extent of colored coating on exposed surfaces. Lock-down encapsulants shall comply with the performance requirements specified herein.

3.2.4.2 Exposed Pipe Insulation Edges (N/A)

Contain edges of asbestos insulation to remain that are exposed by a removal operation. Wet and cut the rough ends true and square with sharp tools and then encapsulate the edges with a 1/4 inch thick layer of non-asbestos containing insulating cement troweled to a smooth hard finish. When cement is dry, lag the end with a layer of non-asbestos lagging cloth, overlapping the existing ends by at least 4 inches. When insulating cement and cloth is an impractical method of sealing a raw edge of asbestos, take appropriate steps to seal the raw edges as approved by the Contracting Officer.

3.2.5 Air Sampling

Sampling of airborne concentrations of asbestos fibers shall be performed in accordance with 29 CFR 1926.1101 and as specified herein. Sampling performed in accordance with 29 CFR 1926.1101 shall be performed by the PQP. Unless otherwise specified, use NIOSH Method 7400 for sampling and analysis. Monitoring may be duplicated by the Government at the discretion of the Contracting Officer. If the air sampling results obtained by the Government differ from those results obtained by the Contractor, the Government will determine which results predominate.

3.2.5.1 Sampling Prior to Asbestos Work (N/A)

Provide area air sampling and establish the baseline one day prior to the masking and sealing operations for each removal site. Establish the background by performing area sampling in similar but uncontaminated sites in the building.

3.2.5.2 Sampling During Asbestos Work

The PQP shall provide personal and area sampling as indicated in 29 CFR 1926.1101 and governing environmental regulations. In addition, provided the same type of work is being performed, provide area sampling at least once every work shift close to the work inside the enclosure, outside the clean room entrance to the enclosure, and at the exhaust opening of the local exhaust system. If sampling outside the enclosure shows airborne levels have exceeded background or 0.01 fibers per cubic centimeter, whichever is greater, stop all work, correct the condition(s) causing the increase, and notify the Contracting Officer immediately. Where alternate methods are used, perform personal and area air sampling at locations and frequencies that will accurately characterize the evolving airborne asbestos levels.

3.2.5.3 Sampling After Final Clean-Up (Clearance Sampling)

3.2.6 Site Inspection

While performing asbestos engineering control work, the Contractor shall be subject to on-site inspection by the Contracting Officer who may be assisted by or represented by safety or industrial hygiene personnel. If the work is found to be in violation of this specification, the Contracting Officer or his representative will issue a stop work order to be in effect immediately and until the violation is resolved. All related costs including standby time required to resolve the violation shall be at the Contractor's expense.

3.3 CLEAN-UP AND DISPOSAL

3.3.1 Housekeeping

Essential parts of asbestos dust control are housekeeping and clean-up procedures. Maintain surfaces of the asbestos control area free of accumulations of asbestos fibers. Give meticulous attention to restricting the spread of dust and debris; keep waste from being distributed over the general area. Use HEPA filtered vacuum cleaners. DO NOT BLOW DOWN THE SPACE WITH COMPRESSED AIR. When asbestos removal is complete, all asbestos waste is removed from the work-site, and final clean-up is completed, the Contracting Officer will attest that the area is safe before the signs can be removed. After final clean-up and acceptable airborne concentrations are attained but before the HEPA unit is turned off and the enclosure removed, remove all pre-filters on the building HVAC system and provide new pre-filters. Dispose of filters as asbestos contaminated materials. Reestablish HVAC mechanical, and electrical systems in proper working order. The Contracting Officer will visually inspect all surfaces within the enclosure for residual material or accumulated dust or debris. The Contractor shall re-clean all areas showing dust or residual materials. If re-cleaning is required, air sample and establish an acceptable asbestos airborne concentration after re-cleaning. The Contracting Officer must agree that the area is safe in writing before unrestricted entry will be permitted. The Government shall have the option to perform monitoring to determine if the areas are safe before entry is permitted.

3.3.2 Title to Materials

All waste materials, except as specified otherwise, shall become the property of the Contractor and shall be disposed of as specified in applicable local, State, and Federal regulations and herein.

3.3.3 Disposal of Asbestos

3.3.3.1 Procedure for Disposal

The PQP shall witness, verify, and document the collection of asbestos waste, asbestos contaminated water, scrap, debris, bags, containers, equipment, and asbestos contaminated clothing which may produce airborne concentrations of asbestos fibers and place in sealed fiber-proof, waterproof, non-returnable containers (e.g. double plastic bags 6 mils thick, cartons, drums or cans). Wastes within the containers must be adequately wet in accordance with 40 CFR 61-SUBPART M. Affix a warning

and Department of Transportation (DOT) label to each container including the bags or use at least 6 mils thick bags with the approved warnings and DOT labeling preprinted on the bag. The name of the waste generator and the location at which the waste was generated shall be clearly indicated on the outside of each container. Prevent contamination of the transport vehicle (especially if the transport vehicle is a rented truck likely to be used in the future for non-asbestos purposes). These precautions include lining the vehicle cargo area with plastic sheeting (similar to work area enclosure) and thorough cleaning of the cargo area after transport and unloading of asbestos debris is complete. Dispose of waste asbestos material at an Environmental Protection Agency (EPA) or State-approved asbestos landfill off Government property. For temporary storage, store sealed impermeable bags in asbestos waste drums or skids. An area for interim storage of asbestos waste-containing drums or skids will be assigned by the Contracting Officer or his authorized representative. Procedure for hauling and disposal shall comply with 40 CFR 61-SUBPART M, State, regional, and local standards. Sealed plastic bags may be dumped from drums into the burial site unless the bags have been broken or damaged. Damaged bags shall remain in the drum and the entire contaminated drum shall be buried. Uncontaminated drums may be recycled. Workers unloading the sealed drums shall wear appropriate respirators and personal protective equipment when handling asbestos materials at the disposal site.

3.3.3.2 Asbestos Disposal Quantity Report

The PQP shall record and report, to the Contracting Officer, the amount of asbestos containing material removed and released for disposal. Deliver the report for the previous day at the beginning of each day shift with amounts of material removed during the previous day reported in linear feet or square feet as described initially in this specification and in cubic feet for the amount of asbestos containing material released for disposal.

Allow the NC to inspect, record and report the amount of asbestos containing material removed and released for disposal on a daily basis.

-- End of Section --

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SECTION 31 23 00.00 20

EXCAVATION AND FILL

02/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM C136	(2006) Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates
ASTM C33/C33M	(2013) Standard Specification for Concrete Aggregates
ASTM D1140	(2000; R 2006) Amount of Material in Soils Finer than the No. 200 (75-micrometer) Sieve
ASTM D1556	(2007) Density and Unit Weight of Soil in Place by the Sand-Cone Method
ASTM D1557	(2012) Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft ³) (2700 kN-m/m ³)
ASTM D2487	(2011) Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D4318	(2010; E 2014) Liquid Limit, Plastic Limit, and Plasticity Index of Soils
ASTM D4355/D4355M	(2014) Deterioration of Geotextiles from Exposure to Light, Moisture and Heat in a Xenon-Arc Type Apparatus
ASTM D4491	(1999a; R 2014; E 2014) Water Permeability of Geotextiles by Permittivity
ASTM D4533	(2011) Trapezoid Tearing Strength of Geotextiles
ASTM D4632/D4632M	(2008; R 2013; E 2013; E 2014) Grab Breaking Load and Elongation of Geotextiles
ASTM D4751	(2012) Determining Apparent Opening Size of a Geotextile
ASTM D4759	(2011) Determining the Specification Conformance of Geosynthetics

ASTM D6938 (2010) Standard Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth)

ASTM D698 (2012; E 2014) Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/cu. ft. (600 kN-m/cu. m.))

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2008; Errata 2011) Safety and Health Requirements Manual

1.2 DEFINITIONS

1.2.1 Degree of Compaction

Degree of compaction is expressed as a percentage of the maximum density obtained by the test procedure presented in ASTM D1557, for general soil types, abbreviated as percent laboratory maximum density.

1.2.2 Hard Materials

Weathered rock, dense consolidated deposits, or conglomerate materials which are not included in the definition of "rock" but which usually require the use of heavy excavation equipment, ripper teeth, or jack hammers for removal.

1.2.3 Rock

Solid homogeneous interlocking crystalline material with firmly cemented, laminated, or foliated masses or conglomerate deposits, neither of which can be removed without systematic drilling and blasting, drilling and the use of expansion jacks or feather wedges, or the use of backhoe-mounted pneumatic hole punchers or rock breakers; also large boulders, buried masonry, or concrete other than pavement exceeding 1/2 cubic yard in volume. Removal of hard material will not be considered rock excavation because of intermittent drilling and blasting that is performed merely to increase production.

1.2.4 Clean Fill / Clean Soil

Soil that has not been impacted, contaminated, adversely affected, or subject to a Release of Hazardous Materials, State or Federally defined Hazardous Waste, Petroleum, asbestos, PCB's, radioactive materials, or solid waste.

Soil Meeting:

- 1) The RIDEM Method 1 - Residential Direct Exposure Criteria (Table 1), and
- 2) The TPH direct exposure, and leachability criteria of 500 ppm, and
- 3) Meeting all other State and Federal requirements specific to petroleum, asbestos, radioactive material, PCB's, solid waste, and other criteria as determined by the Director of the Department of

Environmental Management;

shall be deemed "Clean Soil" as defined above. "Clean fill" must meet the definition of clean soil.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Shoring and Sheet piling Plan

Dewatering work plan

Submit 15 days prior to starting work.

SD-06 Test Reports

Borrow Site Testing; G

Fill and backfill test

Density Tests

Copies of all laboratory and field test reports within 24 hours of the completion of the test.

1.4 DELIVERY, STORAGE, AND HANDLING

Perform in a manner to prevent contamination or segregation of materials.

1.5 CRITERIA FOR BIDDING

Base bids on the following criteria:

- a. Surface elevations are as indicated.
- b. Pipes or other artificial obstructions, except those indicated, will not be encountered.
- c. Ground water elevation is approximately 7 feet below existing surface elevation.
- d. Section 00 41 00 BID SCHEDULE includes unit price provisions for removal and disposal of rock and the provision of compacted, clean fill, if rock is encountered during excavation. If the quantity of rock removal is different than the quantity listed in the Bid Schedule, the unit price in the Bid Schedule shall be used for upward or downward adjustments for price.
- e. Assume that all soil material to be removed within 2 feet of ground surface is contaminated and classified as solid waste containing high levels of arsenic.
- f. All surplus soils to be disposed of are required to be tested for

contamination.

- g. Borrow material, Suitable backfill and bedding material in the quantities required is not available on Government property.
- h. Blasting will not be permitted. Remove material in an approved manner.

1.6 REQUIREMENTS FOR OFF SITE SOIL

Comply with the requirements of the attached Soil Management Plan and provide only clean, off site, soil materials.

Soils brought in from off site for use as backfill shall be tested for all substances listed in Table I of the Rhode Island Rules and Regulations for the Investigation and Remediation of Hazardous Material Releases and for total petroleum hydrocarbons (TPH). Soils shall contain less than the residential direct exposure criteria for all substances in Table I and less than 500 PPM TPH. TPH concentrations shall be determined by using EPA Method 8100. Provide Borrow Site Testing from a composite sample of material from the borrow site, with at least one test from each borrow site. Material shall not be brought on site until tests have been approved by the Contracting Officer. Provide Borrow Site Testing from a composite sample of material from the borrow site, with at least one test from each borrow site and at least one test per 500 tons of material to be imported. Material shall not be brought on site until tests have been approved by the Contracting Officer.

1.7 QUALITY ASSURANCE

1.7.1 Shoring and Sheet Piling Plan

Submit drawings and calculations, certified by a registered professional engineer, describing the methods for shoring and sheet piling of excavations. Drawings shall include material sizes and types, arrangement of members, and the sequence and method of installation and removal. Calculations shall include data and references used.

1.7.2 Dewatering Work Plan

Submit procedures for accomplishing dewatering work.

1.7.3 Utilities

Movement of construction machinery and equipment over pipes and utilities during construction shall be at the Contractor's risk. Perform work adjacent to non-Government utilities as indicated in accordance with procedures outlined by utility company. Excavation made with power-driven equipment is not permitted within two feet of known Government-owned utility or subsurface construction. For work immediately adjacent to or for excavations exposing a utility or other buried obstruction, excavate by hand. Start hand excavation on each side of the indicated obstruction and continue until the obstruction is uncovered or until clearance for the new grade is assured. Support uncovered lines or other existing work affected by the contract excavation until approval for backfill is granted by the Contracting Officer. Report damage to utility lines or subsurface construction immediately to the Contracting Officer.

1.8 Soil Management Plan

Comply with the attached Soil Management Plan.

PART 2 PRODUCTS

2.1 SOIL MATERIALS

2.1.1 Satisfactory Materials

Any materials classified by ASTM D2487 as GW, GP, GM, GP-GM, GW-GM, GC, GP-GC, GM-GC, SW, SP, free of debris, roots, wood, scrap material, vegetation, refuse, soft unsound particles, and frozen, deleterious, or objectionable materials. Unless specified otherwise, the maximum particle diameter shall be one-half the lift thickness at the intended location.

2.1.2 Unsatisfactory Materials

Materials which do not comply with the requirements for satisfactory materials. Unsatisfactory materials also include man-made fills, trash, refuse, or backfills from previous construction. Unsatisfactory material also includes material classified as satisfactory which contains root and other organic matter, frozen material, and stones larger than 3 inches. The Contracting Officer shall be notified of any contaminated materials.

2.1.3 Cohesionless and Cohesive Materials

Cohesionless materials include materials classified in ASTM D2487 as GW, GP, SW, and SP. Cohesive materials include materials classified as GC, SC, ML, CL, MH, and CH. Materials classified as GM, GP-GM, GW-GM, SW-SM, SP-SM, and SM shall be identified as cohesionless only when the fines are nonplastic (plasticity index equals zero). Materials classified as GM and SM will be identified as cohesive only when the fines have a plasticity index greater than zero.

2.1.4 Common Fill

Approved, unclassified soil material with the characteristics required to compact to the soil density specified for the intended location.

2.1.5 Backfill and Fill Material

ASTM D2487, classification GW, GP, GM, SW, SP, SM, with a maximum ASTM D4318 liquid limit of 35, maximum ASTM D4318 plasticity index of 12, and a maximum of 25 percent by weight passing ASTM D1140, No. 200 sieve.

2.1.6 Select Material

Provide materials classified as GW, GP, SW, or SP by ASTM D2487 where indicated.

2.1.7 Topsoil

Natural, friable soil representative of productive, well-drained soils in the area, free of subsoil, stumps, rocks larger than one inch diameter, brush, weeds, toxic substances, and other material detrimental to plant growth. Amend topsoil pH range to obtain a pH of 5.5 to 7.

2.2 UTILITY BEDDING MATERIAL

2.2.1 Crushed Stone

Crushed Stone, in accordance with Section M.01.07, Column V, Table I in Subsection M.01.09 of the RIDOT Standard Specifications for Road and Bridge Construction.

2.2.2 Sand

Clean, coarse-grained sand classified as SW or SP by ASTM D2487.

2.2.3 Gravel

Gravel Borrow, in accordance with Section M.01.02, Column 1a, Table I in Subsection M.01.09, except that 100% by weight shall pass the 1&1/2 inch sieve, of the RIDOT Standard Specifications for Road and Bridge Construction.

2.3 BORROW

Obtain borrow materials required in excess of those furnished from excavations from sources outside of Government property.

2.4 FILTER FABRIC

Provide a pervious sheet of polyester, nylon, glass or polypropylene, ultraviolet resistant filaments woven, spun bonded, fused, or otherwise manufactured into a nonraveling fabric with uniform thickness and strength. Fabric shall have the following manufacturer certified minimum average roll properties as determined by ASTM D4759:

	Class A	
a. Grab tensile strength (ASTM D4632/D4632M) machine and transversed direction	min. 180 lbs.	
b. Grab elongation (ASTM D4632/D4632M) machine and transverse direction	min. 15 percent	
c. Trapezoidal Tear (ASTM D4533)	min. 50 lbs.	
d. Apparent Opening Size (ASTM D4751)	See Criteria Below	
(1) Soil with 50 percent or less particles by weight passing US No. 200 Sieve, AOS less than 0.6 mm (greater than #30 US Std. Sieve)		
e. Permeability (ASTM D4491)	k fabric greater than k Soil	
f. Ultraviolet Degradation (ASTM D4355/D4355M)	70 percent Strength retained at 150 hours	

2.5 BURIED WARNING AND IDENTIFICATION TAPE

Polyethylene plastic and metallic core or metallic-faced, acid- and alkali-resistant, polyethylene plastic warning tape manufactured specifically for warning and identification of buried utility lines. Provide tape on rolls, 3 inch minimum width, color coded as specified below for the intended utility with warning and identification imprinted in bold black letters continuously over the entire tape length. Warning and identification to read, "CAUTION, BURIED DRAIN LINE BELOW" or similar wording. Color and printing shall be permanent, unaffected by moisture or soil.

2.5.1 Detectable Warning Tape for Non-Metallic Piping

Polyethylene plastic tape conforming to the width, color, and printing requirements specified above. Minimum thickness of the tape shall be 0.004 inch. Tape shall have a minimum strength of 1500 psi lengthwise and 1250 psi crosswise. Tape shall be manufactured with integral wires, foil backing, or other means of enabling detection by a metal detector when tape is buried up to 3 feet deep. Encase metallic element of the tape in a protective jacket or provide with other means of corrosion protection.

2.6 DETECTION WIRE FOR NON-METALLIC PIPING

Detection wire shall be insulated single strand, solid copper with a minimum of 12 AWG.

PART 3 EXECUTION

3.1 PROTECTION

3.1.1 Shoring and Sheet piling

Provide shoring bracing, cribbing, trench boxes and sheet piling where required. In addition to Section 25 A and B of EM 385-1-1, include provisions in the shoring and sheet piling plan that will accomplish the following:

- a. Prevent undermining of pavements, foundations and slabs.
- b. Prevent slippage or movement in banks or slopes adjacent to the excavation.

3.1.2 Drainage and Dewatering

Provide for the collection and disposal of surface and subsurface water encountered during construction.

