

2. AMENDMENT NO. 0004	3. EFFECTIVE DATE 14 May 2015	4. REQUISITION/PURCHASE REQ. NO.	5. PROJECT NO. <i>(If applicable)</i>
6. ISSUED BY CODE		7. ADMINISTERED BY <i>(If other than Item 6)</i> CODE	
Naval Facilities Engineering Command Pacific (ACQ31) Building 62 4262 Radford Drive Honolulu HI 96818-3296			

8. NAME AND ADDRESS OF CONTRACTOR <i>(No., street, county, State and ZIP Code)</i>	9A. AMENDMENT OF SOLICITATION NO. <input checked="" type="checkbox"/> N62742-15-R-1305
	9B. DATED <i>(SEE ITEM 11)</i> 09 April 2015
	10A. MODIFICATION OF CONTRACT NO. <input type="checkbox"/>
	10B. DATED <i>(SEE ITEM 13)</i>
CODE	FACILITY CODE

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of offers is extended, is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods:
 (a) By completing Items 8 and 15, and returning 1 copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or © By separate letter or telegram which includes a reference to the solicitation and amendment numbers. **FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER.** If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA *(If required)*

13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS, IT MODIFIES THE CONTRACT NO. AS DESCRIBED IN ITEM 14.

<input type="checkbox"/>	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: <i>(Specify authority)</i> THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT NO. IN ITEM 10A.
<input type="checkbox"/>	B. THE ABOVE NUMBERED CONTRACT IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES <i>(such as changes in paying office, appropriation date, etc.)</i> SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
<input type="checkbox"/>	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
<input type="checkbox"/>	D. OTHER <i>(Specify type of modification and authority)</i>

E. IMPORTANT: Contractor is not, is required to sign this document and return ___ copies to the issuing office.

14. DESCRIPTION OF AMENDMENT *(Organized by UCF section headings, including solicitation/contract subject matter where feasible.)*
WON 1312011, FY15 MCON P861, FACILITY MODIFICATIONS FOR VMU, MWSD AND CH53E, MARINE CORPS BASE HAWAII, KANEOHE, HAWAII

The date and time for submission of proposals is revised to:
June 09, 2015 at 2:00 p.m. HST

See next page

15A. NAME AND TITLE OF SIGNER <i>(Type or print)</i>		16A. NAME OF TITLE OF CONTRACTING OFFICER <i>(Type or print)</i>	
15B. CONTRACTOR/OFFEROR	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA	16C. DATE SIGNED
_____ <i>(Signature of person authorized to sign)</i>		BY _____ <i>(Signature of Contracting Officer)</i>	

This modification is issued as follows:

FRONT END REVISIONS:

- 1.** Standard Form (SF) 1442, Price Proposal Schedule, page 3 of 5 and 4 of 5 are deleted in their entirety and replaced with the attached Price Proposal Schedule, page 3 of 4 and 4 of 4.
- 2.** Revise Document 00100, Paragraph 1.1.a, Technical Proposal (Factors 1, 2, and 3) submission date and time to read:

“09 June 2015, 2:00 p.m. H.S.T.”
- 3.** Revise Document 00100, Paragraph 1.1.b, Price Proposal submission date and time to read:

“09 June 2015, 2:00 p.m. H.S.T.”
- 4.** Revise Document 00100, Paragraph 1.1.c, Small Business Subcontracting Plan/Subcontracting Breakdown submission date and time to read:

“10 June 2015, 2:00 p.m. H.S.T.”
- 5.** Document 00202, Evaluation Factors for Award, is deleted in its entirety and replaced with the attached Document 00202, Evaluation Factors for Award – Revision 1.

SPECIFICATION REVISIONS NARRATIVELY:

- 6.** **PROJECT TABLE OF CONTENTS** is deleted in its entirety and replaced with the attached revised PROJECT TABLE OF CONTENTS (pages 1 to 5)
- 7.** **Section 01 11 00, SUMMARY OF WORK**, paragraph 1.10 Salvage Material and Equipment, Salvage Material and Equipment is amended to delete the three existing paragraphs and to replace them with the following:

"All salvageable materials shall become the property of the Contractor and shall be disposed of in accordance with Section 01 74 19, Construction and Demolition Waste Management. See also Sections 01 74 19, Construction and Demolition Waste Management and 02 41 00 Demolition."

8. **Section 01 33 00 SUBMITTAL PROCEDURES / SUBMITTAL REGISTER:** The Submittal Register has been revised to include the following: Revised Submittal Registers for Sections 03 30 00; 05 50 13 and 28 31 63.00 20 (2 pages). Add a new Submittal Register for new Section 13 12 21 RELOCATABLE MODULAR BUILDINGS (1 page). Revised and New Submittal Registers are attached.
- 9.** **Section 03 30 00 CAST-IN-PLACE CONCRETE** is deleted in its entirety and replaced with the attached revised Section 03 30 00 (Am-0004).
- 10.** **Section 03 40 00.00 10 PLANT-PRECAST CONCRETE PRODUCTS FOR BELOW GRADE CONSTRUCTION** is deleted in its entirety and replaced with the attached revised Section 03 40 00.00 10 (Am-0004)
- 11.** **Section 05 50 13 MISCELLANEOUS METAL FABRICATIONS** is deleted in its entirety and replace with the attached revised Section 05 50 13 (Am-0004)

- 12. Section 10 28 13 TOILET ACCESSORIES** is deleted in its entirety and replaced with the attached revised Section 10 28 13 (Am-0004).
- 13. Section 13 12 21 RELOCATABLE MODULAR BUILDINGS** is deleted in its entirety and replaced with the attached revised Section 13 12 21 (Am-0004).
- 14. Section 13 27 53 MODULAR CONCRETE SECURITY VAULT** is deleted in its entirety and replaced with the attached revised Section 13 27 53 (Am-0004)
- 15. Section 28 31 63.00 20 ANALOG/ADDRESSABLE INTERIOR FIRE ALARM SYSTEM** is deleted in its entirety and replaced with the attached revised Section 28 31 63.00 20 (Am-0004)
- 16. Section 33 40 00 STORM DRAINAGE UTILITIES** is deleted in its entirety and replaced with the attached revised Section 33 40 00 (Am-0004)

DRAWING REVISIONS NARRATIVELY:

Amend the following by narrative description:

