

# CONTRACT DATA REQUIREMENTS LIST

(1 Data Item)

*Form Approved*

**OMB No. 0704-0188**

Public reporting burden for this collection of information is estimated to average 110 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Please DO NOT RETURN your form to either of these address. Send completed form to the Government issuing Contracting Officer for the Contract/PR No. Listed in Block E.

<b>A. CONTRACT LINE ITEM NO.</b> 0006AA		<b>B. EXHIBIT</b> I		<b>C. CATEGORY</b> TDP		
<b>D. SYSTEM/ITEM</b>			<b>E. CONTRACT/PR NO.</b>		<b>F. CONTRACTOR</b>	
1. DATA ITEM NO. I001	2. TITLE OF DATA ITEM CERTIFICATION/DATA REPORT			3. SUBTITLE CERTIFICATE OF COMPLIANCE		
4. AUTHORITY (Data Acquisition Document No.) DI-MISC-81356			5. CONTRACT REFERENCE PER TECHNICAL ORDERING DATA		6. REQUIRING OFFICE PURCHASING ACTIVITY	
7. DD 250 REQ DD	9. DIST STATEMENT REQUIRED D	10. FREQUENCY ONE/R	12. DATE OF FIRST SUBMISSION SEE BLOCK 16*	14. DISTRIBUTION		
8. APP CODE N/A	11. AS OF DATE N/A	13. DATE OF SUBSEQUENT SUBMISSION SEE BLOCK 16*	A. ADDRESSEE	b. COPIES		
<b>16. REMARKS</b>  <b>Block 9:</b> Distribution Statement D. Distribution authorized to DoD and DoD contractors only. Administration and operations only, effective 12/22/2015. Other U.S. requests shall be referred to COMNAVSEASYSKOM.  <b>Block 12 –</b> Certification/Data Report documentation shall be submitted via Wide Area Workflow (WAWF) e-Business Suite: Invoice, Receipt, Acceptance, and Property Transfer (IRAPT).  <b>Block 13-</b> Certification/Date Report documentation shall also be submitted with the shipment of the material to the delivery address supplied:  RECIEVING OFFICER BLDG 514, ATTN: CODE 133.1 PUGET SOUND NAVAL SHIPYARD & INTERMEDIATE MAINTENACE FACILITY 1400 FARRAGUT AVE, BREMERTON, WA 98314-5001  <b>Block 12 and 13 -</b> The Certifications/Data Reports requested by this CDRL and corresponding material ordered by this contract/purchase order will be evaluated for acceptance concurrently.  <b>Block 5 –</b> Certificate of Compliance required per Technical Ordering Data for Paragraphs 1.1/ 1.2/ 1.3/ 1.4/ 1.5/ 2.1/ 2.2/ 2.3/ 2.4 needed for Contract: <u>Material Properties</u> Material Load Chain Material Hand Chain Material Hook Material Surface Treatment <u>Design Factors</u> Minimum Factor of Safety ASME HST-2-2014 Marking Defect Removal <u>Testing</u> Periodic Load Testing Maximum periodic Load Test			Draft	Final	Reg	Repr
			N4523A	0	1	0
<b>TOTAL</b>				0	1	0
<b>G. PREPARED BY</b> /s/ Pauline Meyers		<b>H. DATE</b> 12/22/2015		<b>I. APPROVED BY</b> /s/ Frank Gilger		
				<b>J. DATE</b> 12/22/2015		

17. PRICE GROUP

18. ESTIMATED TOTAL PRICE

**For Document no: 5300-0157**

## INSTRUCTIONS FOR COMPLETING DD FORM 1423

(See DoD 5010.12-M for detailed instructions.)

### FOR GOVERNMENT PERSONNEL

- Item A. Self-explanatory.
- Item B. Self-explanatory.
- Item C. Mark (X) appropriate category: TDP - Technical Data Package; TM - Technical Manual; Other - other category of data, such as "provisioning," "Configuration Management", etc.
- Item D. Enter name of system/item being acquired that data will support.
- Item E. Self-explanatory (to be filled in after contract award).
- Item F. Self-explanatory (to be filled in after contract award).
- Item G. Signature of preparer of CDRL.
- Item H. Date CDRL was prepared.
- Item I. Signature of CDRL approval authority.
- Item J. Date CDRL was approved.
- Item 1. See DoD FAR Supplement Subpart 4.71 for proper numbering.
- Item 2. Enter title as it appears on data acquisition document cited in item 4.
- Item 3. Enter subtitle of data item for further definition of data item (optional entry).
- Item 4. Enter Data Item Description (DID) number, military specification number, or military standard number listed on DoD 5010.12-L (AMSDL), or one-time DID number, that defines data content and format requirements.
- Item 5. Enter reference to tasking in contract that generates requirement for the data item (e.g., Statement of Work paragraph number).
- Item 6. Enter technical-office responsible for ensuring adequacy of the data item.
- Item 7. Specify requirement for inspection/acceptance of the data item by the Government.
- Item 8. Specify requirement for approval of a draft before preparation of the final data item.
- Item 9. For technical data, specify requirement for contractor to mark the appropriate distribution statement on the data (ref., DoDD 5230.24).
- Item 10. Specify number of times data items are to be delivered.
- Item 11. Specify as-of date of data item, when applicable.
- Item 12. Specify when first submittal is required.
- Item 13. Specify when subsequent submittals are required, when applicable.
- Item 14. Enter addressees and number of draft/final copies to be delivered to each addressee. Explain reproducible copies in Item 16.
- Item 15. Enter total number of draft/final copies to be delivered.
- Item 16. Use for additional/clarifying information for items 1 through 15. Examples are: Tailoring of documents cited in Item 4; Clarification of submittal dates in Items 12 and 13; Explanation of reproducible copies in item 14; Desired medium for delivery of the data item.