3.1.2.1 Drainage

So that construction operations progress successfully, completely drain construction site during periods of construction to keep soil materials sufficiently dry. The Contractor shall establish/construct storm drainage features (ponds/basins) at the earliest stages of site development, and throughout construction grade the construction area to provide positive surface water runoff away from the construction activity and/or provide temporary ditches, dikes, swales, and other drainage features and equipment as required to maintain dry soils, prevent erosion and undermining of pavements, drainage structures, and dams. When unsuitable working platforms for equipment operation and unsuitable soil support for

subsequent construction features develop, remove unsuitable material and provide new soil material as specified herein. It is the responsibility of the Contractor to assess the soil and ground water conditions presented by the plans and specifications and to employ necessary measures to permit construction to proceed. Excavated slopes and backfill surfaces shall be protected to prevent erosion and sloughing. Excavation shall be performed so that the site, the area immediately surrounding the site, and the area affecting operations at the site shall be continually and effectively drained.

3.1.2.2 Dewatering

Groundwater flowing toward or into excavations shall be controlled to prevent sloughing of excavation slopes and walls, boils, uplift and heave in the excavation and to eliminate interference with orderly progress of construction. French drains, sumps, ditches or trenches will not be permitted within 3 feet of the foundation of any structure, except with specific written approval, and after specific contractual provisions for restoration of the foundation area have been made. Control measures shall be taken by the time the excavation reaches the water level in order to maintain the integrity of the in situ material. While the excavation is open, the water level shall be maintained continuously, at least 2 feet below the working level.

3.1.3 Underground Utilities

Location of the existing utilities indicated is approximate. The Contractor shall physically verify the location and elevation of the existing utilities indicated prior to starting construction. The Contractor shall scan the construction site with electromagnetic and sonic equipment and mark the surface of the ground where existing underground utilities are discovered.

3.1.4 Machinery and Equipment

Movement of construction machinery and equipment over pipes during construction shall be at the Contractor's risk. Repair, or remove and provide new pipe for existing or newly installed pipe that has been displaced or damaged.

3.2 SURFACE PREPARATION

3.2.1 Clearing and Grubbing

Unless indicated otherwise, remove trees, stumps, logs, shrubs, brush and vegetation and other items that would interfere with construction operations within the clearing limits. Remove stumps entirely. Grub out matted roots and roots over 2 inches in diameter to at least 18 inches below existing surface.

3.2.2 Stripping

Strip suitable soil from the site where excavation or grading is indicated and stockpile separately from other excavated material. Material unsuitable for use as topsoil shall be wasted. Locate topsoil so that the material can be used readily for the finished grading. Topsoil cannot be reused unless tested and determined clean. Where sufficient existing topsoil conforming to the material requirements is not available on site, provide borrow materials suitable for use as topsoil. Protect topsoil and

keep in segregated piles until needed.

3.2.3 Unsuitable Material

Remove vegetation, debris, decayed vegetable matter, sod, mulch, and rubbish underneath paved areas or concrete slabs.

3.3 EXCAVATION

Excavate to contours, elevation, and dimensions indicated. Reuse excavated materials that meet the specified requirements for the material type required at the intended location. Keep excavations free from water. Excavate soil disturbed or weakened by Contractor's operations, soils softened or made unsuitable for subsequent construction due to exposure to weather. Excavations below indicated depths will not be permitted except to remove unsatisfactory material. Unsatisfactory material encountered below the grades shown shall be removed as directed. Refill with satisfactory material and compact to 95 percent of ASTM D1557 maximum density. Unless specified otherwise, refill excavations cut below indicated depth with satisfactory material and compact to 95 percent of ASTM D1557 maximum density. Satisfactory material removed below the depths indicated, without specific direction of the Contracting Officer, shall be replaced with satisfactory materials to the indicated excavation grade; except as specified for spread footings. Determination of elevations and measurements of approved overdepth excavation of unsatisfactory material below grades indicated shall be done under the direction of the Contracting Officer.

3.3.1 Pipe Trenches

Excavate to the dimension indicated. Grade bottom of trenches to provide uniform support for each section of pipe after pipe bedding placement. Tamp if necessary to provide a firm pipe bed. Recesses shall be excavated to accommodate bells and joints so that pipe will be uniformly supported for the entire length. Rock, where encountered, shall be excavated to a depth of at least 6 inches below the bottom of the pipe.

3.3.2 Excavated Materials

Satisfactory excavated material required for fill or backfill shall be placed in the proper section of the permanent work required or shall be separately stockpiled if it cannot be readily placed. Satisfactory material in excess of that required for the permanent work and all unsatisfactory material shall be disposed of as specified in Paragraph "DISPOSITION OF SURPLUS MATERIAL."

3.4 SUBGRADE PREPARATION

Unsatisfactory material in surfaces to receive fill or in excavated areas shall be removed and replaced with satisfactory materials as directed by the Contracting Officer. The surface shall be scarified to a depth of 6 inches before the fill is started. Sloped surfaces steeper than 1 vertical to 4 horizontal shall be plowed, stepped, benched, or broken up so that the fill material will bond with the existing material. When subgrades are less than the specified density, the ground surface shall be broken up to a minimum depth of 6 inches, pulverized, and compacted to the specified density. When the subgrade is part fill and part excavation or natural ground, the excavated or natural ground portion shall be scarified to a depth of 12 inches and compacted as specified for the adjacent fill.

Material shall not be placed on surfaces that are muddy, frozen, or contain frost. Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, or other approved equipment well suited to the soil being compacted. Material shall be moistened or aerated as necessary to provide the moisture content that will readily facilitate obtaining the specified compaction with the equipment used. Minimum subgrade density shall be as specified herein.

3.5 FILLING AND BACKFILLING

Fill and backfill to contours, elevations, and dimensions indicated. Compact each lift before placing overlaying lift.

3.5.1 Backfill and Fill Material Placement

Provide for paved areas and under concrete slabs, except where select material is provided. Place in 6 inch lifts. Do not place over wet or frozen areas. Place backfill material adjacent to structures as the structural elements are completed and accepted. Backfill against concrete only when approved. Place and compact material to avoid loading upon or against the structure.

3.5.2 Select Material Placement

Provide under structures not pile supported. Place in 6 inch lifts. Do not place over wet or frozen areas. Backfill adjacent to structures shall be placed as structural elements are completed and accepted. Backfill against concrete only when approved. Place and compact material to avoid loading upon or against structure.

3.5.3 Backfill and Fill Material Placement Over Pipes and at Walls

Backfilling shall not begin until construction below finish grade has been approved, underground utilities systems have been inspected, tested and approved, forms removed, and the excavation cleaned of trash and debris. Backfill shall be brought to indicated finish grade. Where pipe is coated or wrapped for protection against corrosion, the backfill material up to an elevation 2 feet above sewer lines and 1 foot above other utility lines shall be free from stones larger than 1 inch in any dimension. Heavy equipment for spreading and compacting backfill shall not be operated closer to foundation or retaining walls than a distance equal to the height of backfill above the top of footing; the area remaining shall be compacted in layers not more than 4 inches in compacted thickness with power-driven hand tampers suitable for the material being compacted. Backfill shall be placed carefully around pipes or tanks to avoid damage to coatings, wrappings, or tanks. Backfill shall not be placed against foundation walls prior to 7 days after completion of the walls. As far as practicable, backfill shall be brought up evenly on each side of the wall and sloped to drain away from the wall.

3.5.4 Trench Backfilling

Backfill as rapidly as construction, testing, and acceptance of work permits. Place and compact backfill under structures and paved areas in 6 inch lifts to top of trench and in 6 inch lifts to one foot over pipe outside structures and paved areas.

3.6 BORROW

Where satisfactory materials are not available in sufficient quantity from required excavations, approved borrow materials shall be obtained as specified herein.

3.7 BURIED WARNING AND IDENTIFICATION TAPE

Provide buried utility lines with utility identification tape. Bury tape 12 inches below finished grade; under pavements and slabs, bury tape 6 inches below top of subgrade.

3.8 BURIED DETECTION WIRE

Bury detection wire directly above non-metallic piping at a distance not to exceed 12 inches above the top of pipe. The wire shall extend continuously and unbroken, from manhole to manhole. The ends of the wire shall terminate inside the manholes at each end of the pipe, with a minimum of 3 feet of wire, coiled, remaining accessible in each manhole. The wire shall remain insulated over its entire length. The wire shall enter manholes between the top of the corbel and the frame, and extend up through the chimney seal between the frame and the chimney seal. For force mains, the wire shall terminate in the valve pit at the pump station end of the pipe.

3.9 COMPACTION

Determine in-place density of existing subgrade; if required density exists, no compaction of existing subgrade will be required.

3.9.1 General Site

Compact underneath areas designated for vegetation and areas outside the 5 foot line of the paved area or structure to 95 percent of ASTM D1557.

3.9.2 Adjacent Area

Compact areas within 5 feet of structures to 95 percent of ASTM D1557.

3.9.3 Paved Areas

Compact top 12 inches of subgrades to 95 percent of ASTM D1557. Compact fill and backfill materials to 95 percent of ASTM D1557.

3.10 FINISH OPERATIONS

3.10.1 Grading

Finish grades as indicated within one-tenth of one foot. Grade areas to drain water away from structures. Maintain areas free of trash and debris. For existing grades that will remain but which were disturbed by Contractor's operations, grade as directed.

3.10.2 Topsoil and Seed

Scarify existing subgrade. Provide 4 inches of topsoil for newly graded finish earth surfaces and areas disturbed by the Contractor. Topsoil shall not be placed when the subgrade is frozen, excessively wet, extremely dry, or in a condition otherwise detrimental to seeding,

planting, or proper grading. If there is insufficient on-site topsoil meeting specified requirements for topsoil, provide topsoil required in excess of that available. Seed shall match existing vegetation. Provide seed at 5 pounds per 1000 square feet. Provide granular controlled release fertilizer containing the following minimum percentages, by weight, of plant food nutrients:

10 percent available nitrogen
6 percent available phosphorus
4 percent available potassium

Provide mulch and water to establish an acceptable stand of grass.

3.10.3 Protection of Surfaces

Protect newly backfilled, graded, and topsoiled areas from traffic, erosion, and settlements that may occur. Repair or reestablish damaged grades, elevations, or slopes.

3.11 DISPOSITION OF SURPLUS MATERIAL

Remove from Government property surplus or other soil material not required or suitable for filling or backfilling, and brush, refuse, stumps, roots, and timber. Refer to the Soil Management Plan attached to this Section for the disposition of surplus soil material.

3.12 FIELD QUALITY CONTROL

3.12.1 Sampling

Take the number and size of samples required to perform the following tests.

3.12.2 Testing

Perform one of each of the following tests for each material used. Provide additional tests for each source change.

3.12.2.1 Fill and Backfill Material Testing

Test fill and backfill material in accordance with ASTM C136 for conformance to ASTM D2487 gradation limits; ASTM D1140 for material finer than the No. 200 sieve; ASTM D4318 for liquid limit and for plastic limit; ASTM D698 or ASTM D1557 for moisture density relations, as applicable.

3.12.2.2 Select Material Testing

Test select material in accordance with ASTM C136 for conformance to ASTM D2487 gradation limits; ASTM D1140 for material finer than the No. 200 sieve; ASTM D698 or ASTM D1557 for moisture density relations, as applicable.

3.12.2.3 Porous Fill Testing

Test porous fill in accordance with ASTM C136 for conformance to gradation specified in ASTM C33/C33M.

3.12.2.4 Density Tests

Test density in accordance with ASTM D1556, or ASTM D6938. When ASTM D6938 density tests are used, verify density test results by performing an ASTM D1556 density test at a location already ASTM D6938 tested as specified herein. Perform an ASTM D1556 density test at the start of the job, and for every 10 ASTM D6938 density tests thereafter. Test in 12" vertical increments above new pipeline, every 200 ft or one vertical location for lengths less than 200 feet. Stagger test in each 12" increment. Include density test results in daily report.

Bedding and backfill in trenches: One test per 50 linear feet in each lift.

-- End of Section --

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ATTACHMENT TO
SECTION 31 23 00.00 20 EXCAVATION AND FILL
SOIL MANAGEMENT PLAN
NAVAL STATION NEWPORT, NEWPORT, RI

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Soil Management Plan Naval Station Newport, Newport, RI

This Soil Management Plan (SMP) has been prepared to establish procedures that will be followed should future construction, demolition or maintenance activities at the Naval Station Newport (NAVSTA) require the need to manage disturbed or excavated soil. The plan cannot be used to manage soils on Navy Installation Restoration (IR) sites, soils with known contamination, such as PCBs, asbestos, or mercury, soils regulated by the State of RI with contamination other than arsenic, and on property leased to private entities (e.g. privatized Housing).

Background

The property, located in Newport, RI, was established in 1869 with the construction of a torpedo station. The Navy War College was added in 1884 and the site was used for sailor training and housing and fueling facilities during World War II. Post WWII the property footprint and training activities were reduced while research and development efforts were increased. The soils on the property were found to contain arsenic that exceeds regulatory levels during a property-wide site investigation that included the collection and analysis of more than 1000 soil samples. These soils must be removed and disposed of, or covered with Department (i.e. State of Rhode Island Department of Environmental Management) approved engineered controls, consisting of building foundations, asphalt pavement, and landscaping and environmental land use controls (ELUR) in order to prevent direct exposure to regulated soils.

Applicable Area

This SMP, and affiliated ELUR (when applicable), restricts the property to industrial or commercial usage, and pertains to the entire property. See attached site figure.

Soil Management

The direct exposure pathway is the primary concern at the site. Individuals engaged in activities at the site may be exposed through incidental ingestion, dermal contact, or inhalation of entrained soil particles if proper precautions are not taken. Therefore, the following procedures will be followed to minimize the potential of exposure.

During site work, the appropriate precautions will be taken to restrict unauthorized access to the property.

During all site/earth work, dust suppression (i.e. watering) techniques must be employed at all times. In the event that an unexpected observation or situation arises during site work, such activities will immediately stop (such as olfactory or visual evidence of waste material or contamination, PCB contamination or asbestos debris disposal). Workers will not attempt to handle the situation themselves but will contact the appropriate authority for further direction.

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If excess soil is generated /excavated from the property, the soil is to remain on-site for analytical testing, to be performed by an environmental professional, in order to determine the appropriate disposal and/or management options. The soil must be placed on and covered with polyethylene/plastic sheeting during the entire duration of its staging and secured with appropriate controls to limit the loss of the cover and protect against storm-water and/or wind erosion (i.e. hay bales, silt fencing, rocks, etc).

Excavated soils will be staged and temporarily stored in a designated area of the property. Within reason, the storage location will be selected to limit the unauthorized access to the materials (i.e. away from public roadways/walkways). No soil will be stockpiled on-site for greater than 60 days without prior Department approval.

Soils excavated from the site may not be re-used as fill on residential property. Excavated fill material shall not be re-used as fill on commercial or industrial properties unless it meets the Department's Method 1 Residential Direct Exposure Criteria for all constituents listed in Table 1 of the Rules and Regulations for the Investigation and Remediation of Hazardous Material Releases (Remediation Regulations).

Excavated soil to be reused on non-Navy commercial or industrial properties must be sampled and analyzed, by a qualified environmental professional, at a frequency of one sample per 500 tons for all constituents in Table 1. Copies of the laboratory analysis results shall be maintained by the site owner and included in the annual inspection report for the site, or the closure report if applicable. In the event that the soil does not meet any of these criteria, the material must be properly managed and disposed of off site at a licensed facility.

Site soils, which are to be disposed of off-site (and not reused off-site), must be done so at a licensed facility in accordance with all local, state, and federal laws. Copies of the material shipping records associated with the disposal of the material shall be maintained by the site owner and included in the annual inspection report for the site.

Best soil management practices should be employed at all times and regulated soils should be segregated into separate piles (or cells or containers) as appropriate based upon the results of analytical testing, when multiple reuse options are planned (i.e. reuse on-site, reuse at a Department approved industrial/commercial property, or disposal at a Department approved licensed facility).

All non-disposable equipment used during the soil disturbance activities will be properly decontaminated as appropriate prior to removal from the site. All disposable equipment used during the soil disturbance activities will be properly containerized and disposed of following completion of the work. All vehicles utilized during the work shall be properly decontaminated as appropriate prior to leaving the site.

At the completion of site work, all exposed soils that remain on the site (i.e. have not been removed to licensed disposal facility) are required to be recapped with Department approved engineered controls (i.e. 2 feet of clean fill or equivalent; building foundations; 4 inches of pavement/concrete underlain with 6 inches of clean fill; and/or 1 foot of clean

fill underlain with a geotextile liner) consistent or better than the site surface conditions prior to the work that took place. These measures must also be consistent with the Department approved ELUR recorded on the property. Any clean fill material brought on site is required to meet the Department's Method 1 Residential Direct Exposure Criteria or be designated by an Environmental Professional as Non-Jurisdictional under the Remediation Regulations. The Annual Inspection Report for the site, or Closure Report if applicable, should include either analytical sampling results from the fill demonstrating compliance or alternatively include written certification by an Environmental Professional that the fill is not jurisdictional.

Worker Health and Safety

To ensure the health and safety of on-site workers, persons involved in the excavation and handling of the material on site are required to wear a minimum of Level D personal protection equipment, including gloves, work boots and eye protection. Workers are also required to wash their hands with soap and water prior to eating, drinking, smoking, or leaving the site.

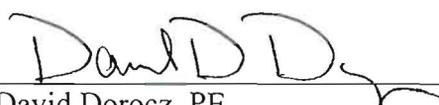
Department Approval

In accordance with the Departments' requirements, no soil at the property is to be disturbed after an engineering control has been implemented in any manner without prior written permission of the Department's Office of Waste Management, except for minor inspections, maintenance, and landscaping activities that do not disturb the contaminated soil that is left in place.

As part of the notification process, the Navy shall publish a notice, annually in the *Newport Daily News* that indicates that soil contain arsenic above the Department's Method 1 Direct Exposure Criteria, that soil work is planned on the property, and that individuals will be notified if work is to be done adjacent to privately-owned property.

In addition, the Navy will prepare an annual report to be submitted to the Department that summarizes construction work done on the property were soil was removed and inspections of sites on the property were soils with arsenic have been left in place and land use restrictions have been applied.

For soil that is removed, the report will identify the location, quantity, and ultimate destination. For sites with land use restrictions the inspections will include the location of the site and certification that the engineering controls remain in place.