NO.	SHEET NO.	DESCRIPTION
<u>17.</u>	G-009	<p><u>Sequence XA</u>, 4th subparagraph is deleted and replaced with the following:</p> <p>“Maintain squadron operations during the course of this sequence of work by providing four (4) relocatable facilities indicated. Provide electrical and telecom utilities to the temporary facilities. Relocation of personnel and furnishings, to and from these facilities are by others and not in the project scope. At the conclusion of Sequence XA, remove the four (4) temporary facilities from the project site and restore the site to its original condition. See Section 01 11 00, paragraph 1.10 Salvage Material and Equipment.”</p>
<u>18.</u>	G-009	<p><u>Sequence 2A</u>, 1st subparagraph is deleted and replaced with the following:</p> <p>“Relocation of 3D Radio Battalion into its permanent location at the completion of the building and parking complex. Relocation of personnel and furnishing, to and from these temporary facilities are not in the project scope.”</p> <p>2nd subparagraph is deleted and replaced with the following:</p> <p>“At the end of the project, remove the five (5) temporary facilities from the project site. Disconnect temporary provision for all utilities. Demolish all concrete pathways to all trailers. Finish grade after removal of trailers shall be gravel. See Section 01 11 00, paragraph 1.10 Salvage Material and Equipment. See also Sections 01 74 19, Construction and Demolition Waste Management and 02 41 00 Demolition.”</p>
<u>19.</u>	G-009	<p><u>Sequence 2B</u>, Delete this subparagraph and replace it with the following:</p> <p>“MEDLOG and 21st Dental will relocate into their permanent location at the completion of the B271 renovation. Relocation of personnel and furnishings, to and from these temporary facilities are not in the project scope.”</p>
<u>20.</u>	G-010	<p><u>Sequence 3A</u>, delete this subparagraph and replace it with:</p> <p>“VMU-3 will relocate into its permanent location at the completion of the B373 renovation. Relocation of personnel and furnishings to and from these temporary facilities are not in the project scope.”</p>

<u>21.</u>	G-010	Sequence 4A is revised to delete this subparagraph and to replace it with the following: “MWSD-24 and VMU-3 will relocate its equipment and vehicles from south to north end. Relocation of equipment and vehicles are not in the project scope.”
<u>22.</u>	C-502	Revise A3 note to state: MATCH EXISTING PAV'T THICKNESS. MIN. 4" AC ON 6" BASE COURSE FOR ORGANIZATIONAL VEHICLE PARKING. MIN 2" AC ON 6" BASE COURSE FOR POV PARKING
<u>23.</u>	A-541	Detail B3, add note: TYPICAL CORNER GUARD SHALL BE 4 FT LONG.
<u>24.</u>	EA-125	Solar Photovoltaic Equipment Schedule is amended as follows: a. INV is amended to delete the last sentence in its entirety. b. PV is amended to delete the last sentence in its entirety.
<u>25.</u>	SB-112	Add following note: MECHANICAL EQUIPMENT CONCRETE PADS SHALL EXTEND Mechanical equipment concrete pads shall extend 6" beyond the footprint of the equipment provided. Coordinate with specified equipment sizes.
<u>26.</u>	AB-101	Remove note 5
<u>27.</u>	AB-102	Remove note 5
<u>28.</u>	AB-103	Remove note 5
<u>29.</u>	AB-601	Revise Hardware group are the following doors: B122, revise to HW 25 B132B, revise to HW 16 B133A, revise to HW 16 B133C, revise to HW 36 BY01, revise to HW 54
<u>30.</u>	EB-109	Solar Photovoltaic Equipment Schedule is amended as follows: a. INV is amended to delete the last sentence in its entirety. b. PV is amended to delete the last sentence in its entirety.
<u>31.</u>	AC-402	Replace callout SHS to FSS.
<u>32.</u>	AC-601	Revise Hardware group for the following doors: C113, revise to HW 28 CY01, revise to HW 54
<u>33.</u>	IC-601	Revise exit sign quantity to 6 Add sign no designation '29' to Sign Type E in Rm C117
<u>34.</u>	EC-108	Solar Photovoltaic Equipment Schedule is amended as follows: a. INV is amended to delete the last sentence in its entirety. b. PV is amended to delete the last sentence in its entirety.
<u>35.</u>	TC-101	Demolish all existing telecom outlets and associated pathways in warehouse and modular office with the exception of telecom service JB and conduit sleeves through wall
<u>36.</u>	AD-602	Revise Door D220B type from 'G' to 'F'
<u>37.</u>	AD-001	Revise Amd-0003 Exhibit to change Building Type from II-B to V-B for Relocatable Modular Bldgs
<u>38.</u>	CD-001	Revise Note 1 to read as follows: “The soil borings shown on this drawing are pertinent information from the soil borings that were used to design this project.”

DRAWING REVISIONS BY SKETCH:

NO.	SKETCH NO.	SHEET NO.	DESCRIPTION
<u>39.</u>	SKS-001	S-001	Contractor to coordinate concrete pad sizes based on the final equipment selection
<u>40.</u>	SKA-002	A-522	Revised Detail B4 Fall Restraint System Detail. Added new detail A5, light tube skylight detail.

<u>41.</u>	SKA-003	A-641	Added rooms to show typical mounting height Added sanitary napkin dispenser and toilet seat cover dispenser in typical restroom elevation.
<u>42.</u>	SKA-004	I-602	Revised Amd-0003 exhibit to change material type for signage
<u>43.</u>	SKA-A007	AA-401	Added toilet seat cover dispensers (TSCD)
<u>44.</u>	SKA-B001	AB-401	Added hand sanitizer locations. Removed one folding shower seats on elevation C2
<u>45.</u>	SKA-C001	AC-401	Added hand sanitizer locations
<u>46.</u>	SKA-C002	AC-611	Revised partition type 8
<u>47.</u>	SKA-D012	AD-401	Added toilet seat cover dispensers (TSCD) shower rod (SCR) and shower curtain (SC)
<u>48.</u>	SKA-D013	AD-402	Added toilet seat cover dispensers (TSCD)

49. All other terms and conditions remain unchanged.

SOLICITATION, OFFER AND AWARD (Construction, Alteration, or Repair)	1. SOLICITATION NO. N62742-15-R-1305	2. TYPE OF SOLICITATION <input type="checkbox"/> SEALED BID (IFB) <input checked="" type="checkbox"/> NEGOTIATED (RFP)	3. DATE ISSUED 09 APR 2015 14 MAY 2015 (REV 1)	PAGE OF PAGES 1 of 4
	IMPORTANT - The "offer" section on the reverse must be fully completed by offeror			

4. CONTRACT NO. N62742-15-C-1305	5. REQUISITION/PURCHASE REQUEST NO.	6. PROJECT NO.
7. ISSUED BY Naval Facilities Engineering Command, Pacific AE/Construction Contracts Branch, ACQ31 258 Makalapa Drive, Ste 100 Joint Base Pearl Harbor, HI 96860-3134	CODE	8. ADDRESS OFFER TO Mail: Block 7 Hand carry proposals to THE FRONT COUNTER AT: NAVFAC Pacific 4262 Radford Drive, Building 62 Honolulu, HI 96818-3296 Depository hours between 7:00 AM and 2:00 PM, Monday Through Friday except Federal Holidays
9. FOR INFORMATION CALL:	A. NAME Norine E. Horikawa	B. TELEPHONE NO. (Include area code) (NO COLLECT CALLS) (808) 474-4550 FAX: (808) 474-5909

SOLICITATION

NOTE: In sealed bid solicitations "offer" and "offeror" mean "bid" and "bidder".