### FOR THE CONTRACTOR

- Item 17. Specify appropriate price group from one of the following groups of effort in developing estimated prices for each data item listed on the DD Form 1423.
- a. Group I. Definition - Data which is not otherwise essential to the contractor's performance of the primary contracted effort (production, development, testing, and administration) but which is required by DD Form 1423.  
  
Estimated Price - Costs to be included under Group I are those applicable to preparing and assembling the data item in conformance with Government requirements, and the administration and other expenses related to reproducing and delivering such data items to the Government.
  - b. Group II. Definition - Data which is essential to the performance of the primary contracted effort but the contractor is required to perform additional work to conform to Government requirements with regard to depth of content, format, frequency of submittal, preparation, control, or quality of the data item.  
  
Estimated Price - Costs to be included under Group II are those incurred over and above the cost of the essential data item without conforming to Government requirements, and the administrative and other expenses related to reproducing and delivering such data item to the Government.
  - c. Group III. Definition - Data which the contractor must develop for his internal use in performance of the primary contracted effort and does not require any substantial change to conform to Government requirements with regard to depth of content, format, frequency of submittal, preparation, control, and quality of the data item.  
  
Estimated Price - Costs to be included under Group III are the administrative and other expenses related to reproducing and delivering such data item to the Government.
  - d. Group IV. Definition - Data which is developed by the contractor as part of his normal operating procedures and his effort in supplying these data to the Government is minimal.  
  
Estimated Price - Group IV items should normally be shown on the DD Form 1423 at no cost.
- Item 18. For each data item, enter an amount equal to that portion of the total price which is estimated to be attributable to the production or development for the Government of that item of data. These estimated data prices shall be developed only from those costs which will be incurred as a direct result of the requirement to supply the data, over and above those costs which would otherwise be incurred in performance of the contract if no data were required. The estimated data prices shall not include any amount for rights in data. The Government's right to use the data shall be governed by the pertinent provisions of the contract.











## INSTRUCTIONS FOR COMPLETING DD FORM 1423

(See DoD 5010.12-M for detailed instructions.)

### FOR GOVERNMENT PERSONNEL

- Item A. Self-explanatory.
- Item B. Self-explanatory.
- Item C. Mark (X) appropriate category: TDP - Technical Data Package; TM - Technical Manual; Other - other category of data, such as "provisioning," "Configuration Management", etc.
- Item D. Enter name of system/item being acquired that data will support.
- Item E. Self-explanatory (to be filled in after contract award).
- Item F. Self-explanatory (to be filled in after contract award).
- Item G. Signature of preparer of CDRL.
- Item H. Date CDRL was prepared.
- Item I. Signature of CDRL approval authority.
- Item J. Date CDRL was approved.
- Item 1. See DoD FAR Supplement Subpart 4.71 for proper numbering.
- Item 2. Enter title as it appears on data acquisition document cited in item 4.
- Item 3. Enter subtitle of data item for further definition of data item (optional entry).
- Item 4. Enter Data Item Description (DID) number, military specification number, or military standard number listed on DoD 5010.12-L (AMS DL), or one-time DID number, that defines data content and format requirements.
- Item 5. Enter reference to tasking in contract that generates requirement for the data item (e.g., Statement of Work paragraph number).
- Item 6. Enter technical-office responsible for ensuring adequacy of the data item.
- Item 7. Specify requirement for inspection/acceptance of the data item by the Government.
- Item 8. Specify requirement for approval of a draft before preparation of the final data item.
- Item 9. For technical data, specify requirement for contractor to mark the appropriate distribution statement on the data (ref., DoDD 5230.24).
- Item 10. Specify number of times data items are to be delivered.
- Item 11. Specify as-of date of data item, when applicable.
- Item 12. Specify when first submittal is required.
- Item 13. Specify when subsequent submittals are required, when applicable.
- Item 14. Enter addressees and number of draft/final copies to be delivered to each addressee. Explain reproducible copies in Item 16.
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### FOR THE CONTRACTOR

Item 17. Specify appropriate price group from one of the following groups of effort in developing estimated prices for each data item listed on the DD Form 1423.

a. Group I. Definition - Data which is not otherwise essential to the contractor's performance of the primary contracted effort (production, development, testing, and administration) but which is required by DD Form 1423.