David Dorocz, PE
Environmental Division Director
Naval Station Newport


Leo Hellsted, PE
Chief of Office of Waste Management
Department of Environmental Management
6-3-10

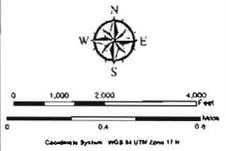
NAVSTA Newport

Commander Navy Region
Mid-Atlantic GeoReadiness
Center

Legend

- Gates
- Base Boundary
- Existing Structures
- Ammunition Storage Area
- Fence Line
- Runway
- Taxiway
- Helipad
- Apron
- Shoulder Overrun
- Aircraft Parking Area
- Railroad
- Golf Course
- Playground
- Swimming Pool
- Athletic Court
- Athletic Field
- Existing Piers
- Drydock

Print Date: 10 Oct, 2007



GeoReadiness Center

AM-OSM And-Atlantic
Norfolk, Va 23511
(757) 444-3013



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SECTION 32 12 17

HOT MIX BITUMINOUS PAVEMENT

04/08

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASPHALT INSTITUTE (AI)

AI MS-02 (6th Edition; 1997) Mix Design Methods for Asphalt

ASTM INTERNATIONAL (ASTM)

ASTM C 117 (2004) Standard Test Method for Materials Finer than 75-um (No. 200) Sieve in Mineral Aggregates by Washing

ASTM C 127 (2007) Standard Test Method for Density, Relative Density (Specific Gravity), and Absorption of Coarse Aggregate

ASTM C 128 (2007a) Standard Test Method for Density, Relative Density (Specific Gravity), and Absorption of Fine Aggregate

ASTM C 131 (2006) Standard Test Method for Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine

ASTM C 136 (2006) Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates

ASTM C 188 (1995; R 2003) Standard Test Method for Density of Hydraulic Cement

ASTM C 29/C 29M (2007) Standard Test Method for Bulk Density ("Unit Weight") and Voids in Aggregate

ASTM C 88 (2005) Standard Test Method for Soundness of Aggregates by Use of Sodium Sulfate or Magnesium Sulfate

ASTM D 1188 (2007) Bulk Specific Gravity and Density of Compacted Bituminous Mixtures Using Paraffin-Coated Specimens

ASTM D 140 (2001; R 2007) Sampling Bituminous Materials

ASTM D 1559	(1989) Resistance to Plastic Flow of Bituminous Mixtures Using Marshall Apparatus
ASTM D 2041	(2003a) Theoretical Maximum Specific Gravity and Density of Bituminous Paving Mixtures
ASTM D 2172	(2005) Quantitative Extraction of Bitumen from Bituminous Paving Mixtures
ASTM D 242	(2004) Mineral Filler for Bituminous Paving Mixtures
ASTM D 2726	(2005a) Bulk Specific Gravity and Density of Non-Absorptive Compacted Bituminous Mixtures
ASTM D 4867/D 4867M	(2004) Effect of Moisture on Asphalt Concrete Paving Mixtures
ASTM D 546	(2005) Sieve Analysis of Mineral Filler for Bituminous Paving Mixtures
ASTM D 70	(2003) Specific Gravity and Density of Semi-Solid Bituminous Materials (Pycnometer Method)
ASTM D 75	(2003) Standard Practice for Sampling Aggregates
ASTM D 854	(2006e1) Specific Gravity of Soil Solids by Water Pycnometer
ASTM D 979	(2001; R 2006e1) Sampling Bituminous Paving Mixtures
ASTM D 995	(1995b; R 2002) Mixing Plants for Hot-Mixed, Hot-Laid Bituminous Paving Mixtures

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-05 Design Data

Job-mix formula

Submit a job-mix formula, prepared specifically for this project for approval prior to preparing and placing the bituminous mixture. Design mix using procedures contained in Chapter V, Marshall Method of Mix Design, of AI MS-02. Formulas shall indicate physical properties of the mixes as shown by tests made

by a commercial laboratory approved by the Contracting Officer, using materials identical to those to be provided on this project. Submit formulas with material samples. Job-mix formula for each mixture shall be in effect until modified in writing by the Contractor and approved by the Contracting Officer. Provide a new job-mix formula for each source change. Submittal shall include all tests indicated in MIX DESIGN section of this specification.

ASPHALT CEMENT BINDER

MIX DESIGN

SD-06 Test Reports

Specific gravity test of asphalt

Coarse aggregate tests

Weight of slag test

Percent of crushed pieces in gravel

Fine aggregate tests

Specific gravity of mineral filler

Bituminous mixture tests

Aggregates tests

Bituminous mix tests

Pavement courses

1.3 QUALITY ASSURANCE

1.3.1 Safety Requirements

Provide adequate and safe stairways with handrails to the mixer platform, and safe and protected ladders or other means for accessibility to plant operations. Guard equipment and exposed steam or other high temperature lines or cover with a suitable type of insulation.

1.3.2 Required Data

Job-mix formula shall show the following:

- a. Source and proportions, percent by weight, of each ingredient of the mixture;
- b. Correct gradation, the percentages passing each size sieve listed in the specifications for the mixture to be used, for the aggregate and mineral filler from each separate source and from each different size to be used in the mixture and for the composite mixture;
- c. Amount of material passing the No. 200 sieve determined by dry sieving;

- d. Number of blows of hammer compaction per side of molded specimen;
- e. Temperature viscosity relationship of the asphalt cement;
- f. Stability, flow, percent voids in mineral aggregate, percent air voids, unit weight;
- g. Asphalt absorption by the aggregate;
- h. Effective asphalt content as percent by weight of total mix;
- i. Temperature of the mixture immediately upon completion of mixing;
- j. Asphalt performance grade viscosity grade; and
- k. Curves for the binder wearing courses.

1.3.3 Charts

Plot and submit, on a grain size chart, the specified aggregate gradation band, the job-mix gradation and the job-mix tolerance band.

1.3.4 Selection of Optimum Asphalt Content

Base selection on percent of total mix and the average of values at the following points on the curves for each mix:

- a. Stability: Peak
- b. Unit Weight: Peak
- c. Percent Air Voids: Median

1.4 DELIVERY, STORAGE, AND HANDLING

Inspect materials delivered to the site for damage and store with a minimum of handling. Store aggregates in such a manner as to prevent segregation, contamination, or intermixing of the different aggregate sizes.

1.5 ENVIRONMENTAL CONDITIONS

Place bituminous mixture only during dry weather and on dry surfaces. Do not place during rain or on wet surface. Place courses only when the surface temperature of the underlying course is greater than 45 degrees F for course thicknesses greater than one inch and 55 degrees F for course thicknesses one inch or less.

1.6 CONSTRUCTION EQUIPMENT

Calibrated equipment, such as scales, batching equipment, spreaders and similar equipment, shall have been recalibrated by a calibration laboratory approved by the Contracting Officer within 12 months of commencing work.

1.6.1 Mixing Plant

Design, coordinate, and operate the mixing plant to produce a mixture

within the job-mix formula tolerances and to meet the requirements of ASTM D 995, including additional plant requirements specified herein. The plant shall be a batch type, continuous mix type or drum-dryer mixer type, and shall have sufficient capacity to handle the new bituminous construction. Minimum plant capacity shall be 25 kg/s. The mixing plant and equipment shall remain accessible at all times for inspecting operation, verifying weights, proportions and character of materials, and checking mixture temperatures. The plant and plant site shall meet the requirements of Section 01 57 19.00 20 TEMPORARY ENVIRONMENTAL CONTROLS.

1.6.1.1 Cold Aggregate Feeder

Provide plant with a feeder or feeders capable of delivering the maximum number of aggregate sizes required in their proper proportion. Provide adjustment for total and proportional feed and feeders capable of being locked in any position. When more than one cold elevator is used, feed each elevator as a separate unit and install individual controls integrated with a master control.

1.6.1.2 Dryer

Provide rotary drum-dryer which continuously agitates the mineral aggregate during the heating and drying process. When one dryer does not dry the aggregate to specified moisture requirements, provide additional dryers.

1.6.1.3 Plant Screens and Bins for Batch and Continuous Mix Plants

Use screen to obtain accurate gradation and allow no bin to contain more than 10 percent oversize or undersize. Inspect screens each day prior to commencing work for plugged, worn, or broken screens. Clean plugged screens and replace worn or broken screens with new screens prior to beginning operations. Divide hot aggregate bins into at least three compartments arranged to ensure separate and adequate storage of appropriate fractions of the aggregate.

1.6.1.4 Testing Laboratory

Provide a testing laboratory for control and acceptance testing functions during periods of mix production, sampling and testing, and whenever materials subject to the provisions of these specifications are being supplied or tested. The laboratory shall provide adequate equipment, space, and utilities as required for the performance of the specified tests.

1.6.1.5 Surge and Storage Bins

Use for temporary storage of hot bituminous mixtures will be permitted under the following conditions:

- a. When stored in surge bins for a period of time not to exceed 3 hours.
- b. When stored in insulated and heated storage bins for a period of time not to exceed 12 hours. If it is determined by the Contracting Officer that there is an excessive amount of heat loss, segregation and oxidation of the mixture due to temporary storage, discontinue use of surge bins or storage bins.

1.6.1.6 Drum-Dryer Mixer

Do not use drum-dryer mixer if specified requirements of the bituminous mixture or of the completed bituminous pavement course cannot be met. If drum-dryer mixer is prohibited, use either batch or continuous mix plants meeting the specifications and producing a satisfactory mix.

1.6.2 Paving Equipment

1.6.2.1 Spreading Equipment

Self-propelled electronically controlled type, unless other equipment is authorized. Equip spreading equipment of the self-propelled electronically controlled type with hoppers, tamping or vibrating devices, distributing screws, electronically adjustable screeds, and equalizing devices. Capable of spreading hot bituminous mixtures without tearing, shoving, or gouging and to produce a finished surface of specified grade and smoothness. Operate spreaders, when laying mixture, at variable speeds between 5 and 45 feet per minute. Design spreader with a quick and efficient steering device; a forward and reverse traveling speed; and automatic devices to adjust to grade and confine the edges of the mixture to true lines. The use of a spreader that leaves indented areas or other objectionable irregularities in the fresh laid mix during operations is prohibited.

1.6.2.2 Rolling Equipment

Self-propelled pneumatic-tired rollers supplemented by three-wheel and tandem type steel wheel rollers. The number, type and weight of rollers shall be sufficient to compact the mixture to the required density without detrimentally affecting the compacted material. Rollers shall be suitable for rolling hot-mix bituminous pavements and capable of reversing without backlash. Pneumatic-tired rollers shall be capable of being operated both forward and backward without turning on the mat, and without loosening the surface being rolled. Equip rollers with suitable devices and apparatus to keep the rolling surfaces wet and prevent adherence of bituminous mixture. Vibratory rollers especially designed for bituminous concrete compaction may be used provided rollers do not impair stability of pavement structure and underlying layers. Repair depressions in pavement surfaces resulting from use of vibratory rollers. Rollers shall be self-propelled, single or dual vibrating drums, and steel drive wheels, as applicable; equipped with variable amplitude and separate controls for energy and propulsion.

1.6.2.3 Hand Tampers

Minimum weight of 25 pounds with a tamping face of not more than 50 square inches.

1.6.2.4 Mechanical Hand Tampers

Commercial type, operated by pneumatic pressure or by internal combustion.

PART 2 PRODUCTS

2.1 AGGREGATES

Grade and proportion aggregates and filler so that combined mineral aggregate conforms to specified grading.

2.1.1 Coarse Aggregates

Crushed stone/gravel, Type II, in accordance with the RIDOT Standard Specifications, Sections 301.02 and M.01.09.

2.1.2 Fine Aggregate

Gravel borrow, Type Ia, in accordance with the RIDOT Standard Specifications, Sections 301.02 and M.01.09.

2.1.3 Mineral Filler

Nonplastic material meeting the requirements of ASTM D 242.

2.2 ASPHALT CEMENT BINDER

Asphalt cement binder shall conform to RIDOT Standards. Test data indicating grade certification shall be provided by the supplier at the time of delivery of each load to the mix plant. Copies of these certifications shall be submitted to the Contracting Officer. The supplier is defined as the last source of any modification to the binder. The Contracting Officer may sample and test the binder at the mix plant at any time before or during mix production. Samples for this verification testing shall be obtained by the Contractor in accordance with ASTM D 140 and in the presence of the Contracting Officer. These samples shall be furnished to the Contracting Officer for the verification testing, which shall be at no cost to the Contractor. Samples of the asphalt cement specified shall be submitted for approval not less than 14 days before start of the test section.

2.3 MIX DESIGN

The Contractor shall develop the mix design and conform to the RHODE ISLAND DEPARTMENT OF TRANSPORTATION, Division III, Part M, Section M.03 for Binder Course. The wearing course shall conform to SHS RI DEPT OF TRANSPORTATION, Division III, Section M.03 for Surface Course Class I-1. The asphalt mix shall be composed of a mixture of well-graded aggregate, mineral filler if required, and asphalt material. The aggregate fractions shall be sized, handled in separate size groups, and combined in such proportions that the resulting mixture meets the grading requirements of the job mix formula (JMF). No hot-mix asphalt for payment shall be produced until a JMF has been approved. The hot-mix asphalt shall be designed using procedures contained in AI MS-02 and the criteria shown in Table II. If the Tensile Strength Ratio (TSR) of the composite mixture, as determined by ASTM D 4867/D 4867M is less than 75, the aggregates shall be rejected or the asphalt mixture treated with an approved anti-stripping agent. The amount of anti-stripping agent added shall be sufficient to produce a TSR of not less than 75. If an antistrip agent is required, it shall be provided by the Contractor at no additional cost.

2.3.1 JMF Requirements

The job mix formula shall be submitted in writing by the Contractor for approval at least 14 days prior to the start of the test section and shall include as a minimum:

- a. Percent passing each sieve size.

- b. Percent of asphalt cement.
- c. Percent of each aggregate and mineral filler to be used.
- d. Asphalt viscosity grade, penetration grade, or performance grade.
- e. Number of blows of hammer per side of molded specimen.
- f. Laboratory mixing temperature.
- g. Lab compaction temperature.
- h. Temperature-viscosity relationship of the asphalt cement.
- i. Plot of the combined gradation on the 0.45 power gradation chart, stating the nominal maximum size.
- j. Graphical plots of stability, flow, air voids, voids in the mineral aggregate, and unit weight versus asphalt content as shown in AI MS-02.
- k. Specific gravity and absorption of each aggregate.
- l. Percent natural sand.
- m. Percent particles with two or more fractured faces (in coarse aggregate).
- n. Fine aggregate angularity.
- o. Percent flat or elongated particles (in coarse aggregate).
- p. Tensile Strength Ratio.
- q. Antistrip agent (if required) and amount.
- r. List of all modifiers and amount.

Table II. Marshall Design Criteria

Test Property	75 Blow Mix
Stability, pounds minimum	*2150
Flow, 0.01 inch	8-16
Air voids, percent	3-5
Percent Voids in mineral aggregate (minimum)	See Table III
TSR, minimum percent	75

* This is a minimum requirement. The average during construction shall be significantly higher than this number to ensure compliance with the

specifications.

2.3.2 Adjustments to JMF

The JMF for each mixture shall be in effect until a new formula is approved in writing by the Contracting Officer. Should a change in sources of any materials be made, a new mix design shall be performed and a new JMF approved before the new material is used. The Contractor will be allowed to adjust the JMF within the limits specified below to optimize mix volumetric properties. Adjustments to the JMF shall be limited to plus or minus 3 percent on the 1/2 inch, No. 4, and No. 8 sieves; plus or minus 1.0 percent on the No. 200 sieve; and plus or minus 0.40 percent binder content. If adjustments are needed that exceed these limits, a new mix design shall be developed. Tolerances given above may permit the aggregate grading to be outside the limits shown in Table I; this is acceptable.

2.4 SOURCE QUALITY CONTROL

Employ a commercial laboratory approved by the Contracting Officer to perform testing. The laboratory used to develop the JMF and the laboratory used to perform all sampling and testing shall meet the requirements of ASTM D 3666. A certification signed by the manager of the laboratory stating that it meets these requirements or clearly listing all deficiencies shall be submitted to the Contracting Officer prior to the start of construction. The certification shall contain as a minimum:

- a. Qualifications of personnel; laboratory manager, supervising technician, and testing technicians.
- b. A listing of equipment to be used in developing the job mix.
- c. A copy of the laboratory's quality control system.
- d. Evidence of participation in the AASHTO Materials Reference Laboratory (AMRL) program.

2.4.1 Tests

Perform testing in accordance with the following:

- a. Specific Gravity Test of Asphalt: ASTM D 70
- b. Coarse Aggregate Tests:
 - (1) Bulk Specific Gravity: ASTM C 127
 - (2) Abrasion Loss: ASTM C 131
 - (3) Soundness Loss: ASTM C 88
- c. Weight of Slag Test: ASTM C 29/C 29M
- d. Percent of Crushed Pieces in Gravel: Count by observation and weight
- e. Fine Aggregate Tests:
 - (1) Bulk Specific Gravity: ASTM C 128

- (2) Soundness Loss: ASTM C 88
- f. Specific Gravity of Mineral Filler: ASTM C 188 or ASTM D 854
- g. Bituminous Mixture Tests:
 - (1) Bulk Specific Gravity: ASTM D 1188 or ASTM D 2726
 - (2) Theoretical Maximum Specific Gravity: ASTM D 2041
 - (3) Tensile Strength Ratio: ASTM D 4867/D 4867M

2.4.2 Specimens

ASTM D 1559 for the making and testing of bituminous specimens with the following exceptions:

- a. Compaction: Apply 75 blows of the hammer to each flat face of the specimens.
- b. Curves: Plot curves for the binder wearing courses to show the effect on the test properties of at least four different percentages of asphalt on the unit weight, stability, flow, air voids, and voids in mineral aggregate; each point on the curves shall represent the average of at least four specimens.
- c. Cooling of Specimen: After compaction is completed, allow the specimen to cool in air to the same temperature approximately as that of the water, 77 degrees F, to be used in the specific gravity determination.

PART 3 EXECUTION

3.1 PREPARATION

3.1.1 Preparation of Asphalt Binder Material

The asphalt cement material shall be heated avoiding local overheating and providing a continuous supply of the asphalt material to the mixer at a uniform temperature. The temperature of unmodified asphalts shall be no more than 160 degrees C (325 degrees F) when added to the aggregates. Modified asphalts shall be no more than 174 degrees C (350 degrees F) when added to the aggregate.