10. THE GOVERNMENT REQUIRES PERFORMANCE OF THE WORK DESCRIBED IN THESE DOCUMENTS (Title, identifying no., date):
RFP NO. N62742-15-R-1305, FY15 MCON P-861 FACILITY MODIFICATIONS FOR VMU, MWSD AND CH53E, MARINE CORPS BASE HAWAII,
KANEHOE, HAWAII

General Description: See Section 01 11 00, Summary of Work

This procurement is advertised on an unrestricted basis, inviting all qualified offerors to submit technical and price proposals. North American Industry Classification (NAICS) Code is 236220 – Commercial and institutional Building Construction. Average Annual Receipts for past three years--\$36.5 MIL

FAR 52.236-1. PERFORMANCE OF WORK BY THE CONTRACTOR (APR 1984): The Contractor shall perform on the site, and with its own organization, work equivalent to at least twenty percent (20%) of the total amount of work to be performed under the contract.

This procurement is a design-bid-build project.

This is not a public proposal opening. DPAS Rating: DO-C2

Enforceability of Proposal: The proposal must set forth full, accurate and complete information as required by this solicitation. The Government will rely on such information in the award of a contract. By submission of the offer, the Offeror agrees that all items proposed will be enforced for the duration of the contract. Any substitutions after contract award will be equal or better than as proposed and shall require the Contracting Officer's approval prior to implementation.

Subcontracting Plan is Required. IAW PL 95-507 AND PL 100-280 for Large Business Concerns.

DFARS 252.247-7023, Transportation of Supplies by Sea applies to this contract. Within 30 days of each shipment, the Contractor is required to provide an electronic copy of the carrier's bill of lading to the Contracting Officer and to MARAD via e-mail. MARAD email: Marguerite.Speights@dot.gov. In addition, the Contractor shall notify the Contracting Officer when oceanic shipments have been initiated within 3 working days of shipment.

11. The Contractor shall begin performance within 15 calendar days and complete it within See Section 00700 calendar days after receiving award, notice to proceed. This performance period is mandatory, negotiable.

12.a THE CONTRACTOR MUST FURNISH ANY REQUIRED PERFORMANCE AND PAYMENT BONDS? (If "YES", indicate within how many calendar days after award in Item 12B.)	12.B CALENDAR DAYS
<input checked="" type="checkbox"/> YES <input type="checkbox"/>	30

13. ADDITIONAL SOLICITATION REQUIREMENTS:

A. Sealed offers in original and See Section 00100 copies to perform the work required are due at the place specified in Item 8 by See Section 00100. If this is a sealed bid solicitation, offers will be publicly opened at that time. Sealed envelopes containing offers shall be marked to show the offeror's name and address, the solicitation number, and the date and time offers are due.

B. An offer guarantee is, is not required.

C. All offers are subject to the (1) work requirements, and (2) other provisions and clauses incorporated in the solicitation in full text or by reference.

D. Offers providing less than 150 calendar days for Government acceptance after the date offers are due will not be considered and will be rejected.

14. NAME AND ADDRESS OF OFFEROR (Include ZIP Code)

15. TELEPHONE NO./FACSIMILE NO. (Include area code)

DUNS NO.

CAGE CODE

TIN NO.

16. REMITTANCE ADDRESS (Include only if different than Item 14)

CODE

FACILITY CODE

17. The offeror agrees to perform the work required at the prices specified below in strict accordance with the terms of the solicitation, if this offer is accepted by the Government in writing within ___ calendar days after the date offers are due. (Insert any number equal to or greater than the minimum requirement stated in Item 13D. Failure to insert any number means the offeror accepts the minimum in Item 13D.)

AMOUNTS

SEE ATTACHED PRICE PROPOSAL SCHEDULE

18. The offeror agrees to furnish any required performance and payment bonds.

19. ACKNOWLEDGMENT OF AMENDMENTS

(The offeror acknowledges receipt of amendments to the solicitation -- give number and date of each)

AMENDMENT NO.									
DATE									

20A. NAME AND TITLE OF PERSON AUTHORIZATION TO SIGN OFFER
(Type or print)

20B. SIGNATURE

20C. OFFER
DATE

AWARD (To be completed by Government) N62742-15-C-1305

21. ITEMS ACCEPTED:

22. AMOUNT

23. ACCOUNTING AND APPROPRIATION DATA

24. SUBMIT INVOICES TO ADDRESS SHOWN IN
(4 copies unless otherwise specified)
See Section 00700. Payment by electronic system - WAWF

ITEM

25. OTHER THAN FULL AND OPEN COMPETITION PURSUANT TO

 10 U.S.C. 2304(c) () 41 U.S.C. 253(c) ()

26. ADMINISTERED BY CODE

N62742

27. PAYMENT WILL BE MADE BY

Commanding Officer
NAVFAC Hawaii
ROICC Kaneohe Bay
Box 63068, Bldg 566
Kaneohe Bay, HI 96863-3068

Defense Finance & Accounting Service
DFAS Cleveland
Norfolk Accounts Payable
P.O. Box 998022
Cleveland, OH 44199

CONTRACTING OFFICER WILL COMPLETE ITEM 28 OR 29 AS APPLICABLE

28. NEGOTIATED AGREEMENT Contractor is required to sign this document and return ___ copies to issuing office.) Contractor agrees to furnish and deliver all items or perform all work requirements identified on this form on any continuation sheets for the consideration stated in this contract. The rights and obligations of the parties to this contract shall be governed by (a) this contract award, (b) the solicitation, and (c) the clauses, representations, certifications, and specifications incorporated by reference in or attached to this contract.

29. AWARD (Contractor is not required to sign this document.) Your offer on this solicitation is hereby accepted as to the items listed. This award consummates the contract, which consists of (a) the Government solicitation and your offer, and (b) this contract award. No further contractual document is necessary.

30A. NAME AND TITLE OF CONTRACTOR OR PERSON AUTHORIZED
TO SIGN (Type or print)

31A. NAME OF CONTRACTING OFFICER (Type or print)

30B. SIGNATURE

30C. DATE

31B. UNITED STATES OF AMERICA

31C. AWARD
DATE

BY

**SOLICITATION, OFFER AND AWARD (SF 1442), CONTINUED
PRICE PROPOSAL SCHEDULE
FOR
RFP NO. N62742-15-R-1305
WON 1312011, FY15 MCON P-861 FACILITY MODIFICATIONS FOR VMU, MWSD AND CH53E,
MARINE CORPS BASE HAWAII, KANEOHE, HI**