Estimated Price - Costs to be included under Group I are those applicable to preparing and assembling the data item in conformance with Government requirements, and the administration and other expenses related to reproducing and delivering such data items to the Government.

b. Group II. Definition - Data which is essential to the performance of the primary contracted effort but the contractor is required to perform additional work to conform to Government requirements with regard to depth of content, format, frequency of submittal, preparation, control, or quality of the data item.

Estimated Price - Costs to be included under Group II are those incurred over and above the cost of the essential data item without conforming to Government requirements, and the administrative and other expenses related to reproducing and delivering such data item to the Government.

c. Group III. Definition - Data which the contractor must develop for his internal use in performance of the primary contracted effort and does not require any substantial change to conform to Government requirements with regard to depth of content, format, frequency of submittal, preparation, control, and quality of the data item.

Estimated Price - Costs to be included under Group III are the administrative and other expenses related to reproducing and delivering such data item to the Government.

d. Group IV. Definition - Data which is developed by the contractor as part of his normal operating procedures and his effort in supplying these data to the Government is minimal.

Estimated Price - Group IV items should normally be shown on the DD Form 1423 at no cost.

Item 18. For each data item, enter an amount equal to that portion of the total price which is estimated to be attributable to the production or development for the Government of that item of data. These estimated data prices shall be developed only from those costs which will be incurred as a direct result of the requirement to supply the data, over and above those costs which would otherwise be incurred in performance of the contract if no data were required. The estimated data prices shall not include any amount for rights in data. The Government's right to use the data shall be governed by the pertinent provisions of the contract.



**CONTRACT DATA REQUIREMENTS LIST**

*(1 Data Item)*

*Form Approved*

**OMB No. 0704-0188**

Public reporting burden for this collection of information is estimated to average 110 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Please DO NOT RETURN your form to either of these address. Send completed form to the Government issuing Contracting Officer for the Contract/PR No. Listed in Block E.

<b>A. CONTRACT LINE ITEM NO.</b> 0008AB		<b>B. EXHIBIT</b> N		<b>C. CATEGORY</b> TDF _____ TM _____ OTHER _____		
<b>D. SYSTEM/ITEM</b>			<b>E. CONTRACT/PR NO.</b>		<b>F. CONTRACTOR</b>	
<b>1. DATA ITEM NO.</b> N001	<b>2. TITLE OF DATA ITEM</b> CERTIFICATION/DATA REPORT			<b>3. SUBTITLE</b> DYNAMIC LOAD TEST		
<b>4. AUTHORITY (Data Acquisition Document No.)</b> DI-NDTI-80809B		<b>5. CONTRACT REFERENCE</b> PER TECHNICAL ORDERING DATA		<b>6. REQUIRING OFFICE</b> PURCHASING ACTIVITY		
<b>7. DD 250 REQ</b> DD	<b>9. DIST STATEMENT REQUIRED</b> D	<b>10. FREQUENCY</b> ONE/R	<b>12. DATE OF FIRST SUBMISSION</b> SEE BLOCK 16*	<b>14. DISTRIBUTION</b>		
<b>8. APP CODE</b> N/A	<b>11. AS OF DATE</b> N/A	<b>13. DATE OF SUBSEQUENT SUBMISSION</b> SEE BLOCK 16*	<b>A. ADDRESSEE</b>		<b>b. COPIES</b>	
<b>16. REMARKS</b>			Draft		Final	
<p><b>Block 9:</b> Distribution Statement D. Distribution authorized to DoD and DoD contractors only. Administration and operations only, effective 12/22/2015. Other U.S. requests shall be referred to COMNAVSEASYSKOM.</p> <p><b>Block 12:</b> Personnel Qualifications and Test Procedures, when ordered on separate contract line item, shall be submitted and approved before testing unless otherwise specified in contract.</p> <p><b>Block 12 – Certification/Data Report</b> documentation shall be submitted via Wide Area Workflow (WAWF) e-Business Suite: Invoice, Receipt, Acceptance, and Property Transfer (iRAPT).</p> <p><b>Block 13- Certification/Data Report</b> documentation shall also be submitted with the shipment of the material to the delivery address supplied:</p> <p>RECIEVING OFFICER BLDG 514, ATTN: CODE 133.1 PUGET SOUND NAVAL SHIPYARD &amp; INTERMEDIATE MAINTENACE FACILITY 1400 FARRAGUT AVE, BREMERTON, WA 98314-5001</p> <p><b>Block 12 and 13 -</b> The Certifications/Data Reports requested by this CDRL and corresponding material ordered by this contract/purchase order will be evaluated for acceptance concurrently.</p>			Reg		Repr	
			N4523A		1	0
			<b>TOTAL</b>		<b>1</b>	<b>0</b>
<b>G. PREPARED BY</b>		<b>H. DATE</b>		<b>I. APPROVED BY</b>		
/s/ Pauline Meyers		12/22/2015		/s/ Frank Gilger		
				<b>J. DATE</b>		
				12/22/2015		

<b>17. PRICE GROUP</b>
<b>18. ESTIMATED TOTAL PRICE</b>

**For Document: 5300-0158**