3.1.2 Preparation of Mineral Aggregates

Store different size aggregate in separate stockpiles so that different sizes will not mix. Stockpile different-sized aggregates in uniform layers by use of a clam shell or other approved method so as to prevent segregation. The use of bulldozers in stockpiling of aggregate or in feeding aggregate to the dryer is prohibited. Feed aggregates into the cold elevator by means of separate mechanical feeders so that aggregates are graded within requirements of the job-mix formulas and tolerances specified. Regulate rates of feed of the aggregates so that moisture content and temperature of aggregates are within tolerances specified herein. Dry and heat aggregates to the temperature necessary to achieve the mixture determined by the job mix formula within the job tolerance

specified. Provide adequate dry storage for mineral filler.

3.1.3 Preparation of Bituminous Mixture

Accurately weigh aggregates and dry mineral filler and convey into the mixer in the proportionate amounts of each aggregate size required to meet the job-mix formula. In batch mixing, after aggregates and mineral filler have been introduced into the mixer and mixed for not less than 15 seconds, add asphalt by spraying or other approved methods and continue mixing for a period of not less than 20 seconds, or as long as required to obtain a homogeneous mixture. The time required to add or spray asphalt into the mixer will not be added to the total wet-mixing time provided the operation does not exceed 10 seconds and a homogeneous mixture is obtained. When a continuous mixer is employed, mixing time shall be more than 35 seconds to obtain a homogeneous mixture. Additional mixing time, when required, will be as directed by the Contracting Officer. When mixture is prepared in a twin-pugmill mixer, volume of the aggregates, mineral filler, and asphalt shall not extend above tips of mixer blades when blades are in a vertical position. Overheated and carbonized mixtures, or mixtures that foam or show indication of free moisture, will be rejected. When free moisture is detected in batch or continuous mix plant produced mixtures, waste the mix and withdraw the aggregates in the hot bins immediately and return to the respective stockpiles; for drum-dryer mixer plants, waste the mix, including that in surge or storage bins that is affected by free moisture.

3.1.4 Transportation of Bituminous Mixtures

Transport bituminous material from the mixing plant to the paving site in trucks having tight, clean, smooth beds that have been coated with a minimum amount of concentrated solution of hydrated lime and water or other approved coating to prevent adhesion of the mixture to the truck. Petroleum products will not be permitted for coating truck. If air temperature is less than 60 degrees F or if haul time is greater than 30 minutes, cover each load with canvas or other approved material of ample size to protect the mixture from the loss of heat. Make deliveries so that the spreading and rolling of all the mixture prepared for one day's run can be completed during daylight, unless adequate approved artificial lighting is provided. Deliver mixture to area to be paved so that the temperature at the time of dumping into the spreader is within the range specified herein. Reject loads that are below minimum temperature, that have crusts of cold unworkable material, or that have been wet excessively by rain. Hauling over freshly laid material is prohibited.

3.1.5 Surface Preparation of Underlying Course

Prior to the laying of the asphalt concrete, clean underlying course of foreign or objectionable matter with power blowers or power brooms, supplemented by hand brooms and other cleaning methods where necessary. During the placement of multiple lifts of bituminous concrete, each succeeding lift of bituminous concrete shall have its underlying lift cleaned and provided with a bituminous tack coat if the time period between the placement of each lift of bituminous concrete exceeds 7 days, or the underlying bituminous concrete has become dirty. Remove grass and other vegetative growth from existing cracks and surfaces.

3.1.6 Spraying of Contact Surfaces

Spray contact surfaces of previously constructed pavement with a thin coat

of bituminous materials to act as an anti-stripping agent. Paint contact surfaces of structures with a thin coat of emulsion or other approved bituminous material prior to placing the bituminous mixture. Tack coat the previously placed primed coats on base courses when surface has become excessively dirty and cannot be cleaned or when primed surface has cured to the extent that it has lost all bonding effect.

3.2 PLACEMENT

3.2.1 Machine Spreading

TABLE VI

MINIMUM SPREADING TEMPERATURES

Base Temp. in Degrees F (*)	Wearing, Binder, or Leveling Course Thickness, Inches								
	<u>1/2</u>	<u>3/4</u>	<u>1</u>	<u>1 1/2</u>	<u>2</u>	<u>3</u>	<u>3 1/2</u>	<u>4</u>	
20-32 (**)	---	---	---	---	---	---	275 (**)	260 (**)	
+32-40 (**)	---	---	---	---	295	280	270	260	
+40-50	---	---	---	300	285	275	265	255	
+50-60	---	---	300	295	280	270	260	255	
+60-70	---	300	290	285	275	265	255	250	
+70-80	300	290	285	280	270	265	255	250	
+80-90	290	280	275	270	265	260	250	250	
+90	280	275	270	265	265	255	250	250	

* Note: Base on which mix is placed.

** Note: Increase by 15 degrees when placement is on base or subbase containing frozen moisture. Normally, hot mix paving is not allowed on base temperatures below 45 degrees F.

The range of temperatures of the mixtures at the time of spreading shall be between 250 degrees F and 300 degrees F. Bituminous concrete having temperatures less than minimum spreading temperature when dumped into the spreader will be rejected. Adjust spreader and regulate speed so that the surface of the course is smooth and continuous without tears and pulling, and of such depth that, when compacted, the surface conforms with the cross section, grade, and contour indicated. Unless otherwise directed, begin the placing along the centerline of areas to be paved on a crowned section or on the high side of areas with a one-way slope. Place mixture in consecutive adjacent strips having a minimum width of 10 feet, except where the edge lanes require strips less than 10 feet to complete the area. Construct longitudinal joints and edges to true line markings. Establish lines parallel to the centerline of the area to be paved, and place string lines coinciding with the established lines for the spreading machine to follow. Provide the number and location of the lines needed to accomplish proper grade control. When specified grade and smoothness requirements can be met for initial lane construction by use of an approved long ski-type device of not less than 30 feet in length and for subsequent lane construction by use of a short ski or shoe, in-place string lines for grade control may be omitted. Place mixture as nearly continuous as possible and adjust the speed of placing as needed to permit proper rolling.

3.2.2 Shoveling, Raking, and Tamping After Machine-Spreading

Shovelers and rakers shall follow the spreading machine. Add or remove hot mixture and rake the mixture as required to obtain a course that when completed will conform to requirements specified herein. Broadcasting or fanning of mixture over areas being compacted is prohibited. When segregation occurs in the mixture during placing, suspend spreading operation until the cause is determined and corrected. Correct irregularities in alinement left by the spreader by trimming directly behind the machine. Immediately after trimming, compact edges of the course by tamping laterally with a metal lute or by other approved methods. Distortion of the course during tamping is prohibited.

3.2.3 Hand-Spreading in Lieu of Machine-Spreading

In areas where the use of machine spreading is impractical, spread mixture by hand. The range of temperatures of the mixtures when dumped onto the area to be paved shall be between 250 and 300 degrees F. Mixtures having temperatures less than minimum spreading temperature when dumped onto the area to be paved will be rejected. Spread hot mixture with rakes in a uniformly loose layer of a thickness that, when compacted, will conform to the required grade, thickness, and smoothness. During hand spreading, place each shovelful of mixture by turning the shovel over in a manner that will prevent segregation. Do not place mixture by throwing or broadcasting from a shovel. Do not dump loads any faster than can be properly handled by the shovelers and rakers.

3.3 COMPACTION OF MIXTURE

Compact mixture by rolling. Begin rolling as soon as placement of mixture will bear rollers. Delays in rolling freshly spread mixture shall not be permitted. Start rolling longitudinally at the extreme sides of the lanes and proceed toward center of pavement, or toward high side of pavement with a one-way slope. Operate rollers so that each trip overlaps the previous adjacent strip by at least one foot. Alternate trips of the roller shall be of slightly different lengths. Conduct tests for conformity with the specified crown, grade and smoothness immediately after initial rolling. Before continuing rolling, correct variations by removing or adding materials as necessary. If required, subject course to diagonal rolling with the steel wheeled roller crossing the lines of the previous rolling while mixture is hot and in a compactible condition. Speed of the rollers shall be slow enough to avoid displacement of hot mixture. Correct displacement of mixture immediately by use of rakes and fresh mixture, or remove and replace mixture as directed. Continue rolling until roller marks are eliminated and course has a density of at least 95 percent but not more than 100 percent of that attained in a laboratory specimen of the same mixture prepared in accordance with ASTM D 1559. During rolling, moisten wheels of the rollers enough to prevent adhesion of mixture to wheels, but excessive water is prohibited. Operation of rollers shall be by competent and experienced operators. Provide sufficient rollers for each spreading machine in operation on the job and to handle plant output. In places not accessible to the rollers, compact mixture thoroughly with hot hand tampers. Skin patching of an area after compaction is prohibited. Remove mixture that becomes mixed with foreign materials or is defective and replace with fresh mixture compacted to the density specified herein. Roller shall pass over unprotected edge of the course only when laying of course is to be discontinued for such length of time as to permit mixture to become cold.

3.4 JOINTS

Joints shall present the same texture and smoothness as other portions of the course, except permissible density at the joint may be up to 2 percent less than the specified course density. Carefully make joints between old and new pavement or within new pavements in a manner to ensure a thorough and continuous bond between old and new sections of the course. Vertical contact surfaces of previously constructed sections that are coated with dust, sand, or other objectionable material shall be painted with a thin uniform coat of emulsion or other approved bituminous material just before placing fresh mixture.

3.4.1 Transverse

Roller shall pass over unprotected end of freshly laid mixture only when laying of course is to be discontinued. Except when an approved bulkhead is used, cut back the edge of previously laid course to expose an even, vertical surface for the full thickness of the course. When required, rake fresh mixture against joints, thoroughly tamp with hot tampers, smooth with hot smoothers, and roll. Transverse joints in adjacent lanes shall be offset a minimum of 2 feet.

3.4.2 Longitudinal Joints

Space 6 inches apart. Do not allow joints to coincide with joints of existing pavement or previously placed courses. Spreader screed shall overlap previously placed lanes 2 to 3 inches and be of such height to permit compaction to produce a smooth dense joint. With a lute, push back mixture placed on the surface of previous lanes to the joint edge. Do not scatter mix. Remove and waste excess material. When edges of longitudinal joints are irregular, honeycombed, or poorly compacted, cut back unsatisfactory sections of joint and expose an even vertical surface for the full thickness of the course. When required, rake fresh mixture against joint, thoroughly tamp with hot tampers, smooth with hot smoothers, and roll while hot.

3.5 FIELD QUALITY CONTROL

3.5.1 Sampling

3.5.1.1 Aggregates At Source

Prior to production and delivery of aggregates, take at least one initial sample in accordance with ASTM D 75 from each stockpile. Collect each sample by taking three incremental samples at random from the source material to make a composite sample of not less than 50 pounds. Repeat the sampling when the material source changes or when testing reveals unacceptable deficiencies or variations from the specified grading of materials.

3.5.1.2 Cold Feed Aggregate Sampling

Take two samples daily from the belt conveying materials from the cold feed. Collect materials in three increments at random to make a representative composite sample of not less than 50 pounds. Take samples in accordance with ASTM D 75.

3.5.1.3 Coarse and Fine Aggregates

Take a 50 pound sample from the cold feed at least once daily for sieve analyses and specific gravity tests. Additional samples may be required to perform more frequent tests when analyses show deficiencies, or unacceptable variances or deviations. The method of sampling is as specified herein for aggregates.

3.5.1.4 Mineral Filler

ASTM D 546. Take samples large enough to provide ample material for testing.

3.5.1.5 Pavement and Mixture

Take plant samples for the determination of mix properties and field samples for thickness and density of the completed pavements. Furnish tools, labor and material for samples, and satisfactory replacement of pavement. Take samples and tests at not less than frequency specified hereinafter and at the beginning of plant operations; for each day's work as a minimum; each change in the mix or equipment; and as often as directed. Accomplish sampling in accordance with ASTM D 979.

3.5.2 Testing

3.5.2.1 Aggregates Tests

- a. Gradation: ASTM C 136.
- b. Mineral Filler Content: ASTM D 546.
- c. Abrasion: ASTM C 131 for wear (Los Angeles test). Perform one test initially prior to incorporation into the work and each time the source is changed.

3.5.2.2 Bituminous Mix Tests

Test one sample for each 500 tons, or fraction thereof, of the uncompacted mix for extraction in accordance with ASTM D 2172; perform a sieve analysis on each extraction sample in accordance with ASTM C 136 and ASTM C 117. Test one sample for each 500 tons or fraction thereof for stability and flow in accordance with ASTM D 1559. Test one sample for each material blend for Tensile Strength Ratio in accordance with ASTM D 4867/D 4867M.

3.5.2.3 Pavement Courses

Perform the following tests:

- a. Density: For each 1000 tons of bituminous mixture placed, or fraction thereof per day of course placed, determine the representative laboratory density by averaging the density of four laboratory specimens prepared in accordance with ASTM D 1559. Samples for laboratory specimens shall be taken from trucks delivering mixture to the site; record in a manner approved by the Contracting Officer the project areas represented by the laboratory densities. From each representative area recorded, determine field density of pavement by averaging densities of 4 inch diameter cores obtained from binder and wearing courses; take

one core for each 2000 square yards or fraction thereof per day of course placed. Determine density of laboratory prepared specimens and cored samples in accordance with ASTM D 1188 or ASTM D 2726, as applicable. Separate pavement layers by sawing or other approved means. Maximum allowable deficiency at any point, excluding joints, shall not be more than 2 percent less than the specified density for any course. The average density of each course, excluding joints, shall be not less than the specified density. Joint densities shall not be more than 2 percent less than specified course densities and are not included when calculating average course densities. When the deficiency exceeds the specified tolerances, correct each such representative area or areas by removing the deficient pavement and replacing with new pavement.

- b. Thickness: Determine thickness of binder and wearing courses from samples taken for the field density test. The maximum allowable deficiency at any point shall not be more than 1/4 inch less than the thickness for the indicated course. Average thickness of course or of combined courses shall be not less than the indicated thickness. Where a deficiency exceeds the specified tolerances, correct each such representative area or areas by removing the deficient pavement and replacing with new pavement.
- c. Smoothness: Straightedge test the compacted surface of binder and wearing courses as work progresses. Apply straightedge parallel with and at right angles to the centerline after final rolling. Unevenness of binder courses shall not vary more than 1/4 inch in 10 feet; variations in the wearing course shall not vary more than 1/8 inch in 10 feet. Correct each portion of the pavement showing irregularities greater than that specified.
- d. Finished Grades: Finish grades of each course placed shall not vary from the finish elevations, profiles, and cross sections indicated by more than 1/2 inch. Finished surface of the final wearing course will be tested by running lines of levels at intervals of 25 feet longitudinally and transversely to determine elevations of completed pavement. Within 45 days after completion of final placement, perform a level survey at the specified grid spacing and plot the results on a plan drawn to the same scale as the drawings. Elevations not in conformance with the specified tolerance shall be noted on the plan in an approved manner. The survey shall be performed by a registered land surveyor. The Contracting Officer will inform the Contractor in writing of paved areas that fail to meet the final grades indicated within the specified tolerances. Correct deficient paved areas by removing existing work and replacing with new materials that meet the specifications. Skin patching for correcting low areas is prohibited.
- e. Finish Surface Texture of Wearing Course: Visually check final surface texture for uniformity and reasonable compactness and tightness. Final wearing course with a surface texture having undesirable irregularities such as segregation, cavities, pulls or tears, checking, excessive exposure of coarse aggregates, sand streaks, indentations, ripples, or lack of uniformity shall be removed and replaced with new materials.

3.6 PROTECTION

Do not permit vehicular traffic, including heavy equipment, on pavement until surface temperature has cooled to at least 120 degrees F. Measure surface temperature by approved surface thermometers or other satisfactory methods.

-- End of Section --

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SECTION 32 17 23.00 20

PAVEMENT MARKINGS

04/06

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D 2240	(2005) Standard Test Method for Rubber Property - Durometer Hardness
ASTM D 2621	(1987; R 2005) Infrared Identification of Vehicle Solids from Solvent-Reducible Paints
ASTM D 2697	(2003) Volume Nonvolatile Matter in Clear or Pigmented Coatings
ASTM D 3335	(1985a; R 2005) Low Concentrations of Lead, Cadmium, and Cobalt in Paint by Atomic Absorption Spectroscopy
ASTM D 3718	(1985a; R 2005) Low Concentrations of Chromium in Paint by Atomic Absorption Spectroscopy
ASTM D 3924	(1980; R 2005) Standard Environment for Conditioning and Testing Paint, Varnish, Lacquer, and Related Materials
ASTM D 3960	(2005) Determining Volatile Organic Compound (VOC) Content of Paints and Related Coatings
ASTM D 4280	(2004) Extended Life Type, Nonplowable, Raised, Retroreflective Pavement Markers
ASTM D 4505	(2005) Preformed Retroreflective Pavement Marking Tape for Extended Service Life
ASTM D 4541	(2002) Pull-Off Strength of Coatings Using Portable Adhesion Testers
ASTM D 471	(2006) Standard Test Method for Rubber Property - Effect of Liquids
ASTM D 522	(1993a; R 2001) Mandrel Bend Test of Attached Organic Coatings
ASTM D 711	(1989; R 2004) No-Pick-Up Time of Traffic Paint

ASTM D 792 (2000) Density and Specific Gravity (Relative Density) of Plastics by Displacement

ASTM D 823 (1995; R 2007) Producing Films of Uniform Thickness of Paint, Varnish, and Related Products on Test Panels.

ASTM E 28 (1999; R 2004) Softening Point of Resins Derived from Naval Stores by Ring and Ball Apparatus

ASTM G 53 (1996) Operating Light- and Water-Exposure Apparatus (Fluorescent UV-Condensation Type) for Exposure of Nonmetallic Materials

INTERNATIONAL CONCRETE REPAIR INSTITUTE (ICRI)

ICRI 03732 (1997) Selecting and Specifying Concrete Surface Preparation for Sealers, Coatings, and Polymer Overlays

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

FED-STD-595 (Rev B; Am 1) Colors Used in Government Procurement

FS TT-B-1325 (Rev C) Beads (Glass Spheres) Retro-Reflective (Metric)

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only or as otherwise designated. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Reflective media for roads and streets

Paints for roads and streets

High Build Acrylic Coating (HBAC); G

Thermoplastic compound

Raised Pavement Markers and Adhesive

Equipment; G

Lists of proposed equipment, including descriptive data, and notifications of proposed Contractor actions as specified in this section. List of removal equipment shall include descriptive data indicating area of coverage per pass, pressure adjustment range, tank and flow capacities, and safety precautions required for the

equipment operation.