REVISION 1

ITEM NO	DESCRIPTION	QTY	UNIT OF ISSUE	UNIT PRICE	AMOUNT
0001	BASE ITEM: The basis for CLIN 0001 is the price for the entire work complete in accordance with the drawings and specifications for WON 1312011, including work specified as deductive line items.	1	LS		\$ _____
<u>DEDUCTIVE LINE ITEMS</u>					
0002	DEDUCTIVE ITEM 1. The cost for this deductive work shall include all work associated with the removal and the replacement of the existing base course, subbase and AC pavement and to provide a smooth transition between the new and existing AC pavement. If this CLIN is not awarded, the contractor shall provide this work as a part of CLIN 0001.	1	LS		(\$ _____)
0003	DEDUCTIVE ITEM 2 The cost for this deductive work shall include all work to delete the photovoltaic array system at the 3D Radio Automotive Organization Vehicle Shop; Building CWHE at Building 373 Complex; and Building 271. If this CLIN is not awarded, the contractor shall provide this work as a part of CLIN 0001.	1	LS		(\$ _____)
0004	DEDUCTIVE ITEM 3 The price for this deductive work shall include all work to delete the inspection pit at the 3D Radio Automotive Organization Vehicle Shop. Contractor shall provide a level concrete slab in lieu of the inspection pit. If this CLIN is not awarded, the contractor shall provide this work as a part of CLIN 0001.	1	LS		(\$ _____)
TOTAL of CLIN 0001 less CLINs 0002, 0003 and 0004				Lump Sum	\$ _____
0005	FURNITURE, FIXTURES AND EQUIPMENT				
000501	Planned Modification: Furniture, Fixtures and Equipment		Gov't Estimated Amount	<u>\$1,855,000.00</u>	
000502	Handling and Administrative Rate (HAR) NTE 5%	_____ %			\$ _____

**SOLICITATION, OFFER AND AWARD (SF 1442), CONTINUED
PRICE PROPOSAL SCHEDULE
FOR
RFP NO. N62742-15-R-1305
WON 1312011, FY15 MCON P-861 FACILITY MODIFICATIONS FOR VMU, MWSD AND CH53E,
MARINE CORPS BASE HAWAII, KANEOHE, HI**

REVISION 1

CLIN 0005 TOTAL \$ _____

GRAND TOTAL (CLINs 0001 and 0005, less CLINS 0002, 0003, 0004 Lump Sum \$ _____

Notes:

1. See Document 00202 for the Price Evaluation.
2. The Offeror SHALL include a price for ALL CLINs.
3. Multiple awards will not be made.
4. The magnitude of construction for CLIN 0001 is between \$25,000,000 and \$75,000,000.
5. For evaluation purposes only, in the event of a discrepancy between the extended price for the HAR and the actual calculation of the HAR percentage proposed multiplied by the Government Estimated Amount, the Government will use the percentage proposed and will adjust your proposal accordingly.
6. In the event there is a difference between the grand total and the calculation of the individual prices proposed to arrive at the grand total, the individual prices proposed for each CLIN will be held to be the intended price and the grand total will be adjusted accordingly.
7. The following notes apply to CLIN 0005 for Furniture, Fixtures and Equipment (FF&E):
 - a. Government Estimated Amount for FF&E. The Government Estimated Amount for FF&E, as shown above (CLIN 000501), includes the material cost, freight and installation charges. The Government Estimated Amount has been identified to provide Offerors with the projected magnitude of effort for FF&E. The total CLIN 0005 amount shall include all costs to procure, install and store the Furniture Fixtures and Equipment and includes the Prime Contractor's proposed Handling and Administrative Rate (HAR).
 - b. Prime Contractor Handling and Administration Rate (HAR). Offerors may propose a HAR fee in CLIN 000502, up to but not to exceed 5%, for the handling and administration of the FF&E. This fee will account for all administrative costs; overhead; bonding fees, if any; administration of subcontractors; profit; and any other costs associated with and related to the coordination and processing of the procurement and installation of the FF&E. The prime contractor's proposed HAR mark-up will be applied to the Government Estimated Amount to derive a total proposed price for CLIN 0005.
 - c. The prime contractor's HAR percentage rate will be incorporated into the contract as proposed and will not be adjusted regardless of fluctuations in the Government Estimated Amount. The HAR is a fixed percentage rate that will be utilized in calculating the final price for the planned modification. When the final FF&E package is priced, the contractor's proposed HAR percentage rate, as incorporated into the contract, will be applied to the selected supplier/vendor's quote to arrive at a total proposed price for final negotiation of the planned modification.
 - d. It is anticipated that two planned modifications will be awarded at least six months prior to the completion of the work for the following: (1) 3D Radio Auto Org Shop, VMU Hangar 102, MEDLOG Building 271, and 21st Dental Building 271; and (2) VMU Building 373, MWSD Building 373, MWSD Building 388, MWSD Building 6082, MWSD Building 3085, and MWSD Construction/Weight Handling Building. Please be advised that revisions to the planned modifications may be made dependent upon the sequencing of the work. The planned modifications will be issued after receipt of appropriate funding. A minimum of six months is required for the contractor to purchase, deliver and install the FF&E without impacting the overall completion date of the project. No schedule

**SOLICITATION, OFFER AND AWARD (SF 1442), CONTINUED
PRICE PROPOSAL SCHEDULE
FOR
RFP NO. N62742-15-R-1305
WON 1312011, FY15 MCON P-861 FACILITY MODIFICATIONS FOR VMU, MWSD AND CH53E,
MARINE CORPS BASE HAWAII, KANEOHE, HI**

REVISION 1

extensions will be granted if the planned modification is awarded at least six months prior to the contract completion date. If the Government decides to negotiate and award the planned modification less than six months prior to the contract completion date, the contractor may be entitled to a contract extension.

e. The design for the FF&E will be performed by others. Upon completion of the FF&E package and selection of the best value supplier by others, the Contracting Officer will issue Requests for Proposal to the prime contractor for the FF&E requirements. See Specification Section 01 30 01.00 22.

DOCUMENT 00202

EVALUATION FACTORS FOR AWARD

REVISION 1

TABLE OF CONTENTS

PART I. GENERAL

- 1.1. INTENT TO AWARD WITHOUT DISCUSSIONS
- 1.2. COMPETITIVE RANGE
- 1.3. ENFORCEABILITY OF PROPOSAL

PART II. EVALUATION FACTORS / RATING SCHEME

- 2.1. EVALUATION CRITERIA AND BASIS OF AWARD
- 2.2. PRICE EVALUATION
- 2.3. TECHNICAL EVALUATION FACTORS AND SUBFACTORS
- 2.4. RATING SCHEME

ATTACHMENTS

- (A) Construction Experience Data Sheet
- (B) Past Performance Questionnaire

PART I. GENERAL

1.1 INTENT TO AWARD WITHOUT DISCUSSIONS

The Government intends to evaluate the proposals for technical acceptability and price reasonableness and to award a contract without conducting discussions. Therefore, your initial proposal shall conform to the solicitation requirements and should contain your best offer from a technical and price standpoint. The Contracting Officer may, in accordance with the provisions of this solicitation and applicable regulations, evaluate price for those proposals determined to be technically acceptable. Those proposals that receive an unacceptable rating on any of the technical factor, resulting in an overall Unacceptable rating may not receive further consideration for award.

1.2 COMPETITIVE RANGE

The Government reserves the right to conduct discussions, if required. If all proposals are determined unacceptable and/or unreasonably priced, the Contracting Officer may conduct discussions with the Offerors determined to be within the competitive range. The Government may limit the number of proposals in the competitive range to the most highly rated proposals with a chance for contract award, unless the range is further reduced for purposes of efficiency.

1.3 ENFORCEABILITY OF PROPOSAL

The proposal must set forth full, accurate and complete information as required by this solicitation. The Government will rely on such information in the award of a contract. By submission of the offer, the Offeror agrees that all items proposed (e.g., key personnel, sub-contractors, etc.) will be utilized for the duration of the contract and any substitutions must be equal or better and will require prior approval by the Contracting Officer.

PART II. EVALUATION FACTORS / RATING SCHEME

2.1 EVALUATION CRITERIA AND BASIS OF AWARD

The contract resulting from this solicitation will be awarded to that responsible Offeror whose offer, conforming to the solicitation, is determined to be the lowest evaluated price of proposals meeting or exceeding the acceptability standards for the technical factors. An overall technical factor rating must be at least "ACCEPTABLE" in order to be considered for award. An "UNACCEPTABLE" rating in any factor will result in the overall technical proposal being rated "UNACCEPTABLE" unless corrected through discussions. An overall technical rating of "UNACCEPTABLE" will make a proposal ineligible for award

2.2 PRICE EVALUATION

~~a. It is the Government's objective to obtain CLIN 0001, Base Item, within the funds available for award of a contract.~~

~~b. One or more of the following techniques may be used to evaluate the total evaluated price to ensure that it is fair and reasonable:~~

- ~~• Comparison of proposed prices received in response to the solicitation~~
- ~~• Comparison of proposed prices with the independent Government Estimate~~
- ~~• Comparison of previously proposed prices and previous Government and commercial prices with current proposed prices for the same or similar service/items, if both the validity of the comparison and the reasonableness of the previous price(s) can be established.~~

~~b. The price proposals will be listed from lowest to highest based on the evaluation criteria stated above.~~

~~e. The price proposal will initially be evaluated separately from the technical proposal.~~

a. The Government's objective is to obtain all work for the subject project within the funds available for award of a contract. In the event the Government cannot obtain all work within the funds available for award of a contract, the proposed amount for CLIN 0001 may be reduced by utilizing deductive CLINS 0002 through 0004, listed in order from the least important to the most important.

b. The prices proposed will be evaluated as follows:

1) If a price proposed is within the funds available for award of a contract for CLIN 0001, the prices proposed for CLIN 0001, plus CLIN 0005, will form the basis for the price evaluation and CLINs 0002 through 0004 will be disregarded.

2) If all prices proposed for CLIN 0001 exceed the funds available for award of a contract, the Government will deduct the prices proposed for CLIN 0002 and will evaluate all prices to see if one or more price proposals are within the funds available for award of a contract. If there is at least one price proposal within the funds available for award of a contract, the total of CLIN 0001 less CLIN 0002, plus CLIN 0005, will form the basis for the price evaluation and CLINs 0003 and 0004 will be disregarded.

3) If all prices proposed for CLIN 0001 less CLIN 0002 exceed the funds available for award of a contract, the Government will deduct the prices proposed for CLINs 0002 and 0003 and will evaluate all prices to see if one or more price proposals are within the funds available for award of a contract. If there is at least one price proposal within the funds available for award of a contract, the total of CLIN 0001 less CLINs 0002 and 0003, plus CLIN 0005, will form the basis for the price evaluation and CLIN 0004 will be disregarded.

4) If all prices proposed for CLIN 0001 less CLINs 0002 and 0003 exceed the funds available for award of a contract, the Government will deduct the prices proposed for CLINs 0002, 0003, and 0004 and will evaluate all prices to see if one or more price proposals are within the funds available for award of a contract. If there is at least one price proposal within the funds available for award of a contract, the total of CLIN 0001 less CLINs 0002, 0003, and 0004 plus CLIN 0005, will form the basis for the price evaluation.

c. One or more of the following techniques may be used to evaluate the total evaluated price to ensure that it is fair and reasonable:

- Comparison of proposed prices received in response to the solicitation
- Comparison of proposed prices with the independent Government Estimate
- Comparison of previously proposed prices and previous Government and commercial prices with current proposed prices for the same or similar service/items, if both the validity of the comparison and the reasonableness of the previous price(s) can be established.

d. The price proposals will be listed from lowest to highest based on the evaluation criteria stated above.

e. The price proposal will initially be evaluated separately from the technical proposal.

f. Prices will not be assigned adjectival ratings, but will be listed from lowest to highest based on the evaluation conducted.

2.3 TECHNICAL EVALUATION FACTORS

The following evaluation factors shall be used to evaluate the technical proposals.

FACTOR A: EXPERIENCE
FACTOR B: PAST PERFORMANCE
FACTOR C: SAFETY

Each offeror’s technical proposal will be evaluated separately from price. Upon the conclusion of the evaluation of the factors, an overall technical factor rating of Acceptable (A) or Unacceptable (U) will be assigned to each offeror’s technical proposal. A rating of Unacceptable will render a proposal ineligible for award.

A deficiency will result in an Unacceptable rating.

Definitions	
Deficiency	A material failure of a proposal to meet a Government requirement that increases the risk of unsuccessful contract performance to an unacceptable level.

Factor A – Experience:

(a) Basis of Evaluation:

The requirement for acceptability will be based upon meeting the criteria for relevancy of the projects submitted for evaluation.

(b) Solicitation Submittal Requirements:

The Offeror shall submit the following information:

Submit a maximum of ~~three (3)~~ five (5) construction projects for the Offeror that best demonstrates your experience on relevant projects that are similar in size, scope, and complexity to the RFP. For purposes of this evaluation, a relevant project is further as:

RELEVANT PROJECT: Construction of a new concrete building, approximately 18,000 SF AND alteration/renovation of historic administration/office spaces. In order to be considered relevant a project must have both features, construction of a new concrete building approximately 18,000 SF AND alteration/renovation of historic administration/office space and must be approximately \$25M in dollar value, substantially complete within 10 years of the date of issuance of this RFP. Individual features do not have to be within a single project scope, however, projects containing individual features shall be (1) Approximately \$15M in dollar values for the construction of a new concrete building, approximately 18,000 SF, substantially complete within 10 years of the date of issuance of this RFP, ~~AND~~ OR (2) Approximately \$10M in dollar value for the alteration/renovation of historic administration/office spaces and shall be substantially complete within 10 years of the date of issuance of this RFP. Substantially complete means at least 90% physical completion.

NOTES:

1. A project is defined as a construction project performed under a single task order or contract. For multiple award and indefinite delivery/indefinite quantity type contracts, the contract as a whole shall not be submitted as a project; rather Offerors shall submit the work performed under a specific task order as a project.
2. The attached Construction Experience Project Data Sheet (Attachment A - DBB) is MANDATORY and SHALL be used to submit project information. Except as specifically requested, the Government will not consider information submitted in addition to this form. Individual blocks on this form may be expanded.
3. For all submitted projects, the description of the project shall clearly describe the scope of work performed and the relevancy.
4. If the Offeror is a Joint Venture (JV), relevant project experience should be submitted for projects completed by the Joint Venture entity or each Joint Venture partner. Offerors are still limited to a total of ~~three (3)~~ five (5) projects combined.
5. A subcontractor’s experience may be utilized to demonstrate relevant project experience, however, the Prime Offeror shall also demonstrate relevant project experience with at least one relevant project. If the proposal does not

submit a relevant project completed by the Prime Offeror, the proposal will be evaluated Unacceptable, despite a subcontractor’s relevant projects.