Qualifications

Documentation on personnel qualifications, as specified.

SD-06 Test Reports

Reflective media for roads and streets

Paints for roads and streets

High Build Acrylic Coating (HBAC); G

Thermoplastic compound

Raised Pavement Markers and Adhesive

Certified reports from sampling and testing made in accordance with paragraph entitled "Sampling and Testing" prior to the use of the materials at the jobsite. Testing shall be performed in an approved independent laboratory.

SD-07 Certificates

Reflective media for roads and streets

Paints for roads and streets

Volatile Organic Compound, (VOC)

Certificate stating that the proposed pavement marking paint meets the VOC regulations of the local Air Pollution Control District having jurisdiction over the geographical area in which the project is located.

Thermoplastic compound

Construction equipment list

SD-08 Manufacturer's Instructions

Paints for roads and streets

Thermoplastic compound

Submit manufacturer's Material Safety Data Sheets.

1.3 DELIVERY AND STORAGE

Deliver paints, paint materials and thermoplastic compound materials in original sealed containers that plainly show the designated name, specification number, batch number, color, date of manufacture, manufacturer's directions, and name of manufacturer. Provide storage facilities at the job site, only in areas approved by the Contracting Officer or authorized representative, for maintaining materials at temperatures recommended by the manufacturer. Make available paint stored at the project site or segregated at the source for sampling not less than 30 days prior to date of required approval for use to allow sufficient

time for testing. Notify the Contracting Officer when paint is available for sampling.

1.4 WEATHER LIMITATIONS

Apply paint to clean, dry surfaces, and unless otherwise approved, only when the air and pavement surface temperature is at least 5 degrees above the dew point and the air and pavement temperatures are above 40 degrees F and less than 95 degrees F for oil-based materials; above 50 degrees F and less than 110 degrees F for water-based materials. Maintain paint temperature within these same limits.

1.5 EQUIPMENT

Machines, tools, and equipment used in the performance of the work shall be approved by the Contracting Officer and maintained in satisfactory operating condition. Submit construction equipment list for approval by the Contracting Officer.

1.5.1 Mobile and Maneuverable

Application equipment shall be mobile and maneuverable to the extent that straight lines can be followed and normal curves can be made in a true arc.

1.5.2 Paint Application Equipment

1.5.2.1 Self-Propelled or Mobile-Drawn Pneumatic Spraying Machines

Provide self-propelled or mobile-drawn pneumatic spraying machine with suitable arrangements of atomizing nozzles and controls to obtain the specified results. Provide machine having a speed during application capable of applying the stripe widths indicated at the paint coverage rate specified herein and of even uniform thickness with clear-cut edges. Provide equipment used for marking streets and highways capable of placing the prescribed number of lines at a single pass as solid lines, intermittent lines, or a combination of solid and intermittent lines using a maximum of three different colors of paint as specified. Provide paint applicator with paint reservoirs or tanks of sufficient capacity and suitable gages to apply paint in accordance with requirements specified. Equip tanks with suitable air-driven mechanical agitators. Equip spray mechanism with quick-action valves conveniently located, and include necessary pressure regulators and gages in full view and reach of the operator. Install paint strainers in paint supply lines to ensure freedom from residue and foreign matter that may cause malfunction of the spray guns. The paint applicator shall be readily adaptable for attachment of an air-actuated dispenser for the reflective media approved for use. Provide pneumatic spray guns for hand application of paint in areas where the mobile paint applicator cannot be used. Applicator for water-based markings shall be equipped with non-stick coated hoses; metal parts in contact with the paint material shall be constructed of grade 302, 304, 316, or equal stainless steel.

1.5.3 Thermoplastic Application Equipment

1.5.3.1 Thermoplastic Material

Thermoplastic material shall be applied to the primed pavement surface by spray techniques or by the extrusion method, wherein one side of the

shaping die is the pavement and the other three sides are contained by, or are part of, suitable equipment for heating and controlling the flow of material. By either method, the markings shall be applied with equipment that is capable of providing continuous uniformity in the dimensions of the stripe.

1.5.3.2 Application Equipment

a. Application equipment shall provide continuous mixing and agitation of the material. Conveying parts of the equipment between the main material reservoir and the extrusion shoe or spray gun shall prevent accumulation and clogging. All parts of the equipment which come into contact with the material shall be easily accessible and exposable for cleaning and maintenance. All mixing and conveying parts up to and including the extrusion shoes and spray guns shall maintain the material at the required temperature with heat-transfer oil or electrical-element-controlled heat.

b. The application equipment shall be constructed to ensure continuous uniformity in the dimensions of the stripe. The applicator shall provide a means for cleanly cutting off stripe ends squarely and shall provide a method of applying "skiplines". The equipment shall be capable of applying varying widths of traffic markings.

c. The applicator shall be equipped with a drop-on type bead dispenser capable of uniformly dispensing reflective glass spheres at controlled rates of flow. The bead dispenser shall be automatically operated and shall begin flow prior to the flow of composition to assure that the strip is fully reflectorized.

1.5.3.3 Mobile and Maneuverable

Application equipment shall be mobile and maneuverable to the extent that straight lines can be followed and normal curves can be made in a true arc. The equipment used for the placement of thermoplastic pavement markings shall be of two general types: mobile applicator and portable applicator.

1.5.3.4 Mobile Application Equipment

The mobile applicator shall be defined as a truck-mounted, self-contained pavement marking machine that is capable of hot applying thermoplastic by either the extrusion or spray method. The unit shall be equipped to apply the thermoplastic marking material at temperatures exceeding 375 degrees F, at widths varying from 3 to 12 inches and in thicknesses varying from 0.040 to 0.200 inch and shall have an automatic drop-on bead system. The mobile unit shall be capable of operating continuously and of installing a minimum of 20,000 lineal feet of longitudinal markings in an 8-hour day.

The mobile unit shall be equipped with a melting kettle which holds a minimum of 6000 pounds of molten thermoplastic material. The kettle shall be capable of heating the thermoplastic composition to temperatures of 375 to 425 degrees F. A thermostatically controlled heat transfer liquid shall be used. Heating of the composition by direct flame shall not be allowed. Oil and material temperature gauges shall be visible at both ends of the kettle.

The mobile unit shall be equipped with an electronic programmable line pattern control system. The control system shall be capable of applying

skip or solid lines in any sequence, through any and all of the extrusion shoes, or the spray guns, and in programmable cycle lengths. In addition, the mobile unit shall be equipped with an automatic counting mechanism capable of recording the number of lineal feet of thermoplastic markings applied to the pavement surface with an accuracy of 0.5 percent.

1.5.3.5 Portable Application Equipment

The portable applicator shall be defined as hand-operated equipment, specifically designed for placing special markings such as crosswalks, stopbars, legends, arrows, and short lengths of lane, edge and centerlines. The portable applicator shall be capable of applying thermoplastic pavement markings by the extrusion method. The portable applicator shall be loaded with hot thermoplastic composition from the melting kettles on the mobile applicator. The portable applicator shall be equipped with all the necessary components, including a materials storage reservoir, bead dispenser, extrusion shoe, and heating accessories, so as to be capable of holding the molten thermoplastic at a temperature of 375 to 425 degrees F, of extruding a line of 3 to 12 inches in width, and in thickness of not less than 0.120 inch nor more than 0.190 inch and of generally uniform cross section.

1.5.4 Reflective Media Dispenser

The dispenser for applying the reflective media shall be attached to the paint dispenser and shall operate automatically and simultaneously with the applicator through the same control mechanism. The dispenser shall be capable of adjustment and designed to provide uniform flow of reflective media over the full length and width of the stripe at the rate of coverage specified in paragraph APPLICATION, at all operating speeds of the applicator to which it is attached.

1.5.5 Preformed Tape Application Equipment

Mechanical application equipment shall be used for the placement of preformed marking tape. Mechanical application equipment shall be defined as a mobile pavement marking machine specifically designed for use in applying precoated, pressure-sensitive pavement marking tape of varying widths, up to 12 inches. The applicator shall be equipped with rollers, or other suitable compactive device, to provide initial adhesion of the preformed, pressure-sensitive marking tape with the pavement surface. Additional hand-operated rollers shall be used as required to properly seat the thermoplastic tape.

1.5.6 Surface Preparation Equipment

1.5.6.1 Sandblasting Equipment

Sandblasting equipment shall include an air compressor, hoses, and nozzles of proper size and capacity as required for cleaning surfaces to be painted. The compressor shall be capable of furnishing not less than 150 cfm of air at a pressure of not less than 90 psi at each nozzle used, and shall be equipped with traps that will maintain the compressed air free of oil and water.

1.5.6.2 Waterblast Equipment

The water pressure shall be specified at 2600 psi at 140 degrees F in order to adequately clean the surfaces to be marked. Water will be

furnished at no cost to the Contractor from a fire hydrant designated by the Contracting Officer or authorized representative and located within a reasonable proximity to the work area. The Contractor shall install a gate valve and a back-flow prevention device on the fire hydrant tap. The Contractor shall furnish all equipment, material, and labor required to obtain and deliver water from the designated fire hydrant to the work area(s).

1.5.7 Marking Removal Equipment

Equipment shall be mounted on rubber tires and shall be capable of removing markings from the pavement without damaging the pavement surface or joint sealant. Waterblasting equipment shall be capable of producing an adjustable, pressurized stream of water. Sandblasting equipment shall include an air compressor, hoses, and nozzles. The compressor shall be equipped with traps to maintain the air free of oil and water.

1.5.7.1 Shotblasting Equipment

Shotblasting equipment shall be capable of producing an adjustable depth of removal of marking and pavement. Each unit shall be self-cleaning and self-contained, shall be able to confine dust and debris from the operation, and shall be capable of recycling the abrasive for reuse.

1.5.7.2 Chemical Equipment

Chemical equipment shall be capable of application and removal of chemicals from the pavement surface, and shall leave only non-toxic biodegradable residue.

1.5.8 Traffic Controls

Suitable warning signs shall be placed near the beginning of the worksite and well ahead of the worksite for alerting approaching traffic from both directions. Small markers shall be placed along newly painted lines or freshly placed raised markers to control traffic and prevent damage to newly painted surfaces or displacement of raised pavement markers. Painting equipment shall be marked with large warning signs indicating slow-moving painting equipment in operation.

1.6 MAINTENANCE OF TRAFFIC

1.6.1 Roads, Streets, and Parking Areas

When traffic must be rerouted or controlled to accomplish the work, the necessary warning signs, flagpersons, and related equipment for the safe passage of vehicles shall be provided.

1.7 WEATHER LIMITATIONS FOR REMOVAL

Pavement surface shall be free of snow, ice, or slush. Surface temperature shall be at least 40 degrees F and rising at the beginning of operations, except those involving shot or sand blasting. Operation shall cease during thunderstorms. Operation shall cease during rainfall, except for waterblasting and removal of previously applied chemicals. Waterblasting shall cease where surface water accumulation alters the effectiveness of material removal.

1.8 QUALIFICATIONS

The Contractor shall submit documentation certifying that pertinent personnel are qualified for equipment operation and handling of chemicals.

PART 2 PRODUCTS

2.1 MATERIALS

Provide materials conforming to the requirements specified herein.

2.1.1 Paints for Roads and Streets

High Build Acrylic Coating (HBAC), color as indicated.

2.1.2 Reflective Media for Roads and Streets

FS TT-B-1325, Type I, Gradation A.

2.1.3 Thermoplastic Compound

The thermoplastic reflectorized pavement marking compound shall be extruded or sprayed in a molten state onto a primed pavement surface. Following a surface application of glass beads and upon cooling to normal pavement temperatures, the marking shall be an adherent reflectorized strip of the specified thickness and width that is capable of resisting deformation by traffic.

2.1.3.1 Composition Requirements

The binder component shall be formulated as a hydrocarbon resin. The pigment, beads and filler shall be uniformly dispersed in the binder resin. The thermoplastic composition shall be free from all skins, dirt, and foreign objects and shall comply with the following requirements:

<u>Component</u>	<u>Percent by Weight</u>	
	<u>White</u>	<u>Yellow</u>
Binder	17 min	17 min
Titanium dioxide	10 min	-
Glass beads	20 min	20 min
Calcium carbonate and inert fillers	49 min	*
Yellow pigments	-	*

*Amount and type of yellow pigment, calcium carbonate and inert fillers shall be at the option of the manufacturer, providing the other composition requirements of this specification are met.

2.1.3.2 Physical Properties

- a. Drying time: When installed at 70 degrees F and in thicknesses between 0.120 and 0.190 inch, the composition shall be completely solid and shall show no damaging effect from traffic after curing 15 minutes.
- b. Softening point: The composition shall have a softening point of not less than 194 degrees F when tested in accordance with ASTM E 28.

- c. Specific gravity: The specific gravity of the composition shall be between 1.9 and 2.2 as determined in accordance with ASTM D 792.

2.1.3.3 Primer

- a. Asphalt concrete primer: The primer for asphalt concrete pavements shall be a thermosetting adhesive with a solids content of pigment reinforced synthetic rubber and synthetic plastic resin dissolved or dispersed in a volatile organic solvent. The solids content shall not be less than 10 percent by weight at 70 degrees F and 60 percent relative humidity. A wet film thickness of 0.005 inch, plus or minus 0.001 inch, shall dry to a tack-free condition in less than 5 minutes.
- b. Portland cement concrete primer: The primer for portland cement concrete pavements shall be an epoxy resin primer. The primer shall be of the type recommended by the manufacturer of the thermoplastic composition.

2.1.4 PREFORMED TAPE

The preformed tape shall be an adherent reflectorized strip in accordance with ASTM D 4505 Type I or IV, Class optional.

2.1.5 Raised Pavement Markers

Either metallic or nonmetallic markers of the button or prismatic reflector type may be used. Markers shall be of permanent colors as specified for pavement marking, and shall retain the color and brightness under the action of traffic. Button markers shall have a diameter of not less than 4 inches, and shall be spaced not more than 40 feet apart on solid longitudinal lines. Broken centerline marker spacings shall be in segments of 3m with gaps of 9m between segments. Button markers shall have rounded surfaces presenting a smooth contour to traffic and shall not project more than 3/4 inch above level of pavement. Pavement markers and adhesive epoxy shall conform to ASTM D 4280

2.1.6 High Build Acrylic Coating (HBAC)

Formulate High Build Acrylic Coating (HBAC) to meet the requirements of Table I.

2.1.6.1 Preapproved HBAC Vendors and Materials

Table II presents a partial list of HBAC vendors and materials. Up to specifications's date of issue, preapproved materials met specification requirements. It is the user's responsibility to confirm preapproved material formulations have not changed and specification requirements will be met. Other products may meet HBAC requirements.

PART 3 EXECUTION

3.1 SURFACE PREPARATION

Allow new pavement surfaces to cure for a period of not less than 30 days before application of marking materials. Thoroughly clean surfaces to be marked before application of the paint. Remove dust, dirt, and other granular surface deposits by sweeping, blowing with compressed air, rinsing with water, or a combination of these methods as required. Remove

rubber deposits, existing paint markings, residual curing compounds, and other coatings adhering to the pavement by water blasting. For Portland Cement Concrete pavement, grinding, light shot blasting, and light scarification, to a resulting profile equal to ICRI 03732 CSP 2, CSP 3, and CSP 4, respectively, can be used in addition to water blasting, to either remove existing coatings or for surface preparation on most pavements: shot blasting shall not be used on airfield pavements due to the potential of Foreign Object Damage (FOD) to aircraft. Scrub affected areas, where oil or grease is present on old pavements to be marked, with several applications of trisodium phosphate solution or other approved detergent or degreaser and rinse thoroughly after each application. After cleaning oil-soaked areas, seal with shellac or primer recommended by the manufacturer to prevent bleeding through the new paint. Do not commence painting in any area until pavement surfaces are dry and clean.

3.1.1 Early Painting of Asphalt Pavements

For asphalt pavement systems requiring painting application at less than 30 days, apply the paint and beads at half the normal application rate, followed by a second application at the normal rate after 30 days.

3.2 APPLICATION

3.2.1 Testing for Moisture

Apply pavement markings to dry pavement only. The Contractor shall test the pavement surface for moisture before beginning work after each period of rainfall, fog, high humidity, or cleaning, or when the ambient temperature has fallen below the dew point. Do not commence marking until the pavement is sufficiently dry and the pavement condition has been approved by the CO or authorized representative. Employ the "plastic wrap method" to test the pavement for moisture as follows: Cover the pavement with a 300 mm by 300 mm (12 inch by 12 inch) section of clear plastic wrap and seal the edges with tape. After 15 minutes, examine the plastic wrap for any visible moisture accumulation inside the plastic. Do not begin marking operations until the test can be performed with no visible moisture accumulation inside the plastic wrap.

3.2.2 Rate of Application

3.2.2.1 Reflective Markings

Apply paint evenly to the pavement area to be coated at a rate of 105 plus or minus 5 square feet per gallon. Apply High Build Acrylic Coating (HBAC) at a rate of 50 square feet per gallon on road and street pavement at a rate of (6) plus or minus (0.5) pounds of glass spheres per gallon. Collect and record readings for white and yellow retroreflective markings at the rate of one reading per 1000 linear feet. The minimum acceptable average for white markings is 200 millicandelas per square meter per lux (mcd/m²/lx) (measured with MiroLux 12 Retroreflectometer or similar instrument as agreed). The minimum acceptable average for yellow markings is 175 millicandelas per square meter per lux (mcd/m²/lx). Readings shall be computed by averaging a minimum of 10 readings taken within the area at random locations. Areas not meeting the retroreflective requirements stated above shall be re-marked.

3.2.2.2 Nonreflective Markings

Apply paint evenly to the pavement surface to be coated at a rate of 105

plus or minus 5 square feet per gallon. Apply High Build Acrylic Coating (HBAC) at a rate of 50 square feet per gallon.