6. The Government may consider the experience of a subcontractor where the Prime Contractor provides, in its proposal, evidence of a binding teaming agreement or other contractual agreement that explicitly states the roles and responsibilities of the Prime and the subcontractor. In addition, the teaming agreement or other contractual agreement must clearly state the roles and responsibilities of the Prime Contractor and the team subcontractors and must demonstrate the Prime Contractor’s commitment to award a subcontract to the subcontractor and the subcontractor's commitment to enter into a subcontract with the Prime Contractor, to include legal accountability for both parties.

7. If an Offeror is utilizing experience information of affiliates/subsidiaries/parent/LLC/LTD member companies (name is not exactly as stated on the SF1442), the proposal shall clearly demonstrate that the affiliate/subsidiary/parent firm will have meaningful involvement in the performance of the contract in order for the experience information of the affiliate/subsidiary/parent/LLC/LTD member companies to be considered. The proposal shall state specific commitments of technical resources (e.g. personnel, equipment) that the affiliate/subsidiary/parent/LLC/LTD member companies commit to the performance of this contract. In particular, the proposal will clearly state the specific commitments of resources of the affiliate/subsidiary/parent/LLC/LTD member that will be located at the worksites and company offices in the city/area of the project. The proposal shall also describe specific roles of the affiliate/subsidiary/ parent/LLC/LTD member companies in terms of the work it will either self-perform or manage on behalf of the Offeror in performance of the contract. Any projects submitted in excess of the ~~three (3)~~ five (5) will not be considered.

Factor A - Experience	
Rating	Description
Acceptable (A)	At least one project submitted for evaluation clearly meets the definition of recent relevant project.
Unacceptable (U)	No project submitted for evaluation clearly meets the definition of recent relevant project.

Factor B – Past Performance:

(a) Basis of Evaluation:

This evaluation focuses on how well the Offeror performed on the relevant projects submitted under Factor A – Experience. The proposal shall demonstrate at least Satisfactory performance or better on a relevant project submitted under Factor A, in order to receive an Acceptable rating. In the case of an Offeror without a record of relevant past performance, without any relevant project identified in Factor A, or for whom information on past performance is not available or is so sparse that no meaningful past performance rating can be reasonably assigned, the Offeror will not be evaluated favorably or unfavorably on past performance. The Offeror shall be determined to have Unknown past performance. In the context of acceptability/unacceptability, “Unknown” shall be considered “Acceptable.”

(b) Solicitation Submittal Requirements:

If a completed Construction Contractor Appraisal Support System (CCASS) evaluation is available, it shall be submitted with the proposal for each project included in Factor A, Experience. If there is not a completed CCASS evaluation then submit Past Performance Questionnaires (PPQs), Attachment B, for each project included in Factor A. The Offeror shall provide completed PPQ’s with their proposal. If the Offeror has a less than Satisfactory performance rating on a recent relevant project, the Offeror may submit acceptable explanations for the ratings received.

The Government reserves the right to contact references for verification or additional information. The Government’s inability to contact any of the Offeror’s references or the references unwillingness to provide the information requested may affect the Government’s evaluation of this factor. In addition to the above, the Government reserves the right to obtain information on relevant projects for use in the evaluation of past performance from any and all sources including sources outside of the Government. Other sources may include, but are not limited to, past performance information retrieved through the Past Performance Information Retrieval System (PPIRS) using all CAGE/DUNS numbers of Contractors who are part of a partnership or joint venture identified in the Offeror’s proposal, inquiries of owner representative(s), Federal Awardee Performance and Integrity Information System (FAPIIS), Electronic Subcontract Reporting System (eSRS), and any other known sources not provided by the Offeror.

Performance awards, letters of appreciation, certificates or any other additional information submitted will not be considered.

Past Performance, Factor B, will be rated on an Acceptable, Unacceptable, or Unknown basis using the ratings in the following table:

Factor B – Past Performance	
Rating	Description
ACCEPTABLE	The proposal demonstrates Satisfactory or better past performance ratings for projects submitted under Factor A.
UNACCEPTABLE	The proposal did not demonstrate at least Satisfactory or better past performance rating for one or more projects submitted under Factor A and acceptable explanations were not provided for the rating received.
UNKNOWN	The Offeror does not have any record of past performance on any project submitted under Factor A, does not have any project that could be evaluated as meeting the criteria for relevant project, or in the case of an Offeror without a record of relevant past performance or for whom information on past performance is not available or so sparse that no meaningful past performance rating can be reasonably assigned, the Offeror may not be evaluated favorably or unfavorably on past performance (see FAR 15.305(a)(2)(iv)). Therefore, the Offeror shall be determined to have unknown past performance. In the context of acceptability/unacceptability “unknown” shall be considered “acceptable.”

Factor C – Safety;

(a) Basis of Evaluation:

The Government is seeking to determine whether the Offeror has an acceptable safety record. The Government will evaluate the Offeror’s overall safety record as evidenced by the TRC and DART rates, if the Offeror’s plan includes safety in the evaluation and selection of subcontractors, and if the narrative includes a plan to monitor the safety performance of subcontractors during performance. The evaluation will collectively consider the following:

- OSHA Total Recordable Case (TRC) Rate
- OSHA Days Away from Work, Restricted Duty, or Job Transfer (DART) Rate
- Offeror’s Technical Approach to Safety

The Offeror shall have TRC rates of 4.0 or less AND DART rates of 3.0 or lower for each of the three years in order to be considered Acceptable. Higher rates for either TRC or DART may be evaluated Acceptable if the Offeror provides an acceptable explanation for the higher rates and addresses corrective measures taken to prevent repeat occurrences. If no explanation is given, the proposal will be evaluated Unacceptable.

(b) Submittal Requirements:

The Offeror shall submit the following information: For a partnership or joint venture, the following submittal requirements are required for each Contractor who is part of the partnership or joint venture; however, only one safety narrative is required. TRC and DART Rates shall not be submitted for subcontractors.

(1) OSHA Total Recordable Case (TRC) Rate:

For the three (3) previous complete calendar years, submit your OSHA Total Recordable Case (TRC) Rate, as defined by the U.S. Department of Labor, Occupational Safety and Health Administration. If you cannot submit an OSHA TRC Rate, affirmatively state so, and explain why. Any events/circumstances that affected the OSHA TRC Rate data should be addressed as part of this element.

(2) OSHA Days Away from Work, Restricted Duty, or Job Transfer (DART) Rate:

For the three (3) previous complete calendar years, submit your OSHA Days Away from Work, Restricted Duty, or Job Transfer (DART) Rate, as defined by the U.S. Department of Labor, Occupational Safety and Health Administration. If you cannot submit an OSHA DART Rate, affirmatively state so, and explain why. Any events/circumstances that affected the OSHA DART Rate data should be addressed as part of this element.

(3) Technical Approach for Safety:

Describe the plan that the Offeror will implement to qualify, evaluate, select and oversee its potential subcontractors. This Safety narrative shall be limited to one page. Offerors must submit both (1) a plan to include the safety performance of subcontractors in the selection process for all levels of subcontractors and (2) a plan to monitor the safety of those subcontractors during contract performance, highlighting what specific management practices will be in place for providing deliberate safety program management and mishap prevention support to those sub-contractors whose TRC is greater than 4.0 and whose DART rate is greater than 3.0. Offerors who fail to submit either of elements of evaluation will be rated UNACCEPTABLE.

The acceptability of the Offeror’s safety record will be evaluated based upon the following criteria:

Factor C - Safety	
Criteria	Description
1	OSHA Total Recordable Case (TRC) Rate of 4.0 or less OR if the TRC rate exceeds 4.0, the Offeror’s explanation of the events/circumstances that affected the rate(s) and actions taken to prevent future occurrences.
2	Days Away from Work, Restricted Duty, or Job Transfer (DART) of 3.0 or less OR if the DART rate exceeds 3.0, the Offeror’s explanation of the events/circumstances that affected the rate(s) and actions taken to prevent future occurrences.
3	The Government will evaluate the narrative to determine if subcontractor safety performance will be considered in the qualification, evaluation, selection, of all levels of subcontractors on the upcoming project, and both the plan to monitor the safety of those subcontractors during contract performance, highlighting what specific management practices will be in place for providing deliberate safety program management and mishap prevention support to those sub-contractors whose TRC is greater than 4.0 and whose DART rate is greater than 3.0. Offerors who fail to address either of these items (i.e. whether the safety performance of subcontractors will be evaluated in the selection process for all levels of subcontractors and whether the safety of those subcontractors will be monitored during contract performance) will be rated UNACCEPTABLE.

ATTACHMENT (A)

OFFEROR'S NAME: _____

FACTOR A - EXPERIENCE

Provide the following information on projects to demonstrate the Construction Contractor's recent relevant experience. Use continuation sheets, if necessary. See Document 00202 for additional information and requirements.

1. Factor A Project No. (circle one)	1	2	3
2. Contract Information:			
a. Contract/Task Order No.:			
b. Type of Contract/Task Order:			
c. Award Date:			
d. Project Title:			
e. Project Location:			
f. Original Contract Amount:			
g. Final Contract Amount:			
h. Original Contract Completion Date:			
i. Final Contract Completion Date:			
3. Contractor Information:			
a. Name of Prime Contractor/Joint Venture Performing the Contract (as shown on the contract documents):			
b. Offeror's Role: (check one) <input type="checkbox"/> Prime Contractor <input type="checkbox"/> Subcontractor <input type="checkbox"/> Joint Venture			
c. Percentage of Work Performed by Offeror:			
d. If the Offeror's role was a subcontractor or Joint Venture, describe work performed, e.g., structural, concrete, plumbing, etc.			
4. Owner/Customer Information:			
a. Owner/Customer Name:			
b. Owner/Customer Point of Contact:			
c. Owner/Customer Phone:			
d. Email address:			
5. Project Information			
a. <u>Type of Work:</u> (check one) <input type="checkbox"/> New Construction <input type="checkbox"/> Renovation			
b. <u>Project Description.</u> Provide a detailed description of the project scope as it relates to the evaluation criteria stated in Factor A. Description shall be in sufficient detail for the Government to determine relevancy of this project.			

c. Description of Work Performed by the Offeror. Provide a description of that portion of the project scope that was performed by the Offeror.

d. Other information the Offeror deems necessary for the Government's evaluation of recent relevant experience:

ATTACHMENT (B)

The NAVFAC Form PPQ shall be utilized for all evaluations that require a Past Performance Questionnaire (PPQ).

The current NAVFAC Form PPQ-0 dated 7 December 2011 is available at
<https://portal.navy.mil/portal/page/portal/aq/pdffiles/ppq%20rev%20dec%202011.doc>

Solicitation Submittal Requirements: IF A COMPLETED CPARS EVALUATION IS AVAILABLE, IT SHALL BE SUBMITTED WITH THE PROPOSAL. IF THERE IS NOT A COMPLETED CPARS EVALUATION, the Past Performance Questionnaire (PPQ), provided as Attachment A, is provided for the offeror to submit to their client for each project the offeror includes in its proposal for Factor A, Experience. AN OFFEROR SHALL NOT SUBMIT A PPQ WHEN A COMPLETED CPARS IS AVAILABLE.

Completed PPQs shall be submitted with your proposal. Offerors should follow-up with clients/references to ensure timely submittal of questionnaires.

ATTACHMENT (B)

**NAVFAC/USACE PAST PERFORMANCE QUESTIONNAIRE
(Form PPQ-0)**

CONTRACT INFORMATION (Contractor to complete Blocks 1-6)

1. Contractor Information

Firm Name:

CAGE Code:

Address:

DUNs Number:

Phone Number:

Email Address:

Point of Contact:

Contact Phone Number:

2. Work Performed as: Prime Contractor Sub Contractor Joint Venture Other (Explain)

Percent of project work performed:

If subcontractor, who was the prime (Name/Phone #):

If subcontractor or Joint Venture, describe the work done:

3. Contract Information

Contract Number:

Delivery/Task Order Number (if applicable):

Contract Type: Firm Fixed Price Cost Reimbursement Other (Please specify):

Contract Title:

Contract Location:

Award Date (mm/dd/yy):

Contract Completion Date (mm/dd/yy):

Actual Completion Date (mm/dd/yy):

Explain Differences:

Original Contract Price (Award Amount):

Final Contract Price (to include all modifications, if applicable):

Explain Differences:

4. Project Description:

Complexity of Work High Med Routine

How is this project relevant to project of submission? (Please provide details such as similar equipment, requirements, conditions, etc.)

CLIENT INFORMATION (Client to complete Blocks 5-8)

5. Client Information

Name:

Title:

Phone Number:

Email Address:

6. Describe the client's role in the project:

7. Date Questionnaire was completed (mm/dd/yy):

8. Client's Signature:

NOTE: NAVFAC REQUESTS THAT THE CLIENT COMPLETES THIS QUESTIONNAIRE AND SUBMIT DIRECTLY BACK TO THE OFFEROR. THE OFFEROR WILL SUBMIT THE COMPLETED QUESTIONNAIRE TO NAVFAC WITH THEIR PROPOSAL, AND MAY DUPLICATE THIS QUESTIONNAIRE FOR FUTURE SUBMISSION ON NAVFAC SOLICITATIONS. CLIENTS ARE HIGHLY ENCOURAGED TO SUBMIT QUESTIONNAIRES DIRECTLY TO THE OFFEROR. THE GOVERNMENT RESERVES THE RIGHT TO VERIFY ANY AND ALL INFORMATION ON THIS FORM.