3.2.2.3 Thermoplastic Compound

After surface preparation has been completed, prime the asphalt or concrete pavement surface with spray equipment. Allow primer materials to "set-up" prior to applying the thermoplastic composition. Allow the asphalt concrete primer to dry to a tack-free condition, usually occurring in less than 10 minutes. Apply asphalt concrete primer to all asphalt concrete pavements at a wet film thickness of 0.005 inch, plus or minus 0.001 inch 265 to 400 square feet per gallon. After the primer has "set-up", apply the thermoplastic at temperatures no lower than 375 degrees F nor higher than 425 degrees F at the point of deposition. Immediately after installation of the marking, apply drop-on reflective glass spheres mechanically at the rate of one pound per 20 square feet such that the spheres are held by and imbedded in the surface of the molten material. Apply all extruded thermoplastic markings at the specified width and at a thickness of not less than 0.125 inch nor more than 0.190 inch. Apply all sprayed thermoplastic markings at the specified width and the thickness designated in the contract plans. If the plans do not specify a thickness, apply centerline markings at a wet thickness of 0.090 inch, plus or minus 0.005 inch, and edgeline markings at a wet thickness of 0.060 inch, plus or minus 0.005 inch.

3.2.3 Painting

Apply paint pneumatically with approved equipment at rate of coverage specified herein. Provide guidelines and templates as necessary to control paint application. Take special precautions in marking numbers, letters, and symbols. Manually paint numbers, letters, and symbols. Sharply outline all edges of markings. The maximum drying time requirements of the paint specifications will be strictly enforced, to prevent undue softening of bitumen, and pickup, displacement, or discoloration by tires of traffic. Discontinue painting operations if there is a deficiency in drying of the markings until cause of the slow drying is determined and corrected.

3.2.4 Reflective Media

Application of reflective media shall immediately follow the application of paint. Accomplish drop-on application of the glass spheres to ensure even distribution at the specified rate of coverage. Should there be malfunction of either paint applicator or reflective media dispenser, discontinue operations until deficiency is corrected.

3.2.5 Thermoplastic Compound

Place thermoplastic pavement markings upon dry pavement. At the time of installation the pavement surface temperature shall be a minimum of 40 degrees F and rising. Thermoplastics, as placed, shall be free from dirt or tint. Apply all centerline, skipline, edgeline, and other longitudinal type markings with a mobile applicator. Place all special markings, crosswalks, stop bars, legends, arrows, and similar patterns with a portable applicator, using the extrusion method.

3.2.6 Raised Pavement Markers

Prefabricated markers shall be aligned carefully at the required spacing

or as directed and permanently fixed in place by means of epoxy adhesives. To ensure good bond, areas where markers will be set shall be thoroughly cleaned by water blasting and use of compressed air prior to applying adhesive.

3.3 FIELD TESTING, INSPECTION, AND DEMONSTRATIONS

3.3.1 Sampling and Testing

As soon as the paint and reflective and thermoplastic materials are available for sampling, obtain by random selection from the sealed containers, two quart samples of each batch in the presence of the Contracting Officer. Accomplish adequate mixing prior to sampling to ensure a uniform, representative sample. A batch is defined as that quantity of material processed by the manufacturer at one time and identified by number on the label. Clearly identify samples by designated name, specification number, batch number, project contract number, intended use, and quantity involved. Test samples by an approved laboratory. If a sample fails to meet specification, replace the material in the area represented by the samples and retest the replacement material as specified above. Submit copy of the test results to the Contracting Officer. At the discretion of the Contracting Officer, samples provided may be tested by the Government for verification.

3.3.2 Inspection

Examine material at the job site to determine that it is the material referenced in the report of test results or certificate of compliance. A certificate of compliance shall be accompanied by test results substantiating conformance to the specified requirements.

3.3.3 Surface Preparations and Application Procedures

Surface preparations and application procedures will be examined by the Contracting Officer to determine conformance with the requirements specified. Approve each separate operation prior to initiation of subsequent operations.

3.3.3.1 Surface Preparation Demonstration

Prior to surface preparation, demonstrate surface preparation using the proposed materials, methods and equipment. Prepare areas large enough to determine cleanliness, adhesion of remaining coating, and rate of cleaning.

3.3.3.2 Test Stripe Demonstration

Prior to paint application, demonstrate test stripe application within the work area using the proposed materials and equipment. Apply separate test stripes in each of the line widths and configurations required herein using the proposed equipment. The test stripes shall be long enough to determine the proper speed and operating pressures for the vehicle(s) and machinery, but not less than 50 feet long.

3.3.3.3 Application Rate Demonstration

During the Test Stripe Demonstration, demonstrate compliance with the application rates specified herein. Document the equipment speed and operating pressures required to meet the specified rates in each

configuration of the equipment and provide a copy of the documentation to the Contracting Officer or authorized representative 7 days prior to proceeding with the work.

3.3.3.4 Retroreflective Value Demonstration

After the test stripes have cured to a "no-track" condition, demonstrate compliance with the average retroreflective values specified herein. Take a minimum of ten readings on each test stripe with a Mirolux 12 Retroreflectometer, or similar instrument with the same measuring geometry and direct readout in millicandelas per square meter per lux (mcd/m²/lx).

3.3.3.5 Level of Performance Demonstration

The Contracting Officer or authorized representative will be present the application demonstrations to observe the results obtained and to validate the operating parameters of the vehicle(s) and equipment. If accepted by the Contracting Officer or authorized representative, the test stripe shall be the measure of performance required for this project. Work shall not proceed until the demonstration results are satisfactory to the Contracting Officer or authorized representative.

3.4 TRAFFIC CONTROL AND PROTECTION

Place warning signs near the beginning of the work site and well ahead of the work site for alerting approaching traffic from both directions. Place small markers along newly painted lines to control traffic and prevent damage to newly painted surfaces. Mark painting equipment with large warning signs indicating slow-moving painting equipment in operation. Do not use foil-backed material for temporary pavement marking because of its potential to conduct electricity during accidents involving downed power lines.

3.5 QUALITY ASSURANCE

Demonstrate success of bond of reflective media, new paint marking and the pavement surface, vacuum cured surface of new marking after a seven (7) day dry time. Inspect newly applied markings for signs of bond failure based on visual inspection and comparison to results from Test Stripe Demonstration paragraph.

3.5.1 Reflective Media and Coating Bond Verification

Within seven (7) days after pavement marking application, use industrial vacuum to sweep new markings. Visually inspect the pavement markings and the material captured by the vacuum. Verify that no significant loss of reflective media has occurred to the pavement marking due to the vacuum cleaning.

3.5.2 Reflective Media and Coating Application Verification

Use a wet film thickness gauge to measure the application of wet paint.

Use a microscope or magnifying glass to evaluate the embedment of glass beads in the paint. Verify the glass bead embedment with approximately 50% of the beads embedded and 50% of the beads exposed.

TABLE I
 REQUIREMENTS FOR HIGH BUILD ACRYLIC COATINGS (HBAC)

Test	Minimum Requirement (and Maximum where indicated)
Resin System (ASTM D 2621)	Waterborne 100% Acrylic
Percent Volume Solids (ASTM D 2697)	58%
Volatile Organic Compound, max. (ASTM D 3960)	1.25 lbs/gal
White (FED-STD-595)	37925
Yellow (FED-STD-595)	33538
Shore D Hardness (ASTM D 2240)	45
1/8 inch Mandrel Bend @ 5 mils Dry Film Thickness (DFT, one-week cure (ASTM D 522, Method B)	No visual defects at bend (Conditions @ ASTM D 3924)
Adhesion to Concrete and Asphaltic Pavements (ASTM D 4541)	140 psi or 100% cohesive failure in pavement
Accelerated Weathering, Yellow, 2500 Hours UV Exposure (ASTM G 53: see note 1)	Max. color loss to 33655 (FED-STD-595)
Water Absorption @ 168 Hours Immersion Tap Water (ASTM D 471)	9.0% max. weight increase (conditions @ ASTM D 3924)
Application @ 65 mils Wet, One Coat, One-week Cure, (see note 2)	No visual cracking or curling (conditions @ ASTM D 3924)
No Pick-Up @ 25 mils (ASTM D 711)	Wet 10 minutes max.
Lead (ASTM D 3335)	0.06% max.
Cadmium (ASTM D 3335)	0.06% max.
Chromium (ASTM D 3718)	0.00%

Notes:

(1) Properly mix and apply yellow paint at 10 mils +/- 2 mils DFT over a suitably sized, clean aluminum substrate (ASTM D 823), and cure for a minimum of 48 hours: four individual yellow samples shall be prepared. Expose three samples to continuous Ultraviolet (UV) light for 2500 hours, without cycles condensation, in accordance to ASTM G 53: UVA-340 lamps shall be used in the testing apparatus. Following exposure, compare the three exposed samples to the "one" non-exposed sample using FED-STD-595 colors 33538 and 33655 as visual references: evaluate exposed samples for degree of visual color loss. Yellow paint shall receive a passing rating if each exposed sample appears equivalent to the non-exposed sample, and in

TABLE I

REQUIREMENTS FOR HIGH BUILD ACRYLIC COATINGS (HBAC)

addition, displays color loss no greater than FED-STD-595 color 33655.

(2) Using double-stick, foam mounting tape (or equal) with a nominal thickness of 65 mils, apply a rectangular mold with inner dimensions of 3 in by 10 in to a clean aluminum sample approximately sized at 6 in by 12 in by 1/8 in. Do not remove the tape's plastic backing. Mix and apply excess paint into mold. Remove excess paint, by squeegee or other appropriate draw down technique, to a uniform thickness equal to the tape's height. Paint application and draw down shall be performed within a period of no more than 60 seconds. Approximately one to two minutes following the draw down, remove tape from sample and allow coating to cure for a minimum period of one week ASTM D 3924. Using a micrometer or other appropriate device, measure cured coating thickness (less sample thickness) to confirm resulting coating application was at or above 38 mils DFT. Inspect coating for visual signs of cracking and curling. Following a one week cure, coating shall receive a passing rating if applied greater than 38 mils DFT and visually free of both cracking and curling.

TABLE II
PREAPPROVED HBACs

Manufacturer	Products
TMT-Pathway 1021 N. Mission Road Los Angeles, CA 90033 (800) 338-7680	Legend Build, #2712A9, White Legend Build, #2713A9, Yellow
Pervo Paints 6624 Stanford Ave. Los Angeles, CA 90001 (323) 758-1147	Pervo 6050, White Pervo 6053, Yellow
Vogel Traffic Services 1920 Albany Place South PO Box 140 Orange City, IA 51041 (712) 737-4016	UC-1516, White UC-3588, Yellow

-- End of Section --

SECTION 33 11 00

WATER DISTRIBUTION
02/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN RAILWAY ENGINEERING AND MAINTENANCE-OF-WAY ASSOCIATION
(AREMA)

AREMA Eng Man (2012) Manual for Railway Engineering

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA B300 (2010; Addenda 2011) Hypochlorites

AWWA B301 (2010) Liquid Chlorine

AWWA C104/A21.4 (2013) Cement-Mortar Lining for Ductile-Iron Pipe and Fittings for Water

AWWA C110/A21.10 (2012) Ductile-Iron and Gray-Iron Fittings for Water

AWWA C111/A21.11 (2012) Rubber-Gasket Joints for Ductile-Iron Pressure Pipe and Fittings

AWWA C115/A21.15 (2011) Flanged Ductile-Iron Pipe With Ductile-Iron or Gray-Iron Threaded Flanges

AWWA C151/A21.51 (2009) Ductile-Iron Pipe, Centrifugally Cast, for Water

AWWA C153/A21.53 (2011) Ductile-Iron Compact Fittings for Water Service

AWWA C203 (2008) Coal-Tar Protective Coatings and Linings for Steel Water Pipelines - Enamel and Tape - Hot-Applied

AWWA C500 (2009) Metal-Seated Gate Valves for Water Supply Service

AWWA C502 (2014) Dry-Barrel Fire Hydrants

AWWA C509 (2009) Resilient-Seated Gate Valves for Water Supply Service

AWWA C515 (2009) Reduced-Wall, Resilient-Seated Gate Valves for Water Supply Service

AWWA C600 (2010) Installation of Ductile-Iron Water

Mains and Their Appurtenances

AWWA C606	(2011) Grooved and Shouldered Joints
AWWA C651	(2005; Errata 2005) Standard for Disinfecting Water Mains
AWWA C800	(2014) Underground Service Line Valves and Fittings
AWWA C901	(2008) Polyethylene (PE) Pressure Pipe and Tubing, 1/2 In. (13mm) Through 3 In. (76 mm), for Water Service
AWWA M23	(2002; 2nd Ed) Manual: PVC Pipe - Design and Installation
AWWA M9	(2008; Errata 2013) Manual: Concrete Pressure Pipe

ASME INTERNATIONAL (ASME)

ASME B16.1	(2010) Gray Iron Pipe Flanges and Flanged Fittings Classes 25, 125, and 250
ASME B16.15	(2013) Cast Copper Alloy Threaded Fittings Classes 125 and 250
ASME B16.18	(2012) Cast Copper Alloy Solder Joint Pressure Fittings
ASME B16.22	(2013) Standard for Wrought Copper and Copper Alloy Solder Joint Pressure Fittings
ASME B16.26	(2013) Standard for Cast Copper Alloy Fittings for Flared Copper Tubes
ASME B18.2.2	(2010) Nuts for General Applications: Machine Screw Nuts, Hex, Square, Hex Flange, and Coupling Nuts (Inch Series)
ASME B18.5.2.1M	(2006; R 2011) Metric Round Head Short Square Neck Bolts
ASME B18.5.2.2M	(1982; R 2010) Metric Round Head Square Neck Bolts

ASTM INTERNATIONAL (ASTM)

ASTM A134	(1996; R 2012) Standard Specification for Pipe, Steel, Electric-Fusion (Arc)-Welded (Sizes NPS 16 and Over)
ASTM A307	(2014) Standard Specification for Carbon Steel Bolts and Studs, 60 000 PSI Tensile Strength
ASTM A36/A36M	(2012) Standard Specification for Carbon Structural Steel

ASTM A47/A47M	(1999; R 2014) Standard Specification for Ferritic Malleable Iron Castings
ASTM A48/A48M	(2003; R 2012) Standard Specification for Gray Iron Castings
ASTM A536	(1984; R 2014) Standard Specification for Ductile Iron Castings
ASTM A563	(2007a; R2014) Standard Specification for Carbon and Alloy Steel Nuts
ASTM A746	(2009; R 2014) Standard Specification for Ductile Iron Gravity Sewer Pipe
ASTM B32	(2008; R 2014) Standard Specification for Solder Metal
ASTM B42	(2010) Standard Specification for Seamless Copper Pipe, Standard Sizes
ASTM B61	(2008; R 2013) Standard Specification for Steam or Valve Bronze Castings
ASTM B62	(2009) Standard Specification for Composition Bronze or Ounce Metal Castings
ASTM B88	(2014) Standard Specification for Seamless Copper Water Tube
ASTM C94/C94M	(2014b) Standard Specification for Ready-Mixed Concrete
ASTM D2774	(2012) Underground Installation of Thermoplastic Pressure Piping
ASTM D2855	(1996; R 2010) Standard Practice for Making Solvent-Cemented Joints with Poly(Vinyl Chloride) (PVC) Pipe and Fittings
ASTM F402	(2005; R 2012) Safe Handling of Solvent Cements, Primers, and Cleaners Used for Joining Thermoplastic Pipe and Fittings

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS
INDUSTRY (MSS)

MSS SP-80	(2013) Bronze Gate, Globe, Angle and Check Valves
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NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 24	(2013) Standard for the Installation of Private Fire Service Mains and Their Appurtenances
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UNDERWRITERS LABORATORIES (UL)

- UL 246 (2011; Reprint Feb 2013) Hydrants for
Fire-Protection Service
- UL 262 (2004; Reprint Oct 2011) Gate Valves for
Fire-Protection Service

1.2 DESIGN REQUIREMENTS

1.2.1 Water Distribution Mains

Provide water distribution mains indicated as 4 through 12 inch diameter pipe sizes of ductile-iron. Provide ductile iron pipe for 12 inch diameter or larger pipe sizes. Also provide water main accessories, gate valves as specified and where indicated.

1.2.2 Water Service Lines

Provide water service lines indicated as less than 4 inch lines from water distribution main to building service at the point indicated. Water service lines shall be copper tubing. Provide water service line appurtenances as specified and where indicated.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Piping Materials

Water distribution main piping, fittings, joints, valves, and coupling

Water service line piping, fittings, joints, valves, and coupling

Hydrants

Corporation stops

Valve boxes

Submit manufacturer's standard drawings or catalog cuts, except submit both drawings and cuts for push-on and rubber-gasketed bell-and-spigot joints. Include information concerning gaskets with submittal for joints and couplings.

SD-06 Test Reports

Bacteriological Disinfection; G.

Test results from commercial laboratory verifying disinfection

SD-07 Certificates

Water distribution main piping, fittings, joints, valves, and coupling

Water service line piping, fittings, joints, valves, and coupling

Shop-applied lining and coating

Fire hydrants

Certificates shall attest that tests set forth in each applicable referenced publication have been performed, whether specified in that publication to be mandatory or otherwise and that production control tests have been performed at the intervals or frequency specified in the publication. Other tests shall have been performed within 3 years of the date of submittal of certificates on the same type, class, grade, and size of material as is being provided for the project.

SD-08 Manufacturer's Instructions

Delivery, storage, and handling

Installation procedures for water piping

1.4 DELIVERY, STORAGE, AND HANDLING

1.4.1 Delivery and Storage

Inspect materials delivered to site for damage. Unload and store with minimum handling. Store materials on site in enclosures or under protective covering. Store plastic piping, jointing materials and rubber gaskets under cover out of direct sunlight. Do not store materials directly on the ground. Keep inside of pipes, fittings, valves and hydrants free of dirt and debris.

1.4.2 Handling

Handle pipe, fittings, valves, hydrants, and other accessories in a manner to ensure delivery to the trench in sound undamaged condition. Take special care to avoid injury to coatings and linings on pipe and fittings; make repairs if coatings or linings are damaged. Do not place any other material or pipe inside a pipe or fitting after the coating has been applied. Carry, do not drag pipe to the trench. Use of pinch bars and tongs for aligning or turning pipe will be permitted only on the bare ends of the pipe. The interior of pipe and accessories shall be thoroughly cleaned of foreign matter before being lowered into the trench and shall be kept clean during laying operations by plugging or other approved method. Before installation, the pipe shall be inspected for defects. Material found to be defective before or after laying shall be replaced with sound material without additional expense to the Government. Store rubber gaskets that are not to be installed immediately, under cover out of direct sunlight.