*ADJECTIVAL RATINGS AND DEFINITIONS TO BE USED TO BEST REFLECT
YOUR EVALUATION OF THE CONTRACTOR'S PERFORMANCE*

RATING	DEFINITION	NOTE
(E) Exceptional	Performance meets contractual requirements and exceeds many to the Government/Owner's benefit. The contractual performance of the element or sub-element being assessed was accomplished with few minor problems for which corrective actions taken by the contractor was highly effective.	An Exceptional rating is appropriate when the Contractor successfully performed multiple significant events that were of benefit to the Government/Owner. A singular benefit, however, could be of such magnitude that it alone constitutes an Exceptional rating. Also, there should have been NO significant weaknesses identified.
(VG) Very Good	Performance meets contractual requirements and exceeds some to the Government's/Owner's benefit. The contractual performance of the element or sub-element being assessed was accomplished with some minor problems for which corrective actions taken by the contractor were effective.	A Very Good rating is appropriate when the Contractor successfully performed a significant event that was a benefit to the Government/Owner. There should have been no significant weaknesses identified.
(S) Satisfactory	Performance meets minimum contractual requirements. The contractual performance of the element or sub-element contains some minor problems for which corrective actions taken by the contractor appear or were satisfactory.	A Satisfactory rating is appropriate when there were only minor problems, or major problems that the contractor recovered from without impact to the contract. There should have been NO significant weaknesses identified. Per DOD policy, a fundamental principle of assigning ratings is that contractors will not be assessed a rating lower than Satisfactory solely for not performing beyond the requirements of the contract.
(M) Marginal	Performance does not meet some contractual requirements. The contractual performance of the element or sub-element being assessed reflects a serious problem for which the contractor has not yet identified corrective actions. The contractor's proposed actions appear only marginally effective or were not fully implemented.	A Marginal is appropriate when a significant event occurred that the contractor had trouble overcoming which impacted the Government/Owner.
(U) Unsatisfactory	Performance does not meet most contractual requirements and recovery is not likely in a timely manner. The contractual performance of the element or sub-element contains serious problem(s) for which the contractor's corrective actions appear or were ineffective.	An Unsatisfactory rating is appropriate when multiple significant events occurred that the contractor had trouble overcoming and which impacted the Government/Owner. A singular problem, however, could be of such serious magnitude that it alone constitutes an unsatisfactory rating.
(N) Not Applicable	No information or did not apply to your contract	Rating will be neither positive nor negative.

Contractor Information (Firm Name): _____

Client Information (Name): _____

TO BE COMPLETED BY CLIENT

PLEASE CIRCLE THE ADJECTIVE RATING WHICH BEST REFLECTS YOUR EVALUATION OF THE CONTRACTOR'S PERFORMANCE.

1. QUALITY:	
a) Quality of technical data/report preparation efforts	E VG S M U N
b) Ability to meet quality standards specified for technical performance	E VG S M U N
c) Timeliness/effectiveness of contract problem resolution without extensive customer guidance	E VG S M U N
d) Adequacy/effectiveness of quality control program and adherence to contract quality assurance requirements (without adverse effect on performance)	E VG S M U N
2. SCHEDULE/TIMELINESS OF PERFORMANCE:	
a) Compliance with contract delivery/completion schedules including any significant intermediate milestones. <i>(If liquidated damages were assessed or the schedule was not met, please address below)</i>	E VG S M U N
b) Rate the contractor's use of available resources to accomplish tasks identified in the contract	E VG S M U N
3. CUSTOMER SATISFACTION:	
a) To what extent were the end users satisfied with the project?	E VG S M U N
b) Contractor was reasonable and cooperative in dealing with your staff (including the ability to successfully resolve disagreements/disputes; responsiveness to administrative reports, businesslike and communication)	E VG S M U N
c) To what extent was the contractor cooperative, businesslike, and concerned with the interests of the customer?	E VG S M U N
d) Overall customer satisfaction	E VG S M U N
4. MANAGEMENT/ PERSONNEL/LABOR	
a) Effectiveness of on-site management, including management of subcontractors, suppliers, materials, and/or labor force?	E VG S M U N
b) Ability to hire, apply, and retain a qualified workforce to this effort	E VG S M U N
c) Government Property Control	E VG S M U N
d) Knowledge/expertise demonstrated by contractor personnel	E VG S M U N
e) Utilization of Small Business concerns	E VG S M U N
f) Ability to simultaneously manage multiple projects with multiple disciplines	E VG S M U N
g) Ability to assimilate and incorporate changes in requirements and/or priority, including planning, execution and response to Government changes	E VG S M U N
h) Effectiveness of overall management (including ability to effectively lead, manage and control the program)	E VG S M U N
5. COST/FINANCIAL MANAGEMENT	
a) Ability to meet the terms and conditions within the contractually agreed price(s)?	E VG S M U N

Contractor Information (Firm Name): _____

Client Information (Name): _____

b) Contractor proposed innovative alternative methods/processes that reduced cost, improved maintainability or other factors that benefited the client	E	VG	S	M	U	N
c) If this is/was a Government cost type contract, please rate the Contractor's timeliness and accuracy in submitting monthly invoices with appropriate back-up documentation, monthly status reports/budget variance reports, compliance with established budgets and avoidance of significant and/or unexplained variances (under runs or overruns)	E	VG	S	M	U	N
d) Is the Contractor's accounting system adequate for management and tracking of costs? <i>If no, please explain in Remarks section.</i>	Yes			No		
e) If this is/was a Government contract, has/was this contract been partially or completely terminated for default or convenience or are there any pending terminations? <i>Indicate if show cause or cure notices were issued, or any default action in comment section below.</i>	Yes			No		
f) Have there been any indications that the contractor has had any financial problems? <i>If yes, please explain below.</i>	Yes			No		
6. SAFETY/SECURITY						
a) To what extent was the contractor able to maintain an environment of safety, adhere to its approved safety plan, and respond to safety issues? (Includes: following the users rules, regulations, and requirements regarding housekeeping, safety, correction of noted deficiencies, etc.)	E	VG	S	M	U	N
b) Contractor complied with all security requirements for the project and personnel security requirements.	E	VG	S	M	U	N
7. GENERAL						
a) Ability to successfully respond to emergency and/or surge situations (including notifying COR, PM or Contracting Officer in a timely manner regarding urgent contractual issues).	E	VG	S	M	U	N
b) Compliance with contractual terms/provisions <i>(explain if specific issues)</i>	E	VG	S	M	U	N
c) Would you hire or work with this firm again? <i>(If no, please explain below)</i>	Yes			No		
d) In summary, provide an overall rating for the work performed by this contractor.	E	VG	S	M	U	N

Please provide responses to the questions above (if applicable) and/or additional remarks. Furthermore, please provide a brief narrative addressing specific strengths, weaknesses, deficiencies, or other comments which may assist our office in evaluating performance risk (please attach additional pages if necessary):