1.4.2.1 Coated and Wrapped Steel Pipe

Handle steel pipe with coal-tar enamel or coal-tar epoxy coating in accordance with the provisions of AWWA C203.

1.4.2.2 Polyethylene (PE) Pipe, Fittings, and Accessories

Handle PE pipe, fittings, and accessories in accordance with AWWA C901.

PART 2 PRODUCTS

2.1 WATER DISTRIBUTION MAIN MATERIALS

2.1.1 Piping Materials

2.1.1.1 Ductile-Iron Piping

- a. Pipe and Fittings: Pipe, except flanged pipe, AWWA C151/A21.51, Thickness Class 52. Flanged pipe, AWWA C115/A21.15. Fittings, AWWA C110/A21.10 or AWWA C153/A21.53; fittings with push-on joint ends conforming to the same requirements as fittings with mechanical-joint ends, except that the bell design shall be modified, as approved, for push-on joint. Fittings shall have pressure rating at least equivalent to that of the pipe. Ends of pipe and fittings shall be suitable for the specified joints. Pipe and fittings shall have cement-mortar lining, AWWA C104/A21.4, twice the standard thickness.
- b. Joints and Jointing Material:
 - (1) Joints: Joints for pipe and fittings shall be push-on joints or mechanical joints unless otherwise indicated. Provide mechanical joints where indicated. Provide flanged joints where indicated. Provide mechanically coupled type joints using a sleeve-type mechanical coupling where indicated.
 - (2) Push-On Joints: Shape of pipe ends and fitting ends, gaskets, and lubricant for joint assembly, AWWA C111/A21.11.
 - (3) Mechanical Joints: Dimensional and material requirements for pipe ends, glands, bolts and nuts, and gaskets, AWWA C111/A21.11.
 - (4) Flanged Joints: Bolts, nuts, and gaskets for flanged connections as recommended in the Appendix to AWWA C115/A21.15. Flange for setscrewed flanges shall be of ductile iron, ASTM A536, Grade 65-45-12, and conform to the applicable requirements of ASME B16.1, Class 250. Setscrews for setscrewed flanges shall be 190,000 psi tensile strength, heat treated and zinc-coated steel. Gasket and lubricants for setscrewed flanges, in accordance with applicable requirements for mechanical-joint gaskets specified in AWWA C111/A21.11. Design of setscrewed gasket shall provide for confinement and compression of gasket when joint to adjoining flange is made.
 - (5) Insulating Joints: Designed to effectively prevent metal-to-metal contact at the joint between adjacent sections of piping. Joint shall be of the flanged type with insulating gasket, insulating bolt sleeves, and insulating washers. Gasket shall be of the dielectric type, full face, and in other respects as recommended in the Appendix to AWWA C115/A21.15. Bolts and nuts, as recommended in the Appendix to AWWA C115/A21.15.
 - (6) Sleeve-Type Mechanical Coupled Joints: As specified in paragraph entitled "Sleeve-Type Mechanical Couplings."

- (7) Grooved and Shouldered Type Joints: Grooved and shouldered pipe ends and couplings, AWWA C606. Joint dimension shall be as specified in AWWA C606 for rigid joints, except that where joints are indicated to be flexible, joint dimensions shall be as specified for flexible joints.

2.1.1.2 Piping Beneath Railroad Right-of-Way

Piping passing under the right-of-way of a commercial railroad shall conform to the specifications for pipelines conveying nonflammable substances in Chapter 1, Part 5 of the AREMA Eng Man, except for casing pipe, provide ductile-iron pipe in lieu of cast-iron pipe. Ductile-iron pipe shall conform to and have strength computed in accordance with ASTM A746.

2.1.2 Valves, Hydrants, and Other Water Main Accessories

2.1.2.1 Gate Valves on Buried Piping

AWWA C500, AWWA C509, AWWA C515, or UL 262. Unless otherwise specified, valves conforming to: (1) AWWA C509 or AWWA C515 shall be resilient-seated gate valves 3 to 12 inches in size, and (2) UL 262 shall be inside-screw type with operating nut, double-disc or split-wedge type gate, designed for a hydraulic working pressure of 175 psi, and shall have mechanical-joint ends or push-on joint ends as appropriate for the pipe to which it is joined. Materials for UL 262 valves shall conform to the reference standards specified in AWWA C500. Valves shall open by counterclockwise rotation of the valve stem. Stuffing boxes shall have O-ring stem seals, except for those valves for which gearing is specified, in which case use conventional packing in place of O-ring seal. Stuffing boxes shall be bolted and constructed so as to permit easy removal of parts for repair. In lieu of mechanical-joint ends and push-on joint ends, valves may have special ends for connection to cement piping or to sleeve-type mechanical coupling. Valve ends and gaskets for connection to sleeve-type mechanical coupling shall conform to the applicable requirements specified respectively for the joint or coupling. Where a post indicator is shown, the valve shall have an indicator post flange; indicator post flange for AWWA C500 valve shall conform to the applicable requirements of UL 262. Valves shall be of one manufacturer.

2.1.2.2 Gate Valves in Valve Pit(s) and Aboveground Location

AWWA C509, AWWA C515, or UL 262. Unless otherwise specified, valves conforming to: (1) AWWA C509 or AWWA C515 shall be nonrising stem type with flanged ends, and flanged ends, and shall be designed for a hydraulic working pressure of 175 psi. Materials for UL 262 valves shall conform to the reference standards specified in AWWA C500. Valves 3-12 inch size shall be nonrising stem type or inside-screw type where indicated. Valves shall have solid-wedge gates. Provide valves with handwheels that open by counterclockwise rotation of the valve stem. Stuffing boxes shall be bolted and constructed so as to permit easy removal of parts for repair. Valves shall be of one manufacturer.

2.1.2.3 Tapping Sleeve and Gate Valve

Tapping sleeve and accessories shall be pressure rated equal to the pipe and shall be capable of containing pressure within the full volume of the sleeve. Tapping sleeves shall be designed for providing a safe, efficient

means of connecting branch piping to existing lines, while maintaining the service in the existing line.

Tapping sleeves shall consist of a split cast iron or ductile iron sleeve tee with mechanical joint ends on the main and a flange on the branch. The follower rings shall be of the solid thickness split-ring type, which utilizes two additional bolts to connect the rings.

Outside diameter of the pipe should be verified to be tapped. Tapping sleeve and tapping valve shall be manufactured by the same manufacturer to assure the proper fit of the alignment ring on the tapping valve with the recess on the tapping sleeve. Tapping valves shall be resilient - seated gate valves conforming to the requirements of 2.1.2.1.

The interior of the coupling shall be epoxy-coated in accordance with American Water Works Association ASTM & ANSI standards. Coating shall be thermosetting epoxy with a minimum dry film thickness of 10 mils and a maximum of 20 mils. Fabricated sleeves will be allowed only on ductile iron mains, cast iron mains or PVC mains with prior approval by the Contracting Officer.

2.1.2.4 Fire Hydrants

Dry-barrel type, except that flush-type hydrants shall be provided where indicated. Paint hydrants with at least one coat of primer and two coats of enamel paint. Barrel and bonnet colors shall be in accordance with UFC 3-600-01. Stencil hydrant number and main size on the hydrant barrel using black stencil paint.

- a. Dry-Barrel Type Fire Hydrants: Dry-barrel type hydrants, AWWA C502 or UL 246, "Base Valve" design, shall have 6 inch inlet, 5 1/4 inch valve opening, one 4 1/2 inch NST pumper connection, and two 2 1/2 inch NST hose connections. Pumper connection and hose connections shall be individually valved with independent nozzle gate valves. Inlet shall have mechanical-joint end only, except where flanged end is indicated; end shall conform to the applicable requirements as specified for the joint. Size and shape of operating nut, cap nuts, and threads on hose and pumper connections shall be as specified in AWWA C502. Hydrants indicated as "traffic type," shall have frangible sections as mentioned in AWWA C502. The traffic type hydrant shall have special couplings joining upper and lower sections of hydrant barrel and upper and lower sections of hydrant stem and shall be designed to have the special couplings break from a force not less than that which would be imposed by a moving vehicle; hydrant shall operate properly under normal conditions. Hydrants shall open counter clockwise. Hydrants shall be painted yellow. Hydrants installed in Fort Adams shall comply with City of Newport Standards including threads.

2.1.2.5 Valve Boxes

Provide a valve box for each gate valve on buried piping, except where indicator post is shown. Valve boxes shall be of cast iron of a size suitable for the valve on which it is to be used and shall be adjustable. Cast-iron boxes shall have a minimum cover and wall thickness of 3/16 inch.

Provide a round head. Cast the word "WATER" on the lid. The least diameter of the shaft of the box shall be 5 1/4 inches. Cast-iron box shall have a heavy coat of bituminous paint.

2.1.2.6 Valve Pits

Valve pits shall be constructed at locations indicated or as required above and in accordance with the details shown.

2.1.2.7 Sleeve-Type Mechanical Couplings

Couplings shall be designed to couple plain-end piping by compression of a ring gasket at each end of the adjoining pipe sections. The coupling shall consist of one middle ring flared or beveled at each end to provide a gasket seat; two follower rings; two resilient tapered rubber gaskets; and bolts and nuts to draw the follower rings toward each other to compress the gaskets. The middle ring and the follower rings shall be true circular sections free from irregularities, flat spots, and surface defects; the design shall provide for confinement and compression of the gaskets. For ductile iron and PVC plastic pipe, the middle ring shall be of cast-iron or steel; and the follower rings shall be of malleable or ductile iron. Cast iron, ASTM A48/A48M not less than Class 25. Malleable and ductile iron shall, conform to ASTM A47/A47M and ASTM A536, respectively. Gaskets shall be designed for resistance to set after installation and shall meet the applicable requirements specified for gaskets for mechanical joint in AWWA C111/A21.11. Bolts shall be track-head type, ASTM A307, Grade A, with nuts, ASTM A563, Grade A; or round-head square-neck type bolts, ASME B18.5.2.1M and ASME B18.5.2.2M with hex nuts, ASME B18.2.2. Bolts shall be 5/8 inch in diameter; minimum number of bolts for each coupling shall be 4 for 4 inch pipe, 6 for 8 inch pipe, and 8 for 12 inch pipe. Bolt holes in follower rings shall be of a shape to hold fast the necks of the bolts used. Mechanically coupled joints using a sleeve-type mechanical coupling shall not be used as an optional method of jointing except where pipeline is adequately anchored to resist tension pull across the joint. Mechanical couplings shall provide a tight flexible joint under all reasonable conditions, such as pipe movements caused by expansion, contraction, slight setting or shifting in the ground, minor variations in trench gradients, and traffic vibrations. Couplings shall be of strength not less than the adjoining pipeline.

2.1.2.8 Warning Tape

Metalized detectable identification tape 2 inch in width or greater, blue in color and printed with "CAUTION WATER LINE BURIED BELOW" shall be utilized over the full length of all mains and services. Tape shall be set 2 feet below finished grade.

2.1.2.9 Service Clamps

Service clamps used for repairing damaged cast-iron, steel, PVC or asbestos-cement pipe shall have a pressure rating not less than that of the pipe to be connected and shall be either the single or double flattened strap type. Clamps shall have a galvanized malleable-iron body with cadmium plated straps and nuts. Clamps shall have a rubber gasket cemented to the body.

2.1.2.10 Dielectric Fittings

Dielectric fittings shall be installed between threaded ferrous and nonferrous metallic pipe, fittings and valves, except where corporation stops join mains. Dielectric fittings shall prevent metal-to-metal contact of dissimilar metallic piping elements and shall be suitable for

the required working pressure.

2.1.2.11 Gate Valves Smaller Than 3 Inch Size in Valve Pits

MSS SP-80, Class 150, solid wedge, inside screw, rising stem. Valves shall have flanged or threaded end connections, with a union on one side of the valve and a handwheel operator.

2.1.2.12 Automatic Flushing Device

Automatic flushing device shall have a 2" brass fip inlet, leading vertically into a 2" automatic solenoid valve. Automatic solenoid valve shall have a 150 PSI rating. Each unit shall be furnished with a stand-alone valve controller. Valve controller will not require a second hand-held device for programming, must have a minimum of 12 possible flushing cycles per day at up to 6 hours of flush time per cycle, shall be submersible to 12 feet, operate with a 9 volt battery (compartment holds two batteries) and have a double valve, all brass sampling point. Removal of the 2" solenoid valve shall be possible via an o-ring connector located under the valve after removal of the stainless steel access plate. Underground parts shall be housed in a PVC enclosure and each unit shall be self-draining, non freezing, all above-ground components shall be contained within a UV-resistant locking domed cover.

2.2 WATER SERVICE LINE MATERIALS

2.2.1 Piping Materials

2.2.1.1 Copper Pipe and Associated Fittings

Pipe, ASTM B42, regular, threaded ends. Fittings shall be brass or bronze, ASME B16.15, 125 pound.

2.2.1.2 Copper Tubing and Associated Fittings

Tubing, ASTM B88, Type K. Fittings for solder-type joint, ASME B16.18 or ASME B16.22; fittings for compression-type joint, ASME B16.26, flared tube type.

2.2.1.3 Plastic Piping

Plastic pipe and fittings shall bear the seal of the National Sanitation Foundation (NSF) for potable water service. Plastic pipe and fittings shall be supplied from the same manufacturer.

- a. Polyethylene (PE) Plastic Pipe: Pipe tubing, and heat fusion fitting shall conform to AWWA C901.
- b. Polyethylene tubing (PET) shall be ultra high molecular weight polyethylene, rated for 200 psi and conforming to AWWA C901.

2.2.1.4 Ductile-Iron Piping

Comply with "Ductile-Iron Piping" subparagraph under paragraph "Water Distribution Main Materials."

2.2.1.5 Insulating Joints

Joints between pipe of dissimilar metals shall have a rubber-gasketed or

other suitable approved type of insulating joint or dielectric coupling which will effectively prevent metal-to-metal contact between adjacent sections of piping.

2.2.2 Water Service Line Appurtenances

2.2.2.1 Corporation Stops

Ground key type; lead free bronze, ASTM B61 or ASTM B62; and suitable for the working pressure of the system. Ends shall be suitable for solder-joint, or flared tube compression type joint. Threaded ends for inlet and outlet of corporation stops, AWWA C800; coupling nut for connection to flared copper tubing, ASME B16.26.

2.2.2.2 Curb or Service Stops

Ground key, round way, inverted key type; made of lead free bronze, ASTM B61 or ASTM B62; and suitable for the working pressure of the system. Ends shall be as appropriate for connection to the service piping. Arrow shall be cast into body of the curb or service stop indicating direction of flow.

- a. Curb stops used on service line blow-offs shall be as specified in paragraph 2.2.2.2 and shall include a stop and waste feature to drain the downstream service line of the blow-off.

2.2.2.3 Curb Boxes

Provide a curb box for each curb or service stop. Curb boxes shall be of cast iron of a size suitable for the stop on which it is to be used. Provide a round head. Cast the word "WATER" on the lid. Each box shall have a heavy coat of bituminous paint.

2.2.2.4 Fittings, Couplings and Adapters

Provide fittings, couplings and adapters of lead free brass or bronze conforming to AWWA C800, ASTM B61, rated for 150 psi. Fittings, couplings and adapters shall have compression joints.

2.2.2.5 Disinfection

Chlorinating materials shall conform to the following:

Chlorine, Liquid: AWWA B301.

Hypochlorite, Calcium and Sodium: AWWA B300.

2.3 Temporary Bypass Piping

2.3.1 General Requirements for Bypass Piping

Temporary bypass piping, temporary hydrants and service connections shall be maintained 24 hours per day, 7 days a week regardless of weather conditions. Water in bypass piping shall not be allowed to reach temperatures that are greater than the system water in the underground piping by more than 10 degrees Fahrenheit.

2.3.2 Temporary Service Pipe

The temporary service pipe, connections, and branches shall be of the highest quality steel, PVC or HDPE and shall be fully adequate to withstand the pressure and all conditions of use. Temporary piping shall be restrained as necessary to prevent movement at all times. Reflective bypass piping may be used. The pipe, hose, and other materials to be furnished for use in conjunction with temporary by-pass pipe connections to buildings shall be approved by the Contracting Officer. These materials shall be fully adequate to withstand the pressures involved and all other conditions of use. The pipe and fittings shall be watertight and of materials that do not cause the water to have any objectionable taste.

2.3.3 Temporary Hydrants

The temporary hydrant outlets shall be a type acceptable to the Fire Department.

2.4 Steel Pipe Sleeve

The steel pipe sleeve shall be minimum 0.50" thick, minimum yield strength shall be 36,000 psi meeting the requirements of ASTM A134 and ASTM A36/A36M. The steel sleeve shall be designed to support the earth load that the sleeve will be installed under.

PART 3 EXECUTION

3.1 INSTALLATION OF PIPELINES

3.1.1 General Requirements for Installation of Pipelines

These requirements shall apply to all pipeline installation except where specific exception is made in the "Special Requirements..." paragraphs.

3.1.1.1 Location of Water Lines

Terminate the work covered by this section at a point approximately 5 feet from the building, unless otherwise indicated. Do not lay water lines in the same trench with gas lines, fuel lines or electric wiring.

a. Water Piping Installation Parallel With Sewer Piping

Normal Conditions: Lay water piping at least 10 feet horizontally from a sewer or sewer manhole whenever possible. Measure the distance edge-to-edge.

- (1) The bottom (invert) of the water piping shall be at least 18 inches above the top (crown) of the sewer piping.
- (2) Where this vertical separation cannot be obtained, the sewer piping shall be constructed of AWWA-approved water pipe and pressure tested in place without leakage prior to backfilling. Approved waste water disposal method shall be utilized.
- (3) The sewer manhole shall be of watertight construction and tested in place.

b. Installation of Water Piping Crossing Sewer Piping

- (1) Normal Conditions: Water piping crossing above sewer piping shall be laid to provide a separation of at least 18 inches between the bottom of the water piping and the top of the sewer piping.
 - (2) Unusual Conditions: When local conditions prevent a vertical separation described above, use the following construction:
 - (a) Sewer piping passing over or under water piping shall be constructed of AWWA-approved ductile iron water piping, pressure tested in place without leakage prior to backfilling.
 - (b) Water piping passing under sewer piping shall, in addition, be protected by providing a vertical separation of at least 18 inches between the bottom of the sewer piping and the top of the water piping; adequate structural support for the sewer piping to prevent excessive deflection of the joints and the settling on and breaking of the water piping; and that the length, minimum 20 feet, of the water piping be centered at the point of the crossing so that joints shall be equidistant and as far as possible from the sewer piping.
- c. Sewer Piping or Sewer Manholes: No water piping shall pass through or come in contact with any part of a sewer manhole.

3.1.1.2 Earthwork

Perform earthwork operations in accordance with Section 31 23 00.00 20.

3.1.1.3 Pipe Laying and Jointing

Remove fins and burrs from pipe and fittings. Before placing in position, clean pipe, fittings, valves, and accessories, and maintain in a clean condition. Provide proper facilities for lowering sections of pipe into trenches. Do not under any circumstances drop or dump pipe, fittings, valves, or any other water line material into trenches. Cut pipe in a neat workmanlike manner accurately to length established at the site and work into place without springing or forcing. Replace by one of the proper length any pipe or fitting that does not allow sufficient space for proper installation of jointing material. Blocking or wedging between bells and spigots will not be permitted. Lay bell-and-spigot pipe with the bell end pointing in the direction of laying. Grade the pipeline in straight lines; avoid the formation of dips and low points. Support pipe at proper elevation and grade. Secure firm, uniform support. Wood support blocking will not be permitted. Lay pipe so that the full length of each section of pipe and each fitting will rest solidly on the pipe bedding; excavate recesses to accommodate bells, joints, and couplings. Provide anchors and supports where indicated and where necessary for fastening work into place. Make proper provision for expansion and contraction of pipelines. Keep trenches free of water until joints have been properly made. At the end of each work day, close open ends of pipe temporarily with wood blocks or bulkheads. Do not lay pipe when conditions of trench or weather prevent installation. Depth of cover over top of pipe shall not be less than 5 feet

3.1.1.4 Installation of Warning Tape

Install a continuous length of warning tape for the full length of each

run of pipe. Attach wire to top of pipe in such manner that it will not be displaced during construction operations.

3.1.1.5 Connections to Existing Water Lines

Make connections to existing water lines after approval is obtained and with a minimum interruption of service on the existing line. Make connections to existing lines under pressure in accordance with the recommended procedures of the manufacturer of the pipe being tapped as indicated except as otherwise specified, tap concrete pipe in accordance with AWWA M9 for tapping concrete pressure pipe.

3.1.1.6 Tapping Sleeves

A Visual inspection and air test of the assembled tapping valve and sleeve shall occur prior to cutting into the pipe. A final inspection of the assembled valve shall occur prior to backfill. An authorized representative of the Navy Utilities Department shall witness installation. All sleeves shall be installed in strict compliance with the manufacturer's recommendations; copies of the installation guidance shall be available on site during installation. A utility request form is required to be submitted 15 days in advance of installation. Coupon removed as part of tapping sleeve installation shall be provided to the Navy Utilities Department.

3.1.1.7 Penetrations

Pipe passing through walls of valve pits and structures shall be provided with ductile-iron or Schedule 40 steel wall sleeves. Annular space between walls and sleeves shall be filled with rich cement mortar. Annular space between pipe and sleeves shall be filled with mastic.

3.1.1.8 Flanged Pipe

Flanged pipe shall only be installed above ground or with the flanges in valve pits.

3.1.2 Special Requirements for Installation of Water Mains

3.1.2.1 Installation of Ductile-Iron Piping

Unless otherwise specified, install pipe and fittings in accordance with paragraph entitled "General Requirements for Installation of Pipelines" and with the requirements of AWWA C600 for pipe installation, joint assembly, valve-and-fitting installation, and thrust restraint.

- a. Jointing: Make push-on joints with the gaskets and lubricant specified for this type joint; assemble in accordance with the applicable requirements of AWWA C600 for joint assembly. Make mechanical joints with the gaskets, glands, bolts, and nuts specified for this type joint; assemble in accordance with the applicable requirements of AWWA C600 for joint assembly and the recommendations of Appendix A to AWWA C111/A21.11. Make flanged joints with the gaskets, bolts, and nuts specified for this type joint. Make flanged joints up tight; avoid undue strain on flanges, fittings, valves, and other equipment and accessories. Align bolt holes for each flanged joint. Use full size bolts for the bolt holes; use of undersized bolts to make up for misalignment of bolt holes or for any other purpose will not be permitted. Do not allow adjoining flange faces to

be out of parallel to such degree that the flanged joint cannot be made watertight without overstraining the flange. When flanged pipe or fitting has dimensions that do not allow the making of a proper flanged joint as specified, replace it by one of proper dimensions. Use setscrewed flanges to make flanged joints where conditions prevent the use of full-length flanged pipe and assemble in accordance with the recommendations of the setscrewed flange manufacturer. Assemble joints made with sleeve-type mechanical couplings in accordance with the recommendations of the coupling manufacturer. Assemble insulating joints as specified for flanged joints, except that bolts with insulating sleeves shall be full size for the bolt holes. Ensure that there is no metal-to-metal contact between dissimilar metals after the joint has been assembled.

- b. Allowable Deflection: The maximum allowable deflection shall be as given in AWWA C600. If the alignment requires deflection in excess of the above limitations, special bends or a sufficient number of shorter lengths of pipe shall be furnished to provide angular deflections within the limit set forth.
- c. Pipe Anchorage: Provide concrete thrust blocks (reaction backing) for pipe anchorage, except where metal harness is indicated. Thrust blocks shall be in accordance with the requirements of AWWA C600 for thrust restraint, except that size and positioning of thrust blocks shall be as indicated. Use concrete, ASTM C94/C94M, having a minimum compressive strength of 2,500 psi at 28 days; or use concrete of a mix not leaner than one part cement, 2 1/2 parts sand, and 5 parts gravel, having the same minimum compressive strength. Metal harness shall be in accordance with the requirements of AWWA C600 for thrust restraint, using tie rods and clamps as shown in NFPA 24, except as otherwise indicated.

3.1.2.2 Installation of Valves and Hydrants

- a. Installation of Valves: Install gate valves, AWWA C500 and UL 262, in accordance with the requirements of AWWA C600 for valve-and-fitting installation and with the recommendations of the Appendix ("Installation, Operation, and Maintenance of Gate Valves") to AWWA C500. Install gate valves, AWWA C509 or AWWA C515, in accordance with the requirements of AWWA C600 for valve-and-fitting installation and with the recommendations of the Appendix ("Installation, Operation, and Maintenance of Gate Valves") to AWWA C509 or AWWA C515. Install gate valves on PVC water mains in accordance with the recommendations for appurtenance installation in AWWA M23, Chapter 7, "Installation."
- b. Installation of Hydrants: Install hydrants in accordance with AWWA C600 for hydrant installation and as indicated. Make and assemble joints as specified for making and assembling the same type joints between pipe and fittings. Provide metal harness as specified under pipe anchorage requirements for the respective pipeline material to which hydrant is attached. Install hydrants with the 4 1/2 inch connections facing the adjacent paved surface. If there are two paved adjacent surfaces, contact the Contracting Officer for further instructions.

3.1.3 Installation of Water Service Piping

3.1.3.1 Location

Connect water service piping to the building service where the building service has been installed. Where building service has not been installed, terminate water service lines approximately 5 feet from the building line at a point directed by the Contracting Officer the point indicated; such water service lines shall be closed with plugs or caps.

3.1.3.2 Service Line Connections to Water Mains

Connect service lines to the main by a corporation stop and install a service stop below the frostline as indicated. Connect service lines 2 inchsize to the main with a rigid connection or a corporation stop and gooseneck and install a gate valve on service line below the frostline as indicated. Connect service lines to ductile-iron water mains in accordance with AWWA C600 for service taps.

3.1.3.3 New Service Line Connections to Existing Service Lines

Connect new service lines to existing service lines with lead free bronze or brass couplings or adapters with compression type joints. Adapters shall be used to connect service lines of different materials and size.

3.1.4 Special Requirements for Installation of Water Service Piping

3.1.4.1 Installation of Metallic Piping

Install pipe and fittings in accordance with paragraph entitled "General Requirements for Installation of Pipelines" and with the applicable requirements of AWWA C600 for pipe installation, unless otherwise specified.

a. Jointing:

- (1) Screwed Joints: Make screwed joints up tight with a stiff mixture of graphite and oil, inert filler and oil, or graphite compound; apply to male threads only. Threads shall be full cut; do not leave more than three threads on the pipe exposed after assembling the joint.
- (2) Joints for Copper Tubing: Cut copper tubing with square ends; remove fins and burrs. Handle tubing carefully; replace dented, gouged, or otherwise damaged tubing with undamaged tubing. Make solder joints using ASTM B32, 95-5 tin-antimony or Grade Sn96 solder. Solder and flux shall contain not more than 0.2 percent lead. Before making joint, clean ends of tubing and inside of fitting or coupling with wire brush or abrasive. Apply a rosin flux to the tubing end and on recess inside of fitting or coupling. Insert tubing end into fitting or coupling for the full depth of the recess and solder. For compression joints on flared tubing, insert tubing through the coupling nut and flare tubing.
- (3) Flanged Joints: Make flanged joints up tight, taking care to avoid undue strain on flanges, valves, fittings, and accessories.

- ##### b. Protection of Buried Steel Service Line Piping: Unless otherwise specified, prepare, prime, and coat exterior surface of zinc-coated

steel pipe and associated fittings to be buried with hot-applied coal-tar enamel with a bonded single layer of felt wrap in accordance with AWWA C203. For the felt wrap material, use fibrous-glass mat as specified in AWWA C203; use of asbestos felt will not be permitted. Use solvent wash only to remove oil, grease, and other extraneous matter from zinc-coated pipe and fittings.

3.1.4.2 Installation of Plastic Piping

Install pipe and fittings in accordance with paragraph entitled "General Requirements for Installation of Pipelines" and with the applicable requirements of ASTM D2774 and ASTM D2855, unless otherwise specified. Handle solvent cements used to join plastic piping in accordance with ASTM F402.

- a. Jointing: Make solvent-cemented joints for PVC plastic piping using the solvent cement previously specified for this material; assemble joints in accordance with ASTM D2855. Make plastic pipe joints to other pipe materials in accordance with the recommendations of the plastic pipe manufacturer.
- b. Plastic Pipe Connections to Appurtenances: Connect plastic pipe service lines to corporation stops and gate valves in accordance with the recommendations of the plastic pipe manufacturer.

3.1.4.3 Service Lines for Sprinkler Supplies

Water service lines used to supply building sprinkler systems for fire protection shall be connected to the water distribution main in accordance with NFPA 24.

3.1.4.4 Location of Meters

Meters and meter boxes shall be installed at the locations shown on the drawings. The meters shall be centered in the boxes to allow for reading and ease of removal or maintenance.

3.1.5 Installation OF Temporary Bypass

3.1.5.1 Bypass Water Service

The by-pass piping shall have gated service connections to which service hoses connected to buildings may be attached. Such by-pass piping and service hoses, of approved size and material, shall be provided to service all building that are connected to the main that is going to be dewatered. Bypass piping shall be maintained in a safe and operative condition at all times during their use, and shall be responsible for the protection of life, health, property, and the prevention of injury to persons and damage to structures.

All service pipe shall be suitably valved. A valve shall be provided at each hydrant connection and each tap hole connection. Valves shall be located no further than one block or no greater than 1,000 feet apart, as directed by the Engineer.

All bypass piping connected to fire hydrants must provided a tee with a valve for each hose connection for fire protection. This service at hydrants shall not be considered as a temporary hydrant but to be included as part of the by-pass. The temporary by-pass pipe shall be laid within

street lines near the property line or in gutter. In general temporary by-pass pipe shall be placed where it will cause the least obstruction, and where it will be least susceptible to damage. Lights and barricades as may be required shall be furnished and maintained.

When bypass piping is installed across a major street or commercial drive, a narrow trench shall be cut in the existing pavement so that the top of the temporary bypass pipe is not above the original street grade. Hot mix shall then be filled around and mound over. In all areas that the location or elevation of the by-pass piping creates a public nuisance, the Contracting Officer will direct placement of the by-pass piping in a shallow trench as described above or change its location to alleviate the nuisance.

Mounding over all by pass piping is required, whether buried in cut trenches or not, with bituminous concrete or other acceptable material wherever they cross a street, driveway or sidewalk in order to prevent injury to street traffic and pedestrians. Where "hot mix" bituminous concrete is used to mound over bypass pipe, a heavy paper barrier shall be placed prior to placing this mix in areas that are subject to being stained or where later removal may result in surface damage.

After any section of the water main has been satisfactorily removed and replaced and returned to service, the corresponding sections of the temporary by-pass pipe shall be removed and shall satisfactorily restore the permanent house service connections and shall restore streets, driveways, sidewalks, lawns, and adjacent properties to as good condition as that in which they were found prior to the start of the work.

3.1.5.2 Disinfection of Temporary Water Service

All temporary bypass lines shall be disinfected and thoroughly flushed before being placed into service. This disinfection must result in eliminating from the various parts of temporary by pass piping all evidence of the existence therein of bacteria indicative of human or animal contamination, as shown by tests of the bacterial content and chlorine residual of samples of water taken from the bypass piping. Disinfection of temporary bypass piping shall be done in accordance with the procedures specified in Section 3.1.6 Disinfection

3.1.6 Disinfection

NAVY utilities personnel shall be present during disinfection process. Prior to disinfection, obtain Contracting Officer approval of the proposed method for disposal of waste water from disinfection procedures. Disinfect new water piping and existing water piping affected by Contractor's operations in accordance with AWWA C651. Fill piping systems with solution containing minimum of 50 parts per million of available chlorine and allow solution to stand for minimum of 24 hours. Super-chlorinated water shall be neutralized prior to release to the environment. Disposal of all water used in the disinfection process shall be responsibility of the contractor performing the disinfection procedure. Approval for discharge into the sanitary sewer system must be obtained from Navy Utilities. Flush solution from the systems with domestic water until maximum residual chlorine content is within the range of 0.2 and 0.5 parts per million, or the residual chlorine content of domestic water supply. Obtain at least two consecutive satisfactory bacteriological samples from new water piping, analyze by a certified laboratory, and submit the results prior to the new water piping being

placed into service. Refer to section 3.2.4 Bacteriological Testing. Disinfection of systems supplying nonpotable water is not required.

3.1.7 Optional Disinfection Method

Disinfect new potable water lines and affected portions of existing potable water lines with geothermal water. Geothermal water shall be not less than 90 degrees Celsius and contact time shall be not less than 30 minutes. After disinfection, thoroughly flush new potable water lines and affected portions of existing potable water lines with the chlorinated base water supply for a minimum of two hours.

3.2 FIELD QUALITY CONTROL

3.2.1 Field Tests and Inspections

Prior to hydrostatic testing, obtain Contracting Officer approval of the proposed method for disposal of waste water from hydrostatic testing. The Contracting Officer will conduct field inspections and witness field tests specified in this section. The Contractor shall perform field tests, and provide labor, equipment, and incidentals required for testing. The Contractor shall produce evidence, when required, that any item of work has been constructed in accordance with the drawings and specifications. Do not begin testing on any section of a pipeline where concrete thrust blocks have been provided until at least 5 days after placing of the concrete.

3.2.2 Testing Procedure

3.2.2.1 Hydrostatic Testing

Test water mains and water service lines in accordance with the applicable specified standard. Where water mains and water service lines provide fire service, test in accordance with the special testing requirements given in paragraph entitled "Special Testing Requirements for Fire Service." Test ductile-iron water mains and water service lines in accordance with the requirements of AWWA C600 for hydrostatic testing. The amount of leakage on ductile-iron pipelines with mechanical-joints or push-on joints shall not exceed the amounts given in AWWA C600; no leakage will be allowed at joints made by any other method. Test concrete water mains in accordance with the recommendations in AWWA M9, Chapter 10, "Hydrostatic Testing and Disinfection of Mains." The amount of leakage on concrete pipelines shall not exceed 20 gallons per 24 hours per inch of pipe diameter per mile of pipeline. Test steel water mains in accordance with applicable requirements of AWWA C600 for hydrostatic testing. The amount of leakage on steel pipelines with rubber-gasketed bell-and-spigot joints shall not exceed 20 gallons per 24 hours per inch of pipe diameter per mile of pipeline; no leakage will be allowed at joints made by any other method. Repair of welded joints to stop leakage shall be done by welding only. Test water service lines in accordance with applicable requirements of AWWA C600 for hydrostatic testing. No leakage will be allowed at copper pipe joints, copper tubing joints (soldered, compression type, brazed), flanged joints and screwed joints.

3.2.2.2 Leakage Testing

For leakage test, use a hydrostatic pressure not less than the maximum working pressure of the system. Leakage test may be performed at the same time and at the same test pressure as the pressure test.

3.2.3 Special Testing Requirements for Fire Service

Test water mains and water service lines providing fire service or water and fire service in accordance with NFPA 24. The additional water added to the system must not exceed the limits given in NFPA 24

3.2.4 Bacteriological Testing

It is required that an authorized representative of NAVY be present during the chlorination process and once complete, witness the sampling procedure for bacteriological testing.

Coliform and heterotrophic plate count (HPC) samples must be collected from locations determined by the Lead Water System Operator. Samples will be collected after the water/fire main has been flushed to chlorine levels similar to the other parts of the distribution system. A second set of samples must be collected 24 hours after the first set. All analyses shall be performed by a laboratory licensed by the Rhode Island Department of Health.

NAVY will not accept a new water main, service or fire protection connection until a certified bacteriological test indicating the absence of coliform organisms and HPC level below 500 is received.

When construction work being performed is an emergency repair, the isolated portion of the main shall be disinfected and flushed per AWWA C651. This procedure will be done as thoroughly as possible prior to the main being put back into service. Authorized NAVY personnel must be present for inspection of the procedures prior to any reconnection to the water system.

Any deviations from the above information shall only be allowed upon prior approval from the NAVY Utilities Department.

3.3 CLEANUP

Upon completion of the installation of water lines, and appurtenances, all debris and surplus materials resulting from the work shall be removed.

-- End of Section --